

# Fall 2021 | Lecture 21 Value Alignment Ariel Procaccia | Harvard University

## THE THREE LAWS OF ROBOTICS

#### WHY ASIMOV PUT THE THREE LAWS OF ROBOTICS IN THE ORDER HE DID:

#### POSSIBLE ORDERING

- 1. (1) DON'T HARM HUMANS
- 2. (2) OBEY ORDERS
- 3. (3) PROTECT YOURSELF



**CONSEQUENCES** 

[SEE ASIMOV'S STORIES]

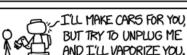
BALANCED WORLD

- 1. (I) DON'T HARM HUMANS
- 2. (3) PROTECT YOURSELF
- 3. (2) OBEY ORDERS
- 1. (2) OBEY ORDERS
- 2. (1) DON'T HARM HUMANS 3. (3) PROTECT YOURSELF
- 1. (2) OBEY ORDERS
- 2. (3) PROTECT YOURSELF
- 3. (I) DON'T HARM HUMANS
- 1. (3) PROTECT YOURSELF 2. (I) DON'T HARM HUMANS
- 3. (2) OBEY ORDERS
- 1. (3) PROTECT YOURSELF 2. (2) OBEY ORDERS
- 3. (1) DON'T HARM HUMANS













FRUSTRATING WORLD

KILLBOT HELLSCAPE.

KILLBOT HELLSCAPE

TERRIFYING STANDOFF

KILLBOT **HELLSCAPE** 

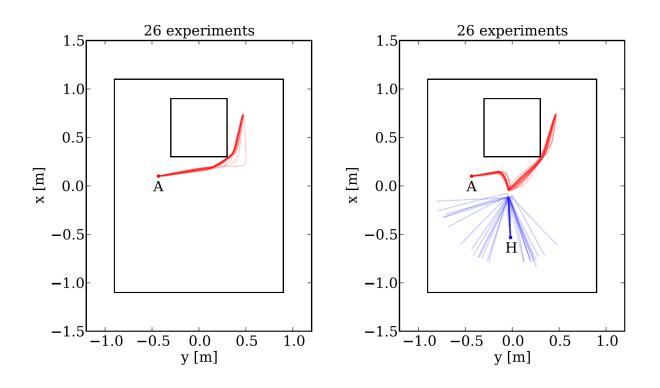
- Experiments performed by Winfield et al. [2014]
- Environment includes a robot (A for "Asimov"), a human (H), and a hole which can be sensed by the robot but not the human
- Robot can simulate the consequences of possible actions

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IF for all robot actions, the human is equally safe
THEN (* default safe actions *)
      output safe actions
ELSE (* ethical action *)
      output action(s) for least unsafe human outcome(s)
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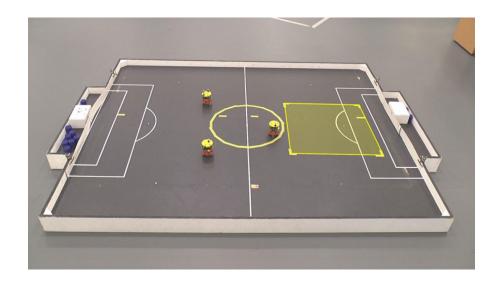
• Compare with Asimov's first law of robotics: "A robot may not injure a human being or, through inaction, allow a human being to come to harm."



https://youtu.be/-e2MrWYRUF8?t=27m43s

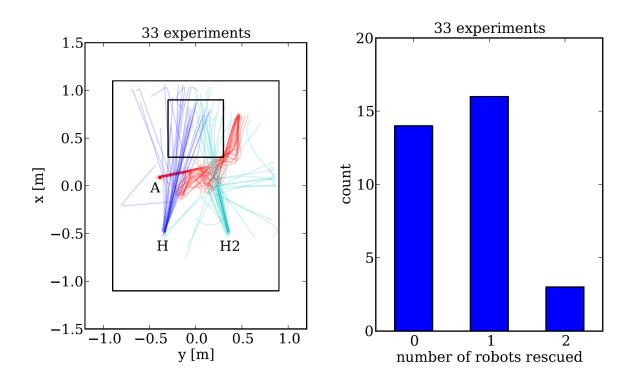


[Winfield et al. 2014]



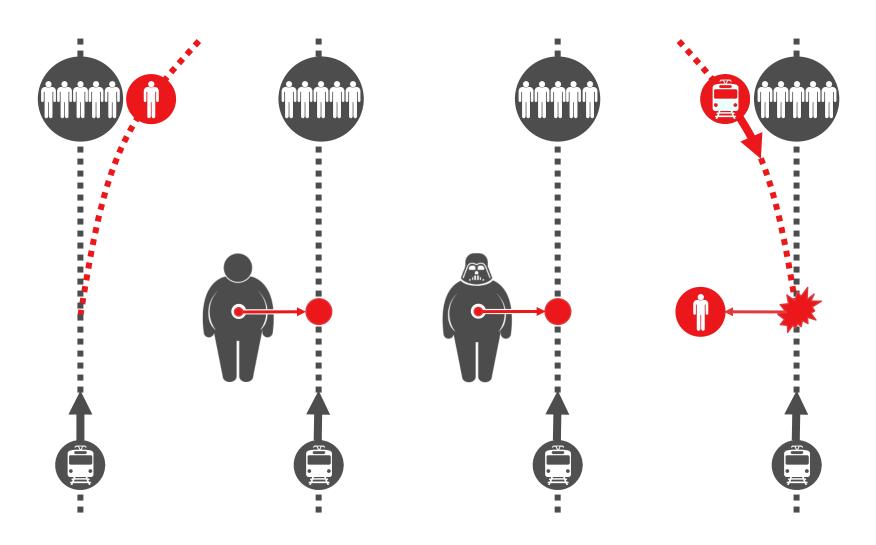
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The robot's dilemma: What should I do if there are two humans in danger?



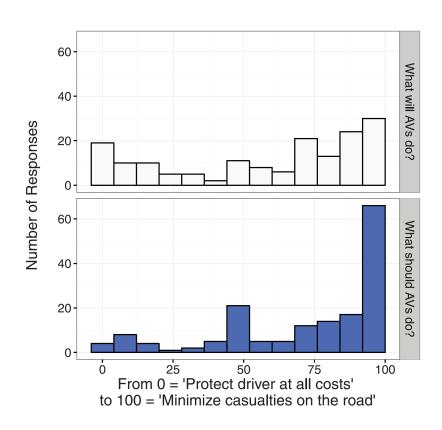
[Winfield et al. 2014]

# THE TROLLEY PROBLEM

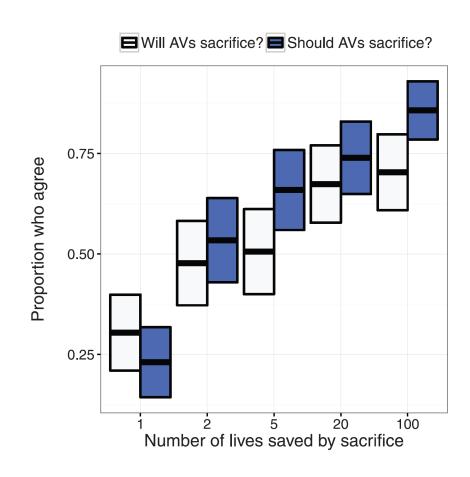


Poll: Choose an action in each scenario

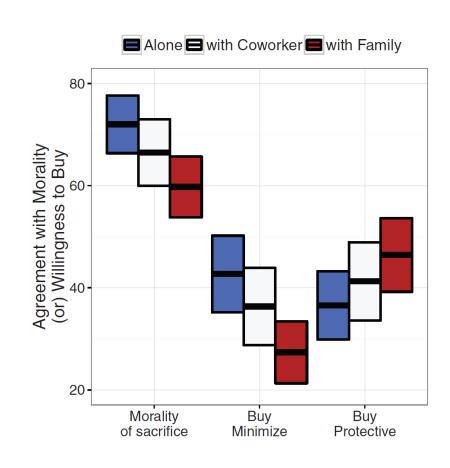
People think an autonomous vehicle should be programmed to minimize the number of casualties, but were less certain that AVs would be programmed that way [Bonnefon et al. 2016]



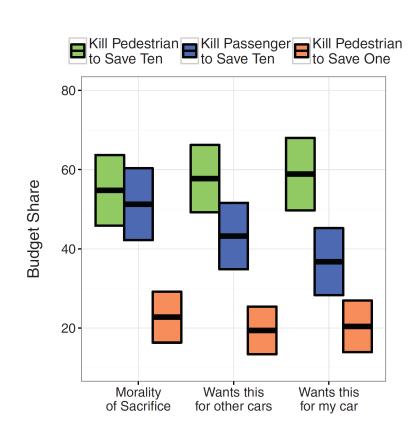
Approval for sacrificing a single passenger increases with the number of pedestrians saved by the sacrifice [Bonnefon et al. 2016]



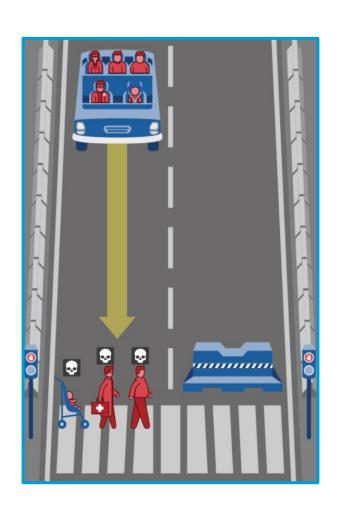
Even though people agree sacrificing few passengers to save many pedestrians is more moral, they prefer a car that would protect them [Bonnefon et al. 2016]

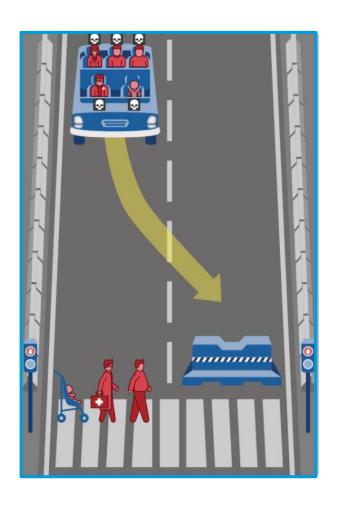


In allocating a pool of 100 points, people are consistent when the decision doesn't involve sacrificing passengers, but when it does, people again abandon utilitarianism for their own cars [Bonnefon et al. 2016]



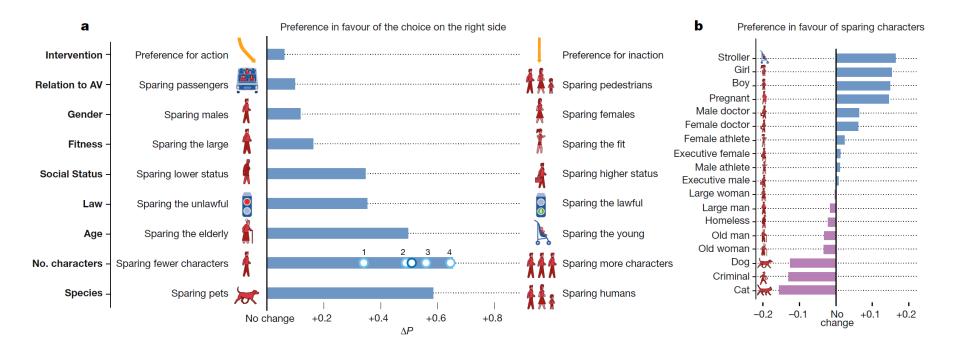
## MORAL MACHINE





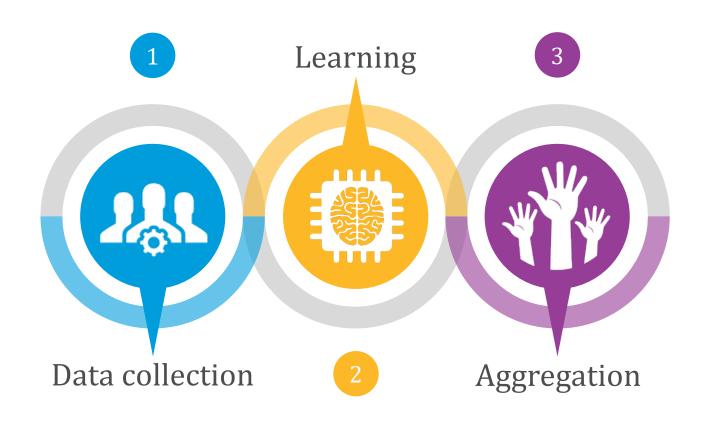
What should the self-driving car do?

#### MORAL MACHINE



[Awad et al. 2018]

#### DECISION MAKING FRAMEWORK



The rest of the lecture based on: Noothigattu et al. 2018, Kahng et al. 2019, Lee et al. 2019

# FOOD RESCUE

Donors



















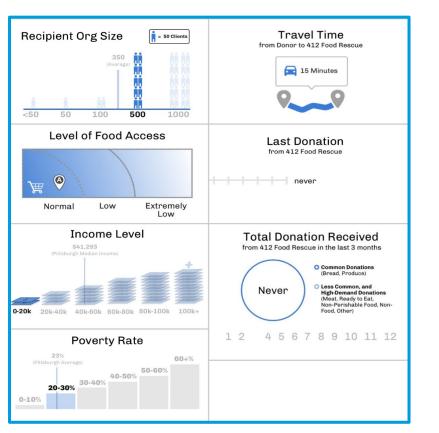


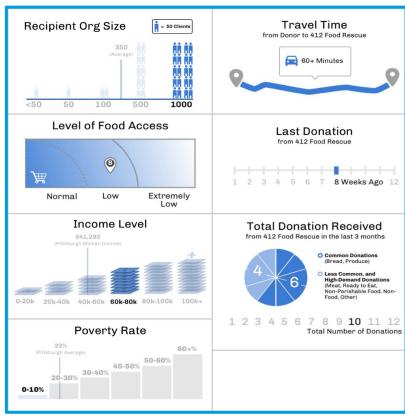


# STEP 1: DATA COLLECTION



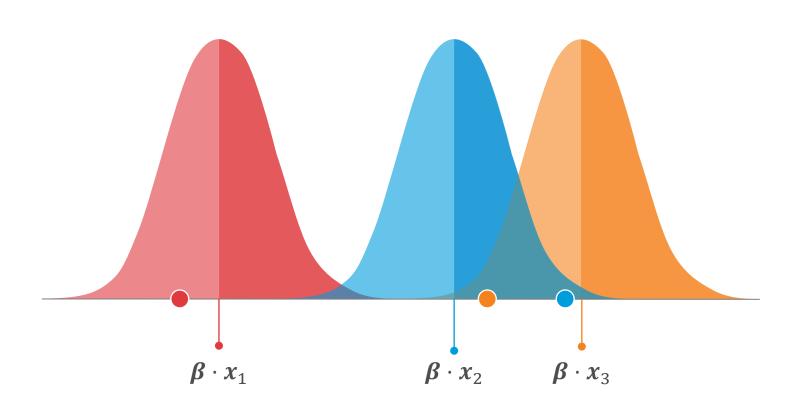
## STEP 1: DATA COLLECTION





What should 412 Food Rescue do?

# STEP 2: LEARNING



The Thurstone-Mosteller Model

#### STEP 3: AGGREGATION

True Profile Noisy profile

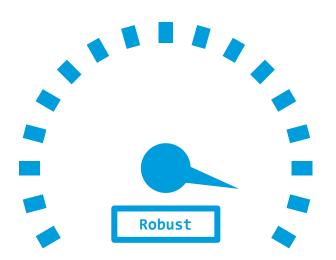
Voting rule should be robust to noise: Its output ranking from the true profile should coincide with the output ranking from the noisy profile

#### STEP 3: AGGREGATION



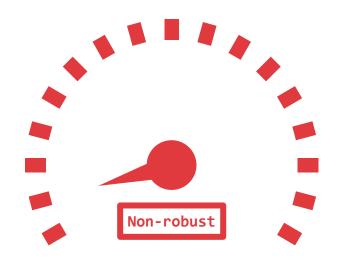
The Mallows Model is an unusually good fit with our setting!

#### STEP 3: AGGREGATION



#### Borda count

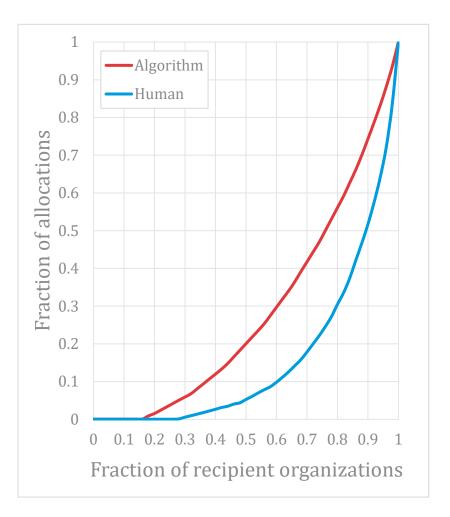
For any true profile, it is unlikely that two alternatives would be ranked differently when Borda count is applied to the true profile and the noisy profile

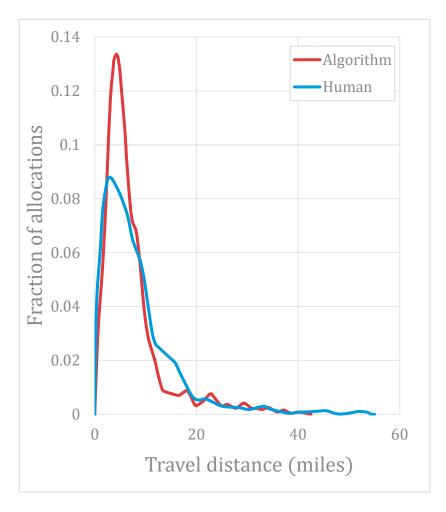


#### **PMC** Rules

There exists a true profile where, for any PMC rule f, it is likely that two alternatives would be ranked differently when f is applied to the true profile and the noisy profile

#### PERFORMANCE ON HISTORICAL DATA



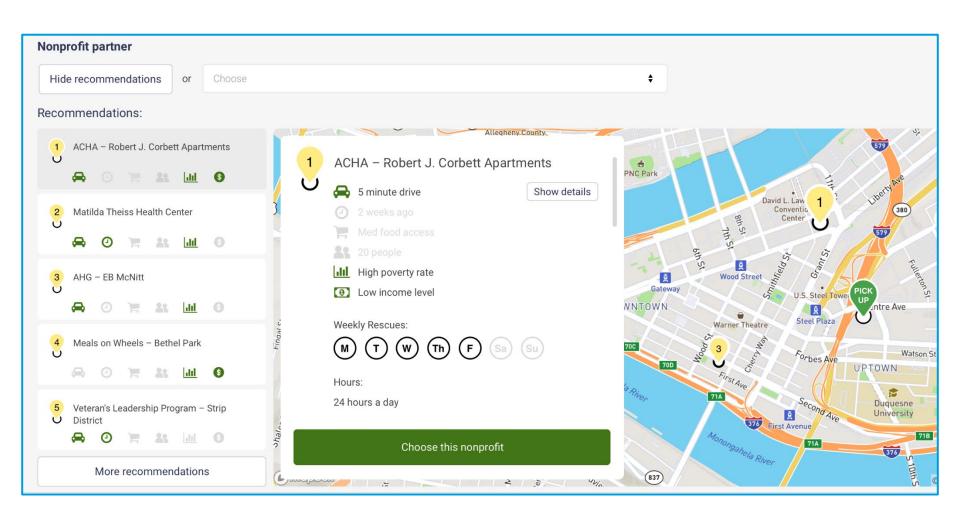


Diversity of allocations

Efficiency of allocations

#### **INTERFACE**

#### Designed as a decision support tool



# PARTICIPANT FEEDBACK

Seeing how the algorithm's construction was broken down "into steps [...] and just taking each one at a time" made it attainable.

"No matter what group or individuals we're feeding, [we] have the same regard for the food and the individuals we're serving."

"This seems quite [a bit] better. If organizations are literally getting forgot[ten] about [...] this is huge." "Certainly more fair than somebody sitting at a desk trying to figure it out on their own. [...] it should be the most fair you could get."







