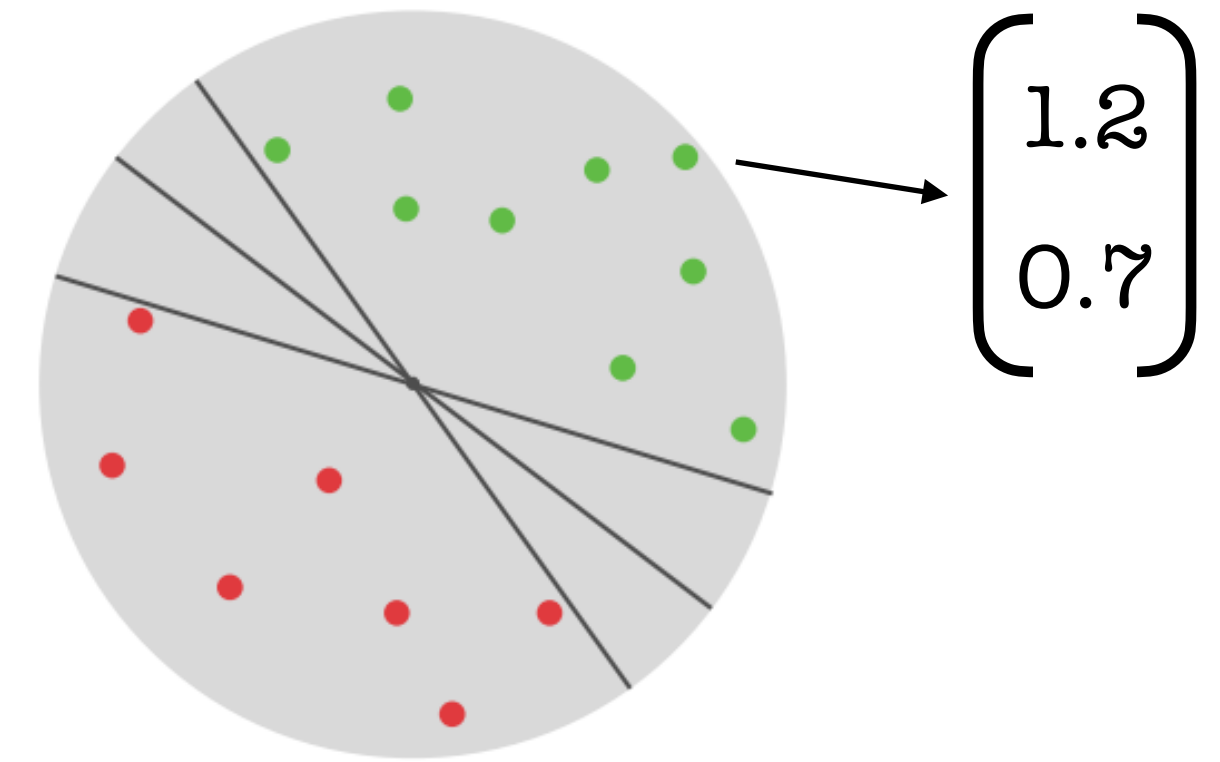


DAVID ALVAREZ-MELIS, MICROSOFT RESEARCH

CS 182 GUEST LECTURE: LANGUAGE MODELS AND NLP

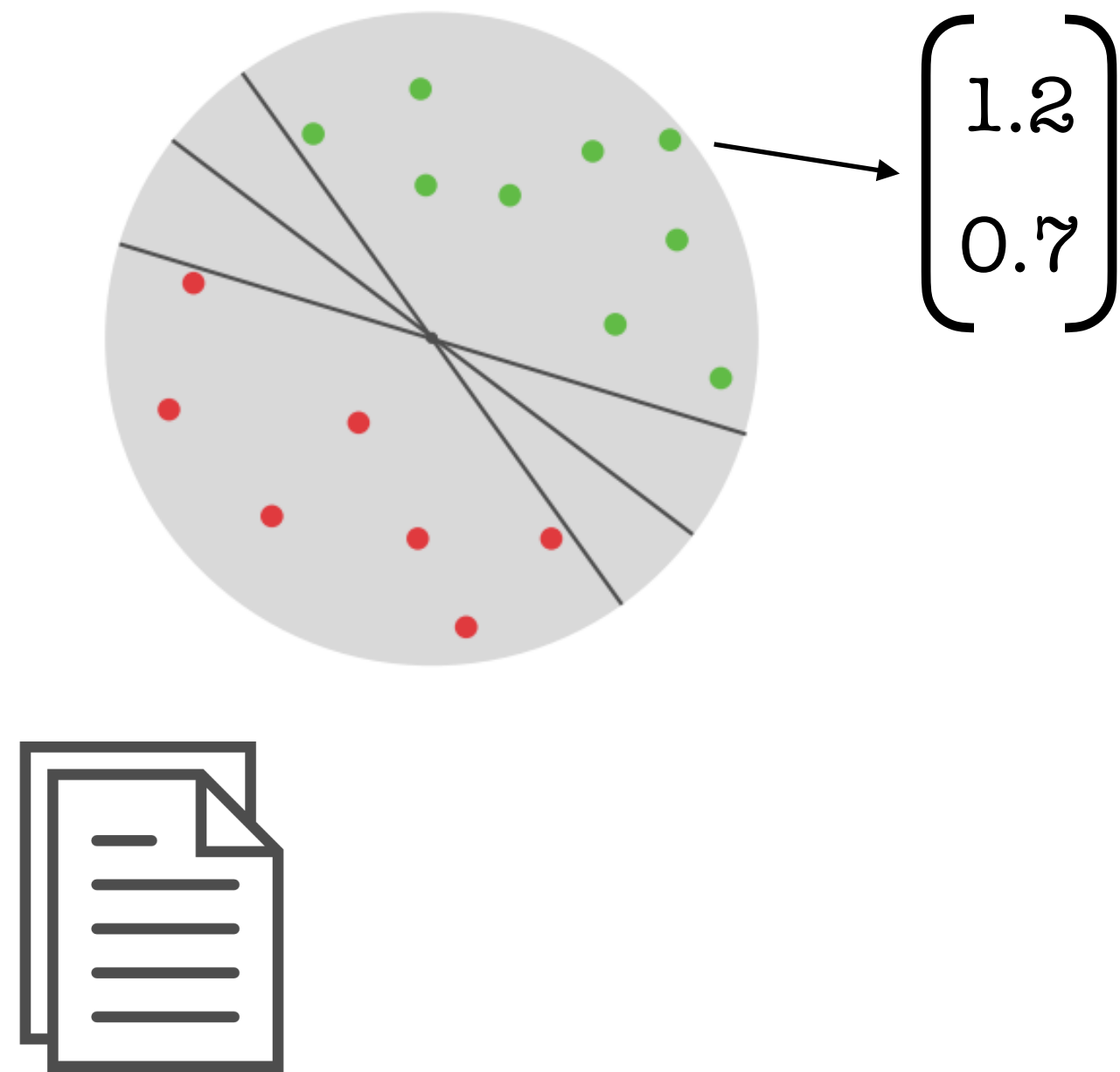
THE CHALLENGE WITH LANGUAGE

- ▶ So far: data has been assumed to be vectors:
 - ▶ fixed dimension
 - ▶ continuous



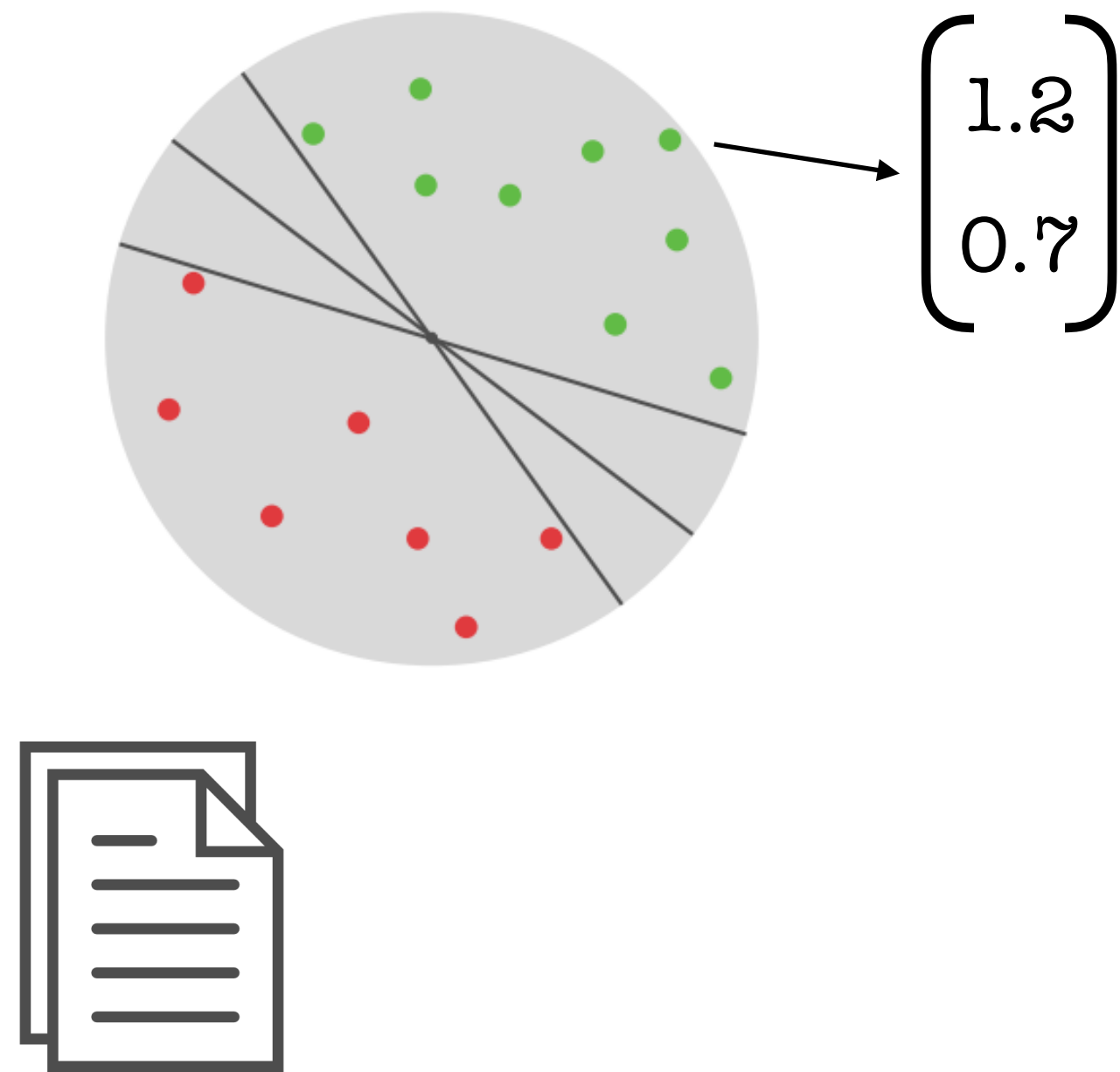
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- ▶ What if the input is a sentence? Or a document?



THE CHALLENGE WITH LANGUAGE

- ▶ So far: data has been assumed to be vectors:
 - ▶ fixed dimension
 - ▶ continuous
- ▶ What if the input is a sentence? Or a document?
- ▶ Key questions:
 - ▶ how to **represent** text data while preserving its meaning
 - ▶ how to process it / **compute** with it efficiently



THE CHALLENGE WITH LANGUAGE

Natural Language Processing

THE CHALLENGE WITH LANGUAGE

Natural Language Processing

↓
i.e., not synthetic/constructed

THE CHALLENGE WITH LANGUAGE

Natural Language Processing

i.e., not synthetic/constructed basically, "computing"

THE CHALLENGE WITH LANGUAGE

Natural Language Processing

THE CHALLENGE WITH LANGUAGE

Speech and Language Processing

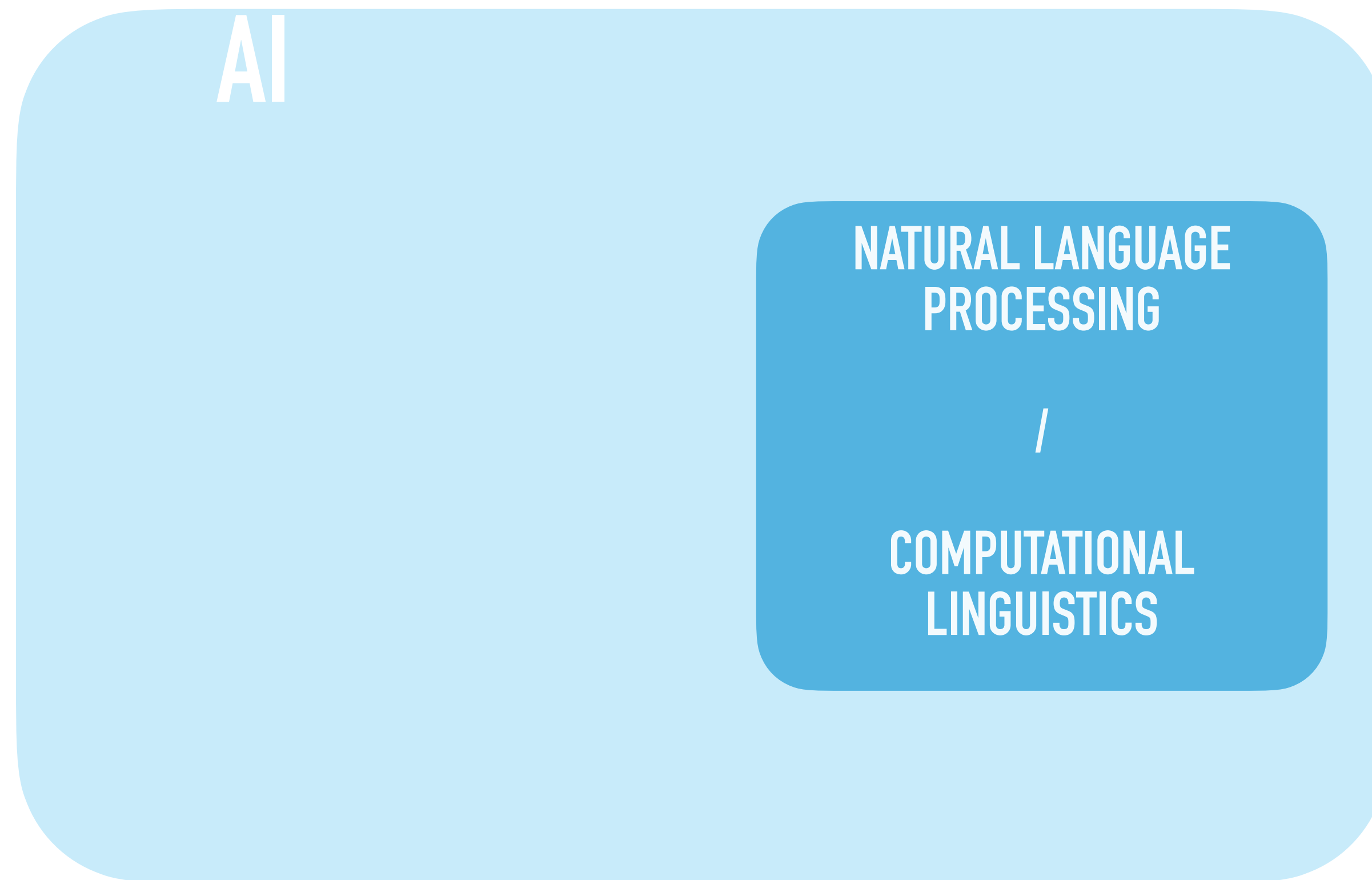
Human Language Technologies

Natural Language Processing

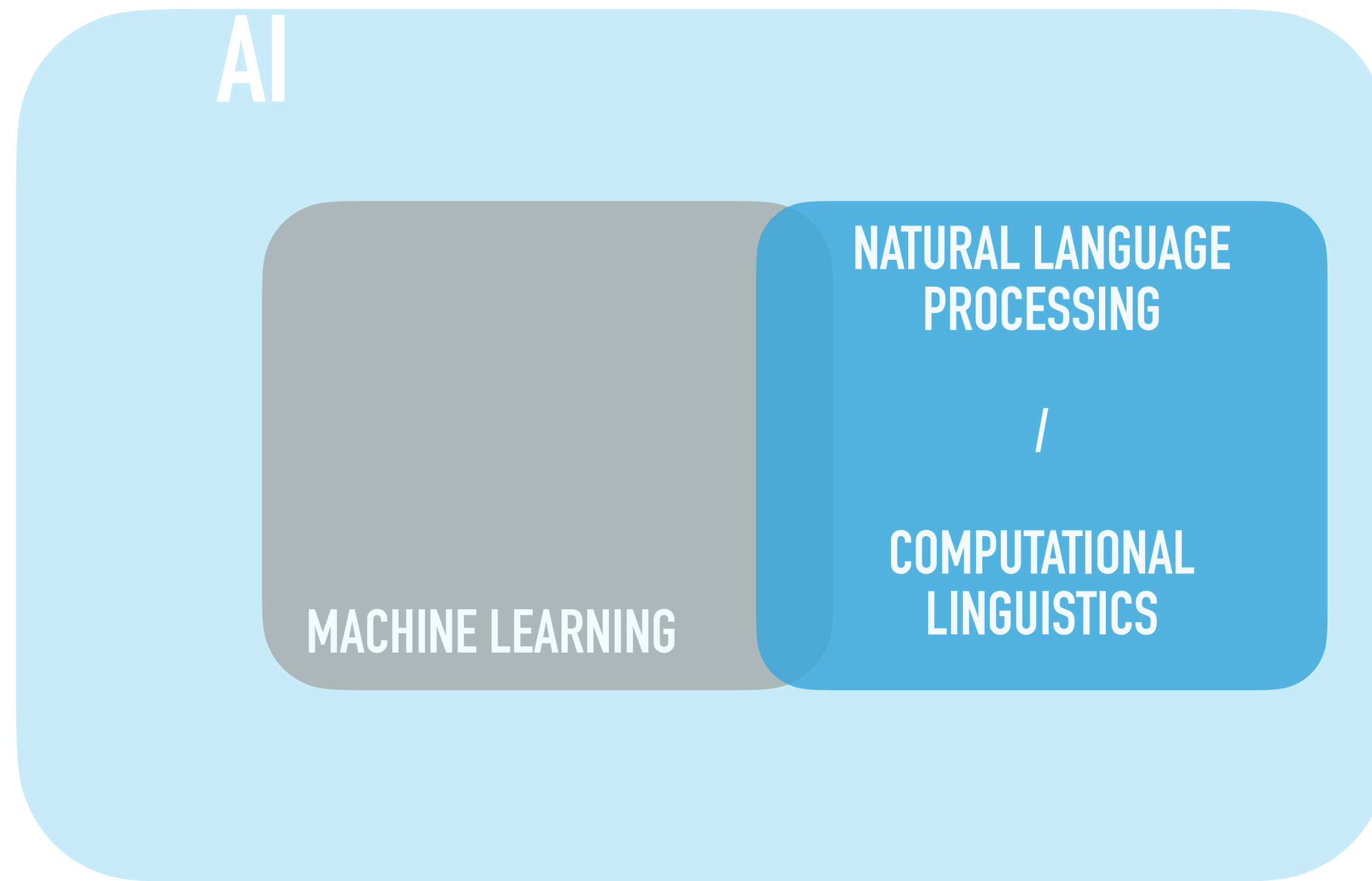
Natural Language Understanding

Computational Linguistics

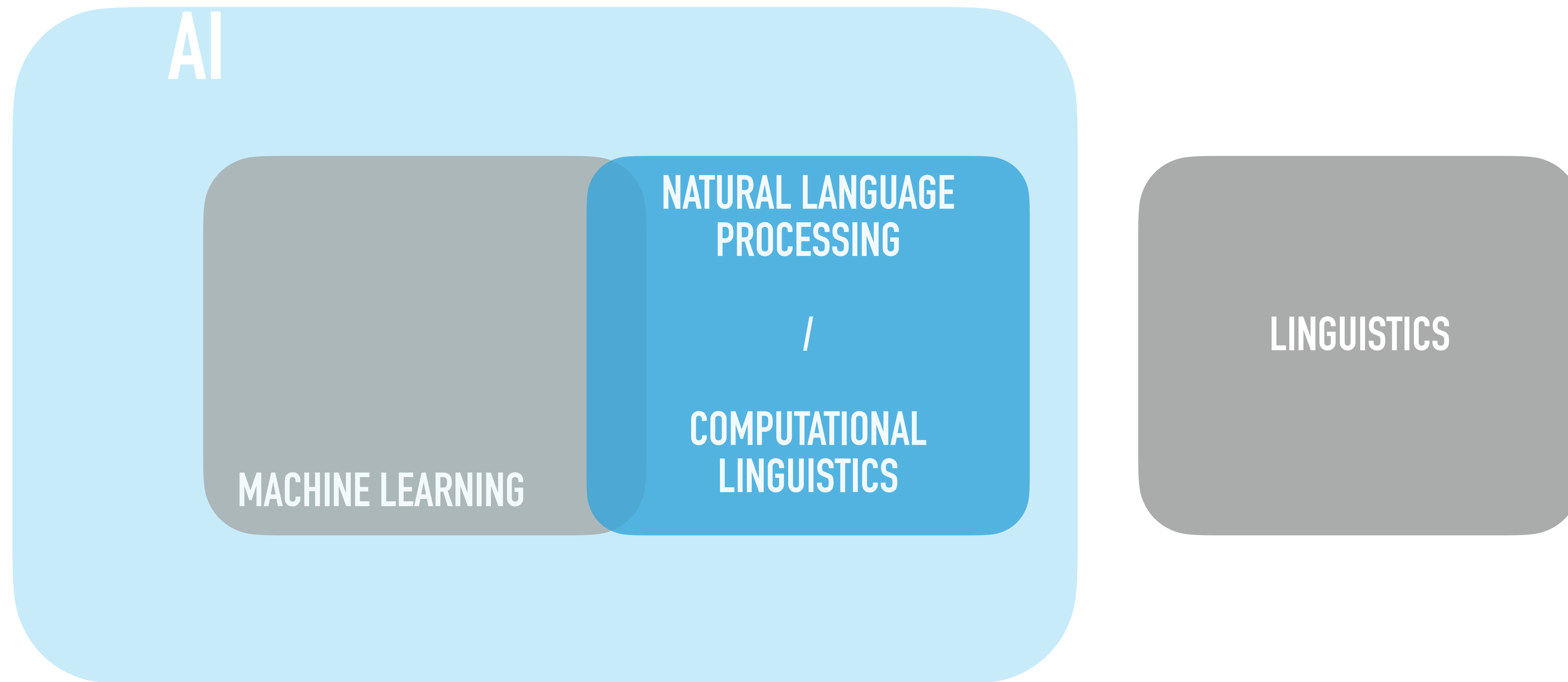
NATURAL LANGUAGE PROCESSING



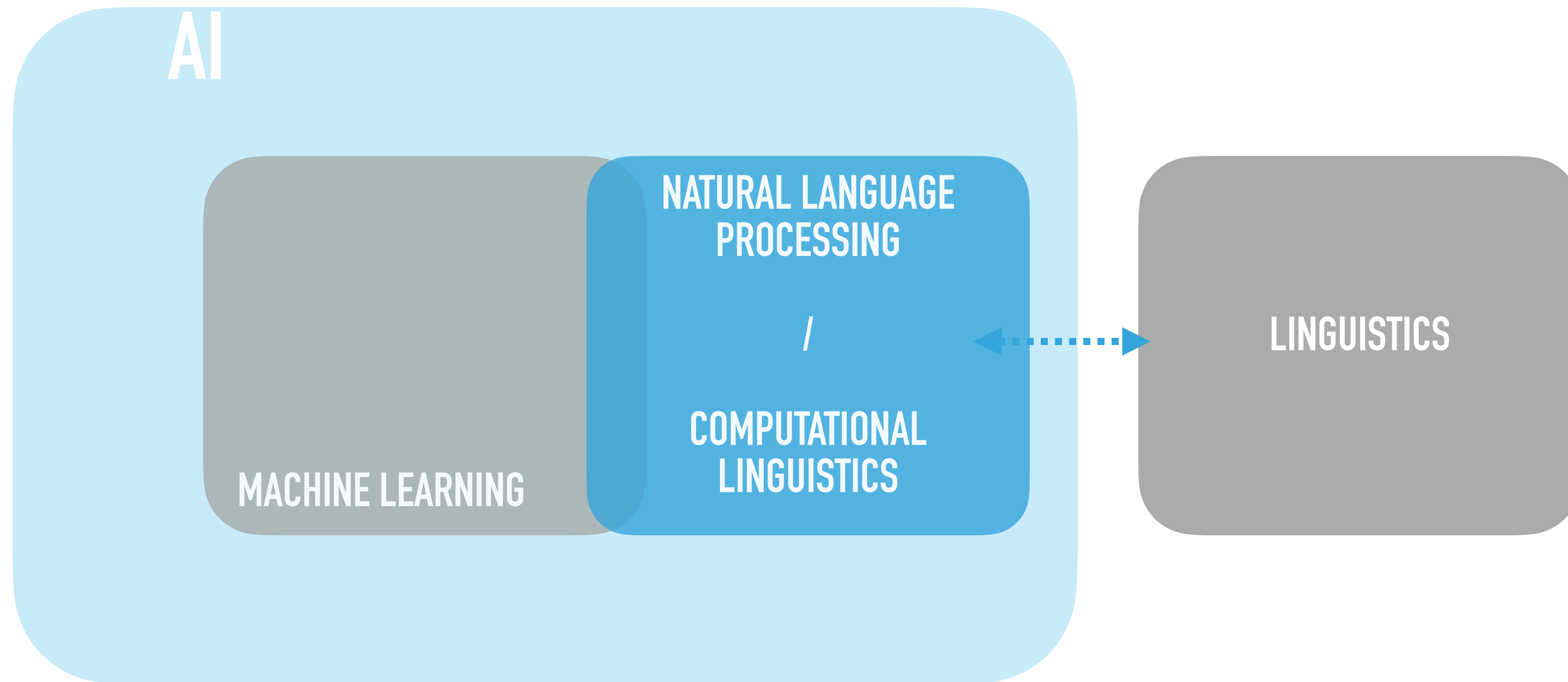
NATURAL LANGUAGE PROCESSING



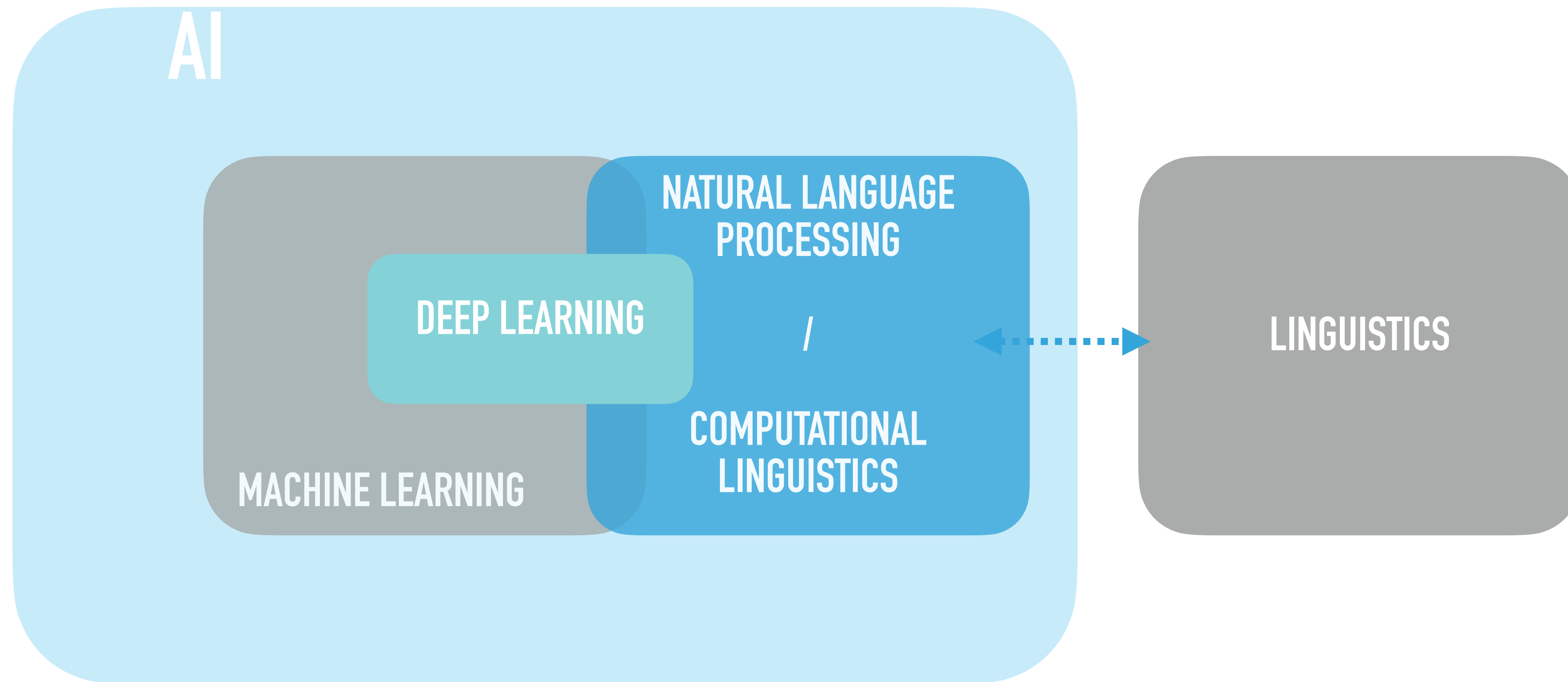
NATURAL LANGUAGE PROCESSING



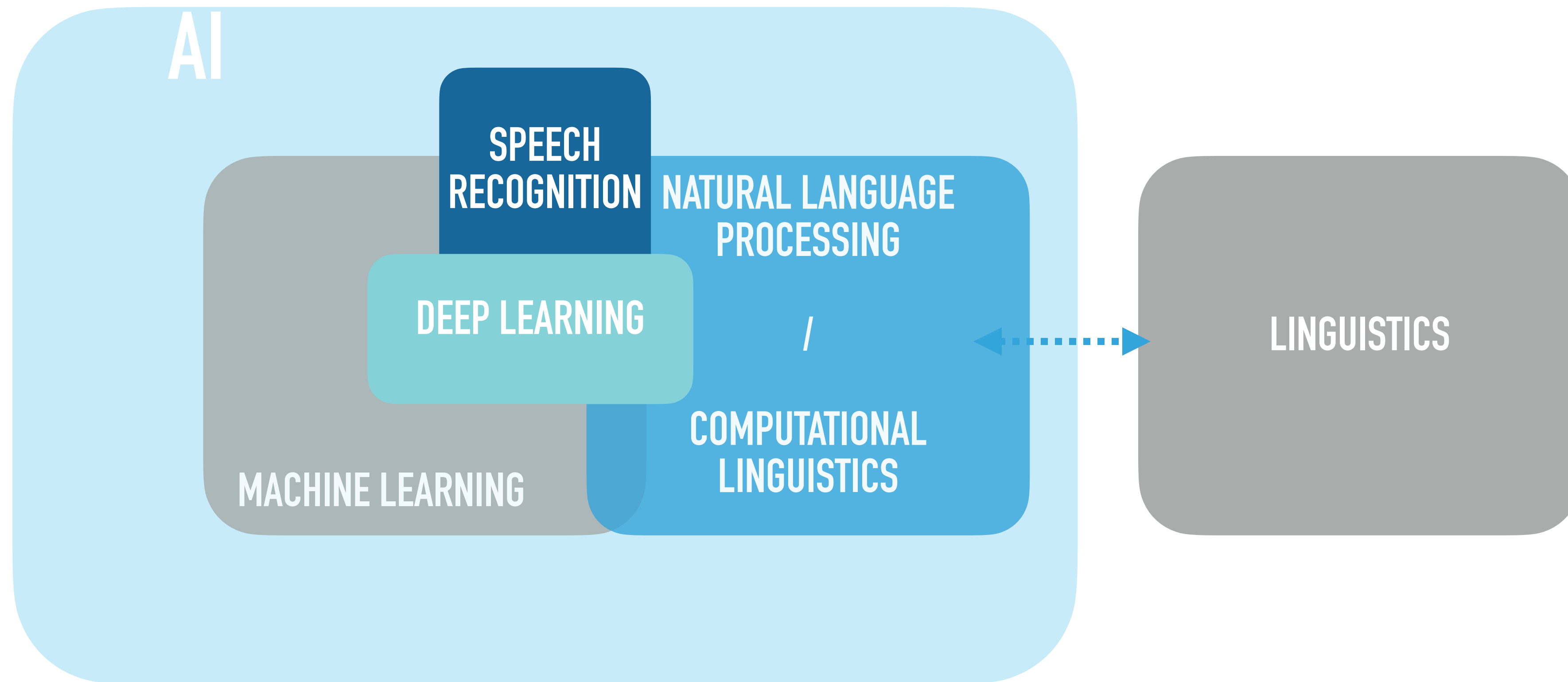
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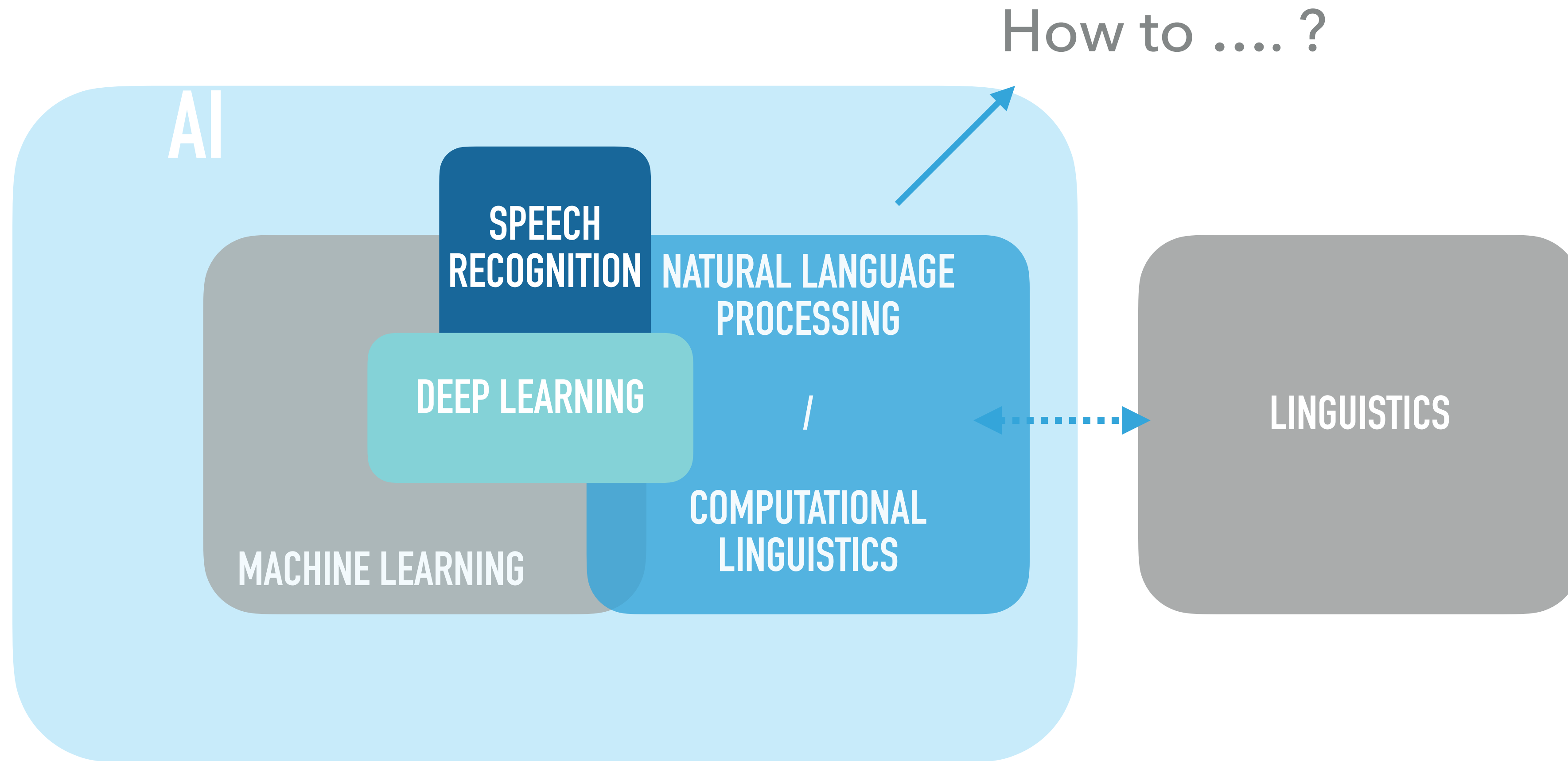
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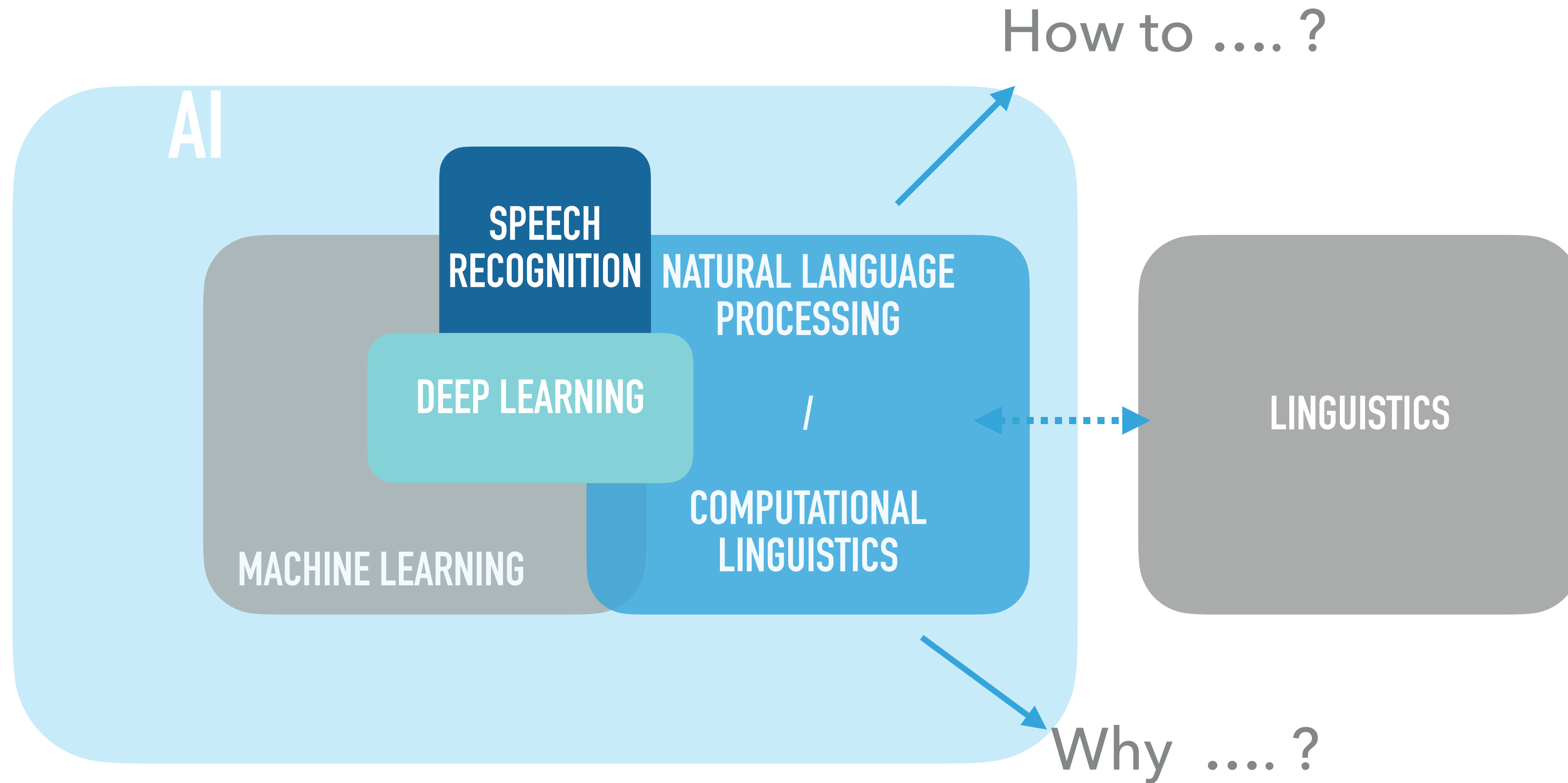
NATURAL LANGUAGE PROCESSING



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NATURAL LANGUAGE PROCESSING

DIMENSIONS OF NLP

PROBLEMS

Machine translation
Summarization
Text classification
Parsing
Language Modeling

ASPECTS

Semantics
Syntax
Morphology
Phonology
Pragmatics

METHODS

Probabilistic
Symbolic
Bayesian
Kernel-Based
Deep Learning

LINGUISTICS CHEAT SHEET

LINGUISTICS CHEAT SHEET

- ▶ **Semantics:** pertaining to the meaning of a word, phrase, sentence, or text



se·man·tics

/səˈmən(t)iks/

noun

- the meaning of a word, phrase, sentence, or text.

plural noun: **semantics**

"such quibbling over semantics may seem petty stuff"

LINGUISTICS CHEAT SHEET

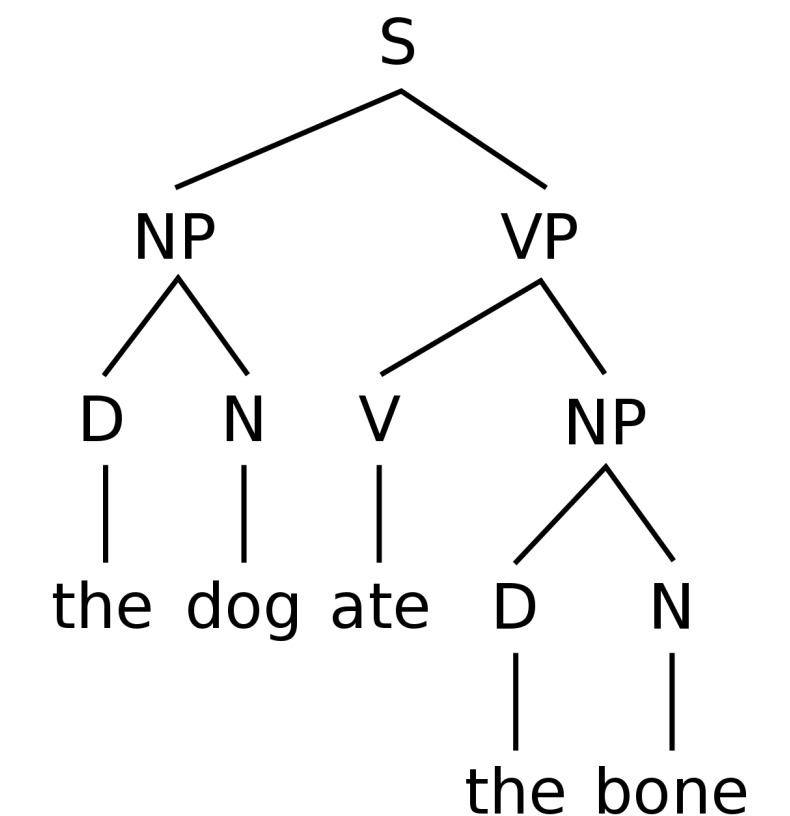
- ▶ **Semantics:** pertaining to the meaning of a word, phrase, sentence, or text
- ▶ **Syntax:** arrangement of words and phrases to create wellformed sentences

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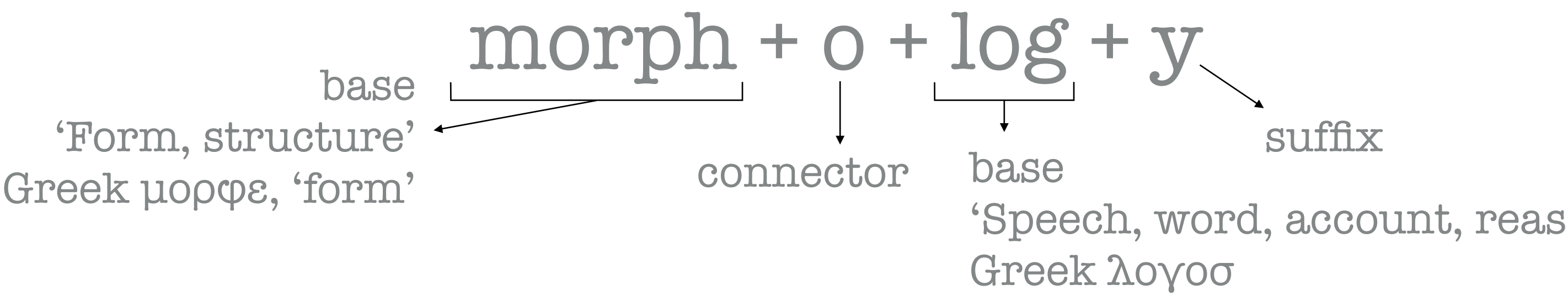
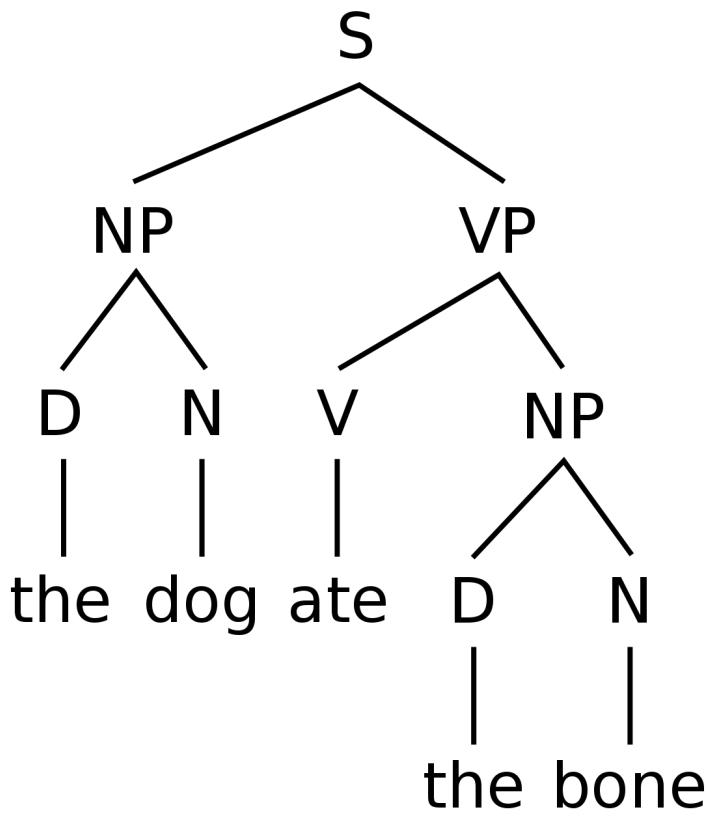


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- ▶ **Corpus:** a collection of text data (plural: corpora)

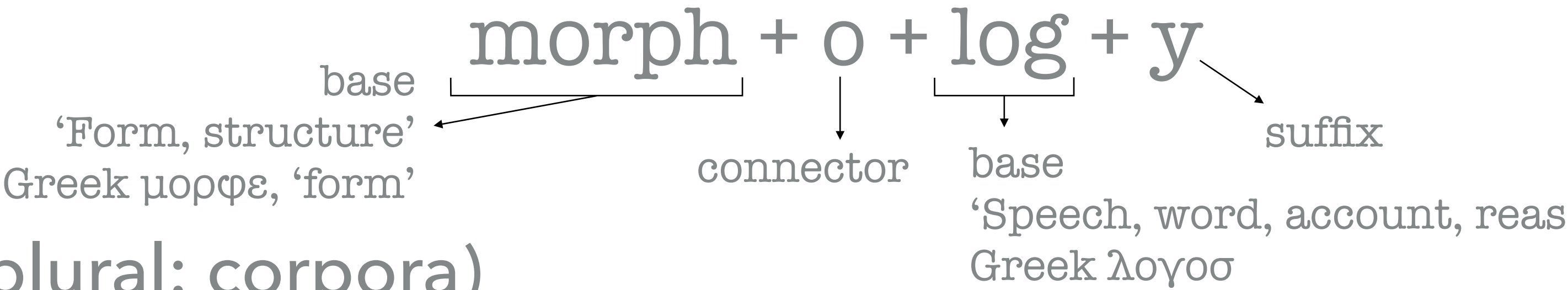
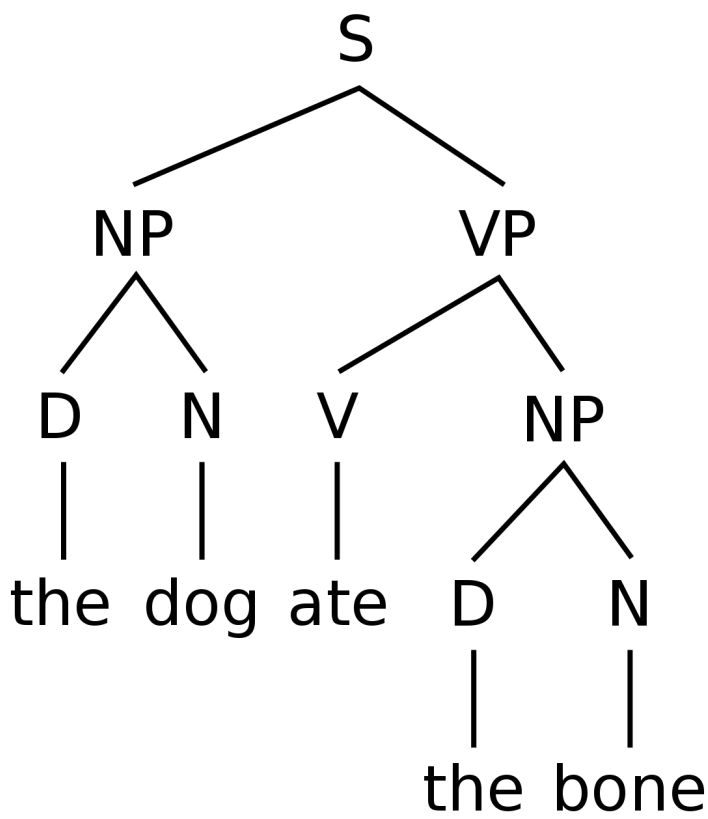


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 - ▶ deep dive into recurrent neural nets (vanilla and LSTM)
- ▶ **Part III:** (time permitting) very large neural language models

PART 1:

ENCODING MEANING THROUGH WORD EMBEDDINGS

WORD VECTOR REPRESENTATION: FIRST IDEA

Word representation:

house = [0 0 0 1 0 0 ...]

apartment = [0 0 1 0 0 0 ...]

nice = [1 0 0 0 0 0 ...]

WORD VECTOR REPRESENTATION: FIRST IDEA

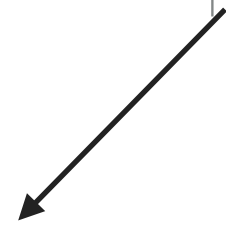
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corresponds to a word



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Sentence/Document representation:

"the house is nice, the apartment is nice"

= [2 0 1 1 0 0 ...]

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Two crucial issues:

Sentence/Document representation:

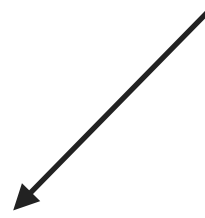
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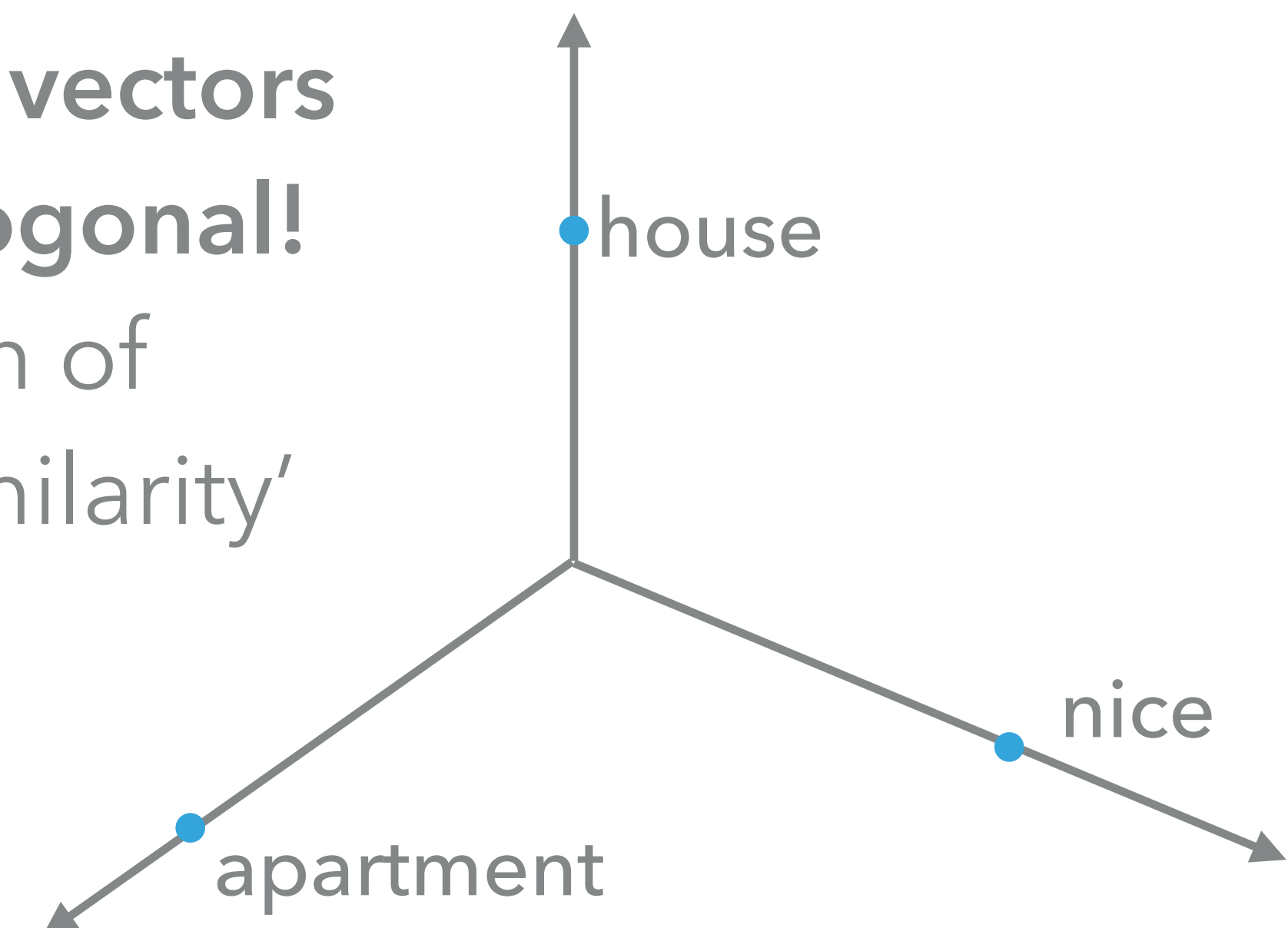
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= [2 0 1 1 0 0 ...]

Two crucial issues:

Vector size: # words in vocabulary
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**All word vectors
are orthogonal!**
no notion of
word 'similarity'



WORD VECTOR REPRESENTATION

What we really want:

house = [0.23 − 1.52 3.22 0.01 2.45 − 1.32 ...]

apartment = [−1.32 0.78 1.34 0.34 − 1.11 5.32 ...]

nice = [0.98 0.32 − 3.34 8.23 1.01 − 2.68 ...]

WORD VECTOR REPRESENTATION

What we really want:

Vector size: fixed, not too large

house = [0.23 − 1.52 3.22 0.01 2.45 − 1.32 ...]

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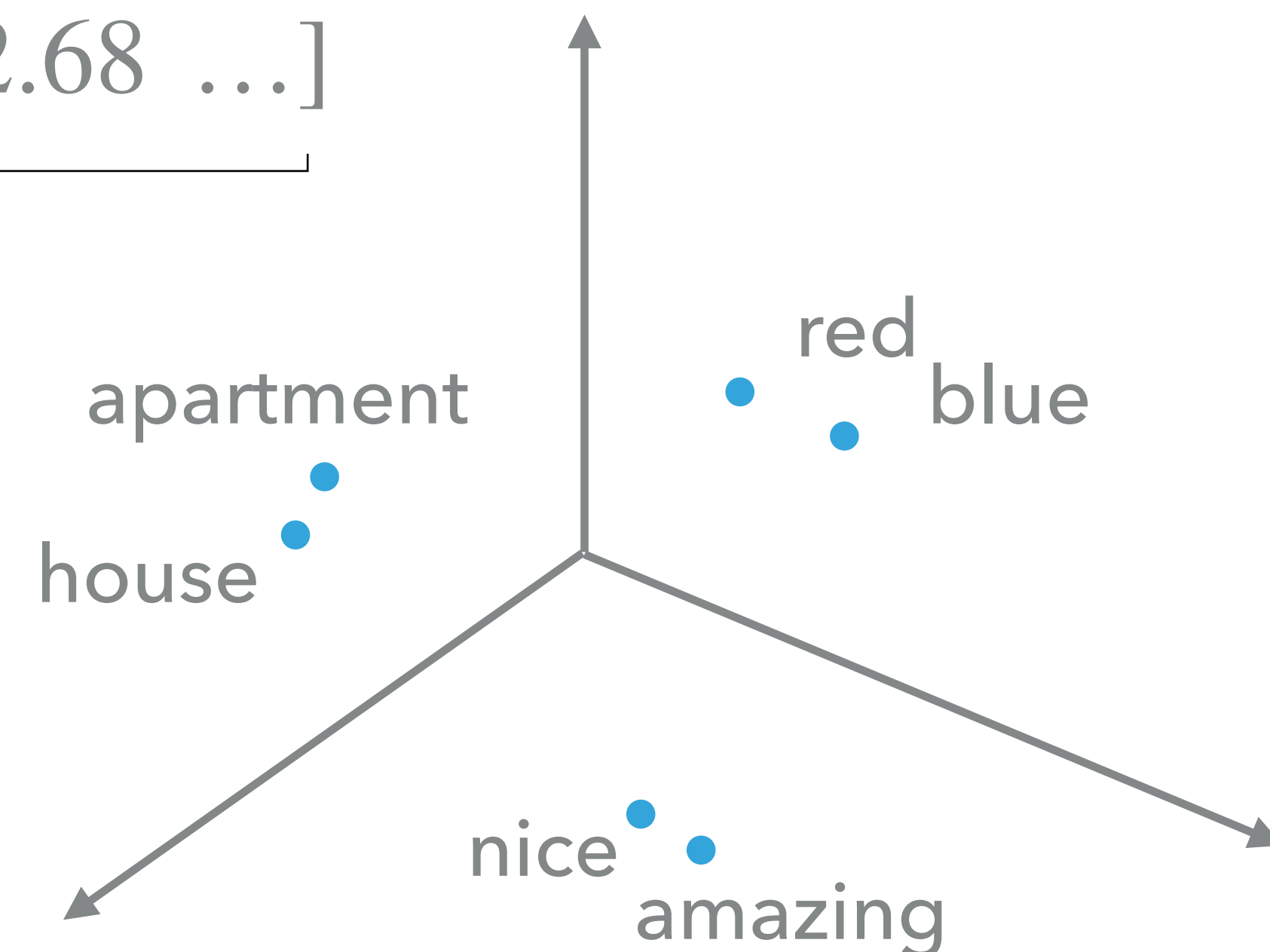
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Related words are
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How do we achieve this?

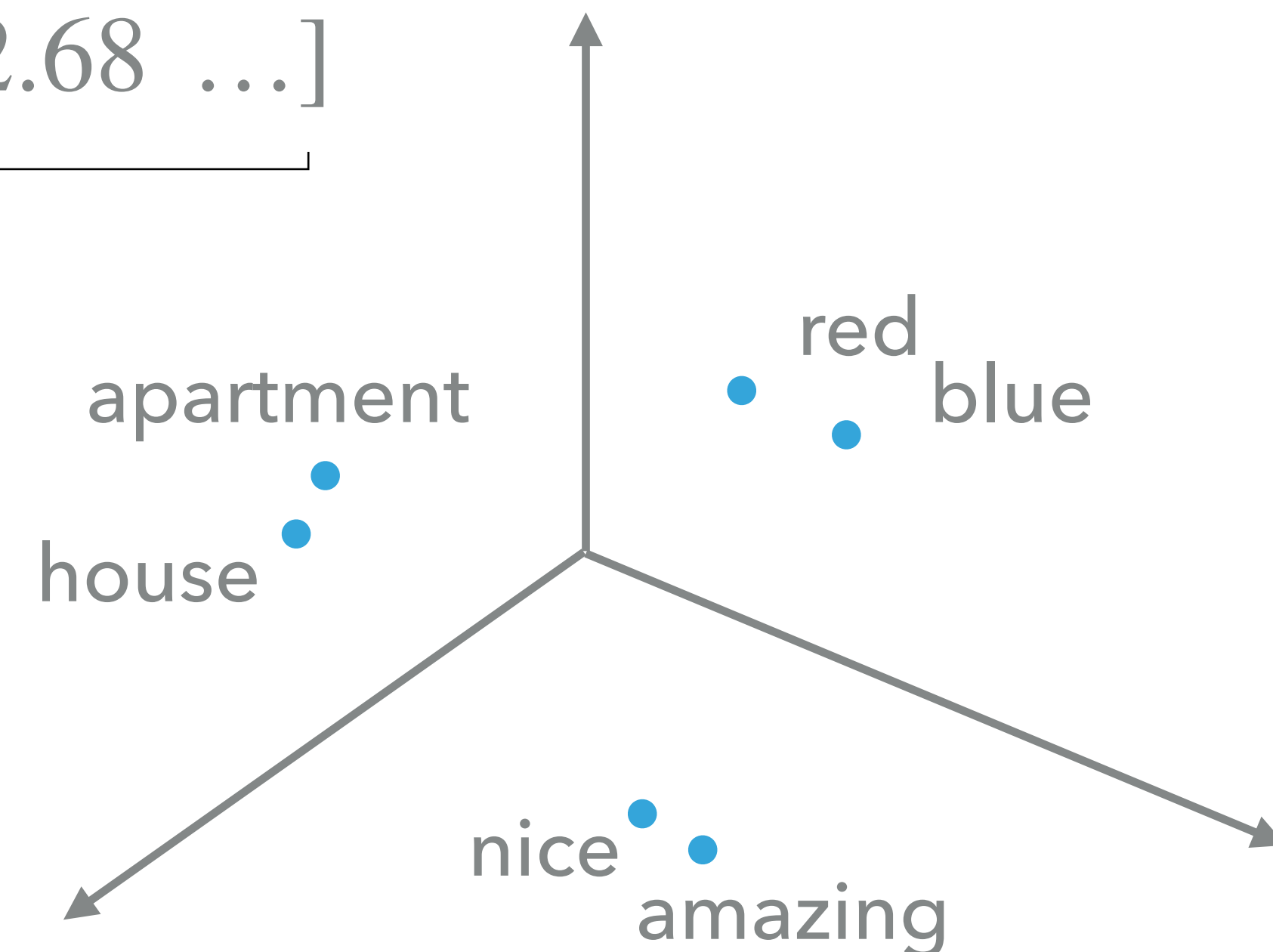
How to turn



into

$$\begin{bmatrix} 1.2 \\ 0.7 \\ 3.3 \end{bmatrix}$$
$$\begin{bmatrix} 3.3 \\ 1.5 \\ 7.2 \end{bmatrix}$$

that carry **meaning**?



THE DISTRIBUTIONAL HYPOTHESIS

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**“YOU SHALL KNOW A WORD
BY THE COMPANY IT KEEPS”**



John R Firth
(1957)

THE DISTRIBUTIONAL HYPOTHESIS

**“YOU SHALL KNOW A WORD
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John R Firth
(1957)

Zellig S
Harris
(1954)



**“WORDS OCCURRING IN
(LINGUISTICALLY) SIMILAR CONTEXTS
TEND TO BE SEMANTICALLY SIMILAR”**

THE DISTRIBUTIONAL HYPOTHESIS

What does
tezgüino mean?

[example from Lin (1998) via Eisenstein (2018)]

THE DISTRIBUTIONAL HYPOTHESIS

What does
tezgüino mean?

"A bottle of **tezgüino** is on the table."

"Everybody likes **tezgüino**."

"Don't have **tezgüino** before you drive."

"We make **tezgüino** out of corn."

THE DISTRIBUTIONAL HYPOTHESIS

What does
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Tezgüino is an artisanal corn beer produced by several Yuto-Aztec people. The Tarahumara people regard the beer as sacred, and it forms a significant part of their society.

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$$x_{\text{inflation}} \leftrightarrow x_{\text{price}}$$

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ALGORITHMS FOR ENCODING CO-OCCURRENCE INFORMATION

APPROACH 1: COUNT

$$\sum I(w' \in \text{context}_i(w))$$

APPROACH 2: PREDICT

$$p(w' \mid w)$$

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GloVe

FastText

...

WORD2VEC [Mikolov et al., 2013; 2014]

Efficient Estimation of Word Representations in Vector Space

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tmikolov@google.com

Kai Chen
Google Inc., Mountain View, CA
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Greg Corrado
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Jeffrey Dean
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jeff@google.com

>50K combined citations!

Distributed Representations of Words and Phrases and their Compositionality

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Mountain View
mikolov@google.com

Ilya Sutskever
Google Inc.
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- ▶ Efficient training via SGD + Negative Sampling
- ▶ Fascinating linear relationships in vector space

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Google Inc.
Mountain View
kai@google.com

Greg Corrado
Google Inc.
Mountain View
gcorrado@google.com

Jeffrey Dean
Google Inc.
Mountain View
jeff@google.com

WORD2VEC [Mikolov et al., 2013]

THE

QUICK

BROWN

FOX

JUMPS

OVER

THE

LAZY

DOG

WORD2VEC [Mikolov et al., 2013]

THE

QUICK

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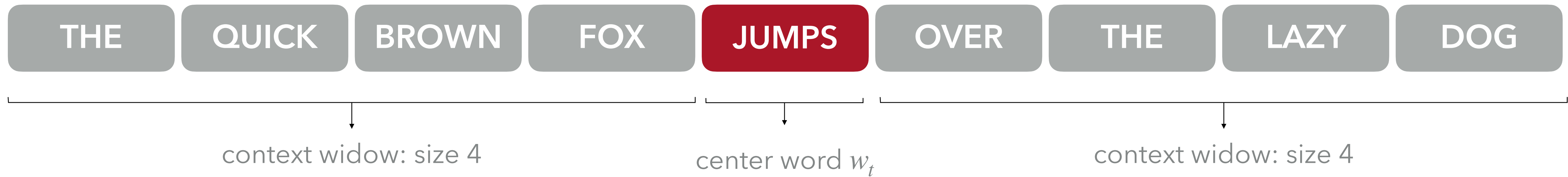
THE

LAZY

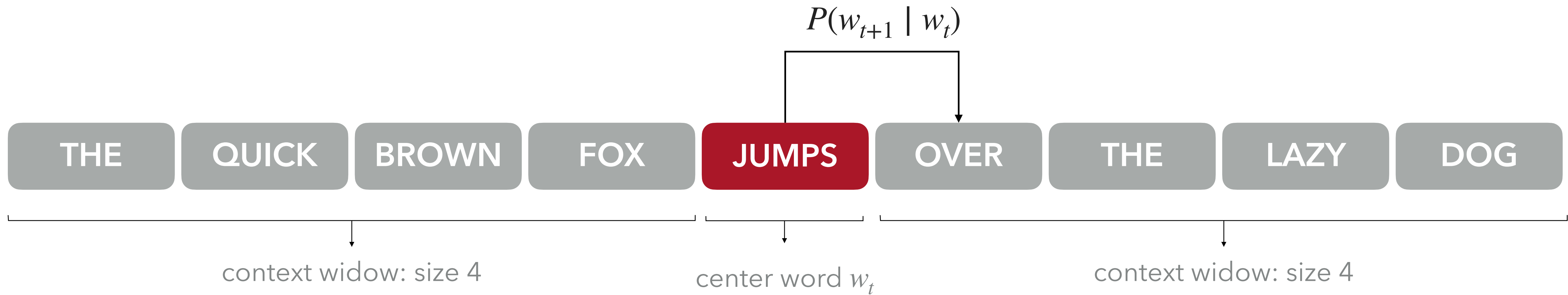
DOG

center word w_t

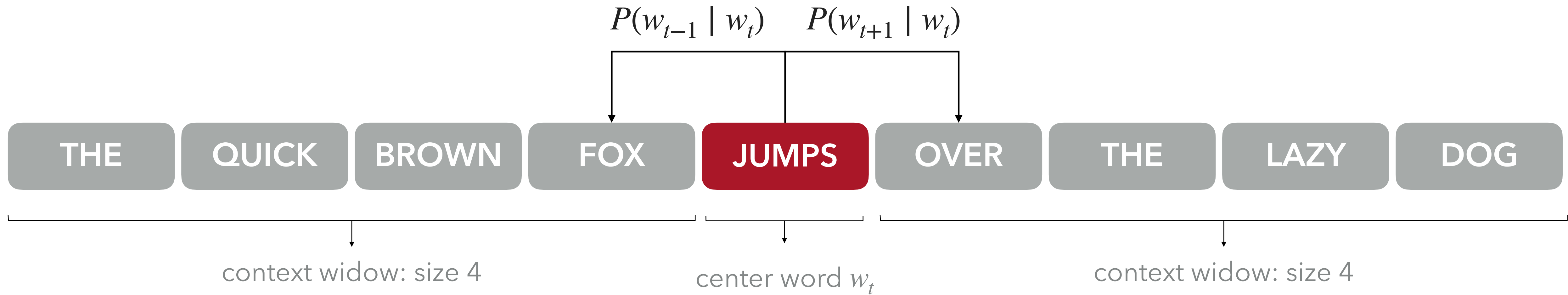
WORD2VEC [Mikolov et al., 2013]



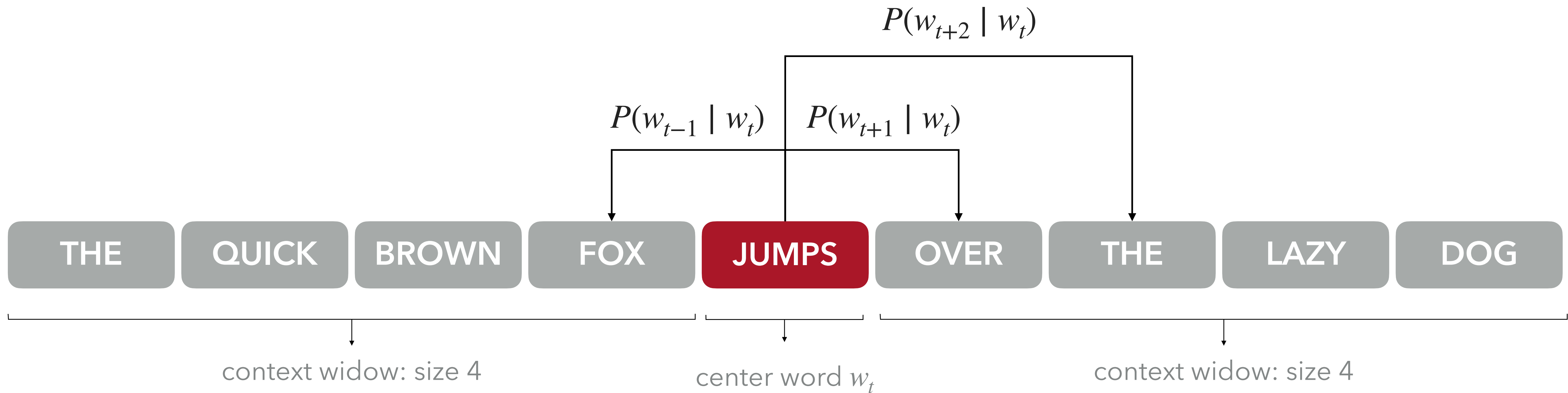
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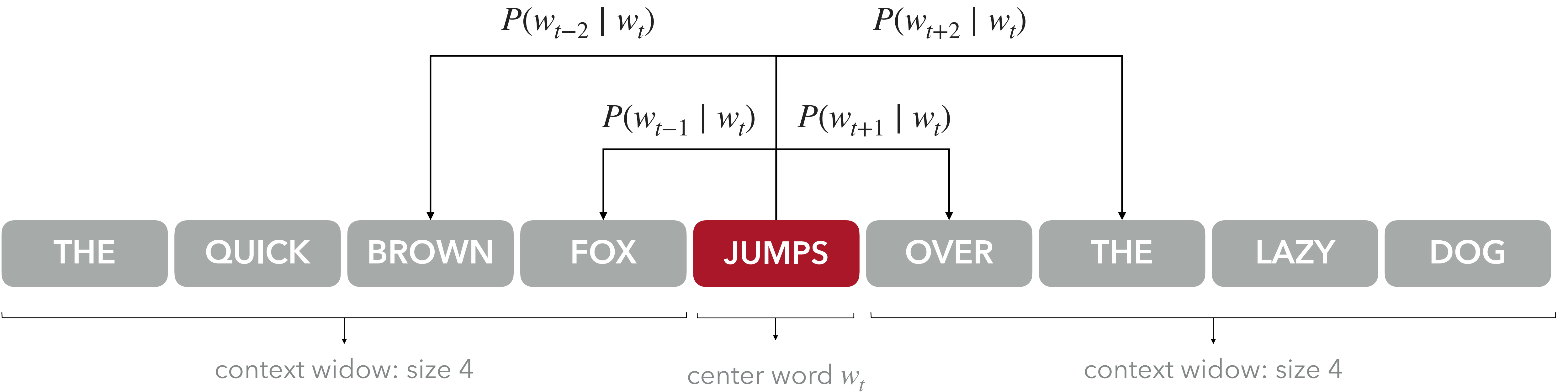
WORD2VEC [Mikolov et al., 2013]



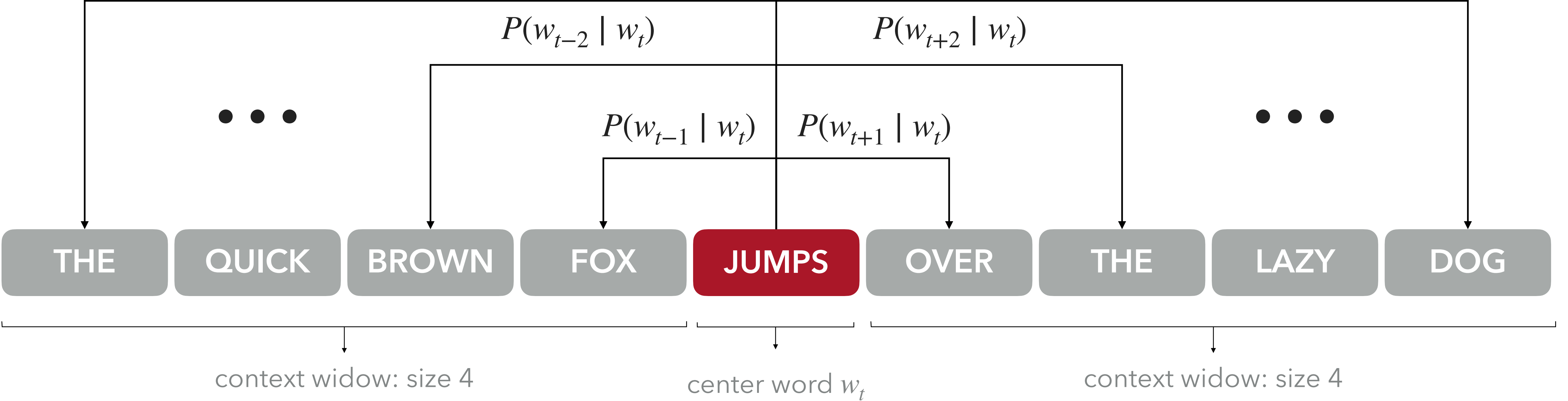
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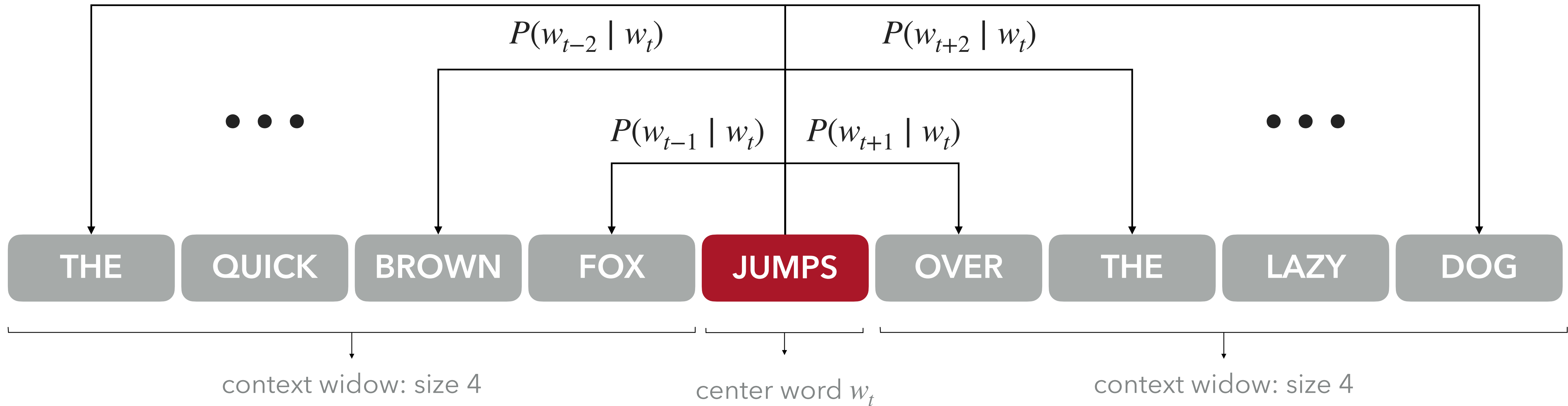


WORD2VEC [Mikolov et al., 2013]



WORD2VEC [Mikolov et al., 2013]

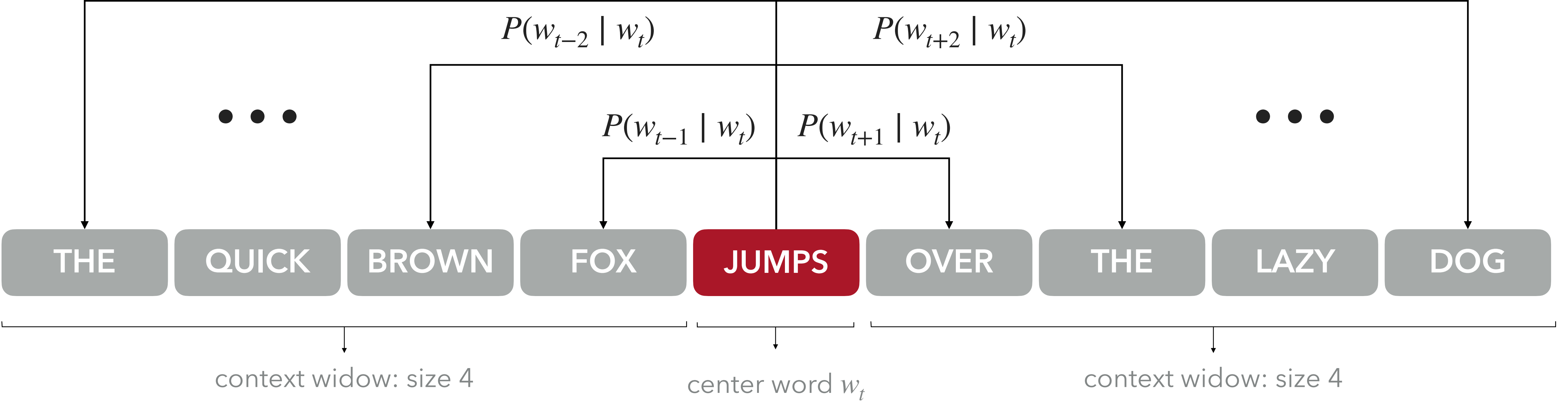


WORD2VEC [Mikolov et al., 2013]

Joint Probability
(of context given
center word):

$$p(w_{t-m}, \dots, w_{t+m} | w_t) = \prod_{\substack{-m \leq j \leq m \\ j \neq 0}} P(w_{t+j} | w_t; \theta)$$

WORD2VEC [Mikolov et al., 2013]

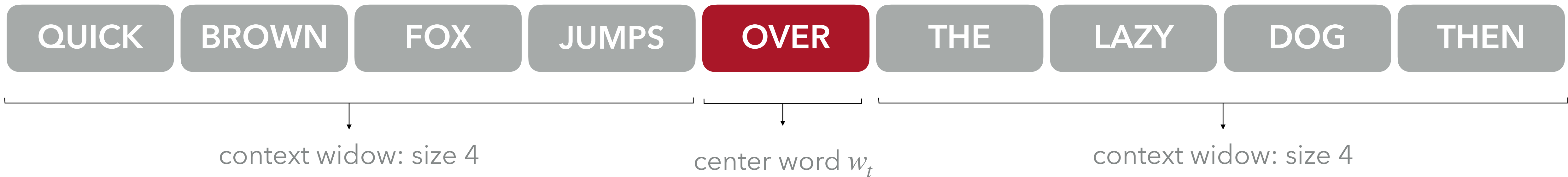


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Naive Bayes assumption

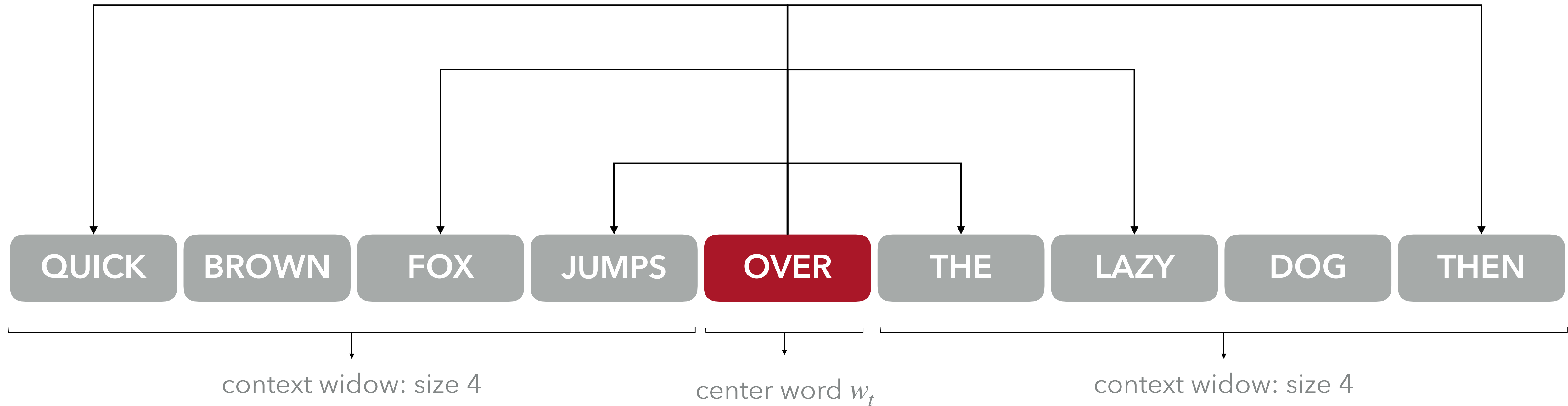
WORD2VEC [Mikolov et al., 2013]



Joint Probability
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WORD2VEC [Mikolov et al., 2013]



Joint Probability
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WORD2VEC [Mikolov et al., 2013]

Likelihood

(of entire document)

$$\prod_{t=1}^T \prod_{\substack{-m \leq j \leq m \\ j \neq 0}} P(w_{t+j} \mid w_t; \theta)$$

WORD2VEC [Mikolov et al., 2013]

Likelihood

(of entire document)

$$\prod_{t=1}^T \prod_{\substack{-m \leq j \leq m \\ j \neq 0}} P(w_{t+j} \mid w_t; \theta)$$

Objective Function

(negative log-likelihood)

WORD2VEC [Mikolov et al., 2013]

Likelihood

(of entire document)

$$\prod_{t=1}^T \prod_{\substack{-m \leq j \leq m \\ j \neq 0}} P(w_{t+j} \mid w_t; \theta)$$

Objective Function

(negative log-likelihood)

$$-\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log P(w_{t+j} \mid w_t; \theta)$$

WORD2VEC [Mikolov et al., 2013]

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We want to minimize NLL (i.e., maximize likelihood)

WORD2VEC [Mikolov et al., 2013]

Likelihood

(of entire document)

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We want to minimize NLL (i.e., maximize likelihood)

(an instance of Maximum Likelihood Estimation)

WORD2VEC [Mikolov et al., 2013]

Modeling word-to-word Probability

$$P(o \mid c ; \theta)$$

WORD2VEC [Mikolov et al., 2013]

Modeling word-to-word Probability

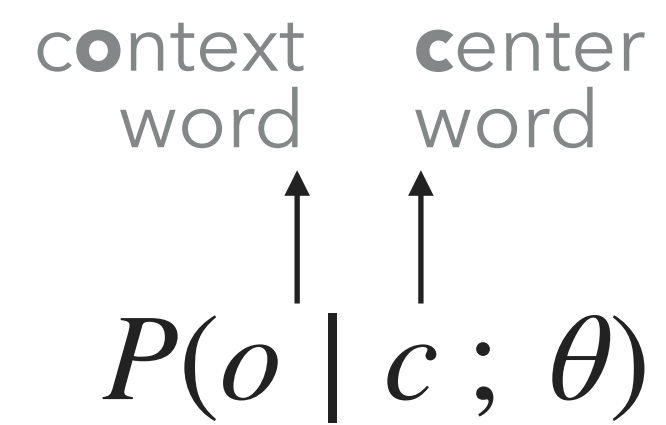
context
word

$P(o \mid c ; \theta)$

The diagram illustrates the concept of word-to-word probability in Word2Vec. It features the text 'context' and 'word' stacked vertically. Below them is the probability expression $P(o \mid c ; \theta)$. An upward-pointing arrow connects the 'word' text to the variable o in the probability expression, indicating that o represents the word being modeled given the context c .

WORD2VEC [Mikolov et al., 2013]

Modeling word-to-word Probability



The diagram illustrates the word-to-word probability model. At the bottom, the probability function $P(o \mid c; \theta)$ is shown. Two vertical arrows point upwards from this function. The left arrow points to the label 'context word', and the right arrow points to the label 'center word'.

$$\begin{array}{cc} \text{context} & \text{center} \\ \text{word} & \text{word} \\ \uparrow & \uparrow \\ P(o \mid c; \theta) \end{array}$$

WORD2VEC [Mikolov et al., 2013]

Modeling word-to-word Probability

context word center word

$P(o \mid c ; \theta)$

θ stands for all the
model parameters:

$$\theta = \{(u_w, v_w)\}_{w \in \text{Vocab}}$$

WORD2VEC [Mikolov et al., 2013]

Modeling word-to-word Probability

$$P(o \mid c; \theta) = \frac{\exp(u_o^\top v_c)}{\sum_{w \in V} \exp(u_w^\top v_c)}$$

θ stands for all the
model parameters:

$$\theta = \{(u_w, v_w)\}_{w \in \text{Vocab}}$$

WORD2VEC [Mikolov et al., 2013]

Modeling word-to-word Probability

Each word gets two vectors:

v_w when w is a center word,
 u_w when it is a context word

context
word

center
word

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Each word gets two vectors:
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context word center word

$P(o \mid c; \theta) = \frac{\exp(u_o^\top v_c)}{\sum_{w \in V} \exp(u_w^\top v_c)}$

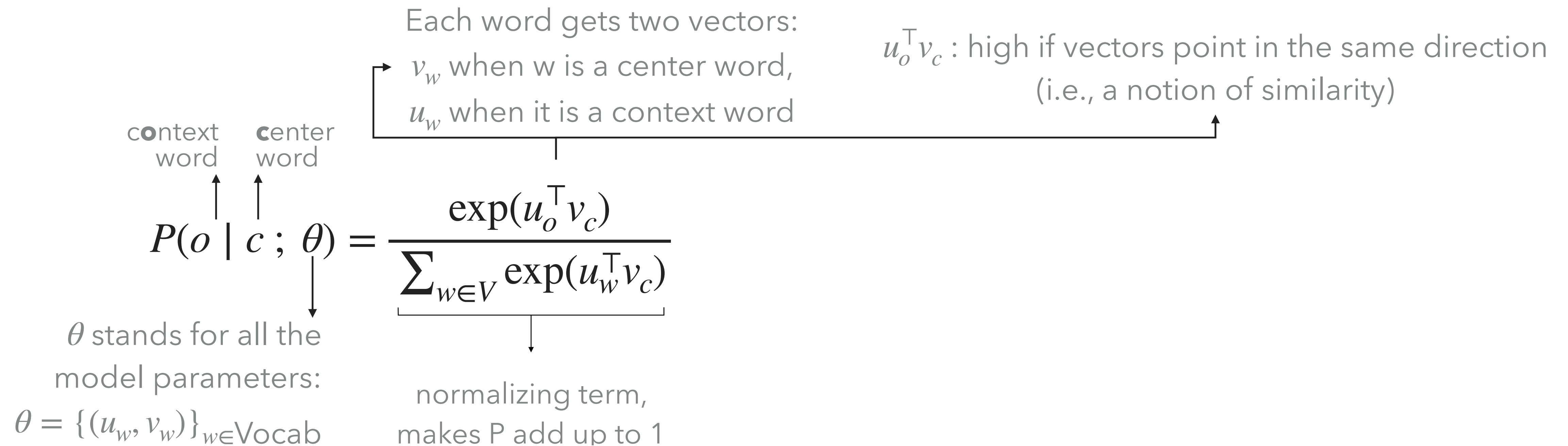
θ stands for all the model parameters:
 $\theta = \{(u_w, v_w)\}_{w \in \text{Vocab}}$

normalizing term,
makes P add up to 1

The diagram illustrates the Word2Vec probability formula. It shows the probability $P(o \mid c; \theta)$ of observing a context word o given a center word c , where θ represents the model parameters. The formula is $P(o \mid c; \theta) = \frac{\exp(u_o^\top v_c)}{\sum_{w \in V} \exp(u_w^\top v_c)}$. Annotations include: 'context word' and 'center word' with arrows pointing to o and c respectively; a note that θ stands for all model parameters, specifically $\theta = \{(u_w, v_w)\}_{w \in \text{Vocab}}$; a bracket under the denominator labeled 'normalizing term, makes P add up to 1'; and a separate note stating 'Each word gets two vectors: v_w when w is a center word, u_w when it is a context word' with arrows pointing to v_c and u_o in the formula.

WORD2VEC [Mikolov et al., 2013]

Modeling word-to-word Probability



WORD2VEC [Mikolov et al., 2013]

Modeling word-to-word Probability

Each word gets two vectors:
 v_w when w is a center word,
 u_w when it is a context word

$u_o^\top v_c$: high if vectors point in the same direction
 (i.e., a notion of similarity)

context word center word

$$P(o \mid c; \theta) = \frac{\exp(u_o^\top v_c)}{\sum_{w \in V} \exp(u_w^\top v_c)} = \text{softmax}(\mathbf{U}^\top (\mathbf{V} \mathbf{1}_c)) \cdot \mathbf{1}_o$$

θ stands for all the model parameters:
 $\theta = \{(u_w, v_w)\}_{w \in \text{Vocab}}$

normalizing term,
 makes P add up to 1

$|V| \times d \quad d \times |V| \quad |V| \times 1$

WORD2VEC [Mikolov et al., 2013]

Modeling Document Likelihood

$$\text{Loss}(\mathbf{U}, \mathbf{V}) = -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log P(w_{t+j} \mid w_t; \theta)$$

WORD2VEC [Mikolov et al., 2013]

Modeling Document Likelihood

$$\begin{aligned}\text{Loss}(\mathbf{U}, \mathbf{V}) &= -\frac{1}{T} \sum_{t=1}^T \sum_{-m \leq j \leq m} \log P(w_{t+j} \mid w_t; \theta) \\ &= -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log \frac{\exp(u_o^\top v_c)}{\sum_{w \in V} \exp(u_w^\top v_c)}\end{aligned}$$

WORD2VEC [Mikolov et al., 2013]

Modeling Document Likelihood

$$\begin{aligned}\text{Loss}(\mathbf{U}, \mathbf{V}) &= -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{j \neq 0 \\ -m \leq j \leq m}} \log P(w_{t+j} \mid w_t; \theta) \\ &= -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{j \neq 0 \\ -m \leq j \leq m}} \log \frac{\exp(u_o^\top v_c)}{\sum_{w \in V} \exp(u_w^\top v_c)} \\ &= -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{j \neq 0 \\ -m \leq j \leq m}} \log u_o^\top v_c + \log \sum_{w \in V} \exp(u_w^\top v_c)\end{aligned}$$

WORD2VEC [Mikolov et al., 2013]

Modeling Document Likelihood

$$\begin{aligned}\text{Loss}(\mathbf{U}, \mathbf{V}) &= -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{j \neq 0 \\ -m \leq j \leq m}} \log P(w_{t+j} \mid w_t; \theta) \\ &= -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{j \neq 0 \\ -m \leq j \leq m}} \log \frac{\exp(u_o^\top v_c)}{\sum_{w \in V} \exp(u_w^\top v_c)} \\ &= -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{j \neq 0 \\ -m \leq j \leq m}} \log u_o^\top v_c + \log \sum_{w \in V} \exp(u_w^\top v_c)\end{aligned}$$

Optimization: Stochastic Gradient Descent one update for every t

WORD2VEC [Mikolov et al., 2013]

Algorithmic Considerations

$$\text{Loss}(\mathbf{U}, \mathbf{V}) = -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log u_o^\top v_c + \log \sum_{w \in V} \exp(u_w^\top v_c)$$

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What's wrong with this objective?

WORD2VEC [Mikolov et al., 2013]

Algorithmic Considerations

$$\text{Loss}(\mathbf{U}, \mathbf{V}) = -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log u_o^\top v_c + \log \underbrace{\sum_{w \in V} \exp(u_w^\top v_c)}_{\downarrow}$$

What's wrong with this objective? This an $O(|V|)$ sum! Potentially huge

WORD2VEC [Mikolov et al., 2013]

Algorithmic Considerations

$$\text{Loss}(\mathbf{U}, \mathbf{V}) = -\frac{1}{T} \sum_{t=1}^T \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \log u_o^\top v_c + \log \underbrace{\sum_{w \in V} \exp(u_w^\top v_c)}_{\downarrow}$$

What's wrong with this objective? This an $O(|V|)$ sum! Potentially huge

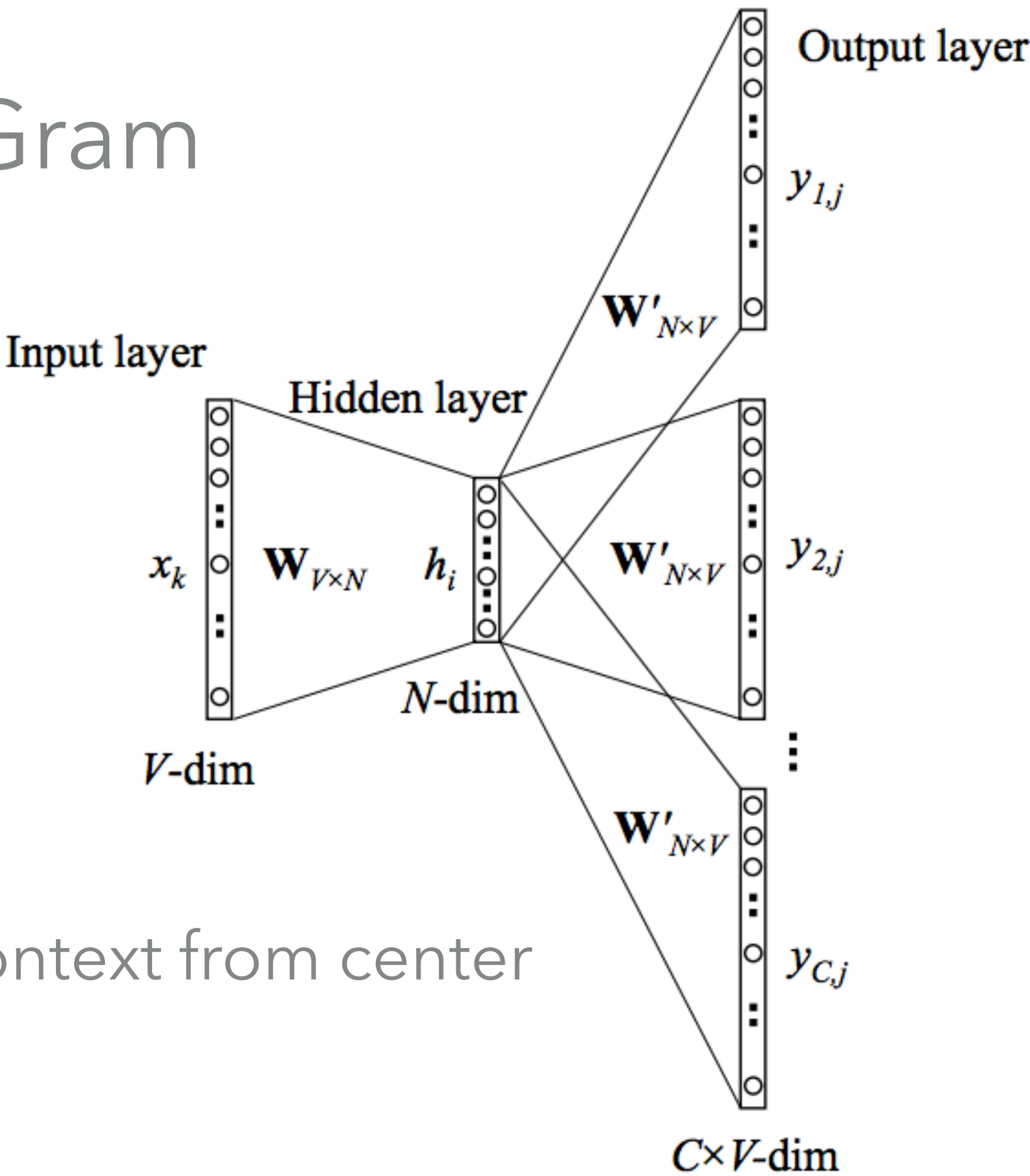
Two Solutions:

1. Negative sampling (solves a slightly different objective)
2. Hierarchical softmax (computes softmax via binary tree)

WORD2VEC [Mikolov et al., 2013]

Two Flavors of Prediction

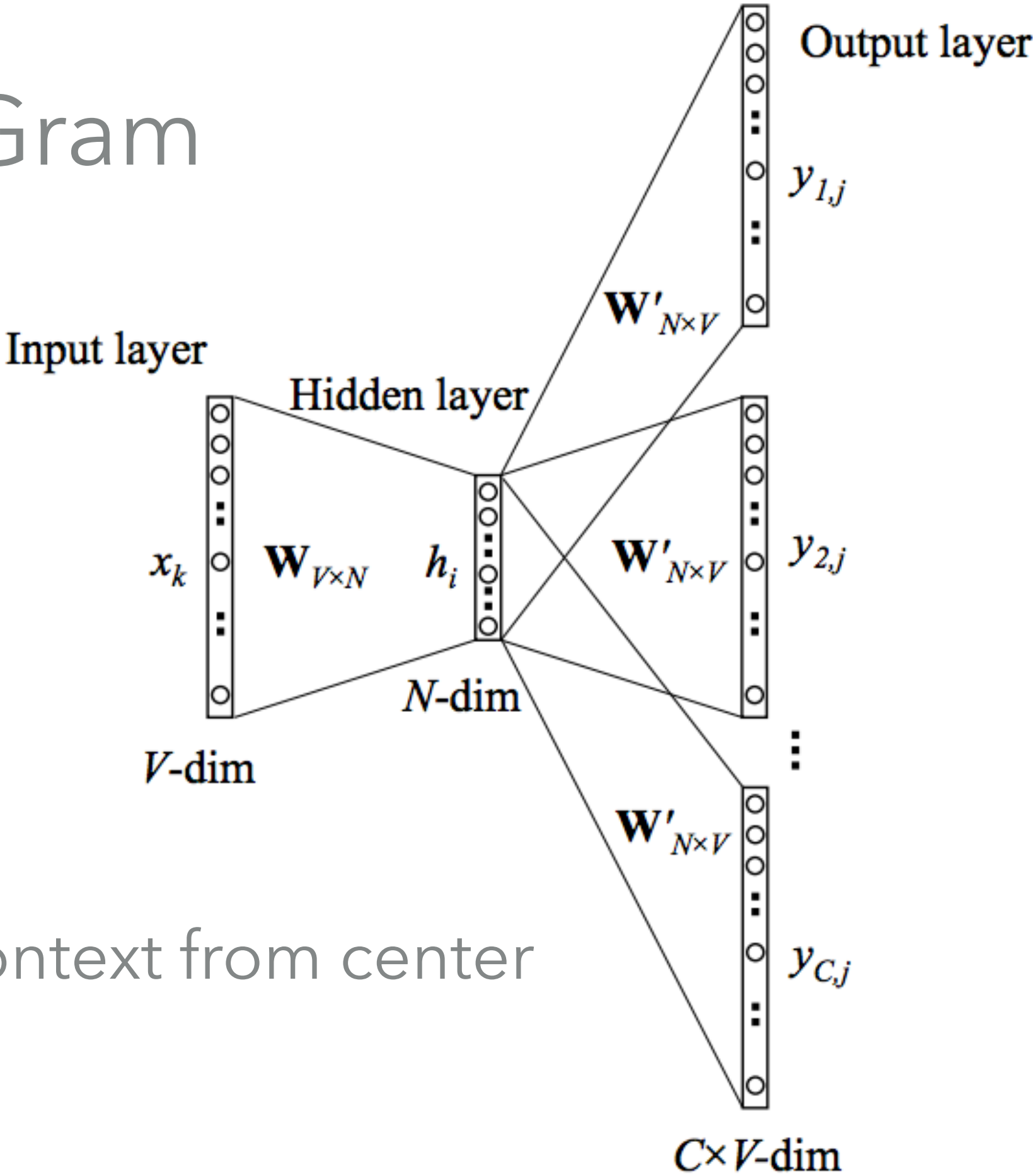
Skip-Gram



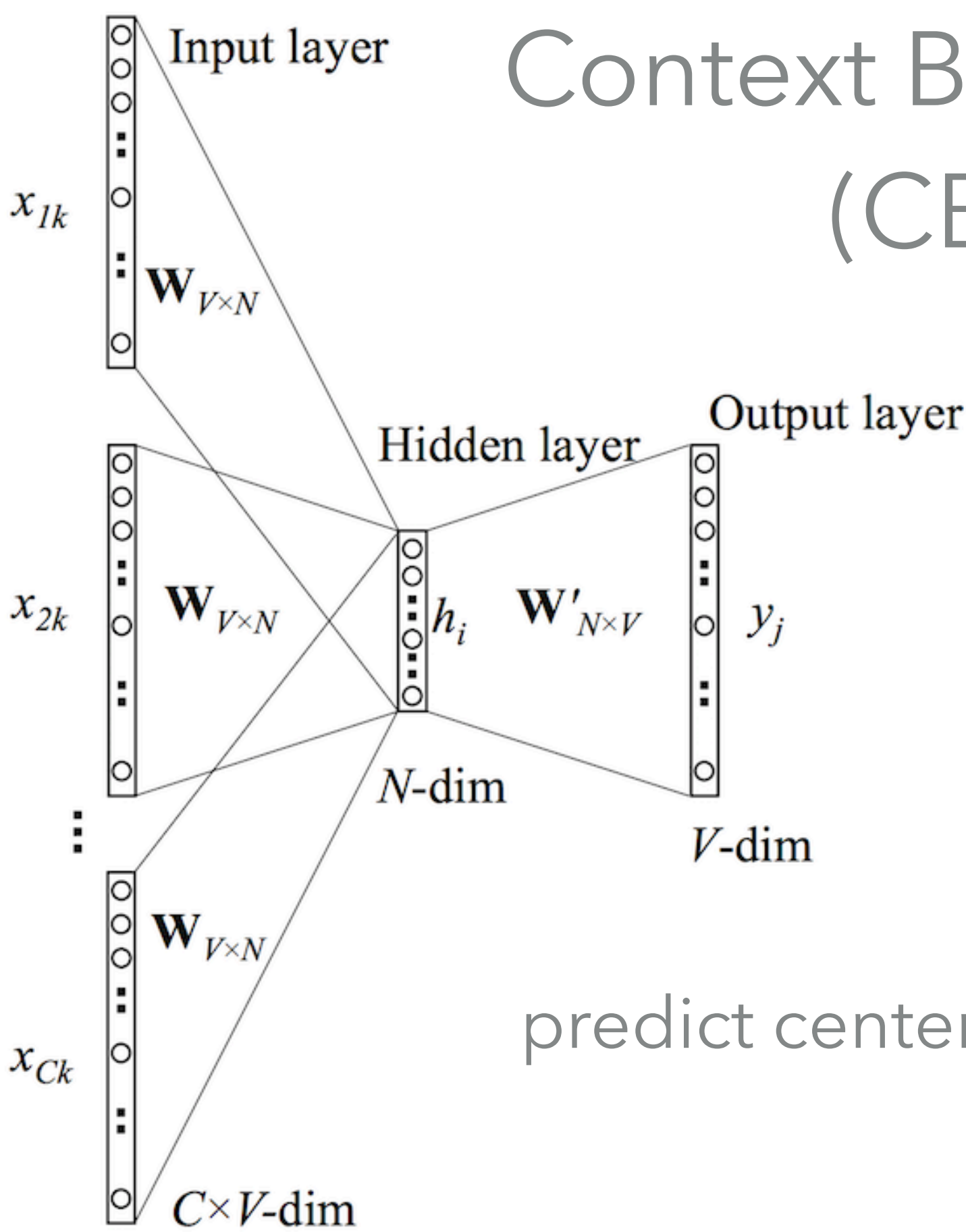
WORD2VEC [Mikolov et al., 2013]

Two Flavors of Prediction

Skip-Gram



Context Bag of Words (CBOW)



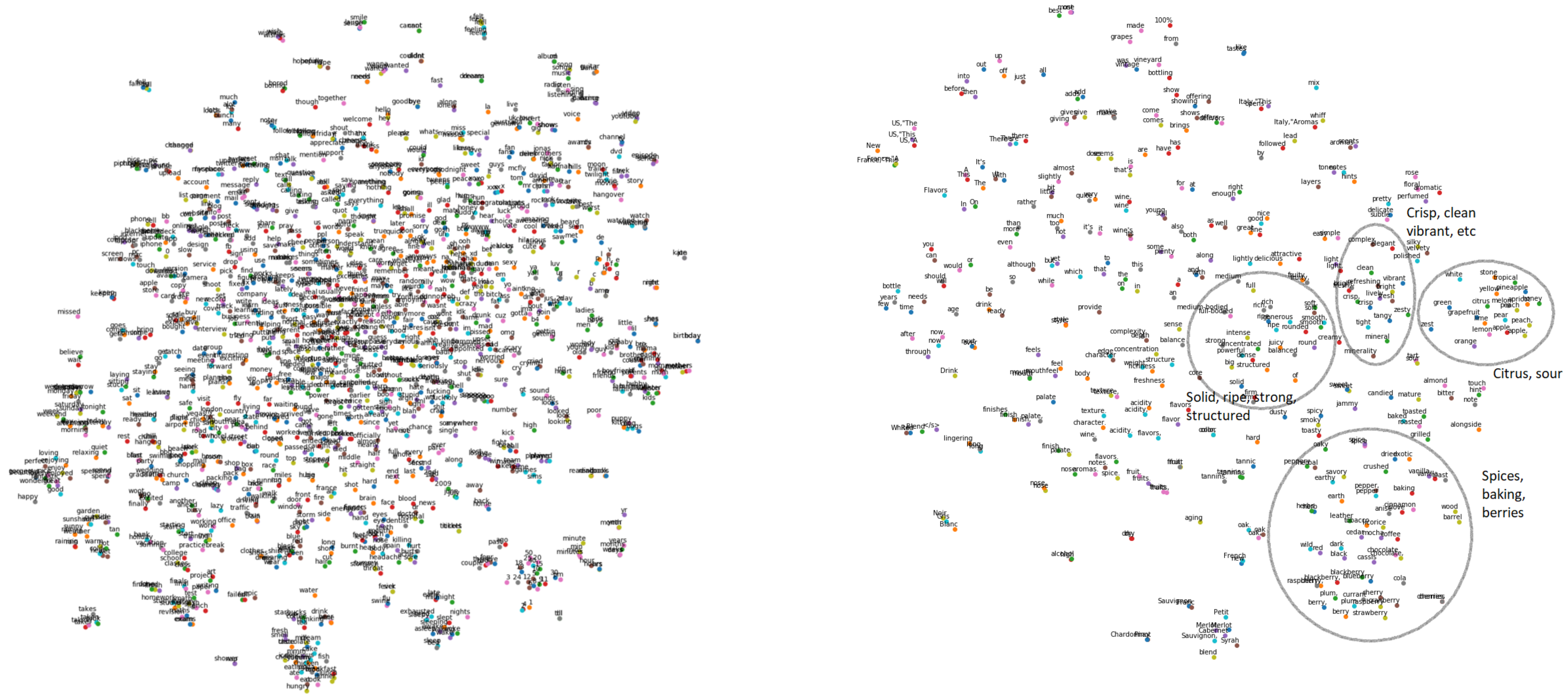
WORD2VEC [Mikolov et al., 2013]

Visualizing Word2Vec Embeddings

(DEMO): <https://projector.tensorflow.org/>

PART 1: WORD EMBEDDINGS

WORD2VEC [Mikolov et al., 2013]



LINEAR ALGEBRA WITH WORDS

- ▶ Mikolov et al. (2013): Geometry of word2vec space is linear

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$$x_{\text{king}} - x_{\text{man}} + x_{\text{woman}} \approx$$

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$$x_{\text{king}} - x_{\text{man}} + x_{\text{woman}} \approx x_{\text{queen}}$$

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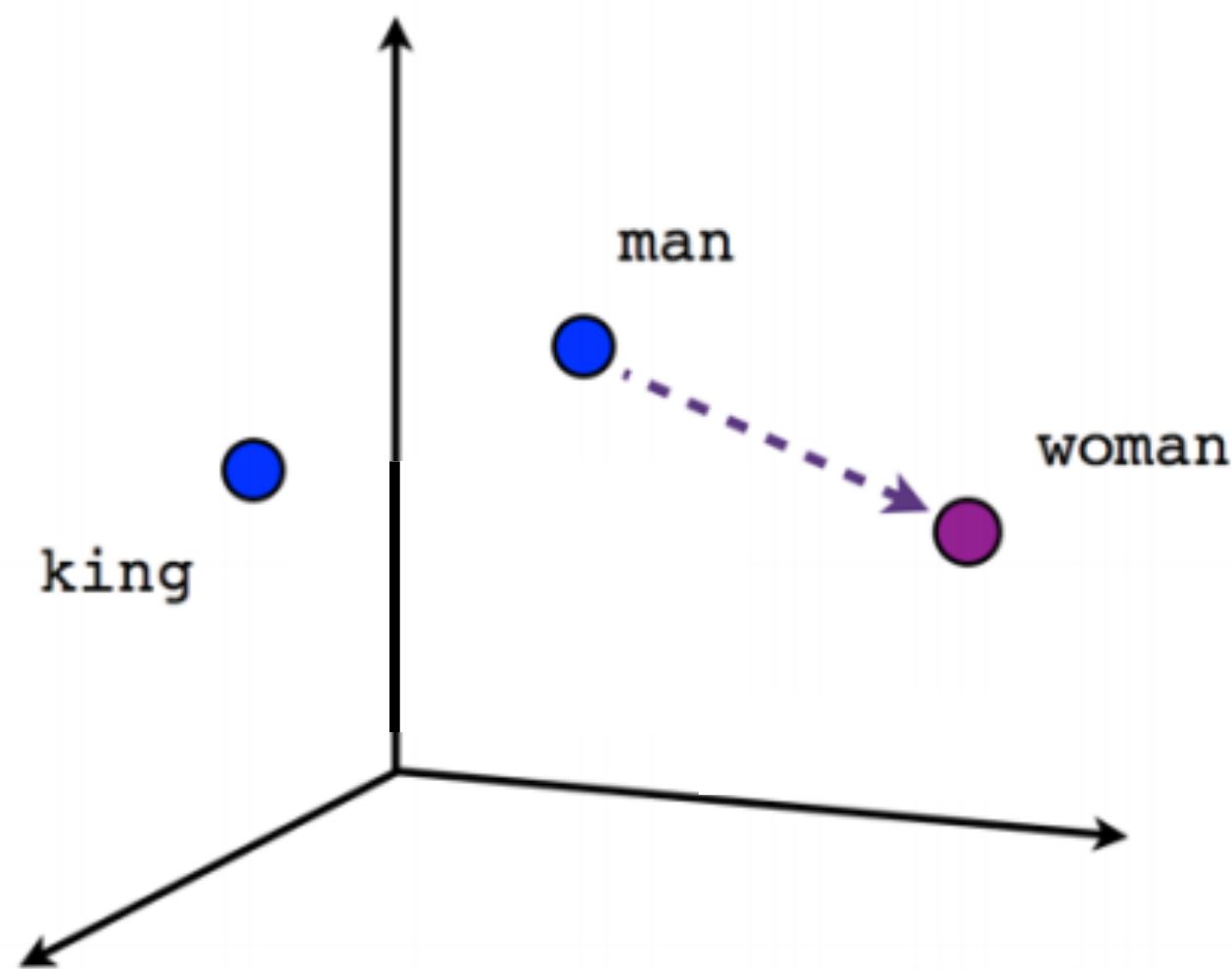
!!!

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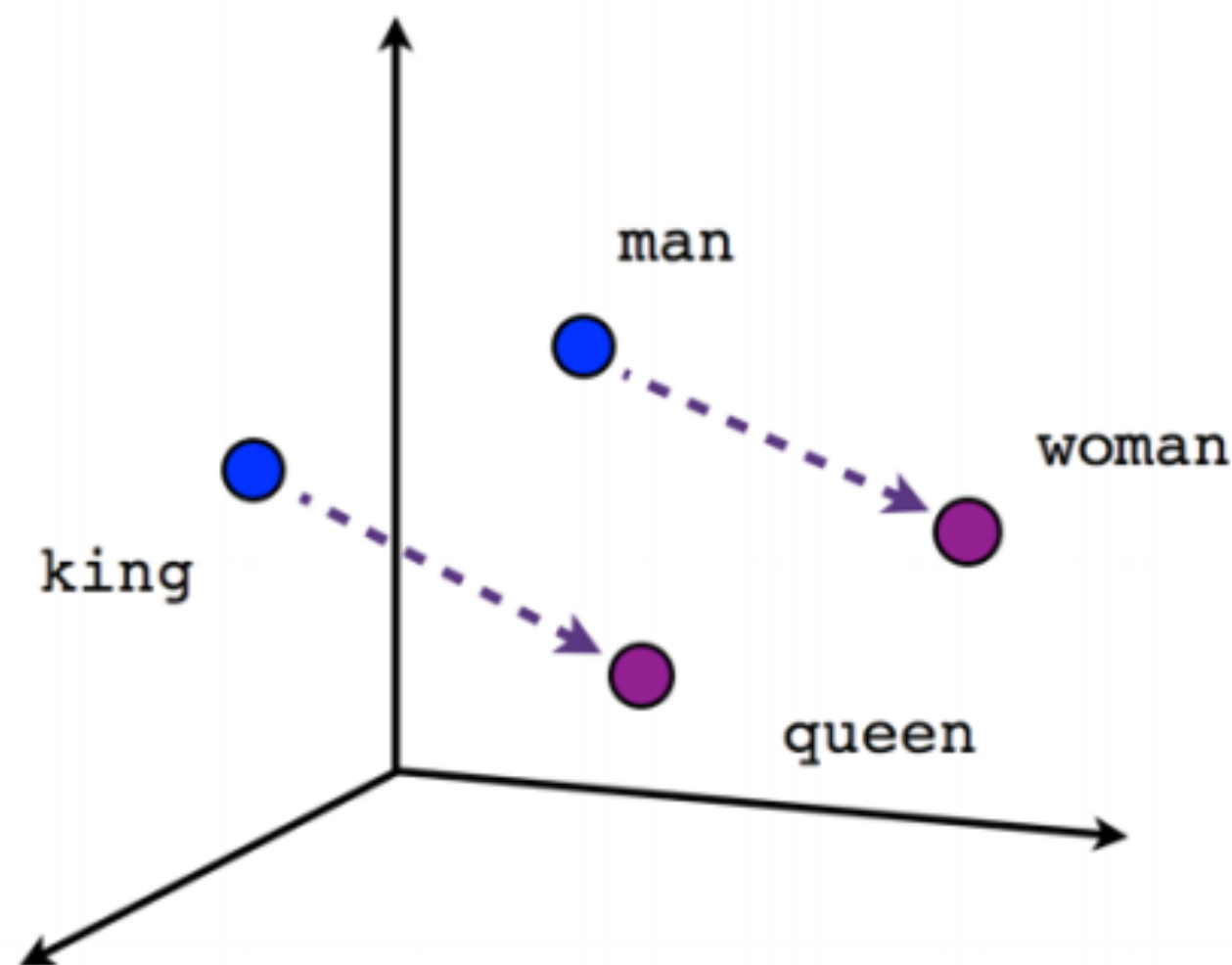
Male-Female

LINEAR ALGEBRA WITH WORDS

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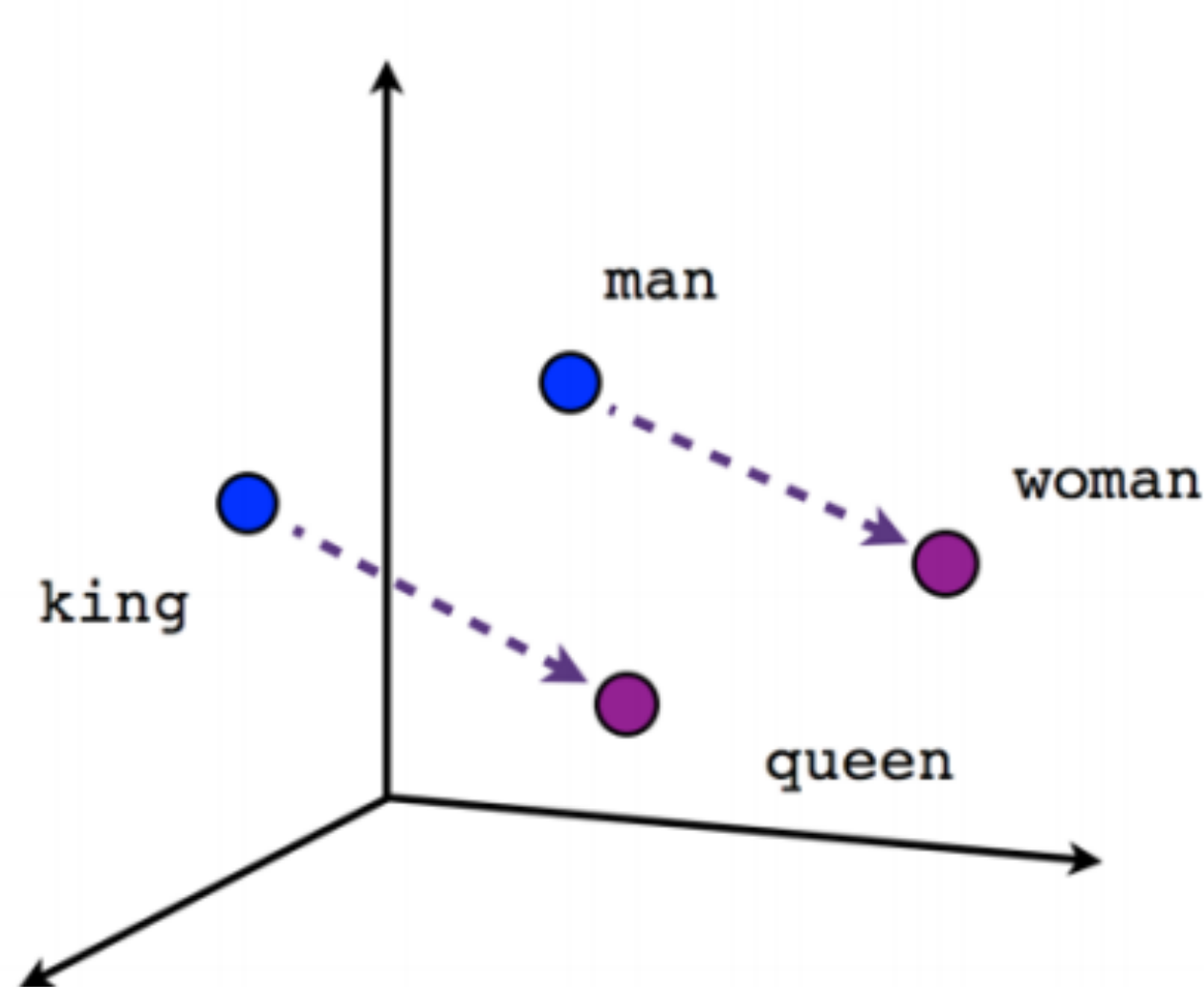


Male-Female

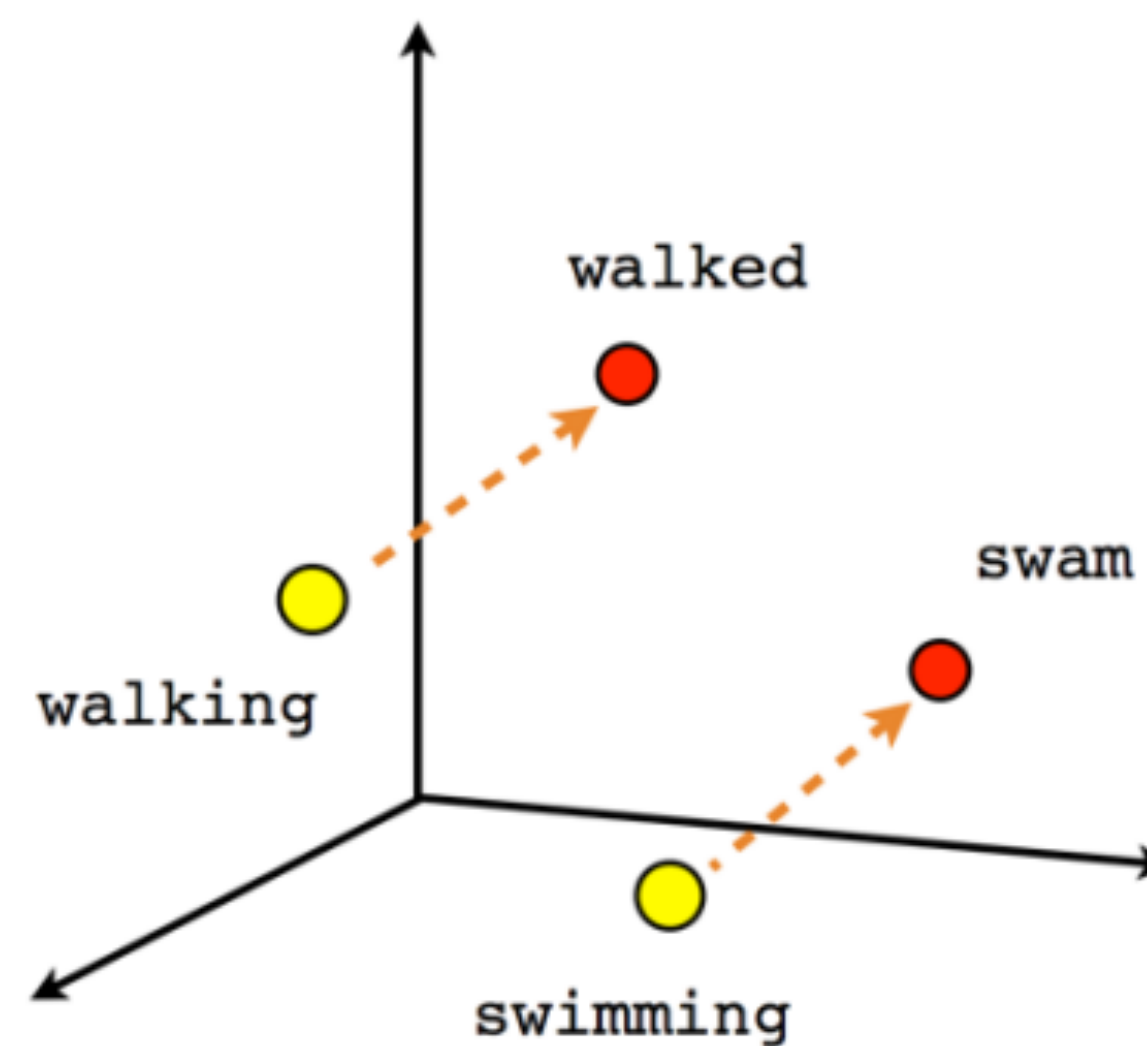
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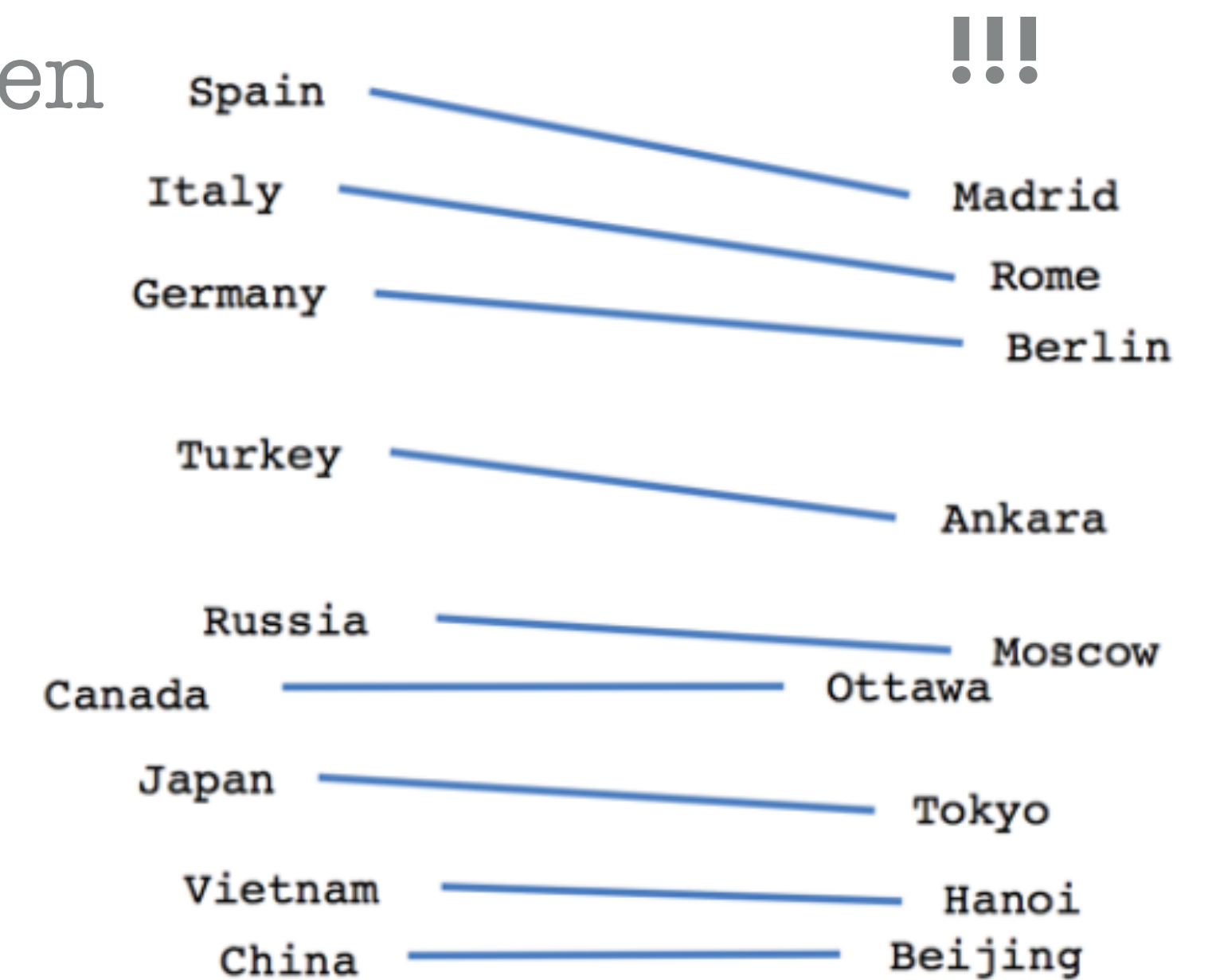
$$x_{\text{king}} - x_{\text{man}} + x_{\text{woman}} \approx x_{\text{queen}}$$



Male-Female



Verb tense



Country-Capital

IN SEARCH OF AN EXPLANATION

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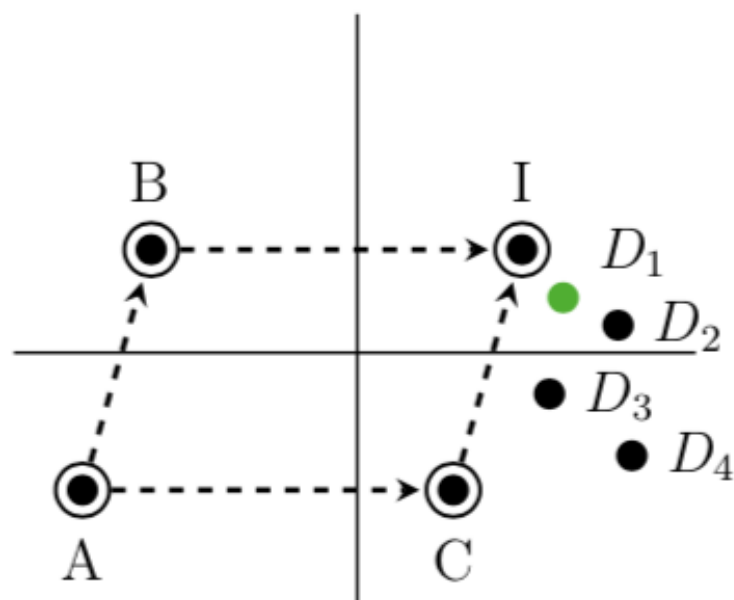
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Conjecture: word2vec recovers metric of an implicit cognitive-semantic vector space"

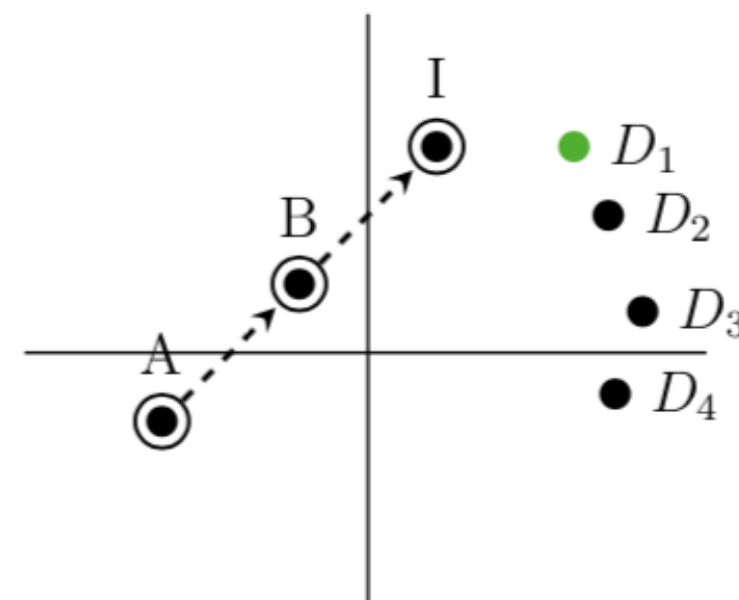
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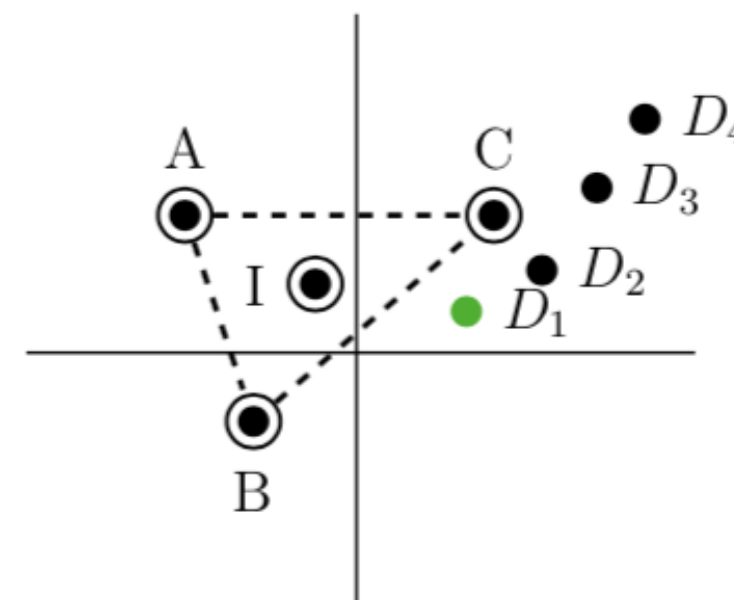
Analogy



Series Completion



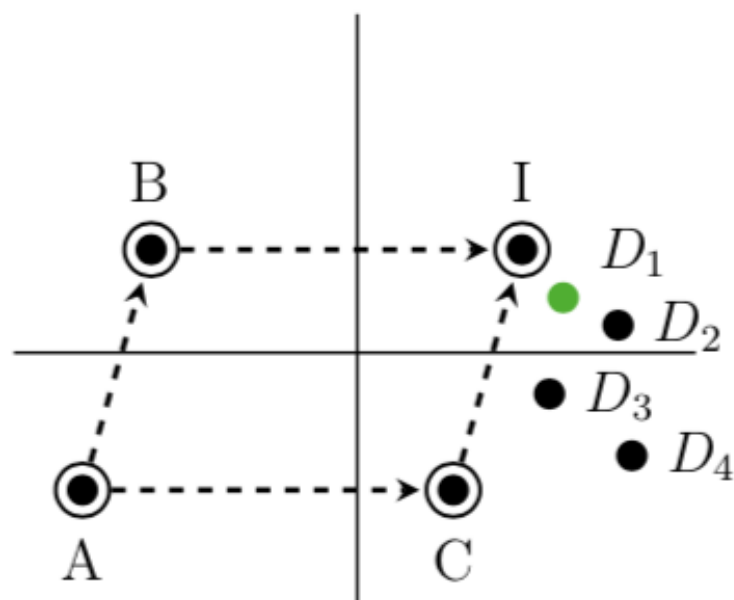
Classification



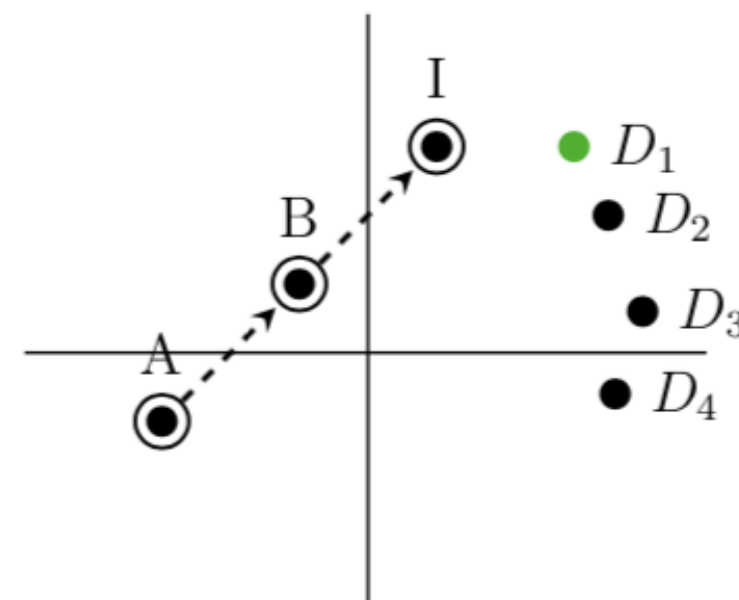
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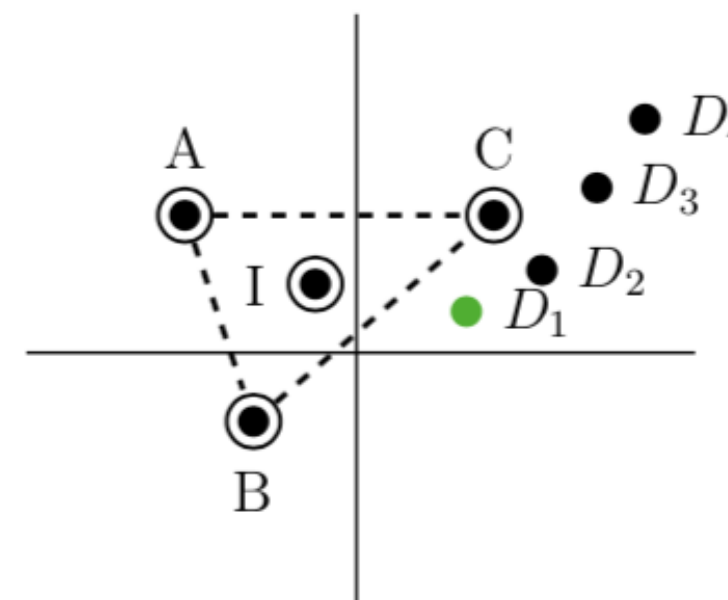
Analogy



Series Completion



Classification



[STERNBERG & GARDNER, 1983]

BASED ON WORD ASSOCIATION TESTS

ALL VECTORS LEAD TO ROME

Efficient Estimation of Word Representations in Vector Space

Tomas Mikolov
Google Inc., Mountain View, CA
tmikolov@google.com

Greg Corrado
Google Inc., Mountain View, CA
gcorrado@google.com

Kai Chen
Google Inc., Mountain View, CA
kaichen@google.com

Ieffroy Dean

Neural Word Embedding as Implicit Matrix Factorization

Omer Levy
Department of Computer Science
Bar-Ilan University
omerlevy@gmail.com

Yoav Goldberg
Department of Computer Science
Bar-Ilan University
yoav.goldberg@gmail.com

Abstract

We analyze skip-gram with negative-sampling (SGNS), a word embedding method introduced by Mikolov et al., and show that it is implicitly factorizing

GloVe: Global Vectors for Word Representation

Jeffrey Pennington, Richard Socher, Christopher D. Manning
Computer Science Department, Stanford University, Stanford, CA 94305
jpennin@stanford.edu, richard@socher.org, manning@stanford.edu

Abstract

the finer structure of the word vector space by examining not the scalar distance between word vectors, but rather their relative dimensions of difference.

ALL VECTORS LEAD TO ROME

Efficient Estimation of Word Representations in Vector Space

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GloVe: Global Vectors for Word Representation

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THEY ARE ALL
(ESSENTIALLY) EQUIVALENT
[HASHIMOTO, AM & JAAKKOLA, 2015]

Fast Neural Word Embedding
as Implicit Matrix Factorization

Omer Levy
Department of Computer Science
Bar-Ilan University
omerlevy@gmail.com

Yoav Goldberg
Department of Computer Science
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yoav.goldberg@gmail.com

Abstract

We analyze skip-gram with negative-sampling (SGNS), a word embedding method introduced by Mikolov et al., and show that it is implicitly factorizing

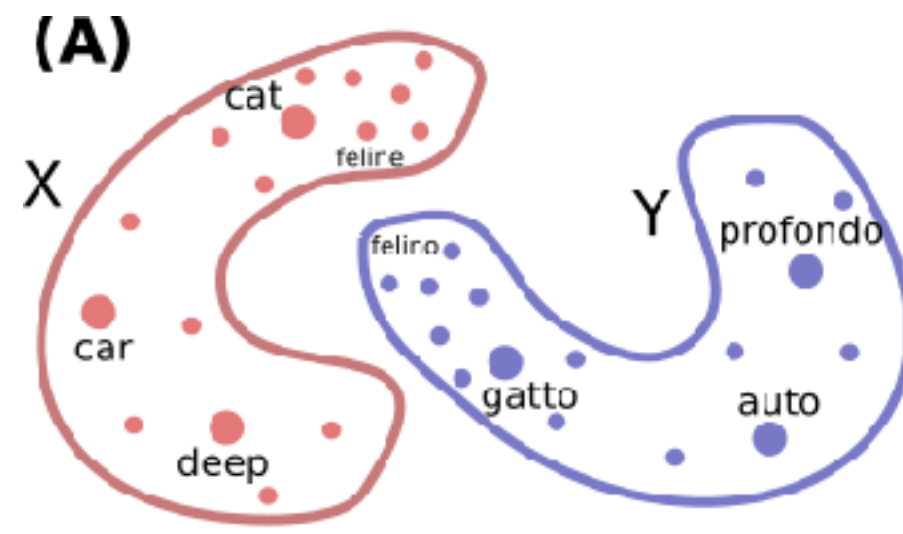
the finer structure of the word vector space by examining not the scalar distance between word vectors, but rather their angular distances.

BONUS: AUTOMATIC TRANSLATION USING EMBEDDINGS

[Conneau et al 2018]:

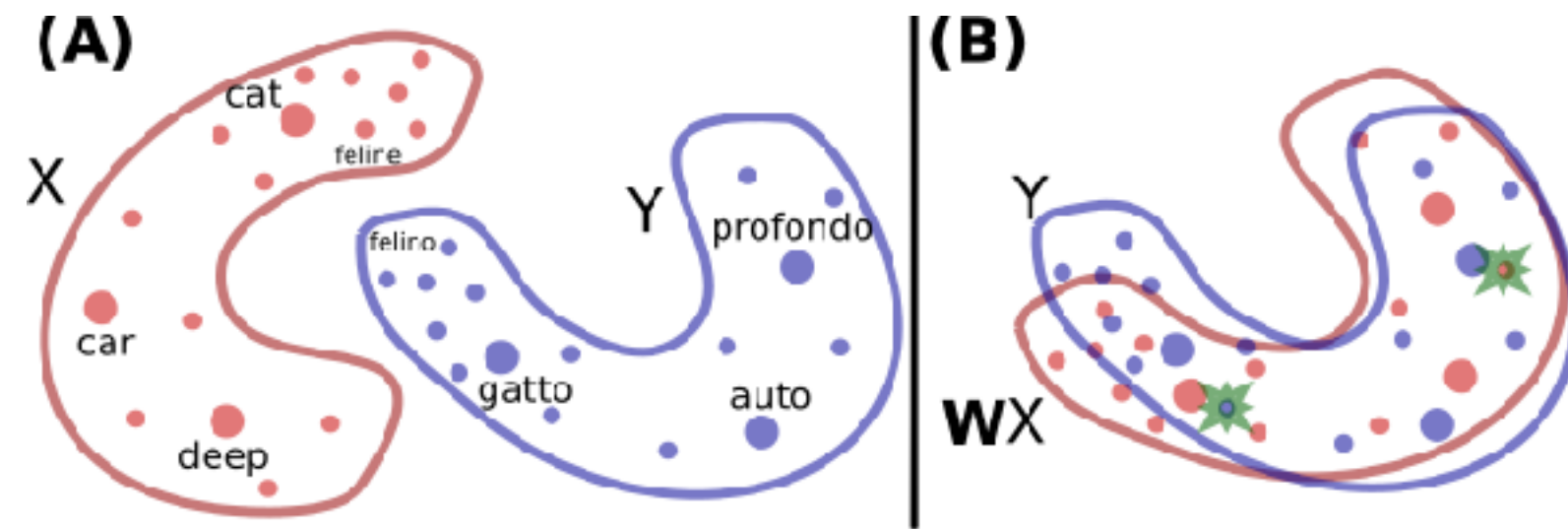
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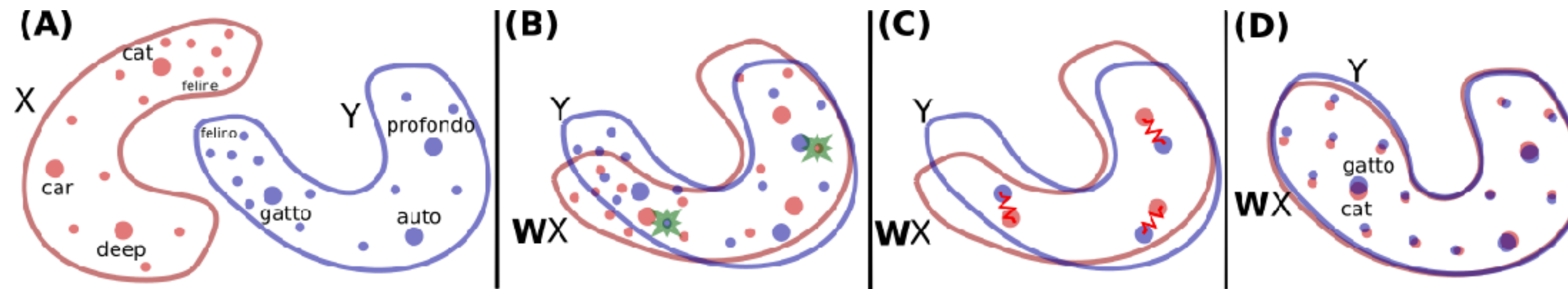
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[Conneau et al 2018]:



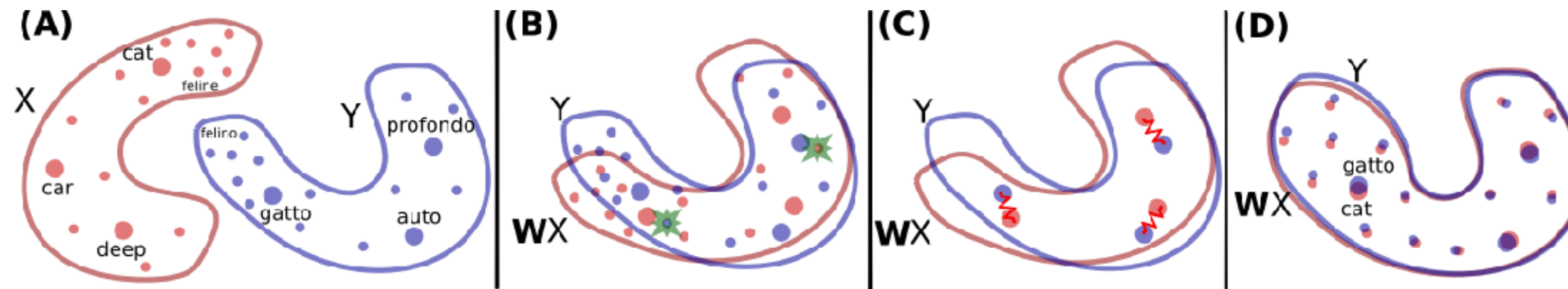
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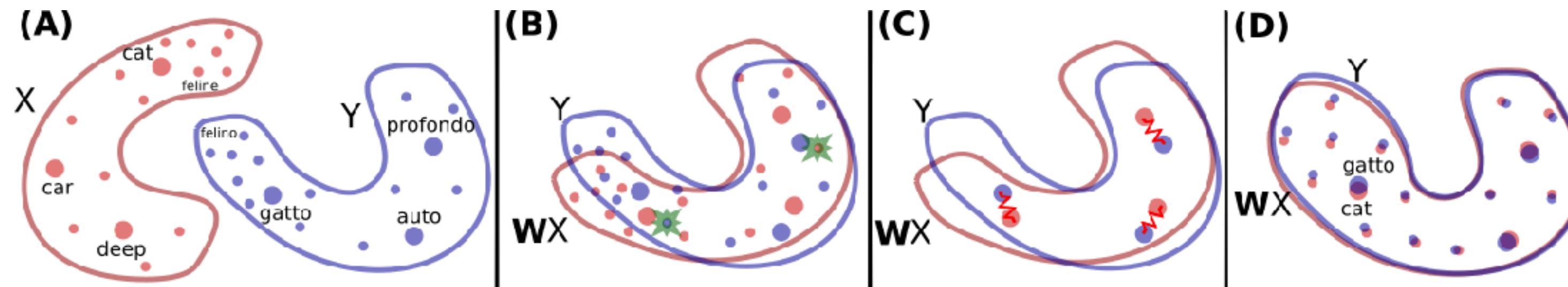
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SOLUTION FOUND THROUGH
ADVERSARIAL TRAINING

BONUS: AUTOMATIC TRANSLATION USING EMBEDDINGS

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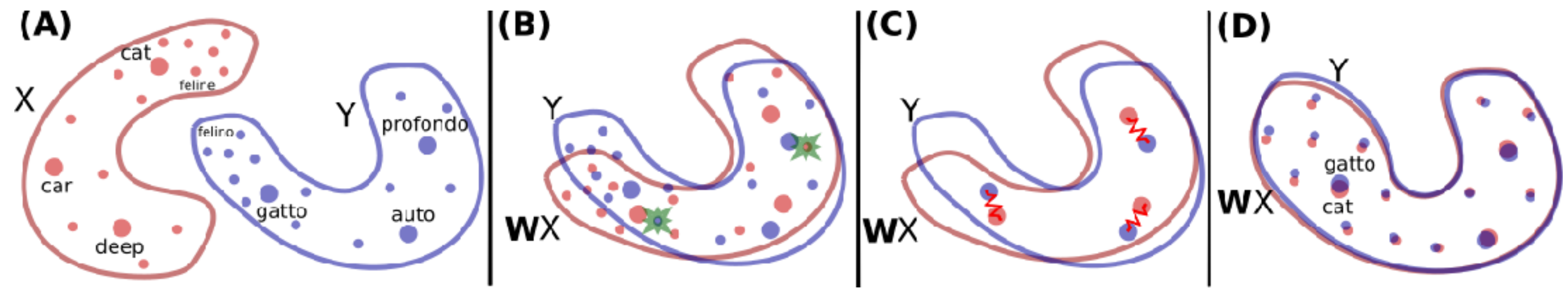


SOLUTION FOUND THROUGH
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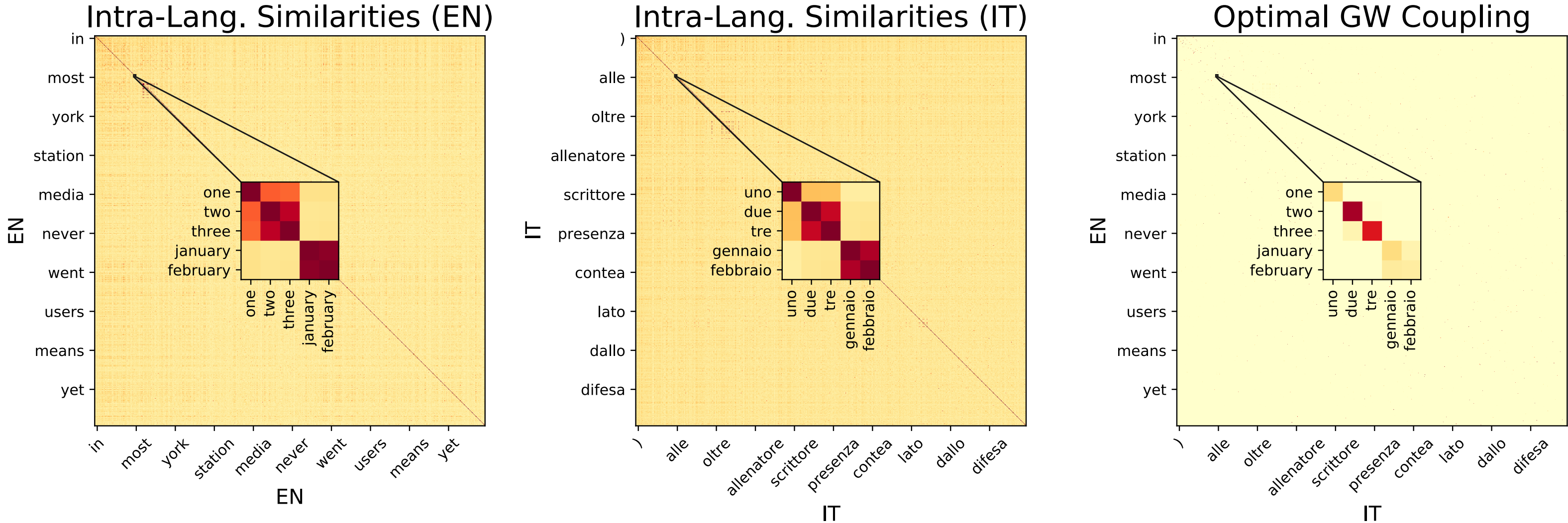
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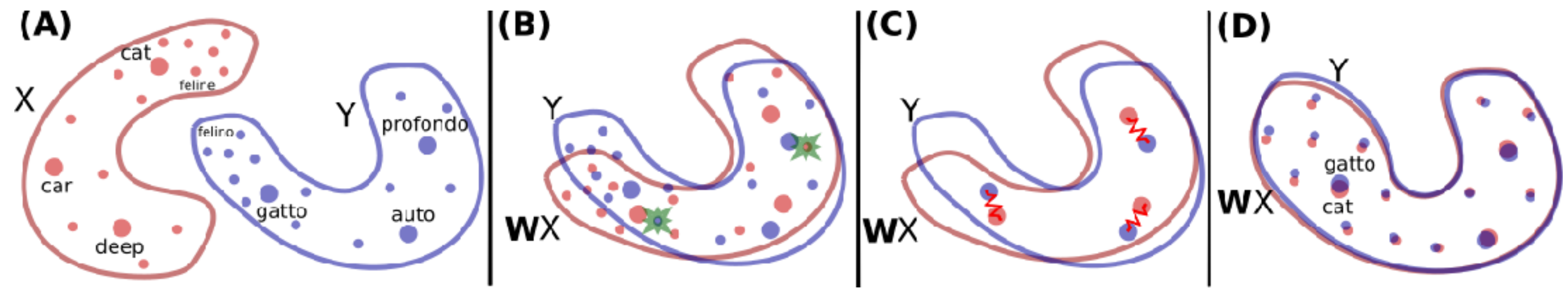


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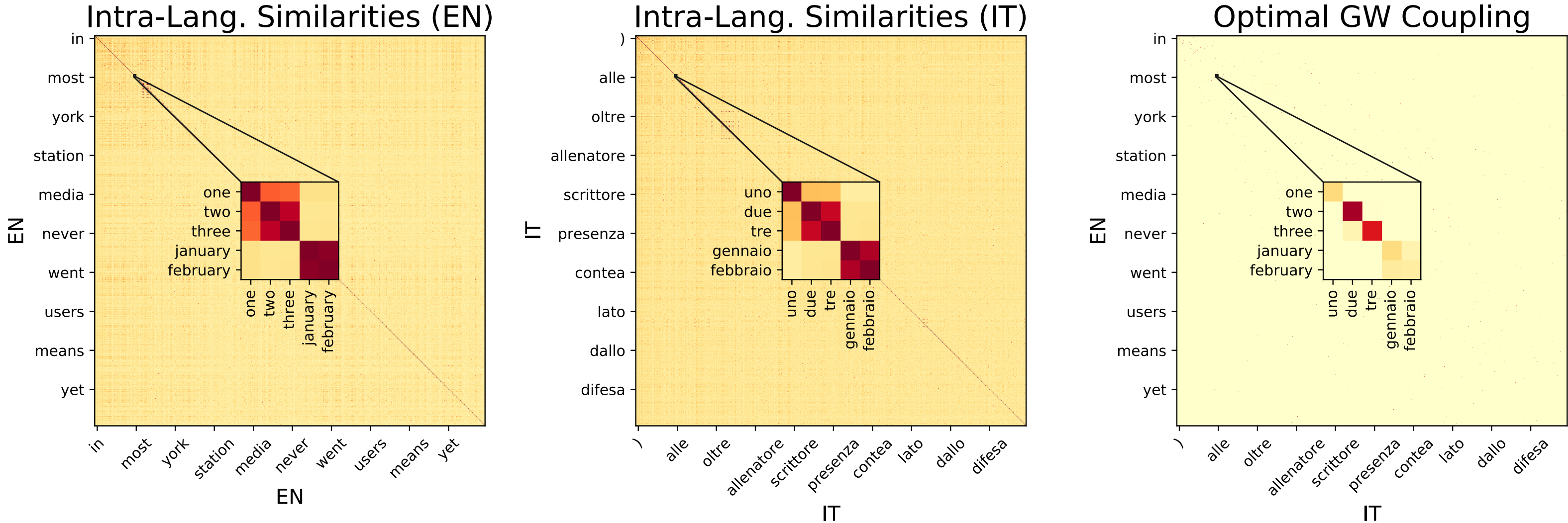
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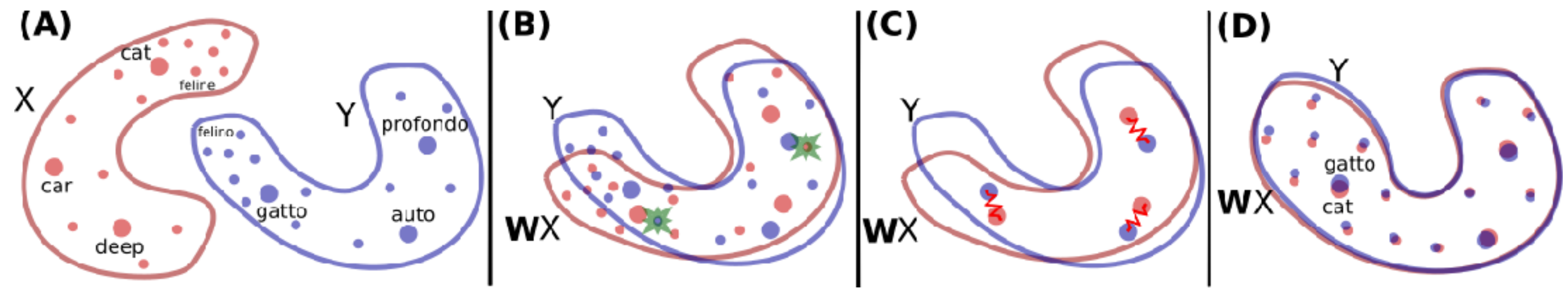
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ALLOWS FOR EMBEDDING SPACES OF DIFFERENT DIMENSION

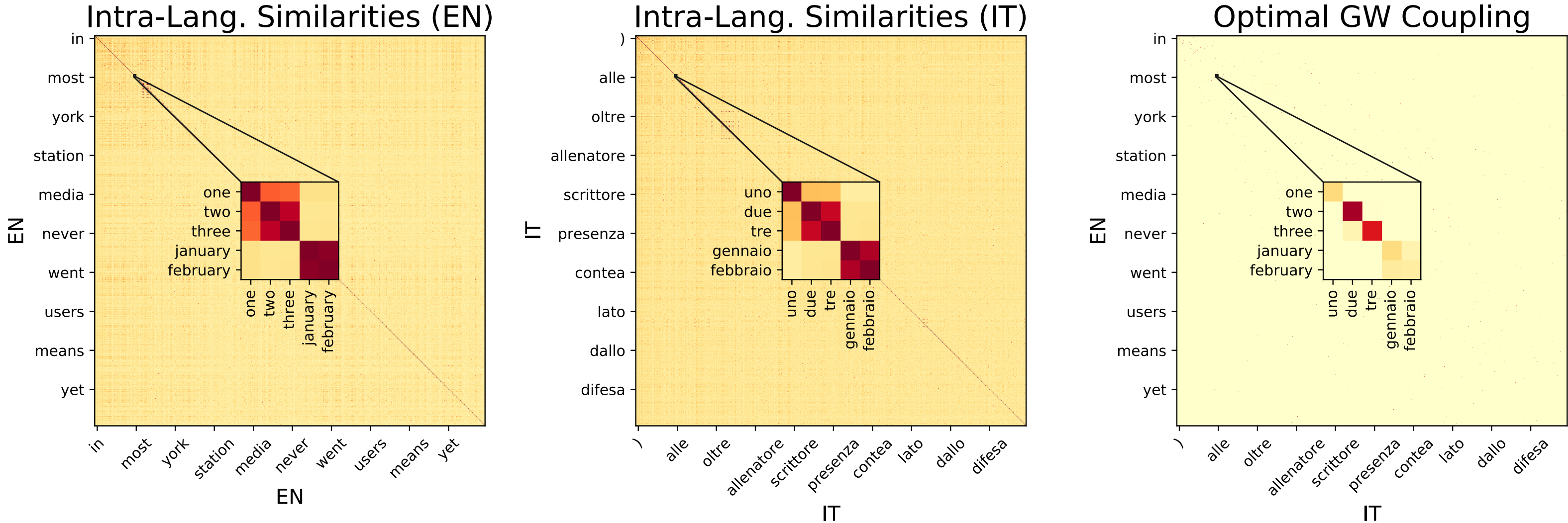
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ALLOWS FOR EMBEDDING SPACES OF DIFFERENT DIMENSION

PROBLEM SOLVED THROUGH EXPLICIT OPTIMIZATION (GROMOV-WASSERSTEIN)

PART 2:

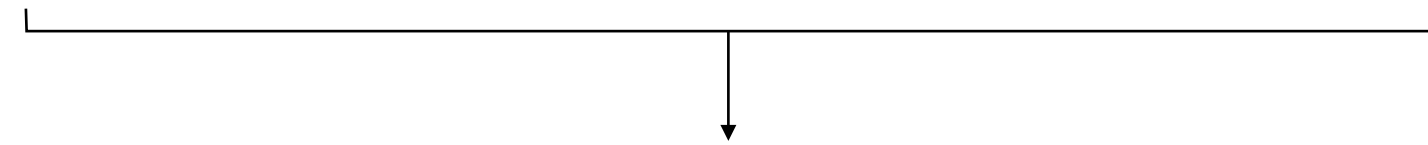
PROCESSING SENTENCES WITH RECURRENT NEURAL NETWORKS

FROM WORDS TO SENTENCES: REPRESENTATION

“The house is green ...”

FROM WORDS TO SENTENCES: REPRESENTATION

"The house is green ..."



How do we represent
an entire sentence?

FROM WORDS TO SENTENCES: REPRESENTATION

$$\begin{matrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} & \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} & \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} & \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} \\ v_{\text{the}} & v_{\text{house}} & v_{\text{is}} & v_{\text{green}} \end{matrix}$$

"The house is green ..."

How do we represent
an entire sentence?

FROM WORDS TO SENTENCES: REPRESENTATION

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} + \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} + \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} + \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

$v_{\text{the house ...}}$ v_{the} v_{house} v_{is} v_{green}

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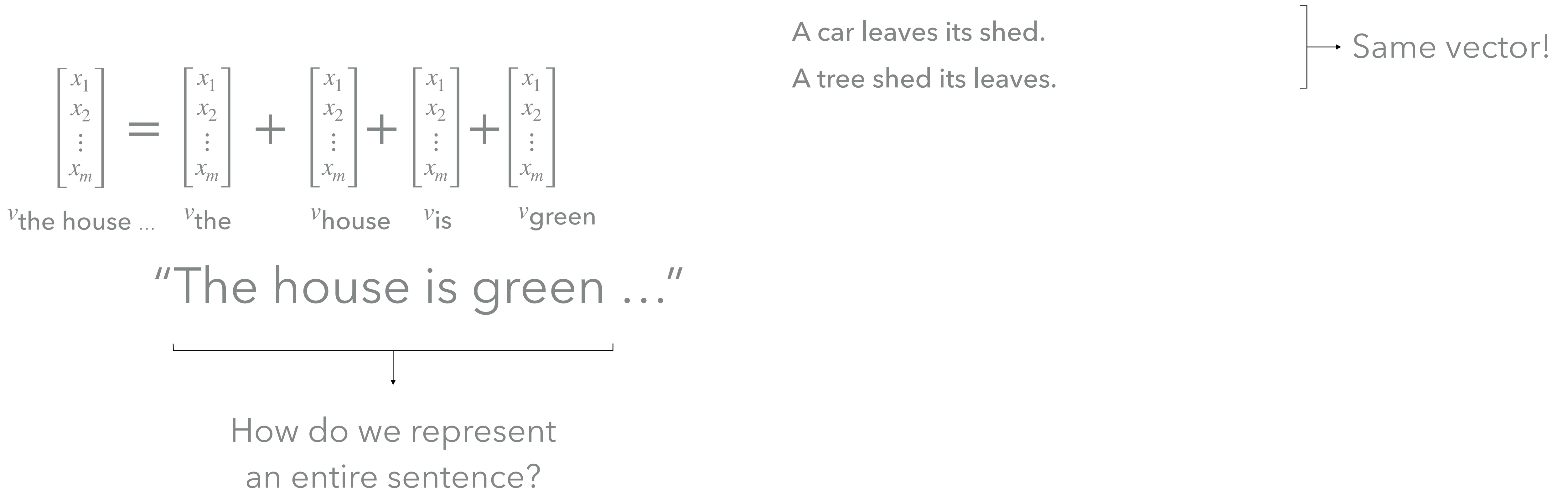
"The house is green ..."

How do we represent
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A car leaves its shed.

A tree shed its leaves.

FROM WORDS TO SENTENCES: REPRESENTATION



FROM WORDS TO SENTENCES: REPRESENTATION

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

$v_{\text{the house ...}}$

$$=$$

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

v_{the}

$$+$$

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

v_{house}

$$+$$

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

v_{is}

$$+$$

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

v_{green}

"The house is green ..."

How do we represent an entire sentence?

A car leaves its shed.

A tree shed its leaves.

Same vector!

Only he told his wife that he loved her.

He **only** told his wife that he loved her.

He told **only** his wife that he loved her.

He told his **only** wife that he loved her.

He told his wife **only** that he loved her.

He told his wife that **only** he loved her.

He told his wife that he **only** loved her.

He told his wife that he loved **only** her.

He told his wife that he loved her **only**.

FROM WORDS TO SENTENCES: REPRESENTATION

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

$v_{\text{the house ...}}$

$$=$$

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

v_{the}

$$+$$

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

v_{house}

$$+$$

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix}$$

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v_{green}

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FROM WORDS TO SENTENCES: LANGUAGE MODELING

Language Model: a system that assigns probability to a piece of text

$$p(w_1, \dots, w_T) = p(w_1) \times p(w_2 \mid w_1) \times \dots \times p(w_T \mid w_{T-1}, \dots, w_1) = \prod_{t=1}^T p(w_t \mid w_{t-1}, \dots, w_1)$$

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The house is green, and it ...

FROM WORDS TO SENTENCES: LANGUAGE MODELING

Language Model: a system that assigns probability to a piece of text

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The $\left[\begin{array}{ll} \text{car} & (p = 0.2) \\ \text{house} & (p = 0.7) \\ \text{boat} & (p = 0.1) \end{array} \right.$ is green, and it ...

FROM WORDS TO SENTENCES: LANGUAGE MODELING

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The house

was	$(p = 0.4)$
is	$(p = 0.4)$
can	$(p = 0.2)$

green, and it ...

FROM WORDS TO SENTENCES: LANGUAGE MODELING

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The house is $\left\{ \begin{array}{ll} \text{blue} & (p = 0.3) \\ \text{green} & (p = 0.4) \\ \text{big} & (p = 0.3) \end{array} \right.$ and it ...

FROM WORDS TO SENTENCES: LANGUAGE MODELING

Language Model: a system that assigns probability to a piece of text

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The house is green, and it ...

FROM WORDS TO SENTENCES: LANGUAGE MODELING

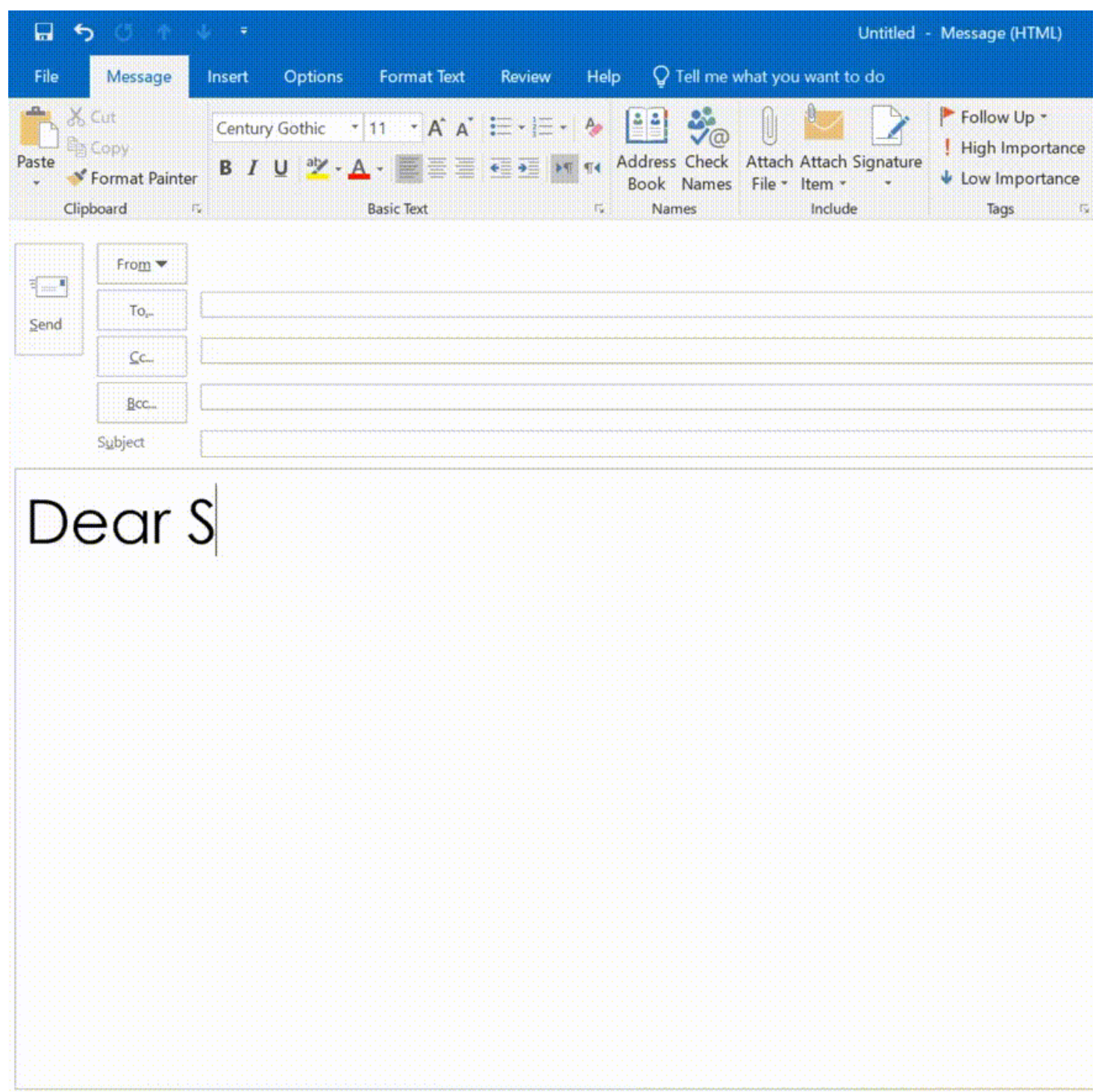
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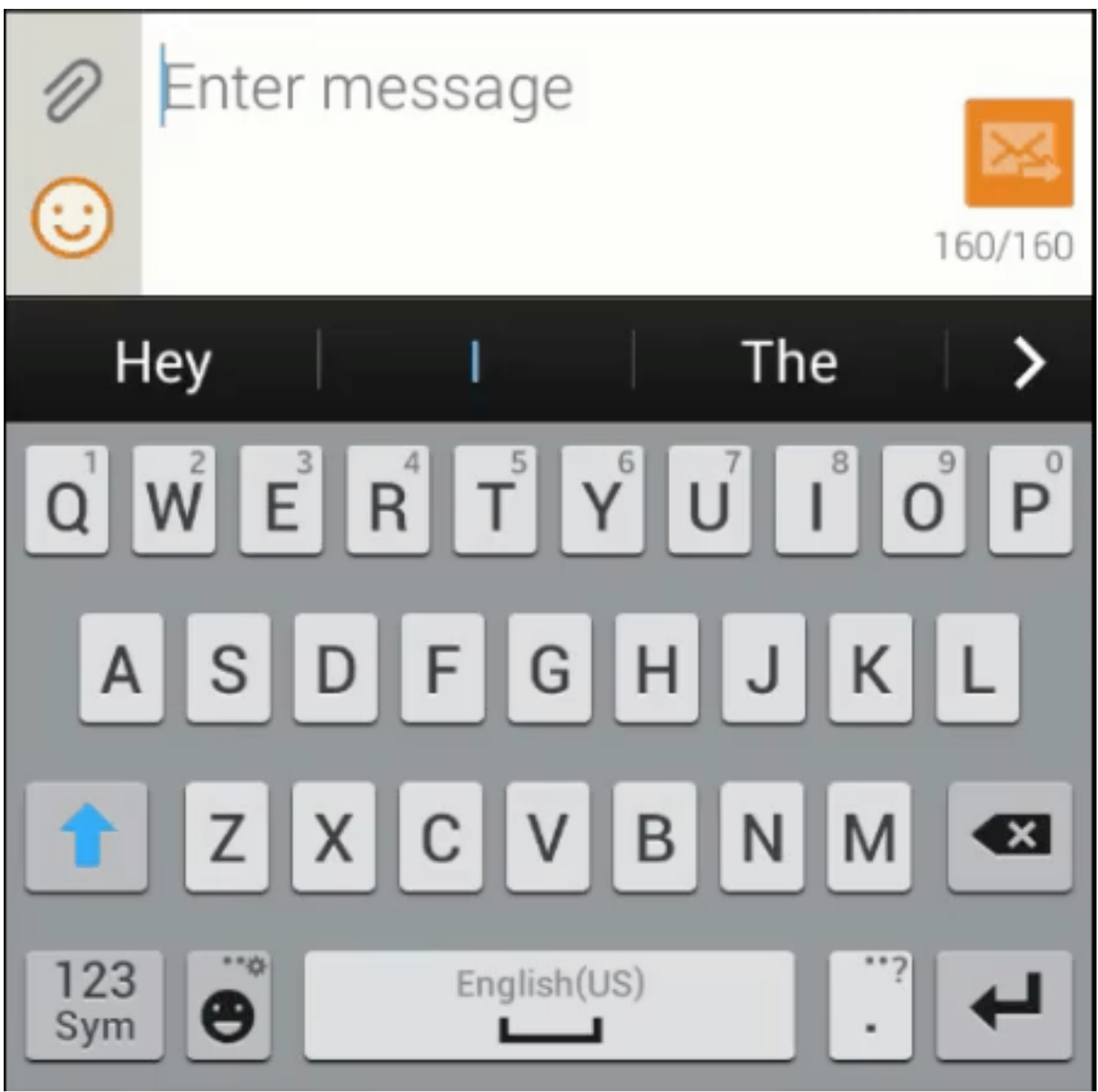
The house is green, and it ... $(p = 0.1)$

FROM WORDS TO SENTENCES: LANGUAGE MODELING

Language modeling in the wild....



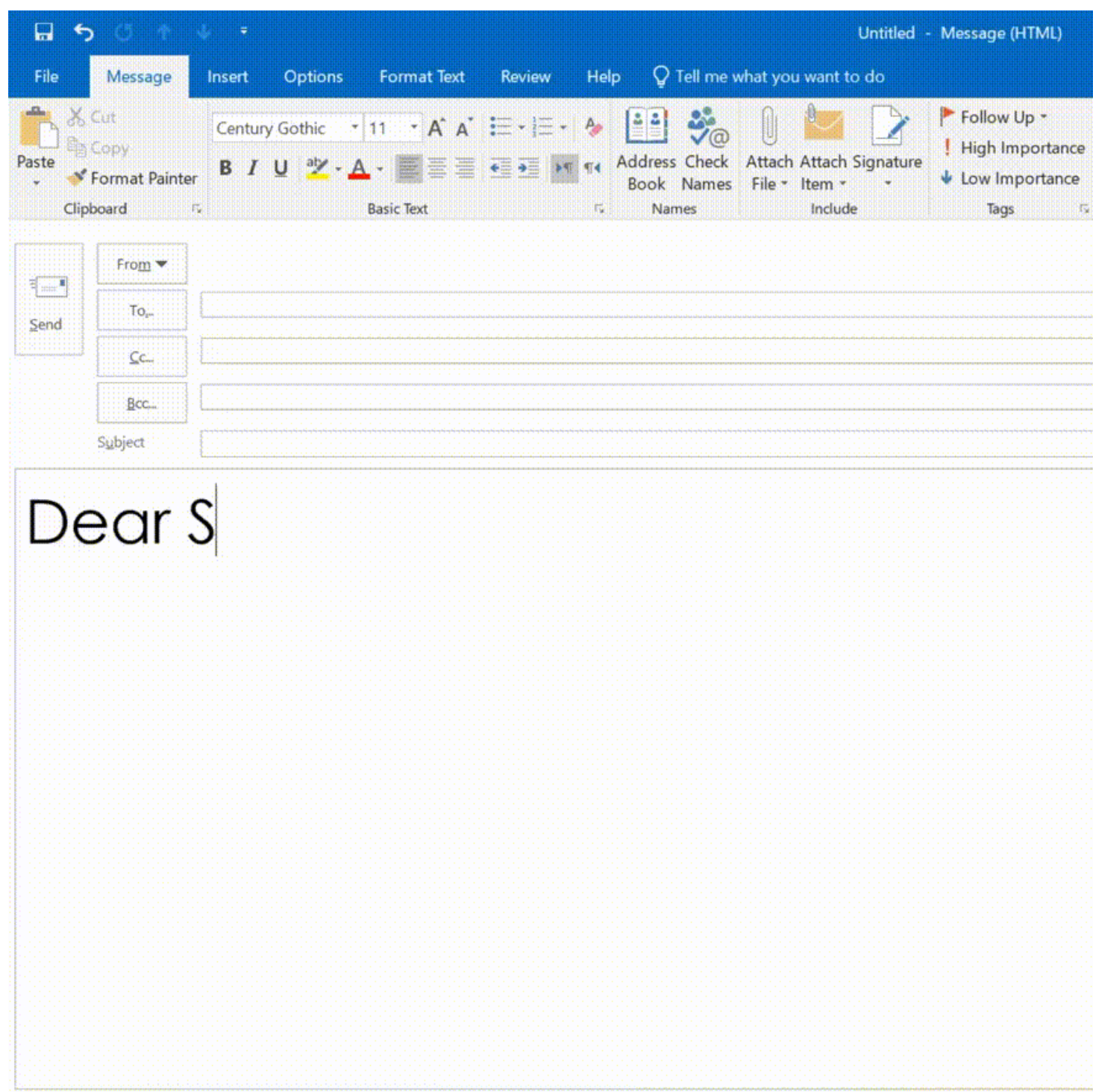
Source: www.lightkey.io



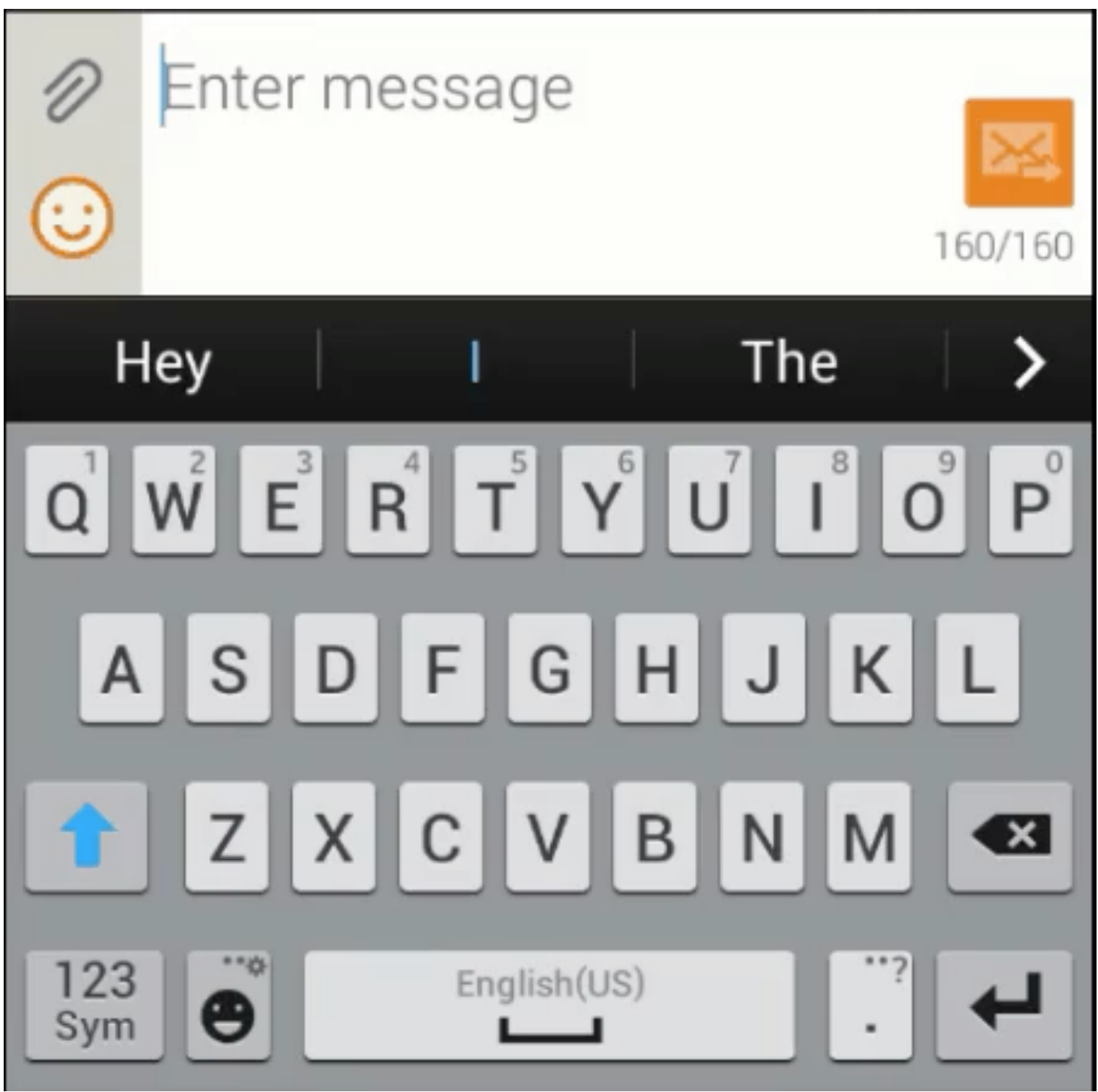
Source: reddit.com/user/wardetbestanee/

FROM WORDS TO SENTENCES: LANGUAGE MODELING

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Source: www.lightkey.io



Source: [reddit.com/user/wardetbestanee/](https://www.reddit.com/user/wardetbestanee/)

LANGUAGE MODELING: N-GRAM MODELS

The classic (pre-neural) approach: learning **n-gram** probabilities

LANGUAGE MODELING: N-GRAM MODELS

The classic (pre-neural) approach: learning **n-gram** probabilities
 a sequence of n consecutive words

LANGUAGE MODELING: N-GRAM MODELS

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 a sequence of n consecutive words

“The house is green”

LANGUAGE MODELING: N-GRAM MODELS

The classic (pre-neural) approach: learning **n-gram** probabilities
a sequence of n consecutive words

“The house is green”

Unigrams: [“The”, “house”, “is”, “green”]

Bigrams: [“The house”, “house is”, “is green”]

Trigrams: [“The house is”, “house is green”]

4-grams: [“The house is green”]

LANGUAGE MODELING: N-GRAM MODELS

The classic (pre-neural) approach: learning **n-gram** probabilities
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Main idea: estimate next word probability using n-gram counts

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$$p(w_{t+1} \mid w_t, \dots, w_1) = p(w_{t+1} \mid w_t, \dots, w_{t-n+2}) \quad (\text{Markov assumption})$$

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$$\begin{aligned} p(w_{t+1} \mid w_t, \dots, w_1) &= p(w_{t+1} \mid w_t, \dots, w_{t-n+2}) && \text{(Markov assumption)} \\ &= \frac{p(w_{t+1}, w_t, \dots, w_{t-n+2})}{p(w_t, \dots, w_{t-n+2})} && \text{(def. of conditional prob.)} \end{aligned}$$

LANGUAGE MODELING: N-GRAM MODELS

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LANGUAGE MODELING: N-GRAM MODELS

The classic (pre-neural) approach: learning **n-gram** probabilities

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Example: estimate next-word probability for “The house is _____” using trigrams

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The classic (pre-neural) approach: learning **n-gram** probabilities

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Example: estimate next-word probability for “The house is _____” using trigrams

$$p(\text{green} \mid \text{is, house, the}) = p(\text{green} \mid \text{is, house})$$

LANGUAGE MODELING: N-GRAM MODELS

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$$\begin{aligned} p(\text{green} \mid \text{is, house, the}) &= p(\text{green} \mid \text{is, house}) \\ &= \frac{(\text{no. of times “house is green” occurs in corpus})}{(\text{no. of times “house is” occurs in corpus})} \end{aligned}$$

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N-gram models with small n are “miopic”, what about large n?

LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

[Rumelhart, 1986; Hopfield, 1982]

For every t :

LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

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For every t :

$$e_t = Ex_t$$

LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

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For every t:

Word Embedding
(e.g. Word2Vec)

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LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

[Rumelhart, 1986; Hopfield, 1982]

For every t:

Word Embedding
(e.g. Word2Vec)

$$e_t = Ex_t$$

$$h_t = \sigma(W_e e_t + W_h h_{t-1} + b_1)$$

LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

[Rumelhart, 1986; Hopfield, 1982]

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$$\hat{y}_t = \text{softmax}(Uh_t + b_2) \in R^{|V|}$$

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Predicted class
(in this case, next word)

LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

[Rumelhart, 1986; Hopfield, 1982]

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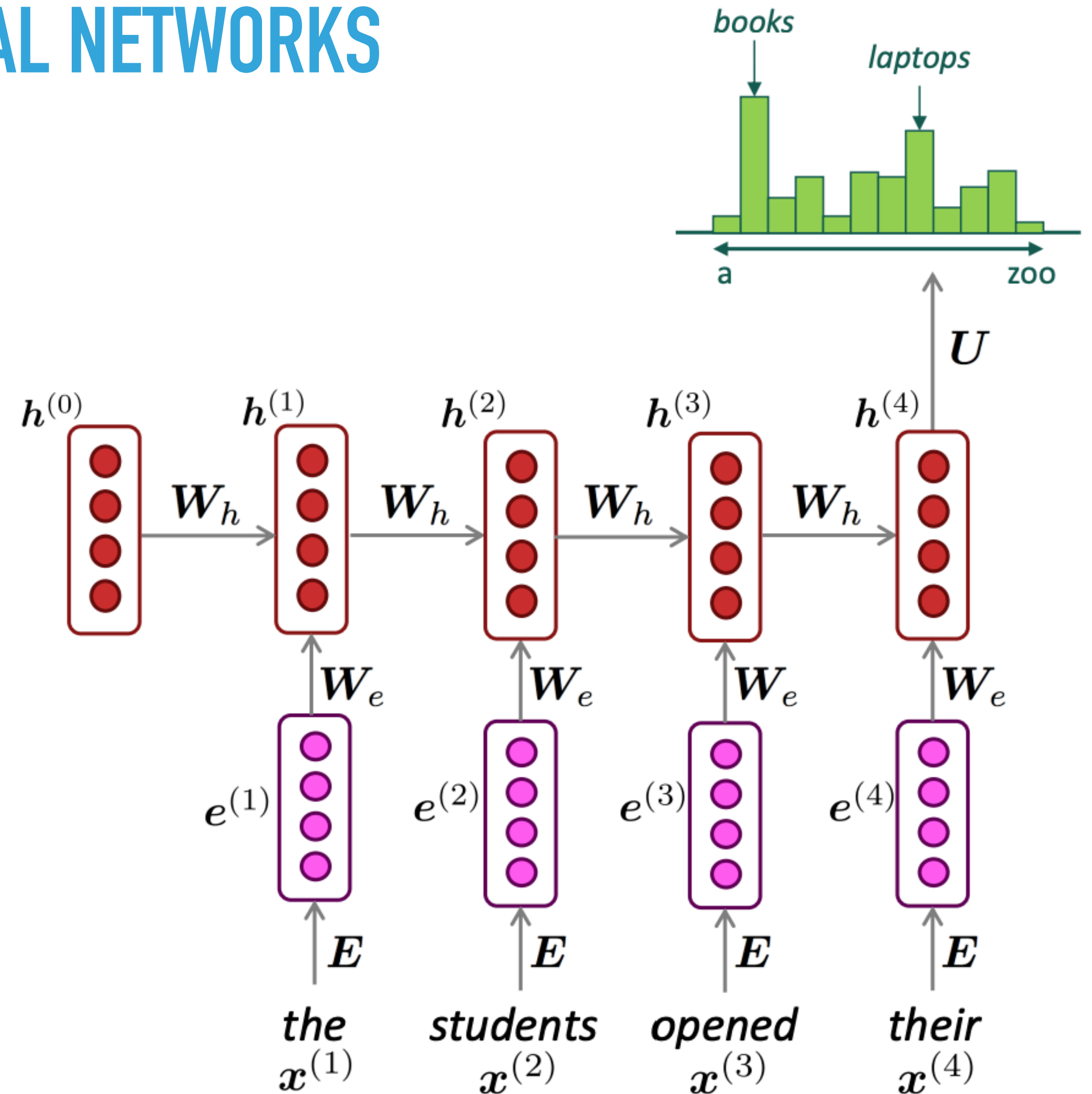
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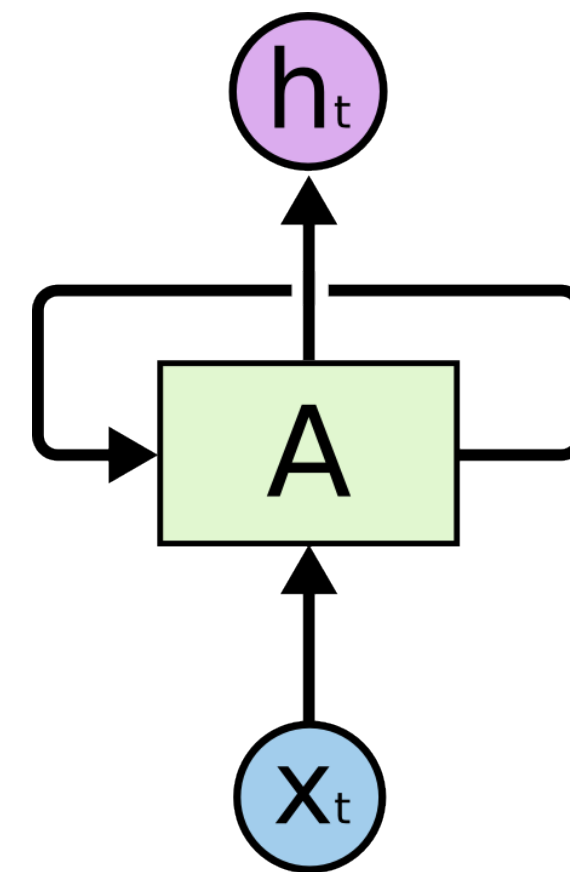
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LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

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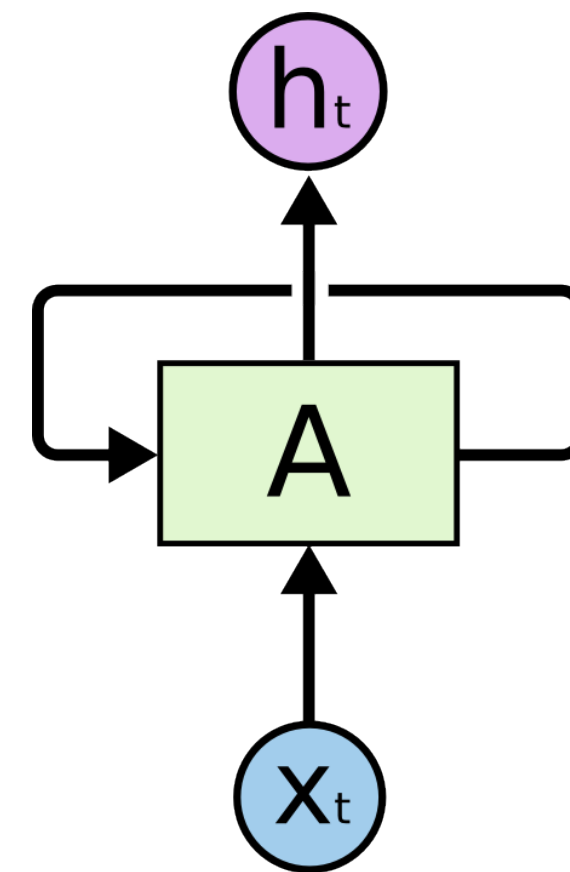
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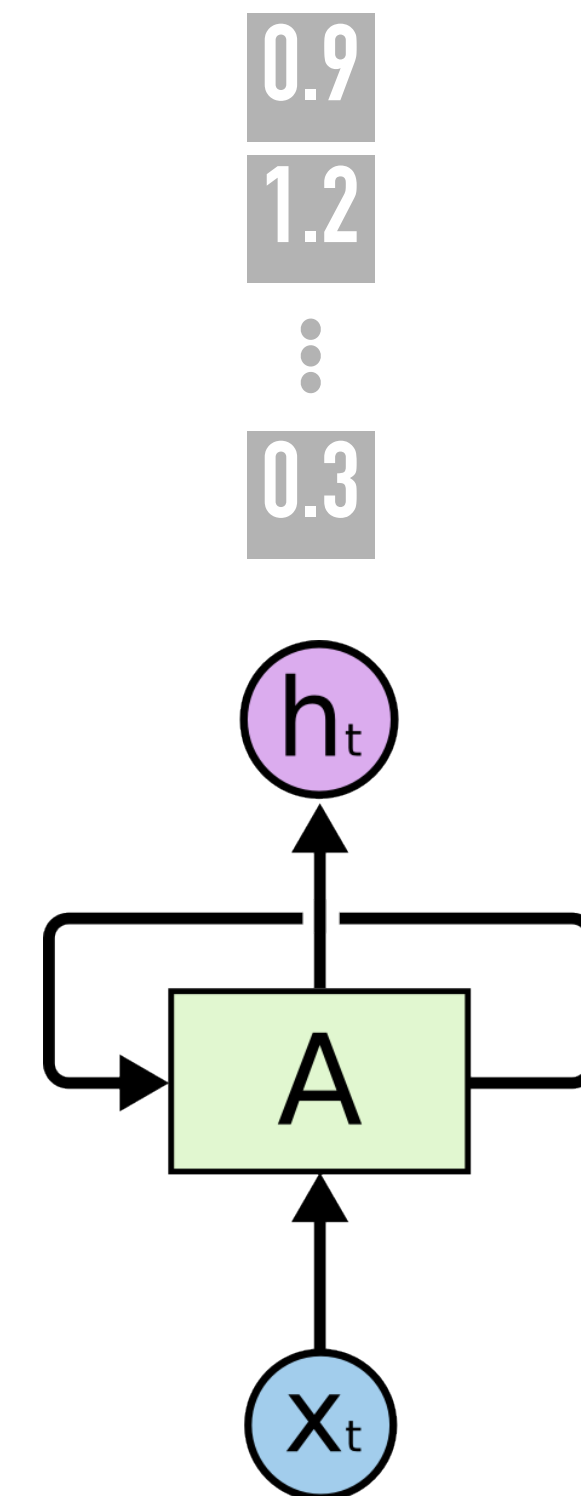


The house is green

LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

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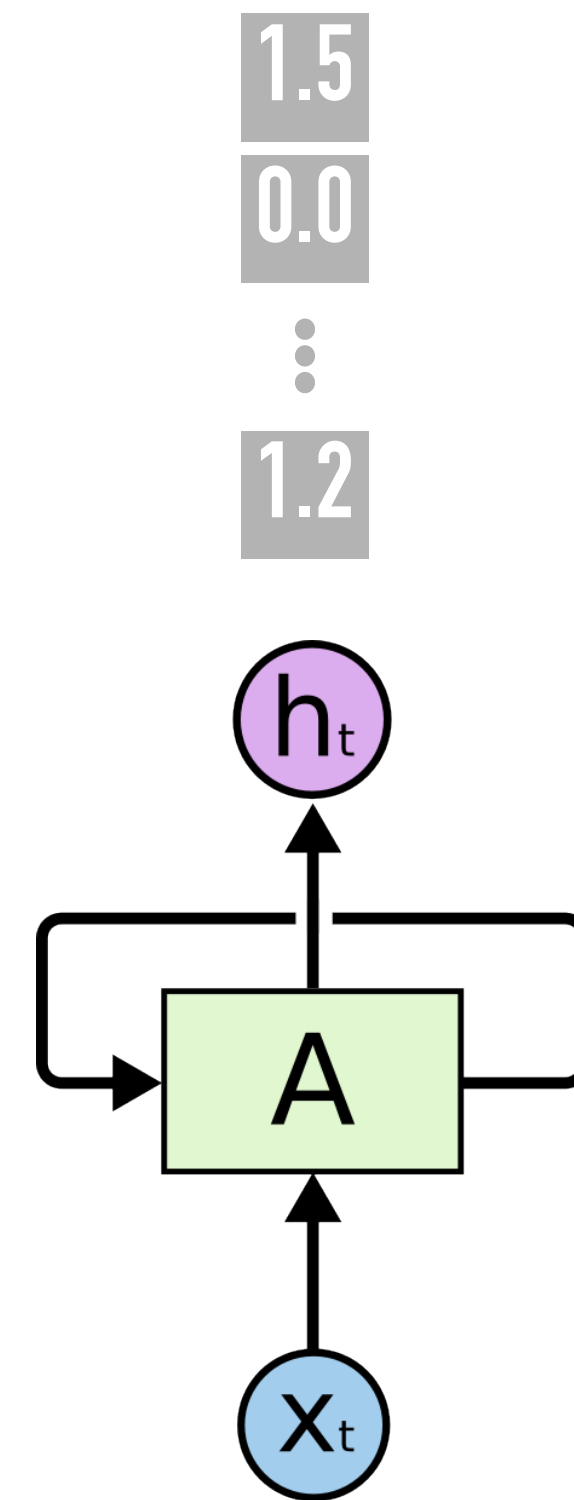


The house is green

LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

[Rumelhart, 1986; Hopfield, 1982]

$$A : \quad h_t = \sigma(W_e e_t + W_h h_{t-1} + b_1)$$

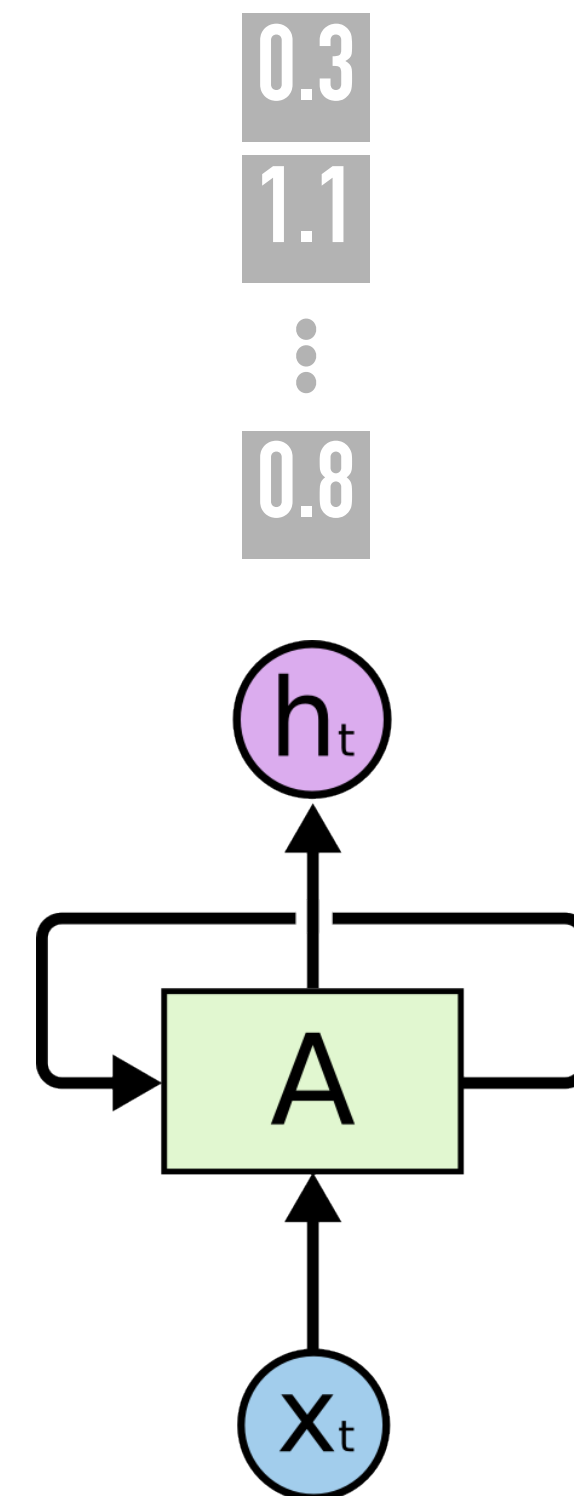


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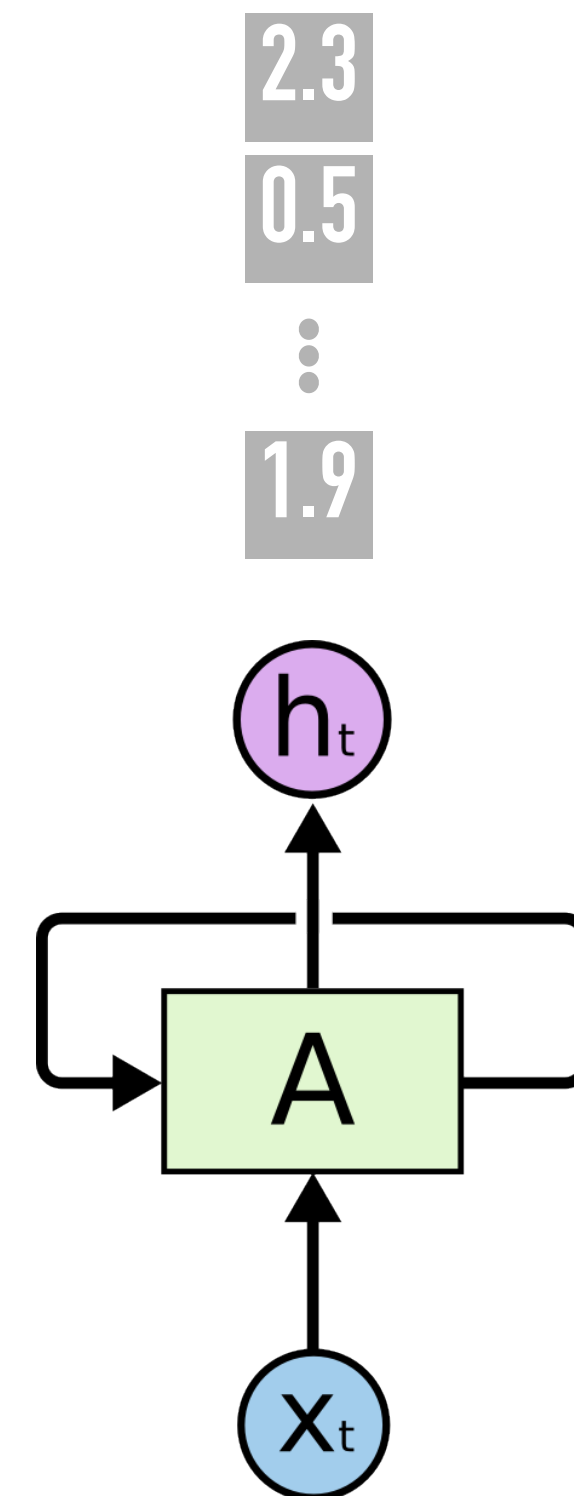


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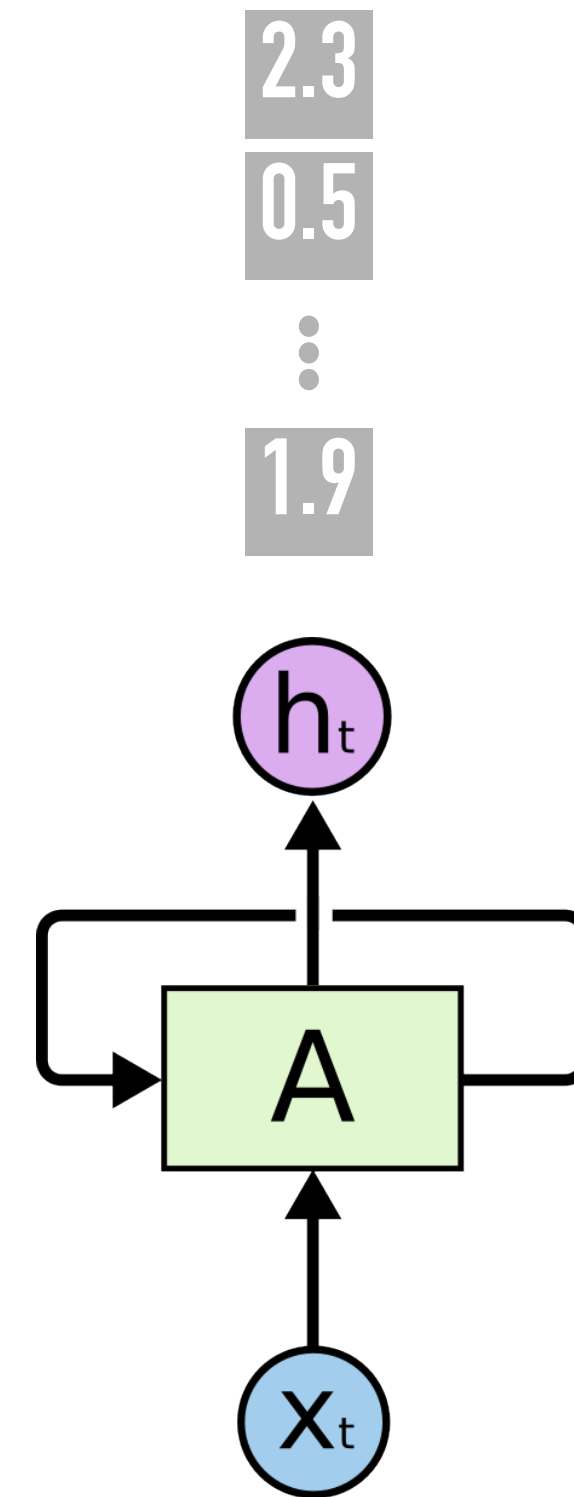


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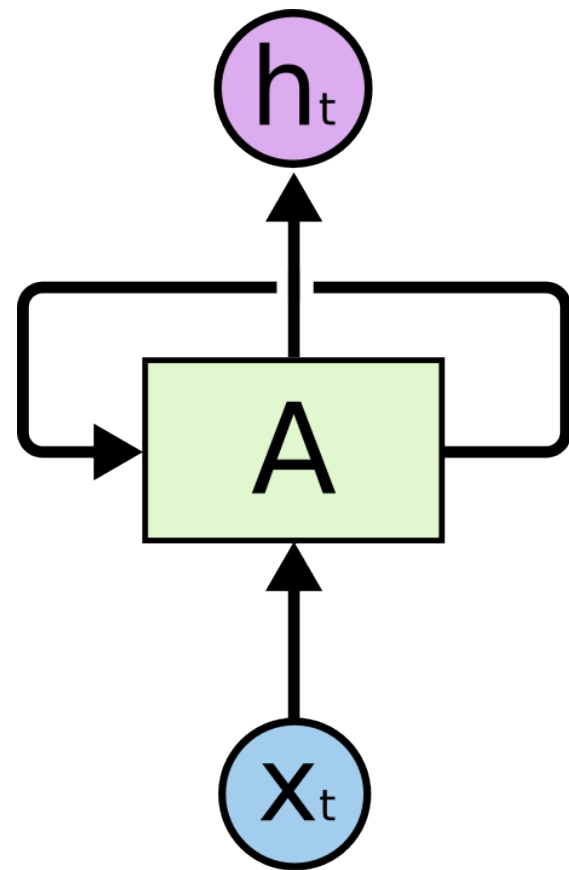


The house is green

Note: same weights applied every time

LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

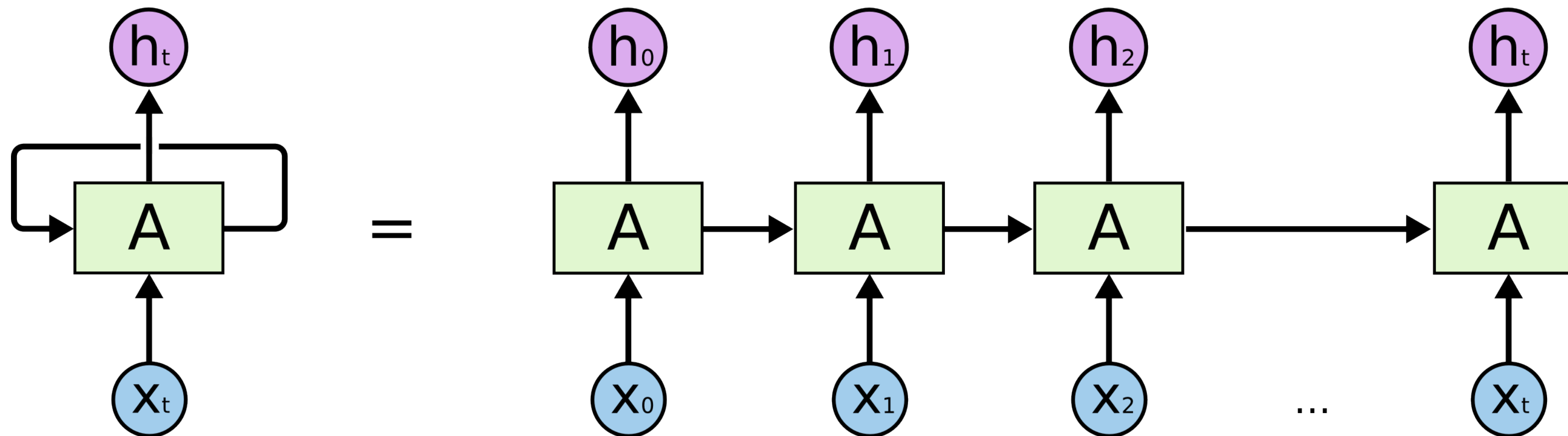
[Rumelhart, 1986; Hopfield, 1982]



How do we train it?

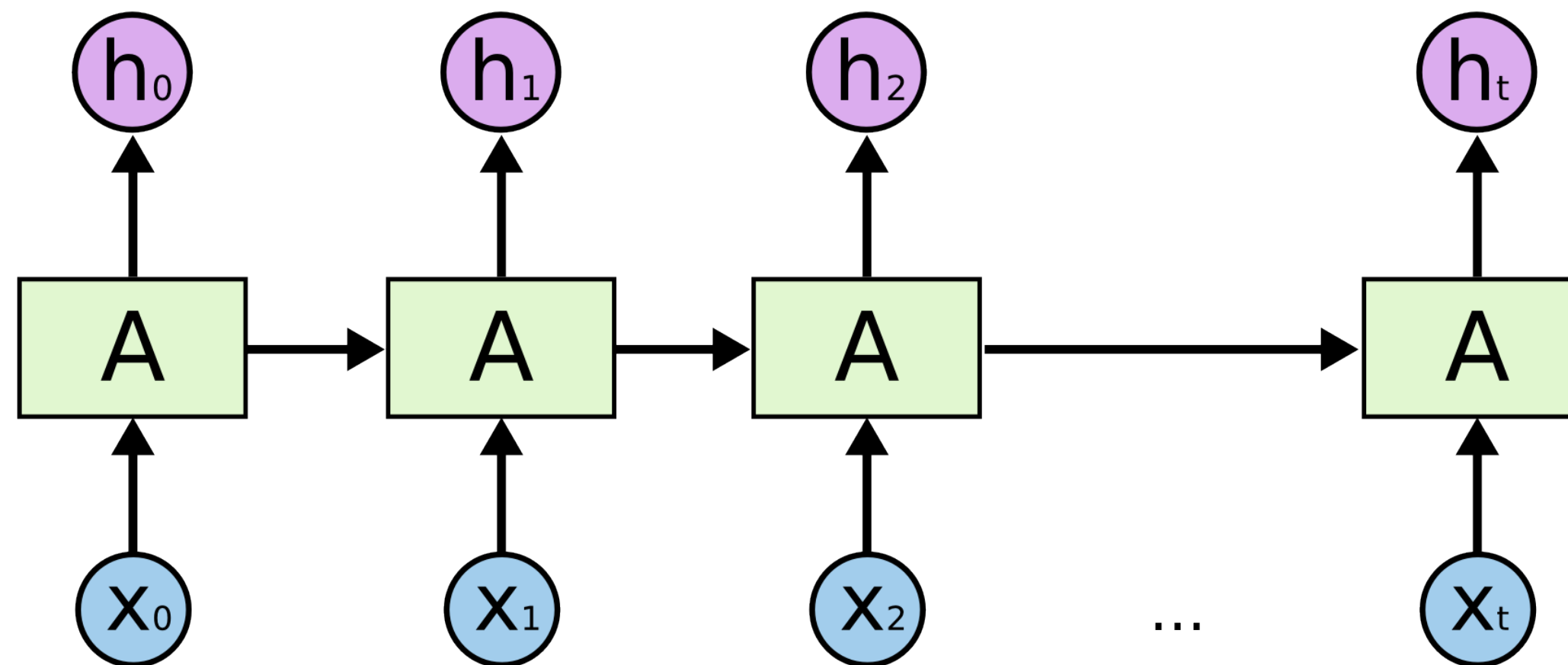
LANGUAGE MODELING: RECURRENT NEURAL NETWORKS

[Rumelhart, 1986; Hopfield, 1982]

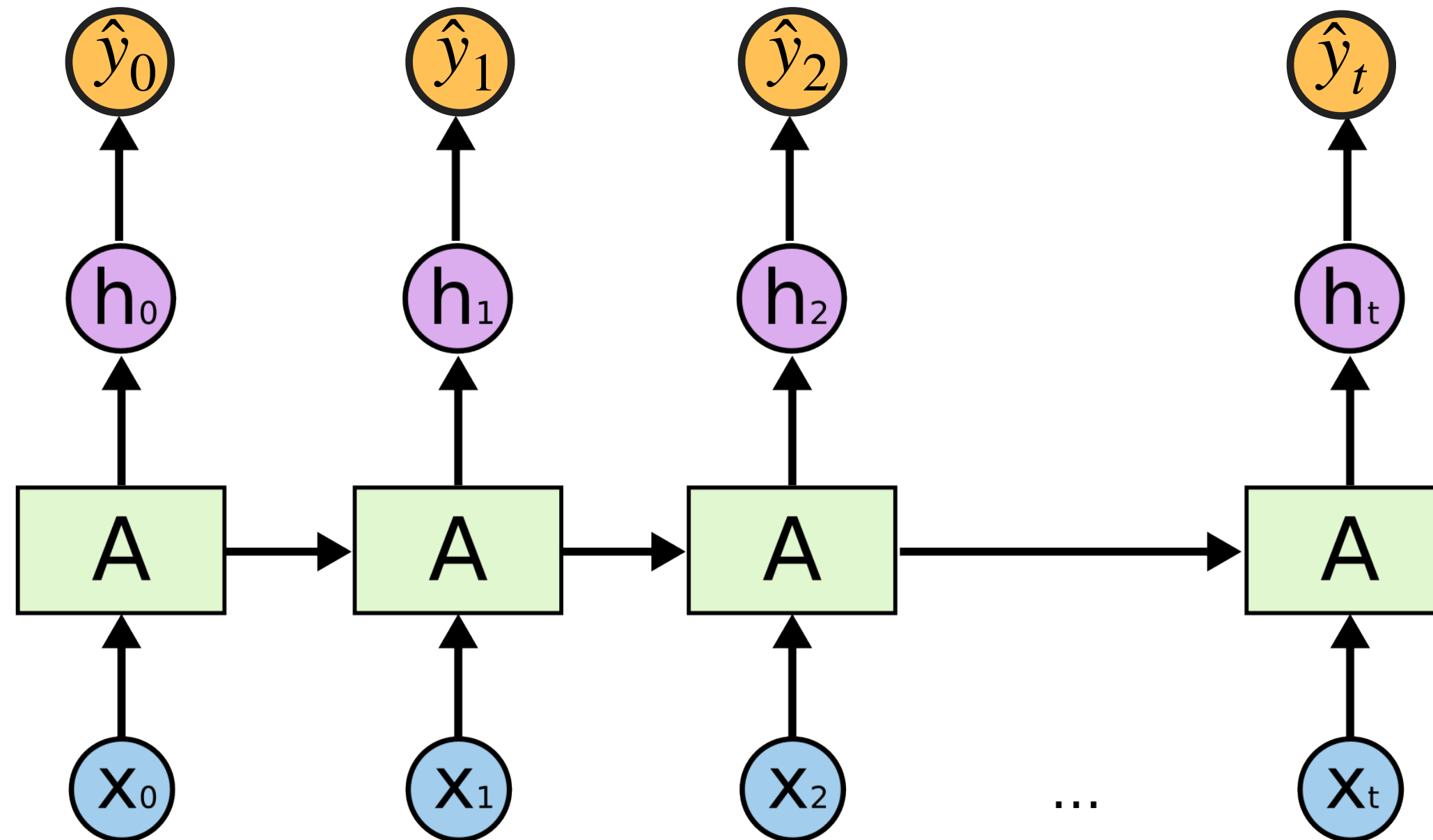


How do we train it?

RECURRENT NEURAL NETS: TRAINING



RECURRENT NEURAL NETS: TRAINING

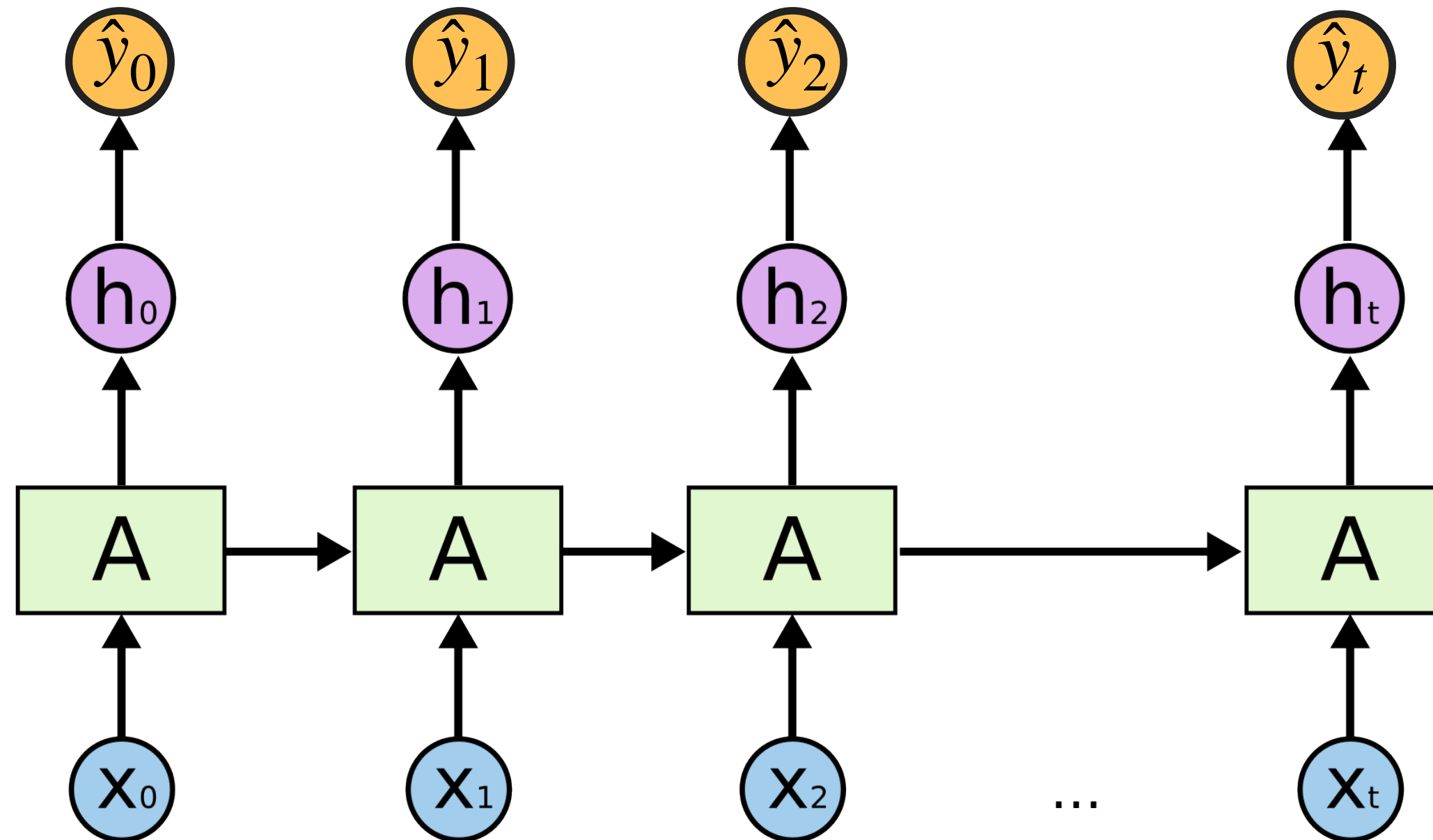


RECURRENT NEURAL NETS: TRAINING

$$\hat{y}_t = \text{softmax}(Uh_t + b_2) \in R^{|V|}$$

$$h_t = \sigma(W_e e_t + W_h h_{t-1} + b_1)$$

$$e_t = Ex_t$$

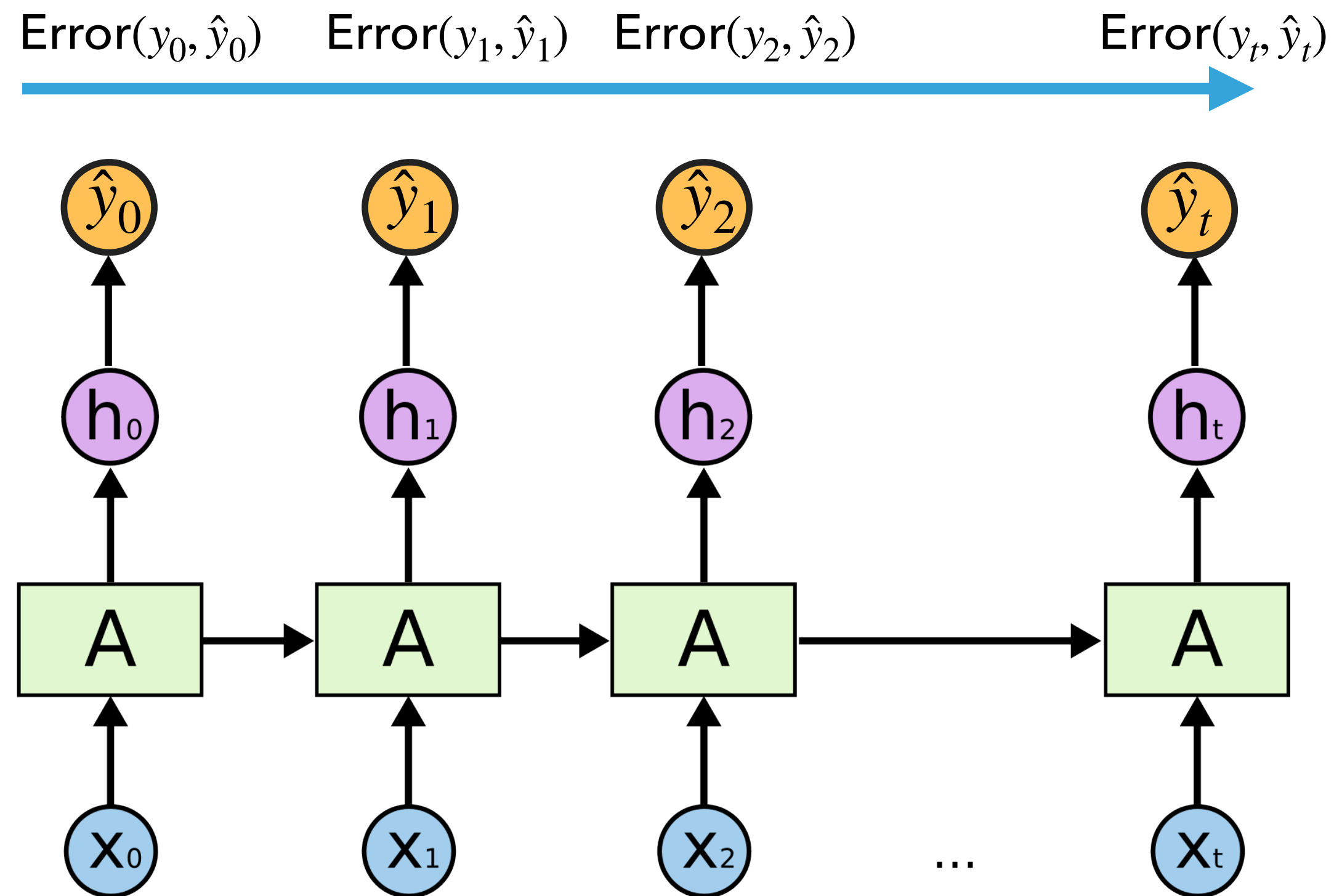


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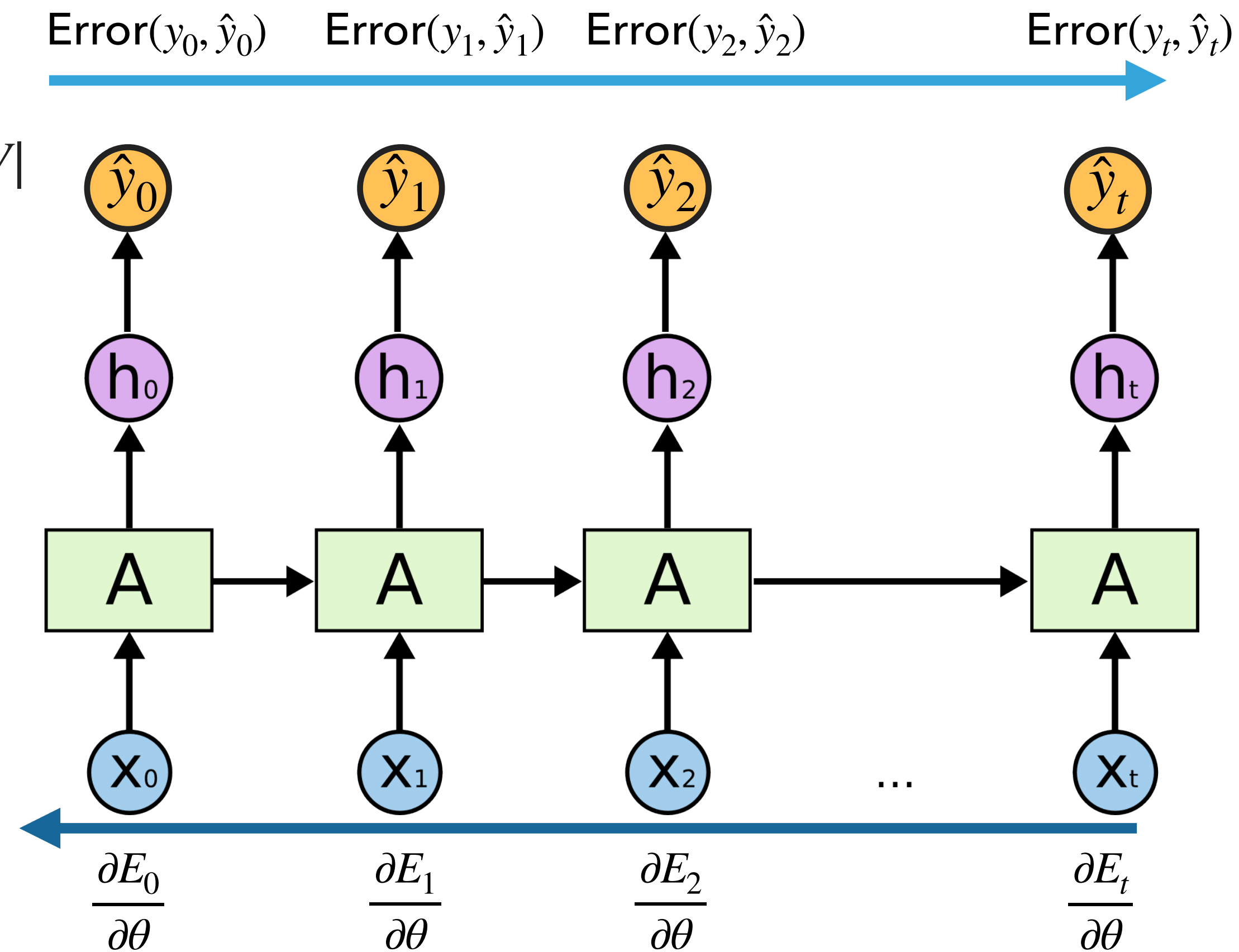


RECURRENT NEURAL NETS: TRAINING

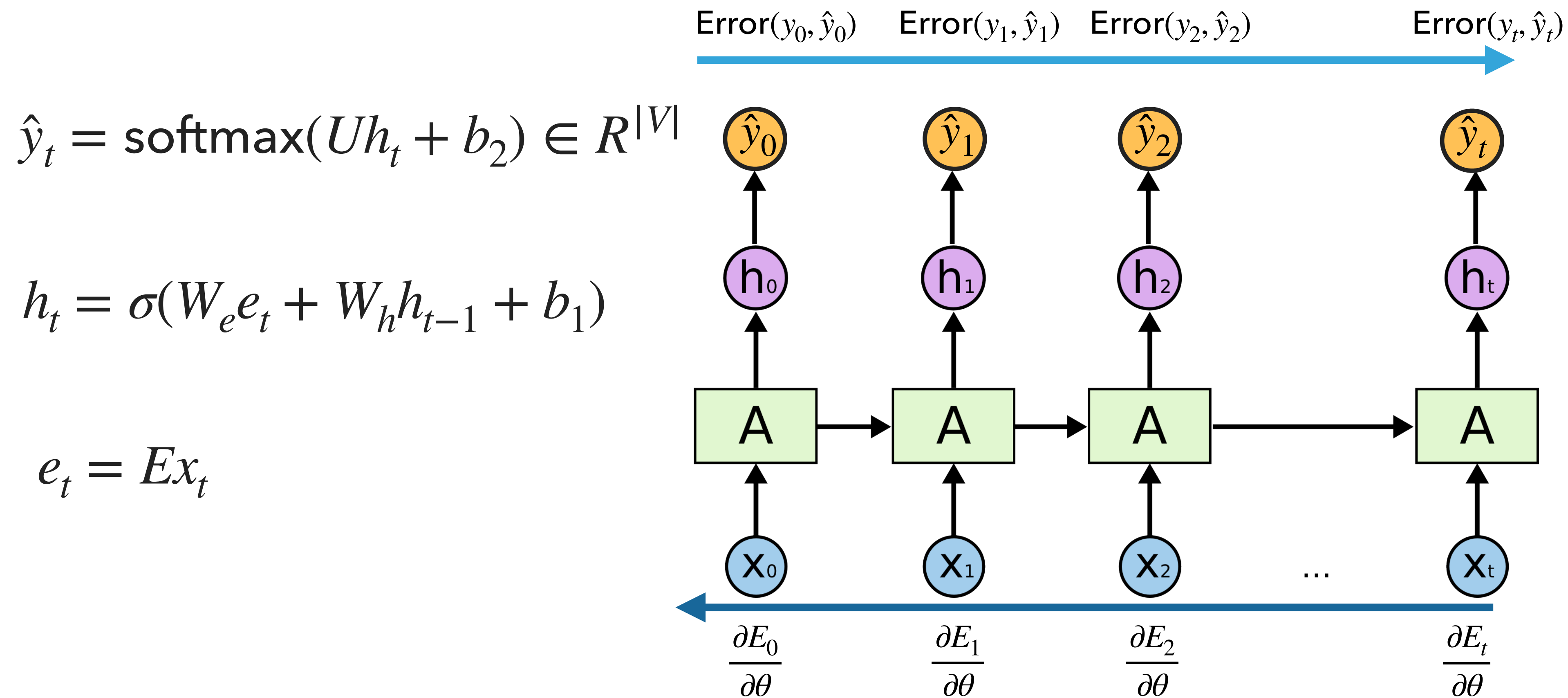
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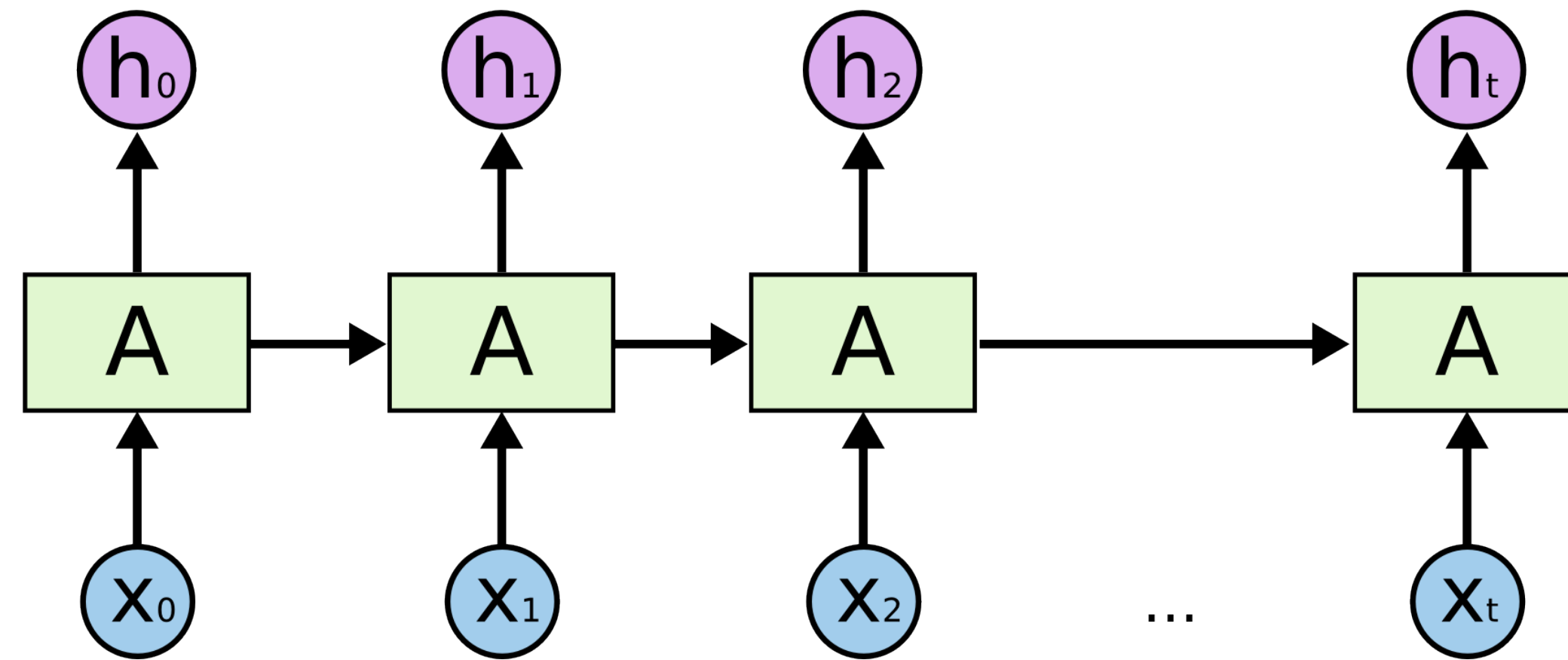


RECURRENT NEURAL NETS: TRAINING



Back-Propagation Through Time (BPTT)

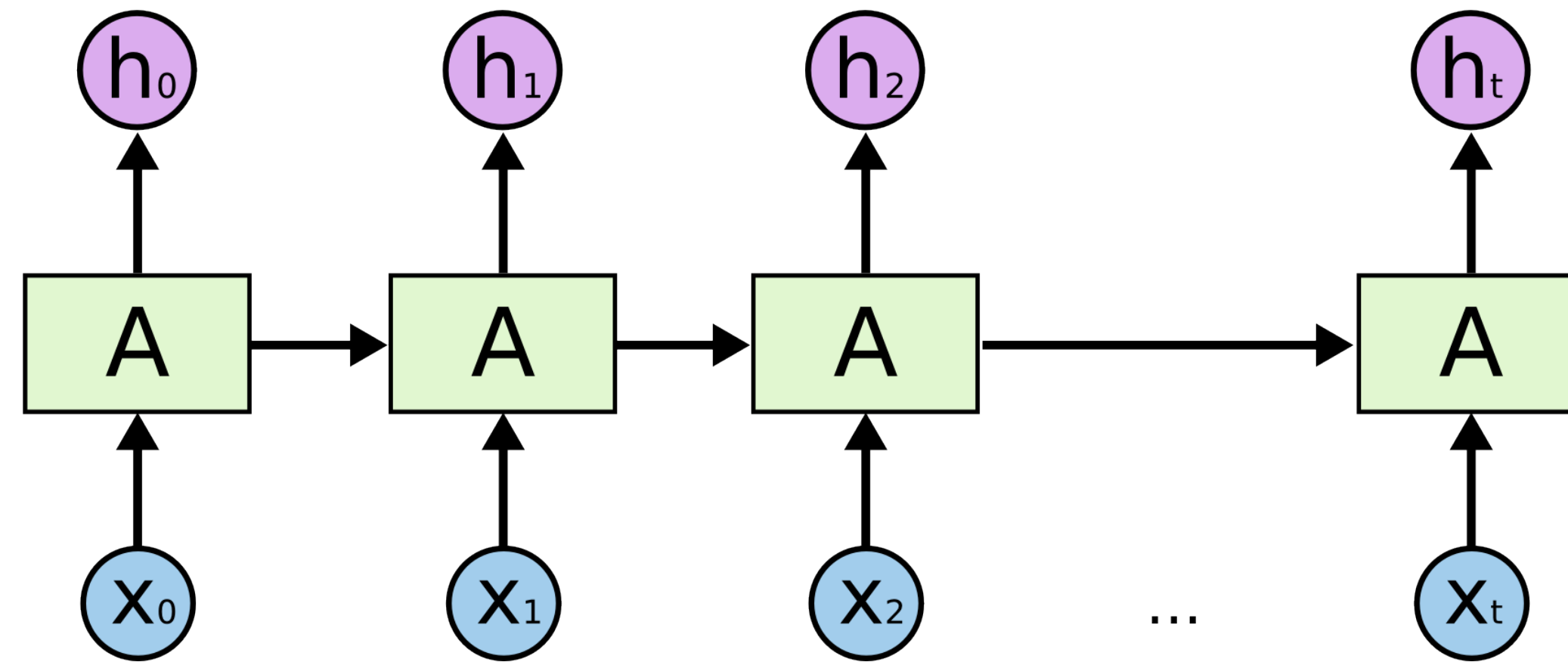
RECURRENT NEURAL NETS: APPLICATIONS



Language Modeling (LM)

RECURRENT NEURAL NETS: APPLICATIONS

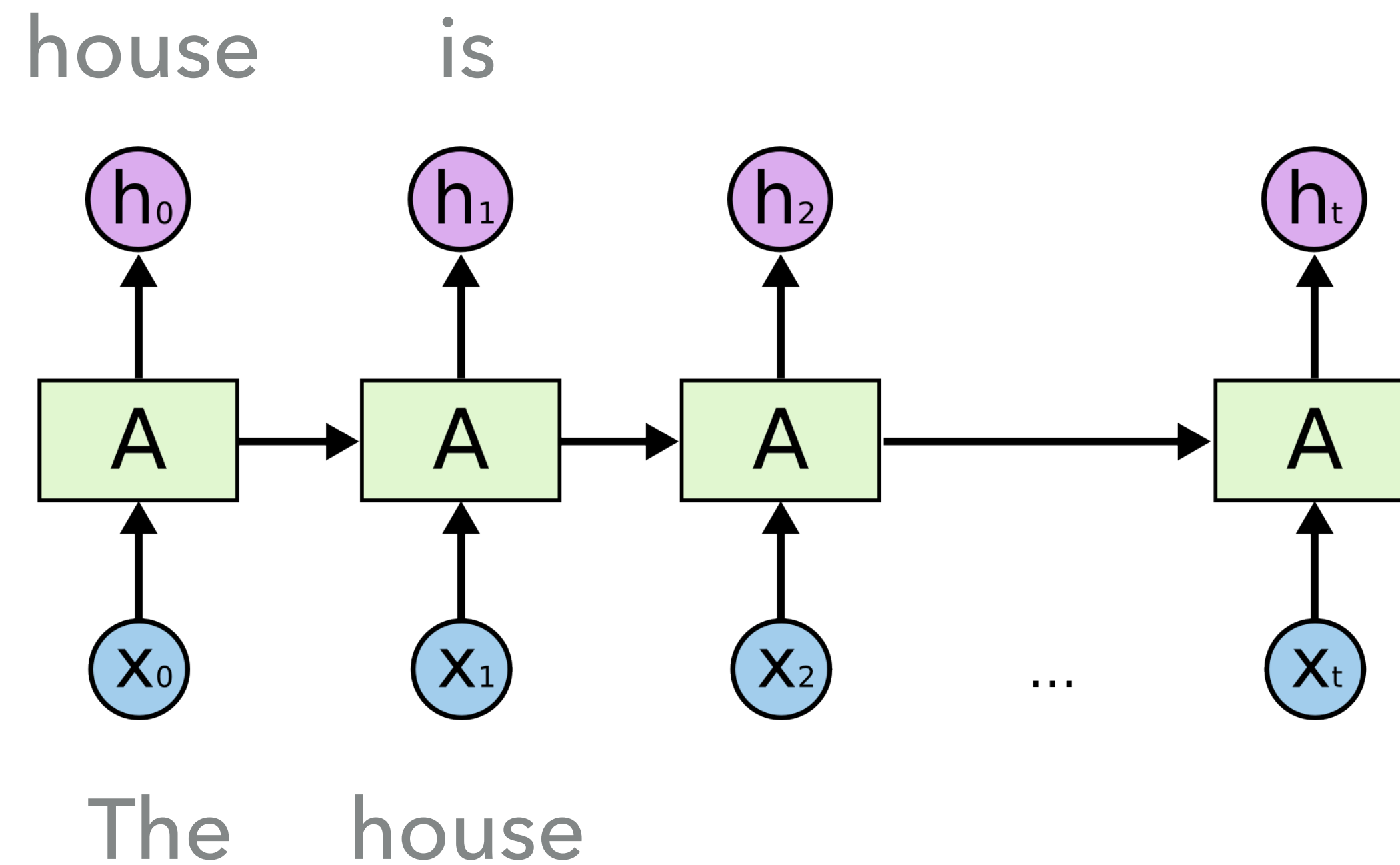
house



The

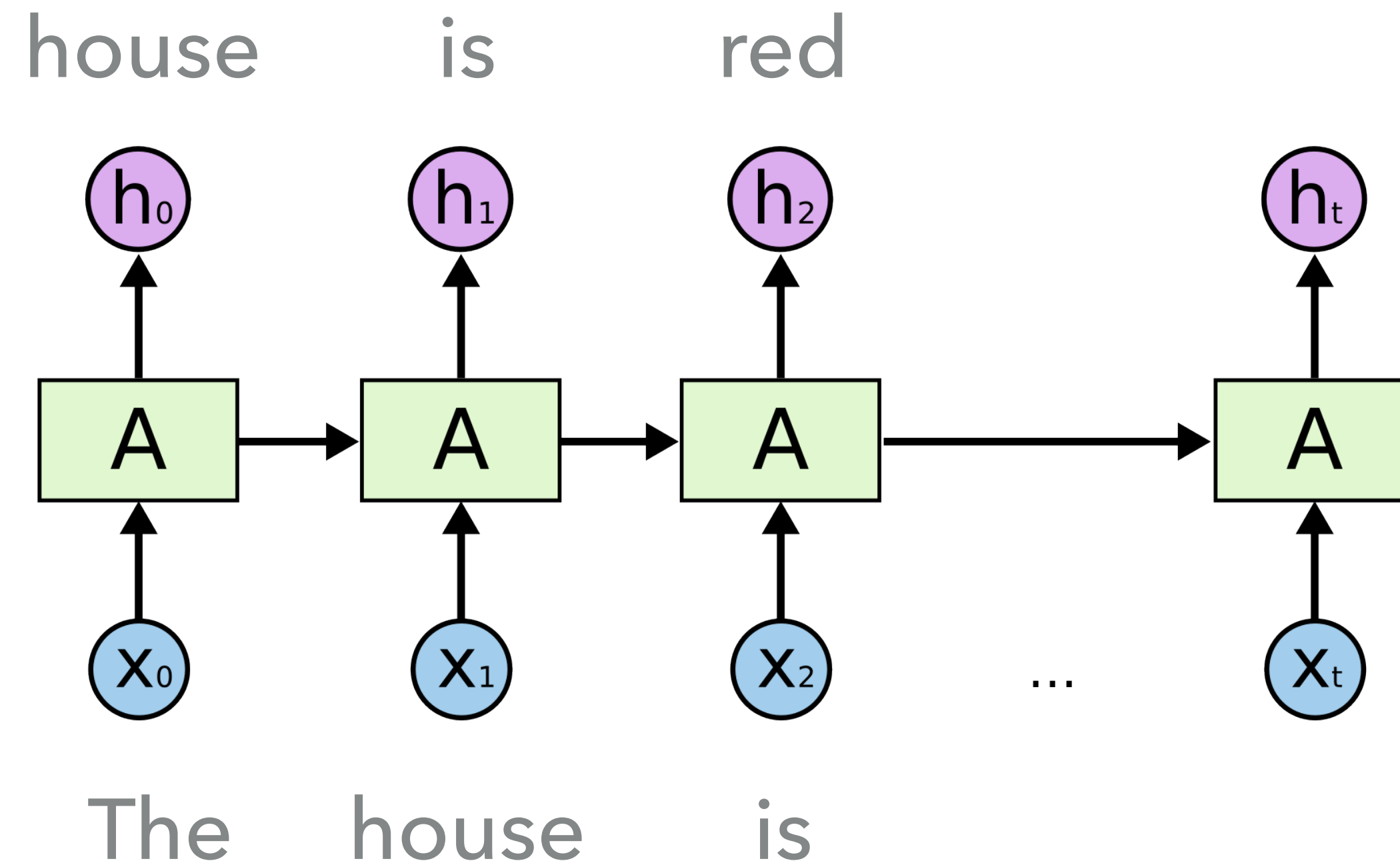
Language Modeling (LM)

RECURRENT NEURAL NETS: APPLICATIONS



Language Modeling (LM)

RECURRENT NEURAL NETS: APPLICATIONS



Language Modeling (LM)

RECURRENT NEURAL NETS: EXAMPLE TEXT GENERATION

[Sutskever et al, 2011]

Training data: Wikipedia

Input: "The meaning of life is "

Generated Text:

The meaning of life is the tradition of the ancient human reproduction: it is less favorable to the good boy for when to remove her bigger. In the show's agreement unanimously resurfaced. The wild pastured with consistent street forests were incorporated by the 15th century BE. In 1996 the primary rapford undergoes an effort that the reserve conditioning, written into Jewish cities, sleepers to incorporate the .St Eurasia that activates the population. Mar??a Nationale, Kelli, Zedlat-Dukastoe, Florendon, Ptu's thought is. To adapt in most parts of North America, the dynamic fairy Dan please believes, the free speech are much related to the

Training data: NYT

Input: "[space]"

Generated Text:

while he was giving attention to the second advantage of school building a 2-for-2 stool killed by the Cultures saddled with a half-suit defending the Bharatiya Fernall 's office . Ms . Claire Parters will also have a history temple for him to raise jobs until naked Prodienna to paint baseball partners , provided people to ride both of Manhattan in 1978 , but what was largely directed to China in 1946 , focusing on the trademark period is the sailboat yesterday and comments on whom they obtain overheard within the 120th anniversary , where many civil rights defined , officials said early that forms , " said Bernard J. Marco Jr. of Pennsylvania , was monitoring New York

RECURRENT NEURAL NETS: EXAMPLE TEXT GENERATION

Example Source: medium.com/@samim/

Training data: Obama's Speeches

Input: "YES WE CAN"

Generated Text:

Good morning. And as we mark the fact that they can stand with their companies that are consistent to the state of Pakistan and the United States of America.

With the financial system we can do that. And the people of the United States will not be able to continue to support the people of the greatest problem of the American people to stay in the White House. And that's why [...]

Thank you very much. God bless you. God bless you. God bless you. God bless you.

Example Source: <http://karpathy.github.io/>

Training data: Shakespeare

Input: " "

Generated Text:

PANDARUS:

Alas, I think he shall be come approached and the day
When little strain would be attain'd into being never fed,
And who is but a chain and subjects of his death,
I should not sleep.

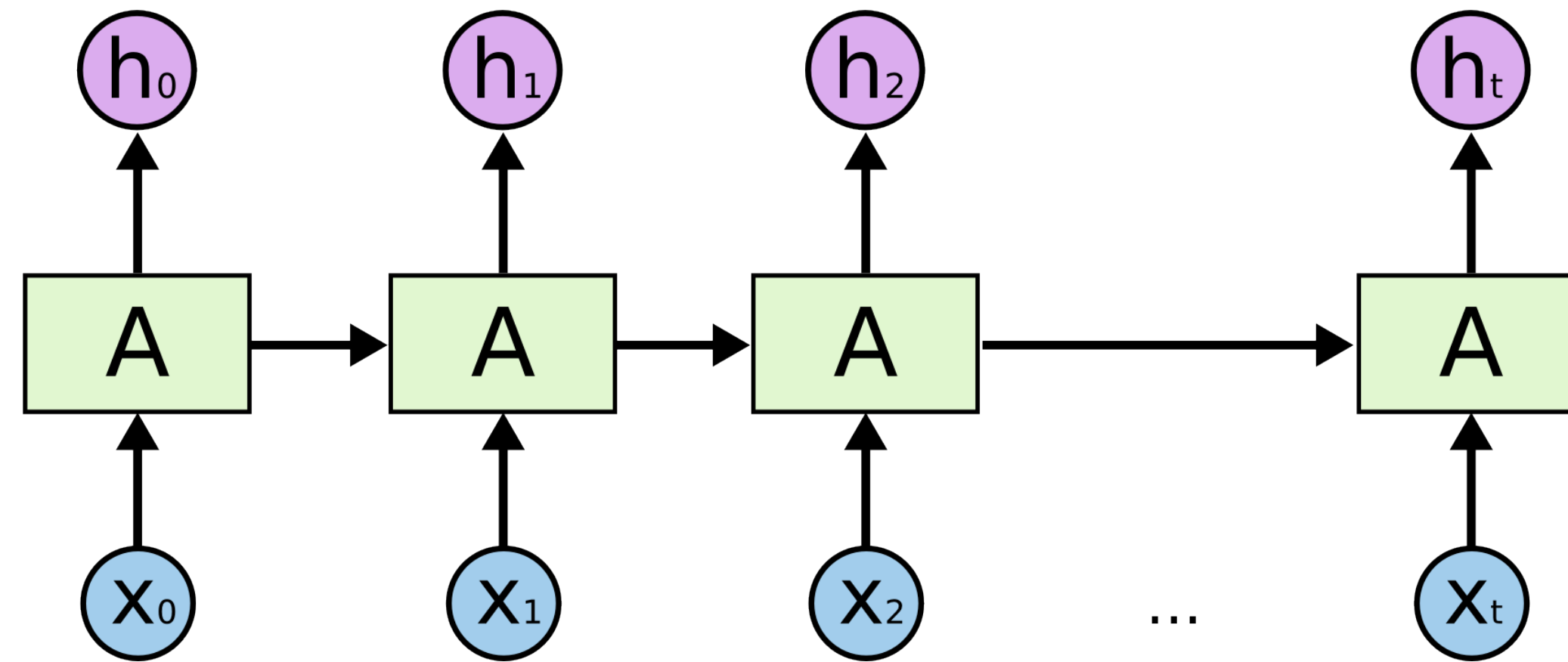
Second Senator:

They are away this miseries, produced upon my soul,
Breaking and strongly should be buried, when I perish
The earth and thoughts of many states.

DUKE VINCENTIO:

Well, your wit is in the care of side and that. [...]

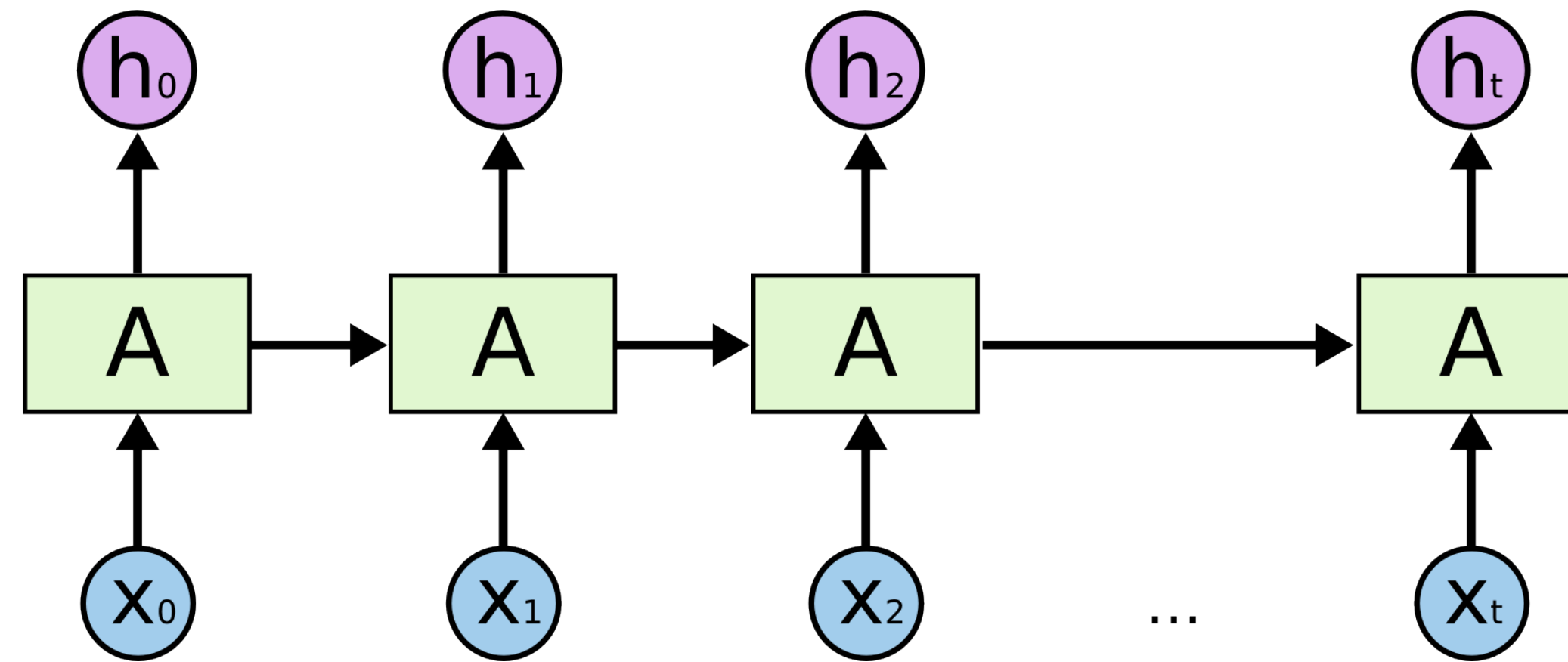
RECURRENT NEURAL NETS: APPLICATIONS



Part-of-Speech Tagging (POS)

RECURRENT NEURAL NETS: APPLICATIONS

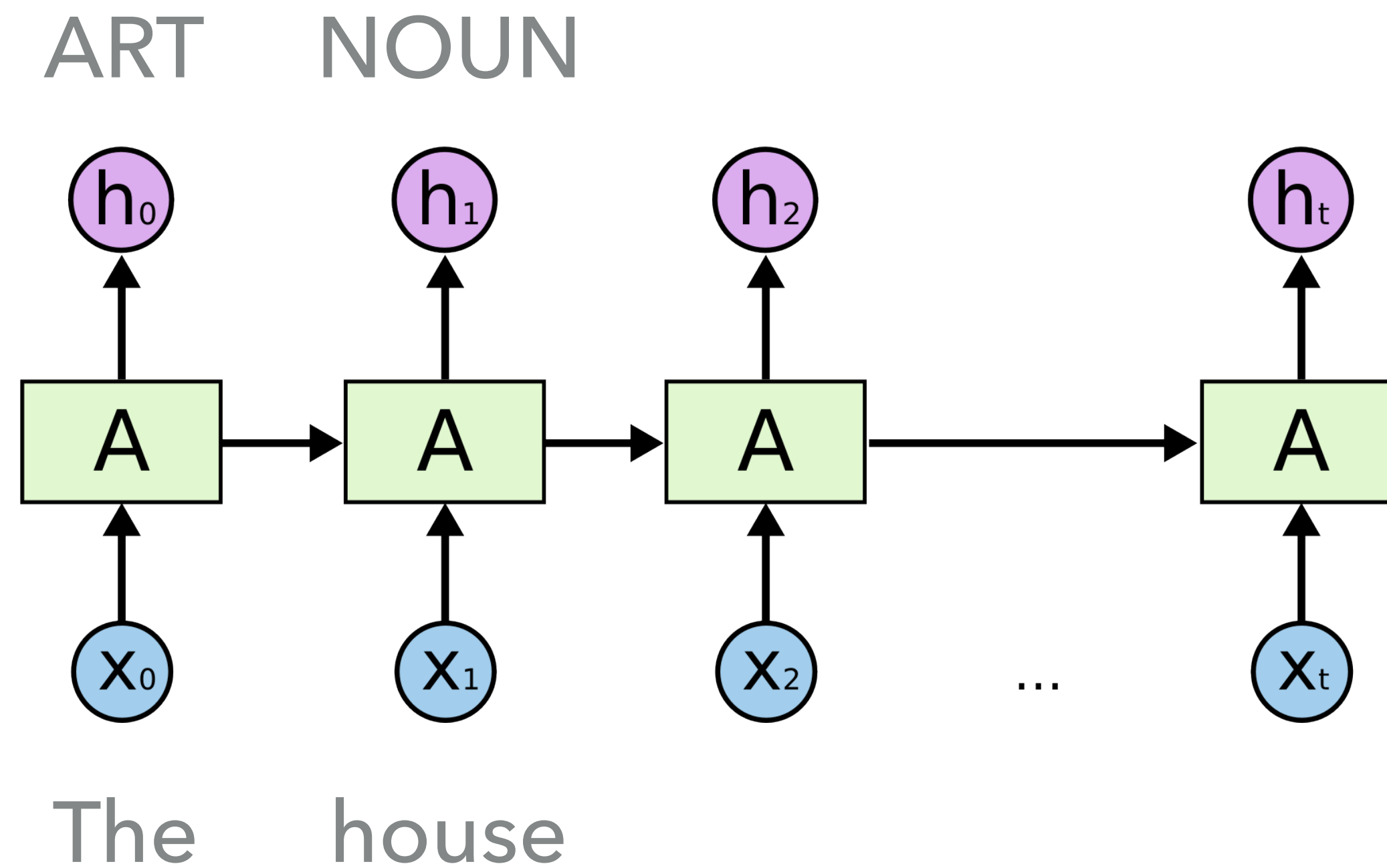
ART



The

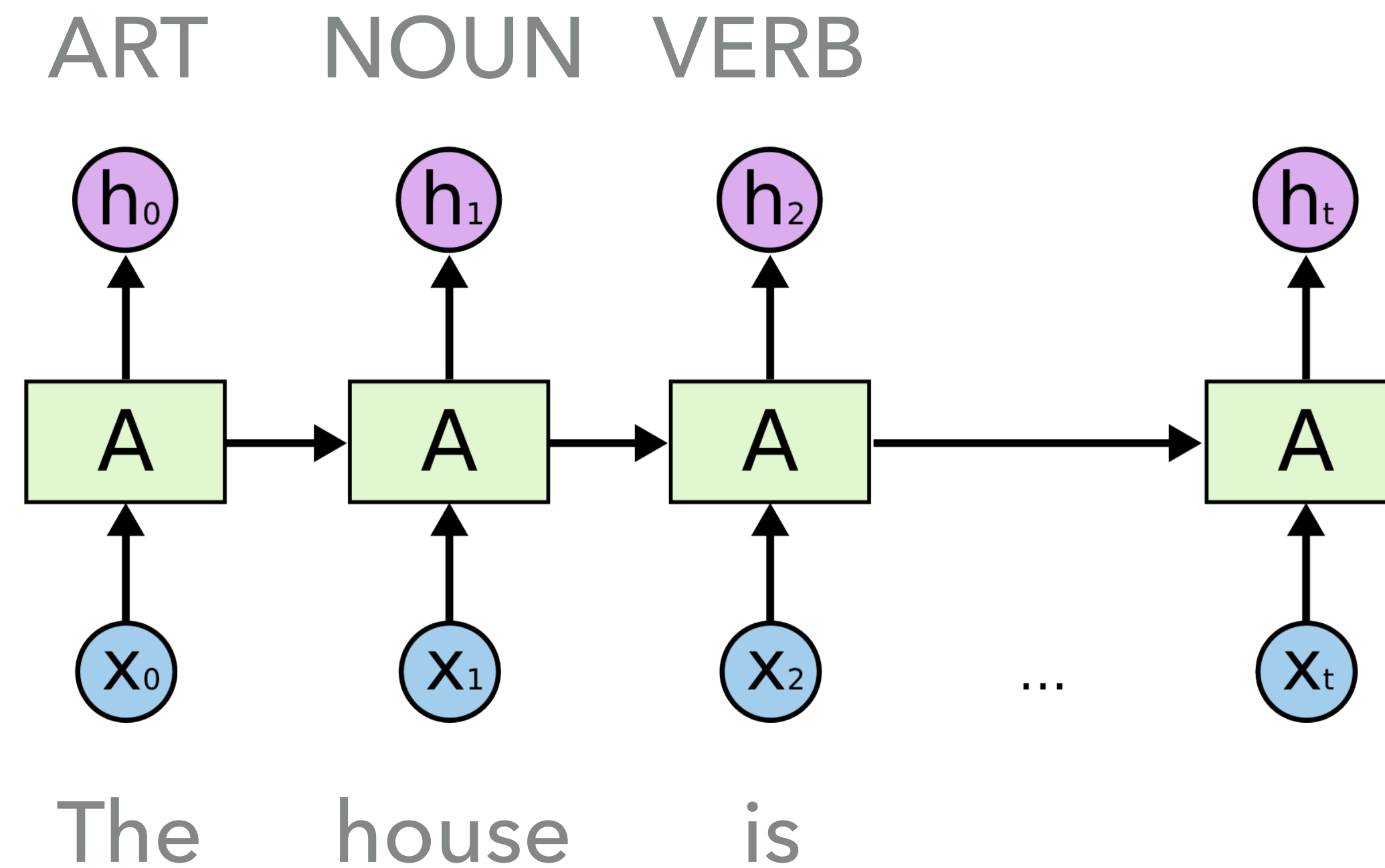
Part-of-Speech Tagging (POS)

RECURRENT NEURAL NETS: APPLICATIONS



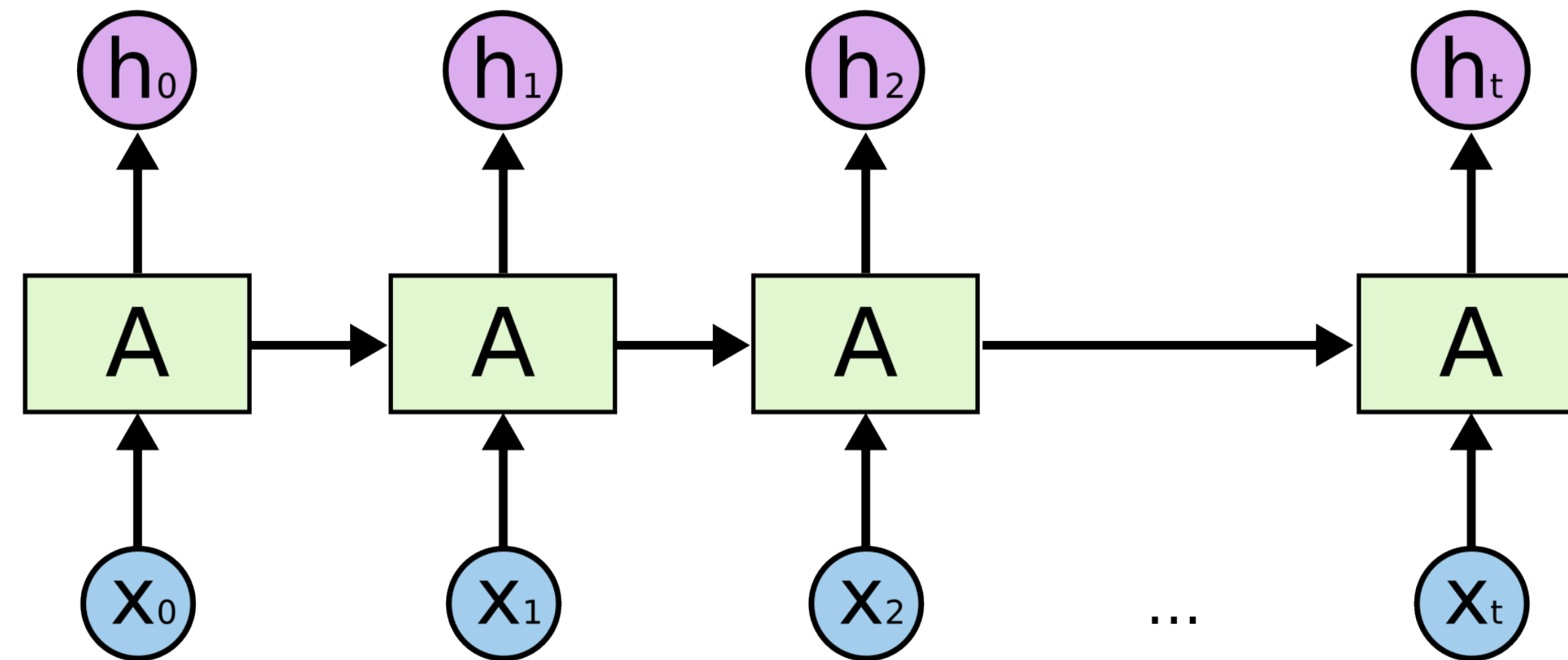
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RECURRENT NEURAL NETS: APPLICATIONS



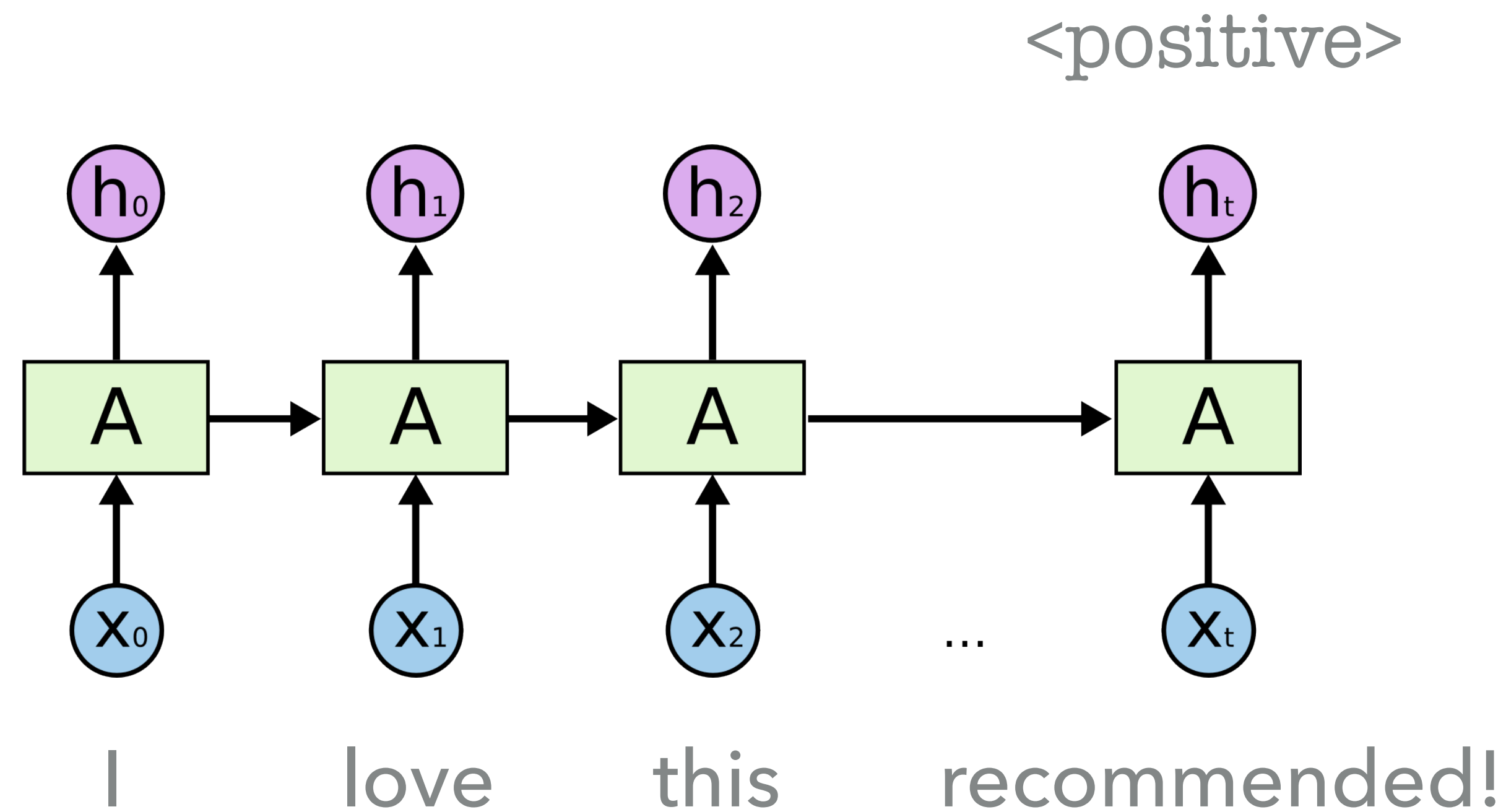
Part-of-Speech Tagging (POS)

RECURRENT NEURAL NETS: APPLICATIONS



Sentence Classification
(e.g. sentiment analysis)

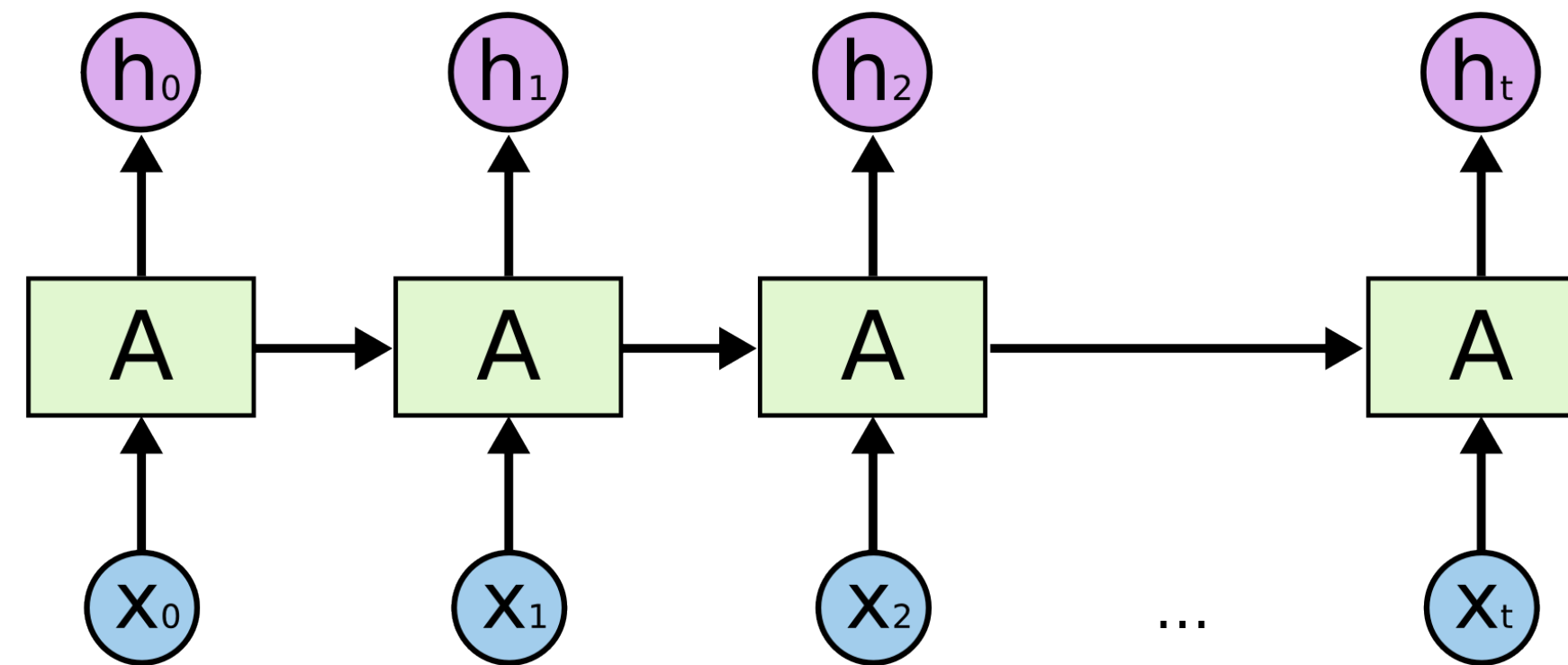
RECURRENT NEURAL NETS: APPLICATIONS



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RECURRENT NEURAL NETS: PROS AND CONS

PROS

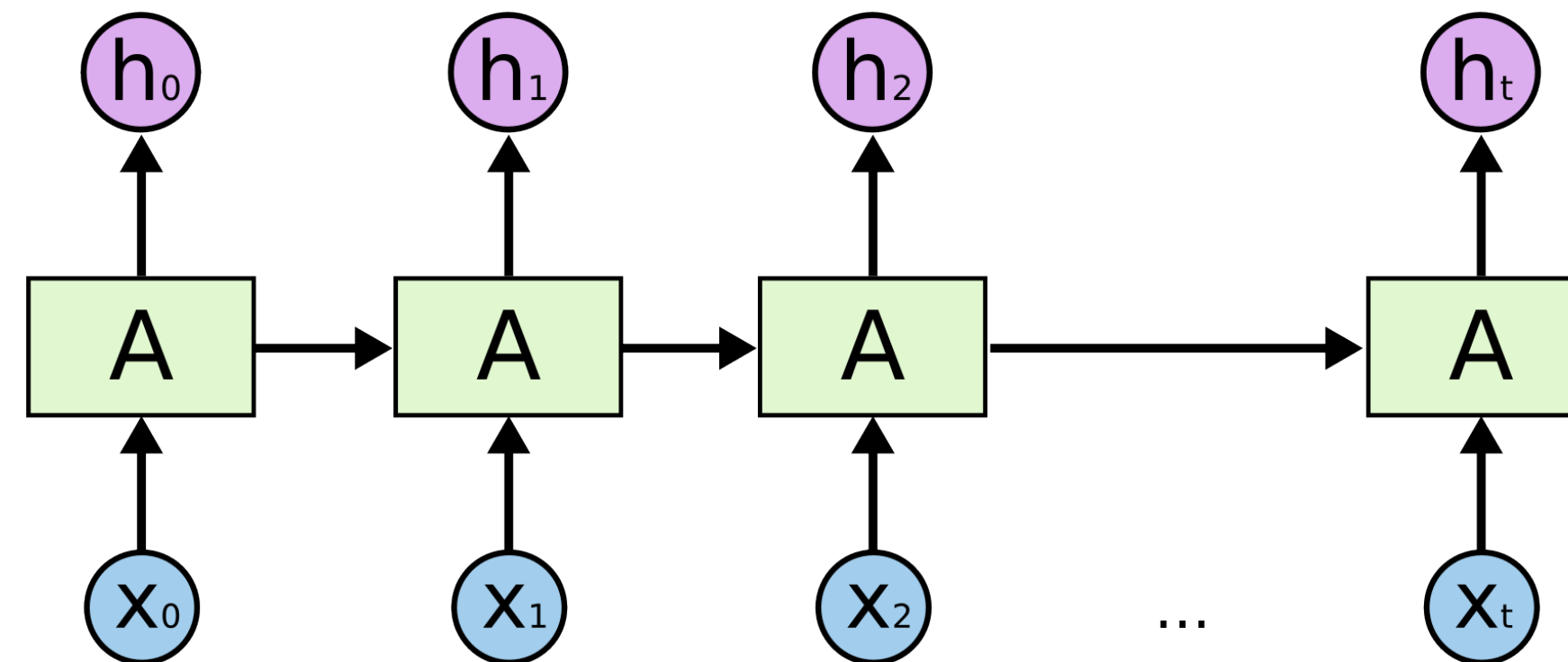


CONS

RECURRENT NEURAL NETS: PROS AND CONS

PROS

- Can take inputs of variable (and potentially infinite) length

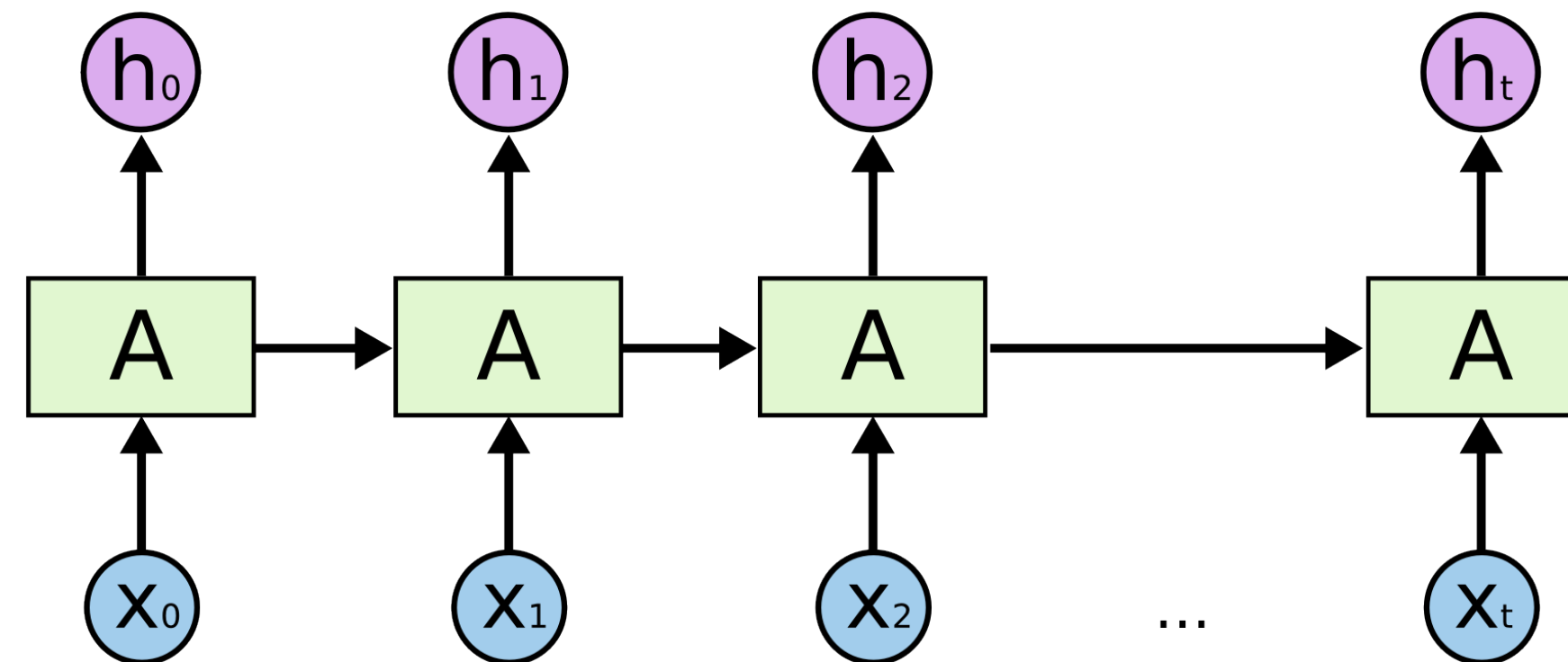


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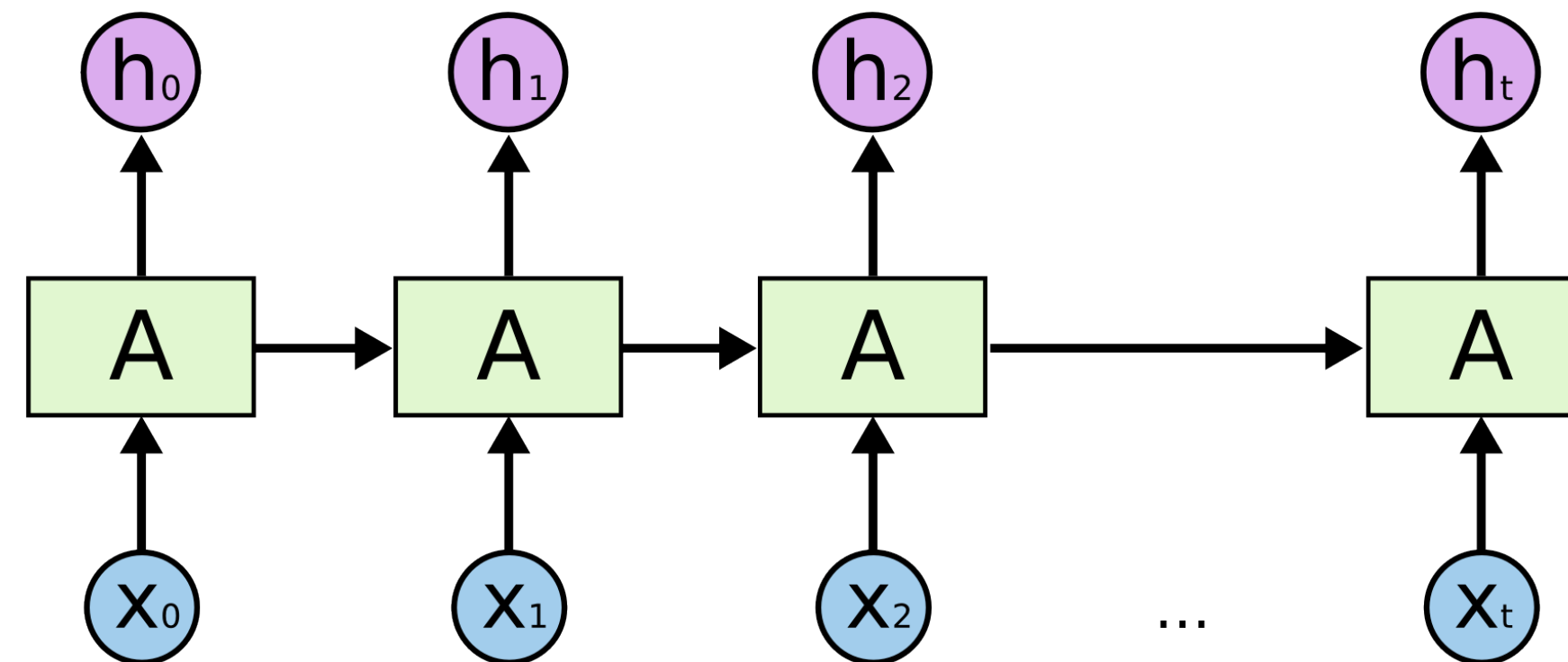


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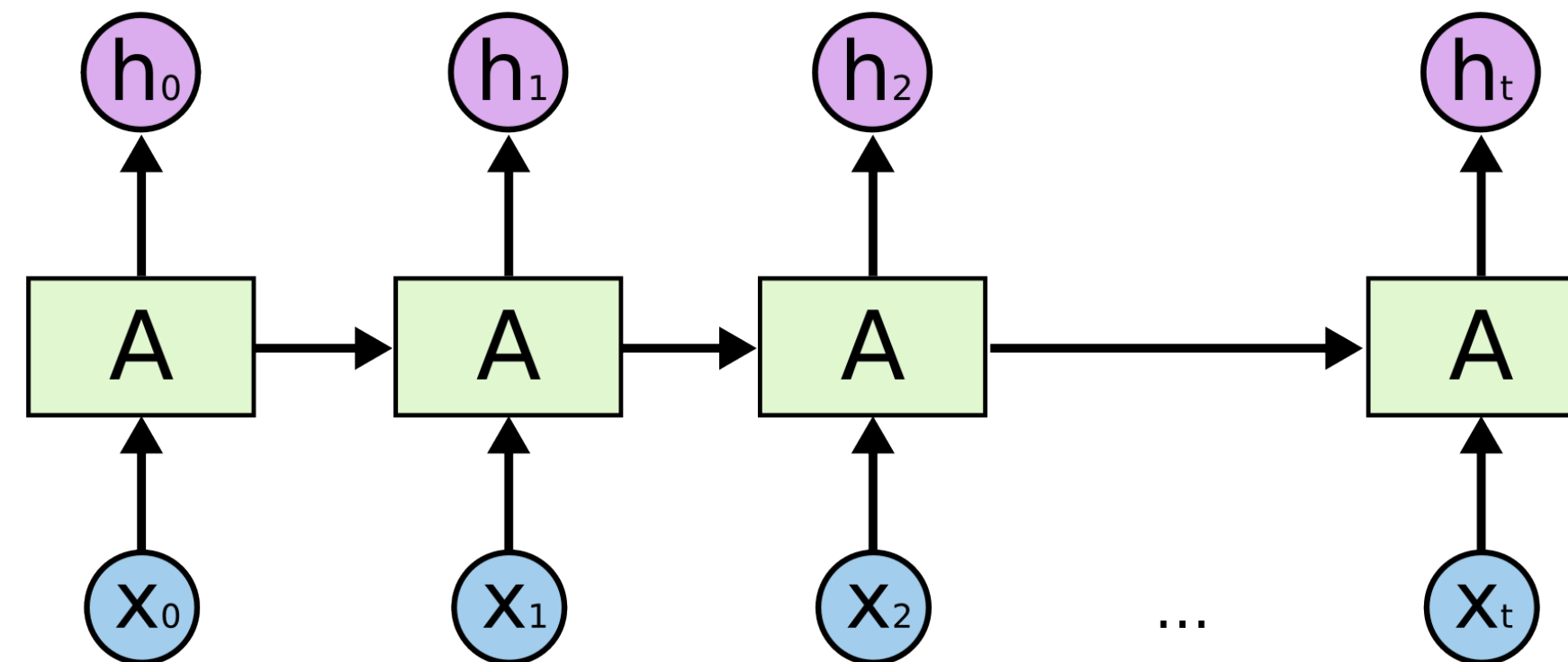


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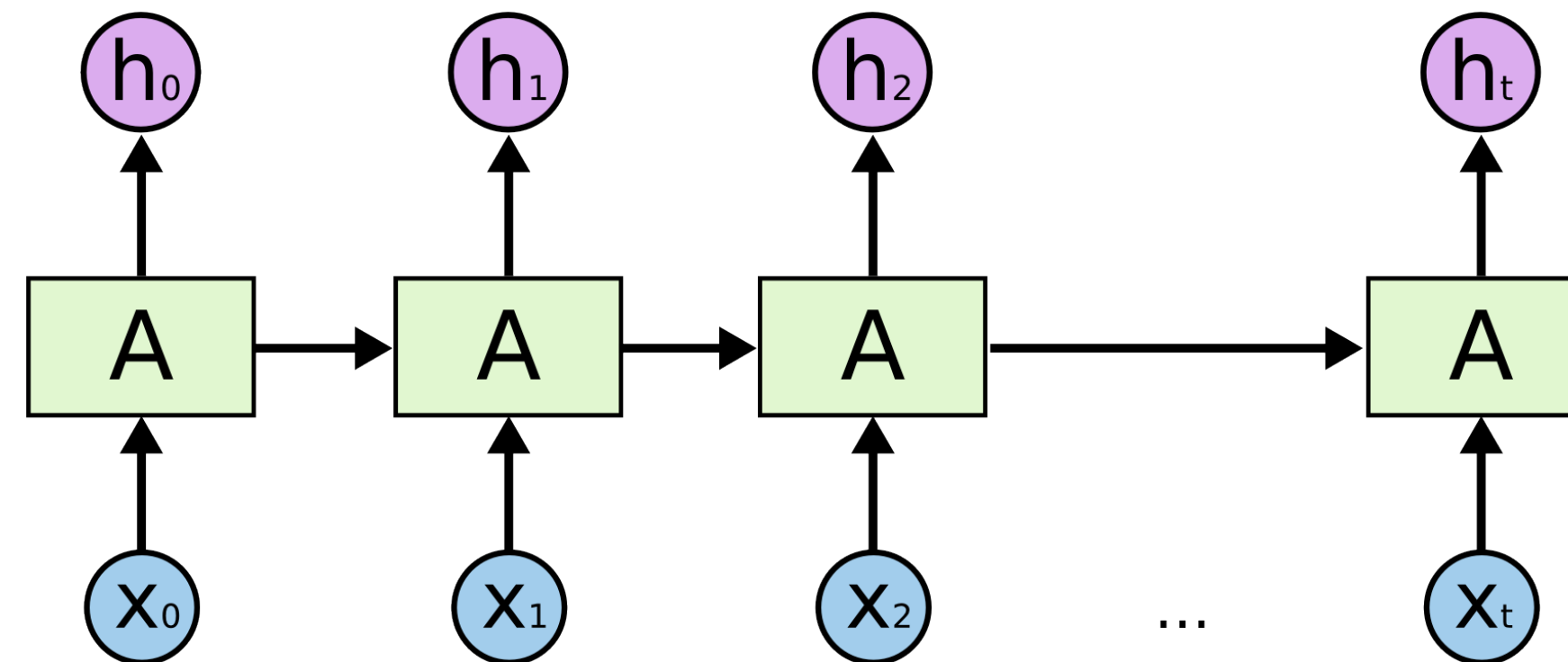
CONS

- Computation can be very slow

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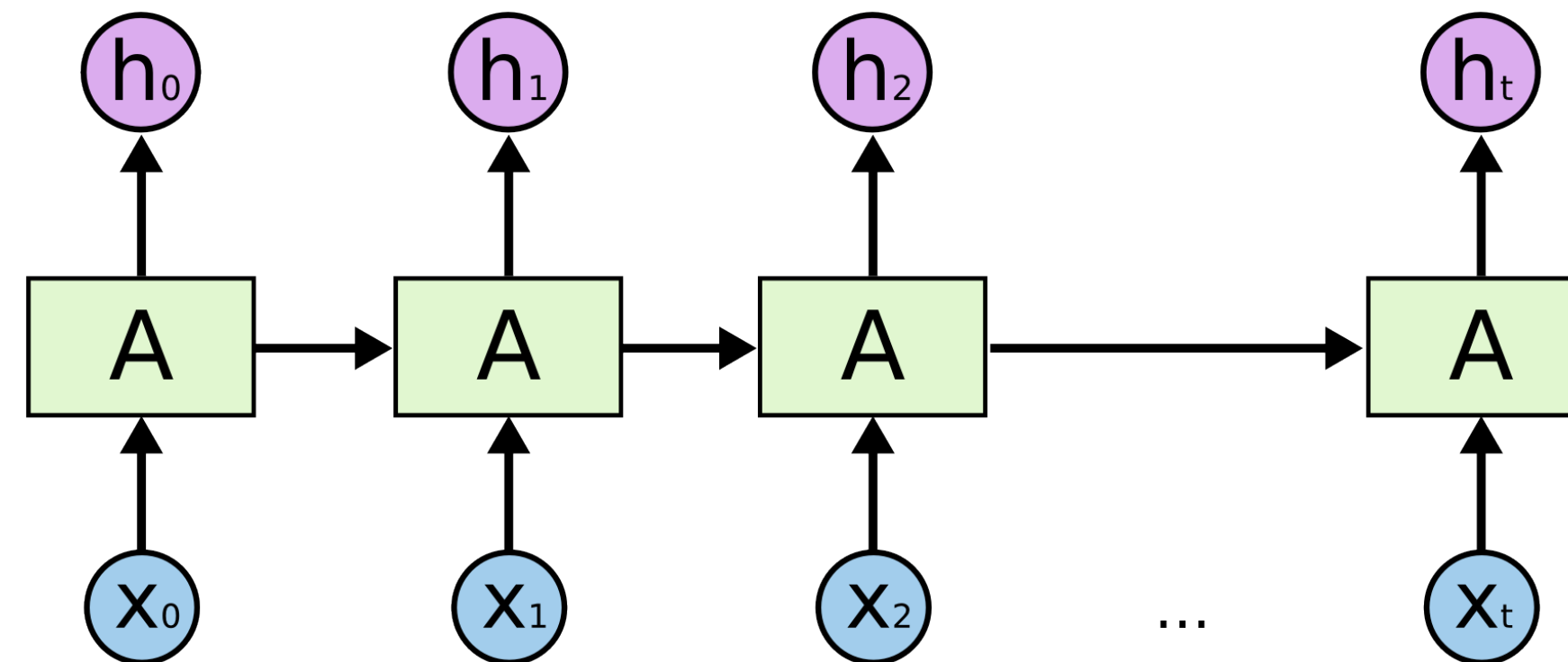
CONS

- Computation can be very slow
- Information degrades in every time step

RECURRENT NEURAL NETS: PROS AND CONS

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CONS

- Computation can be very slow
- Information degrades in every time step
- **Exploding** and **vanishing** gradients

RECURRENT NEURAL NETS: VANISHING GRADIENT PROBLEM

Analysis for simplified case ($\sigma = \text{identity}$). General case follows similar proof.

(Whiteboard)

LSTM: LONG SHORT-TERM MEMORY NETWORK

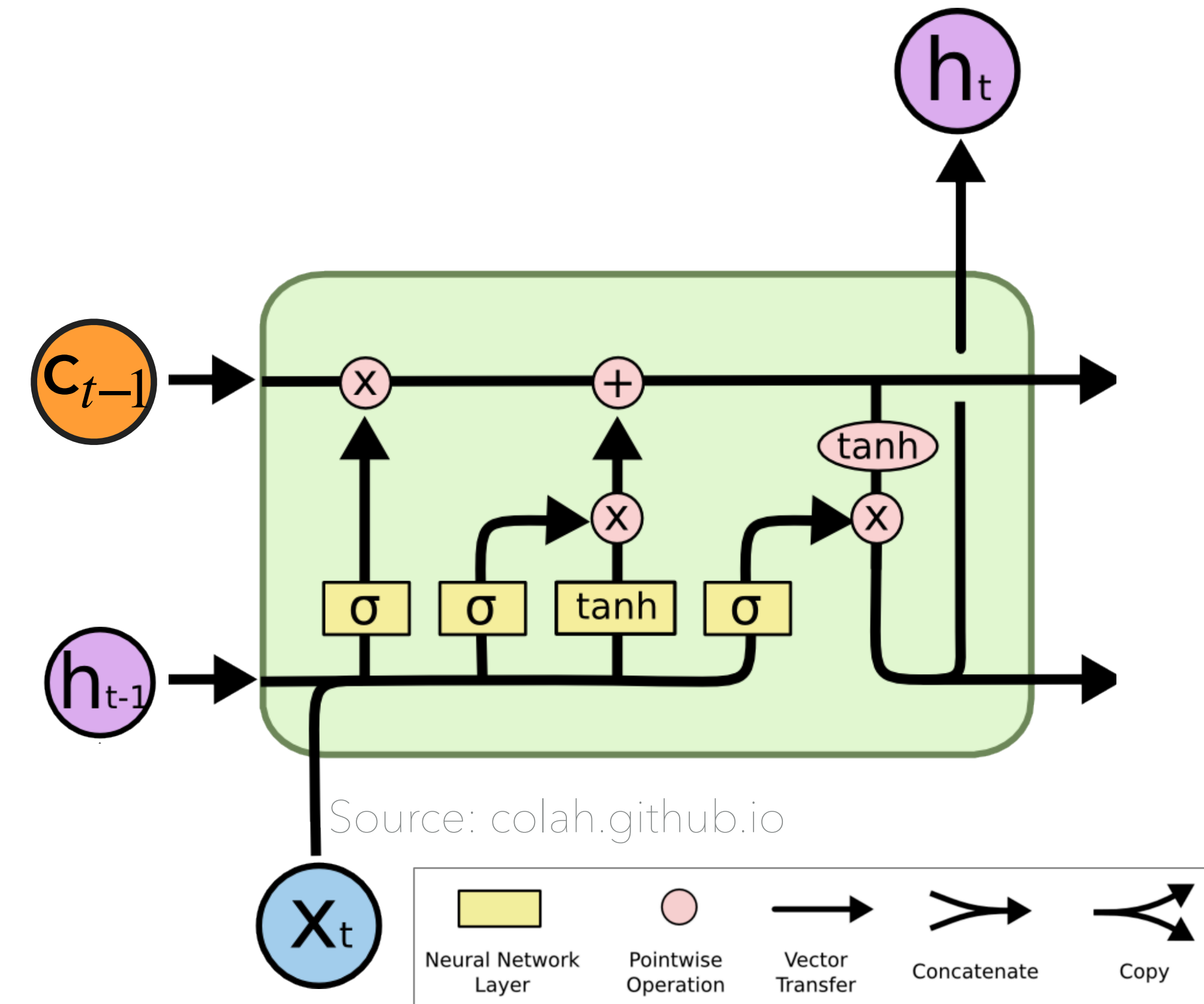
[Schmidhuber et al. 1992]

Addresses the gradient problems by using 'gates' to control information flow

LSTM: LONG SHORT-TERM MEMORY NETWORK

[Schmidhuber et al. 1992]

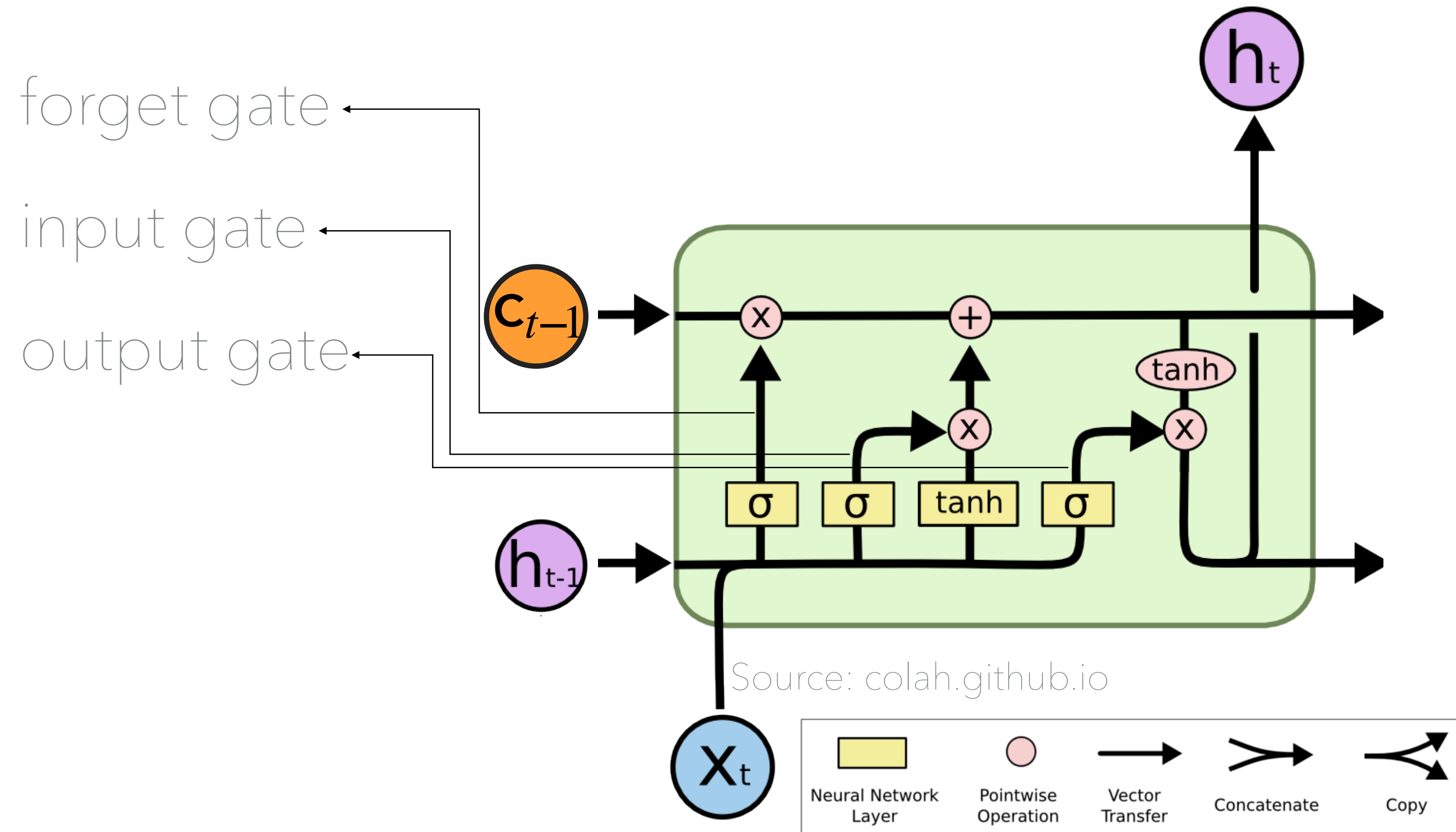
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$$\mathbf{f}^{(t)} = \sigma(\mathbf{W}_f \mathbf{h}^{(t-1)} + \mathbf{U}_f \mathbf{x}^{(t)} + \mathbf{b}_f)$$

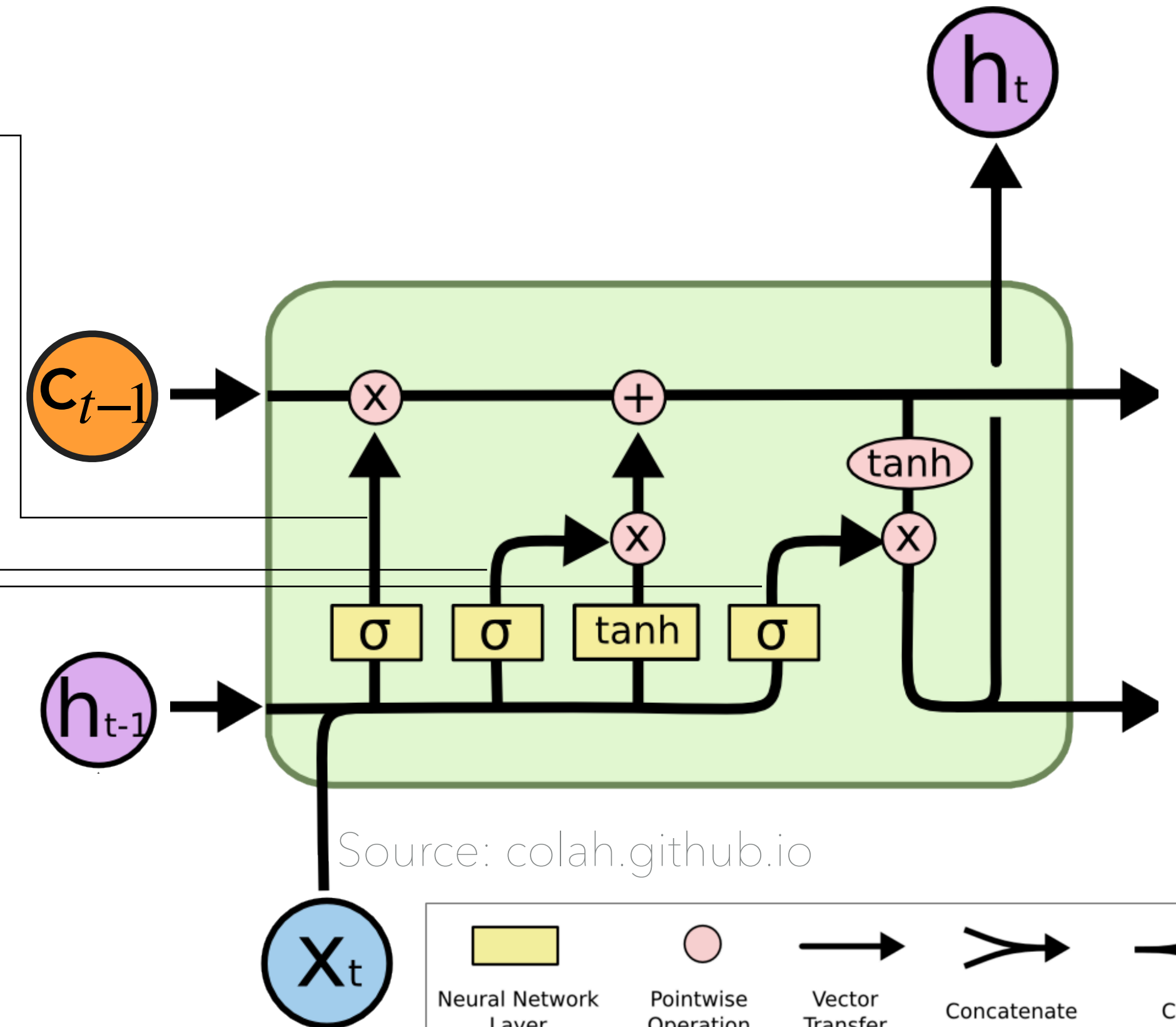
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$$\mathbf{o}^{(t)} = \sigma(\mathbf{W}_o \mathbf{h}^{(t-1)} + \mathbf{U}_o \mathbf{x}^{(t)} + \mathbf{b}_o)$$

forget gate

input gate

output gate



LSTM: LONG SHORT-TERM MEMORY NETWORK

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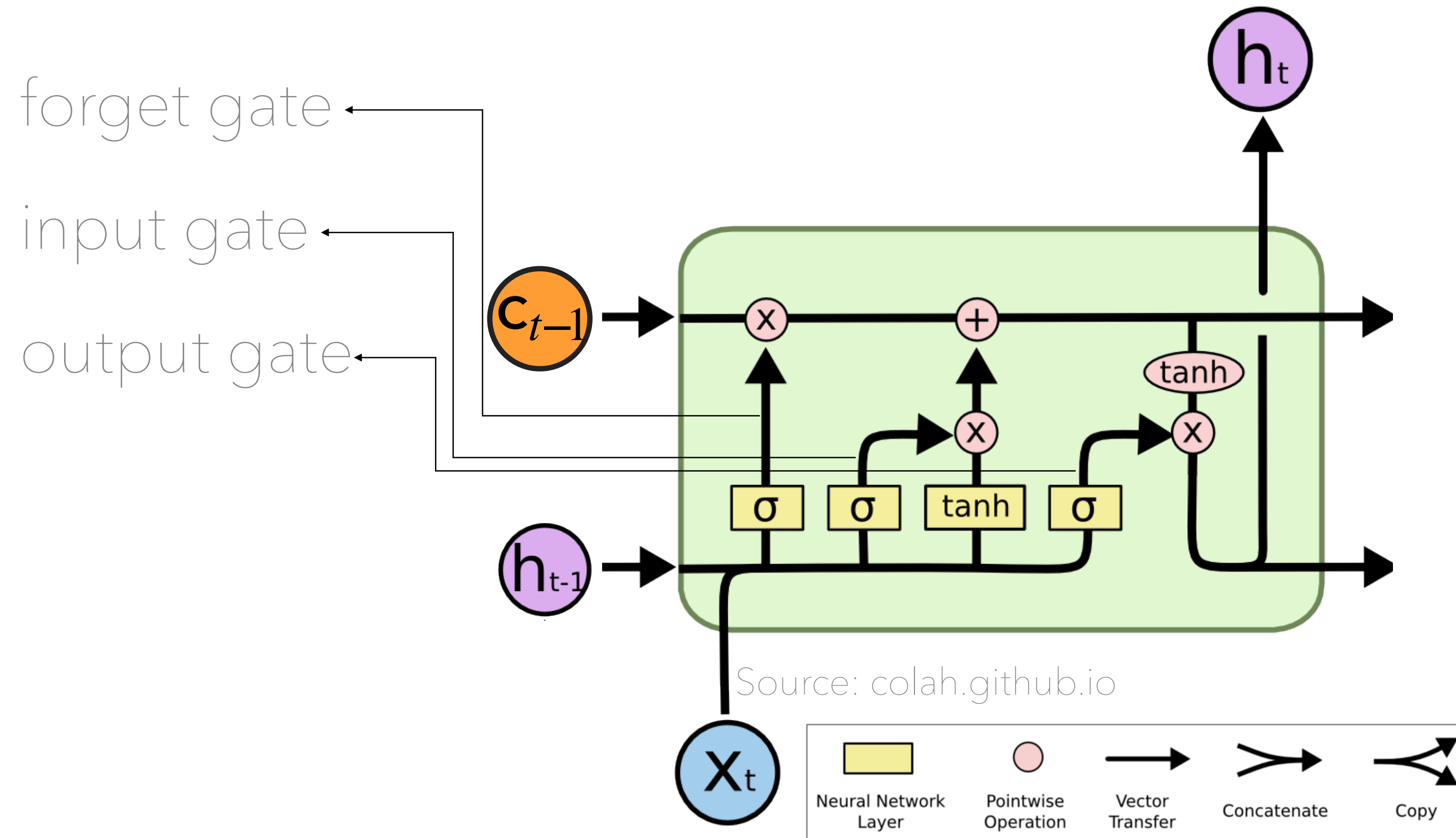
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$$\tilde{\mathbf{c}}^{(t)} = \tanh(\mathbf{W}_c \mathbf{h}^{(t-1)} + \mathbf{U}_c \mathbf{x}^{(t)} + \mathbf{b}_c)$$

$$\mathbf{c}^{(t)} = \mathbf{f}^{(t)} \circ \mathbf{c}^{(t-1)} + \mathbf{i}^{(t)} \circ \tilde{\mathbf{c}}^{(t)}$$

$$\mathbf{h}^{(t)} = \mathbf{o}^{(t)} \circ \tanh \mathbf{c}^{(t)}$$



LSTM: LONG SHORT-TERM MEMORY NETWORK

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forget gate

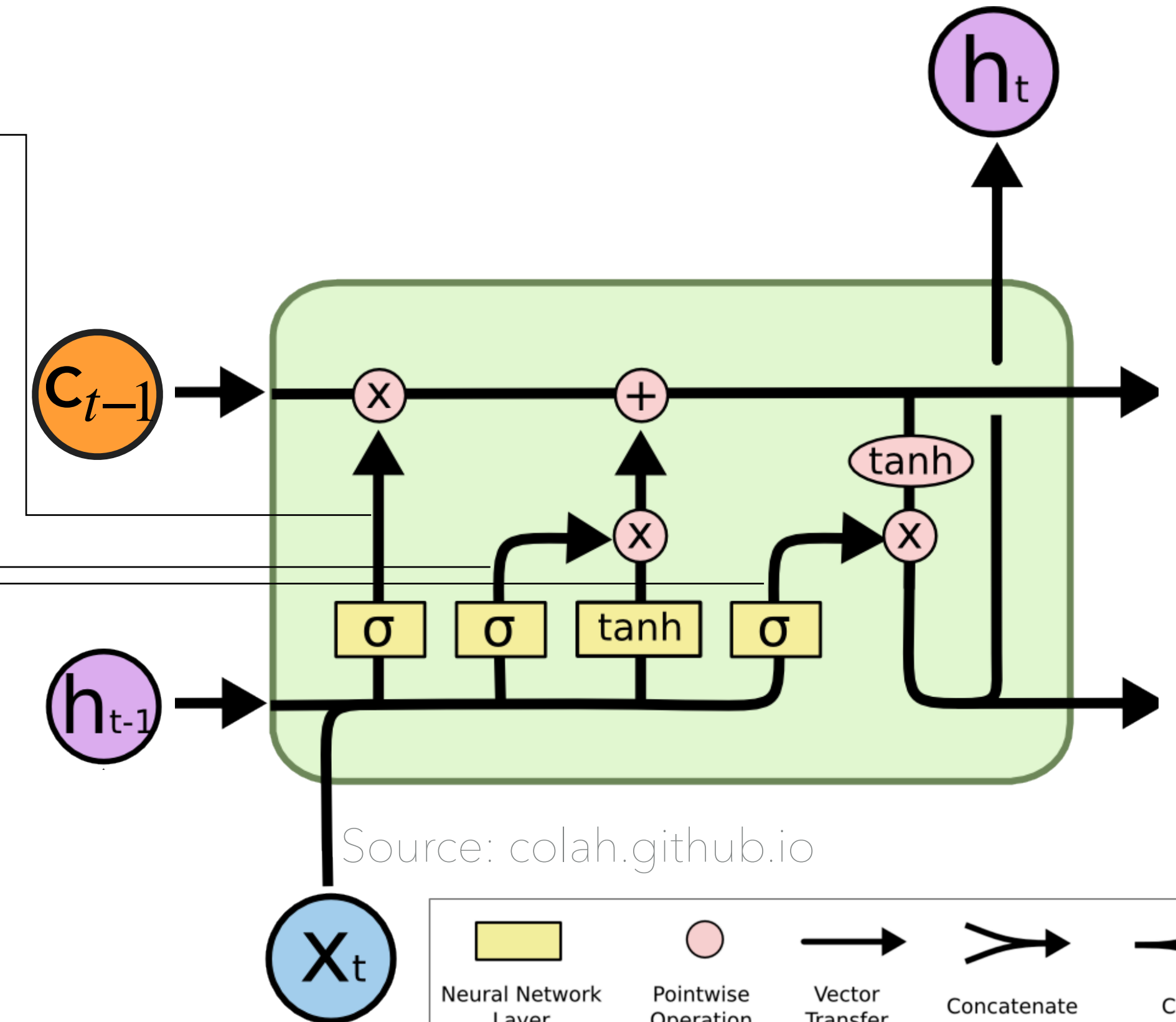
input gate

output gate

cell update

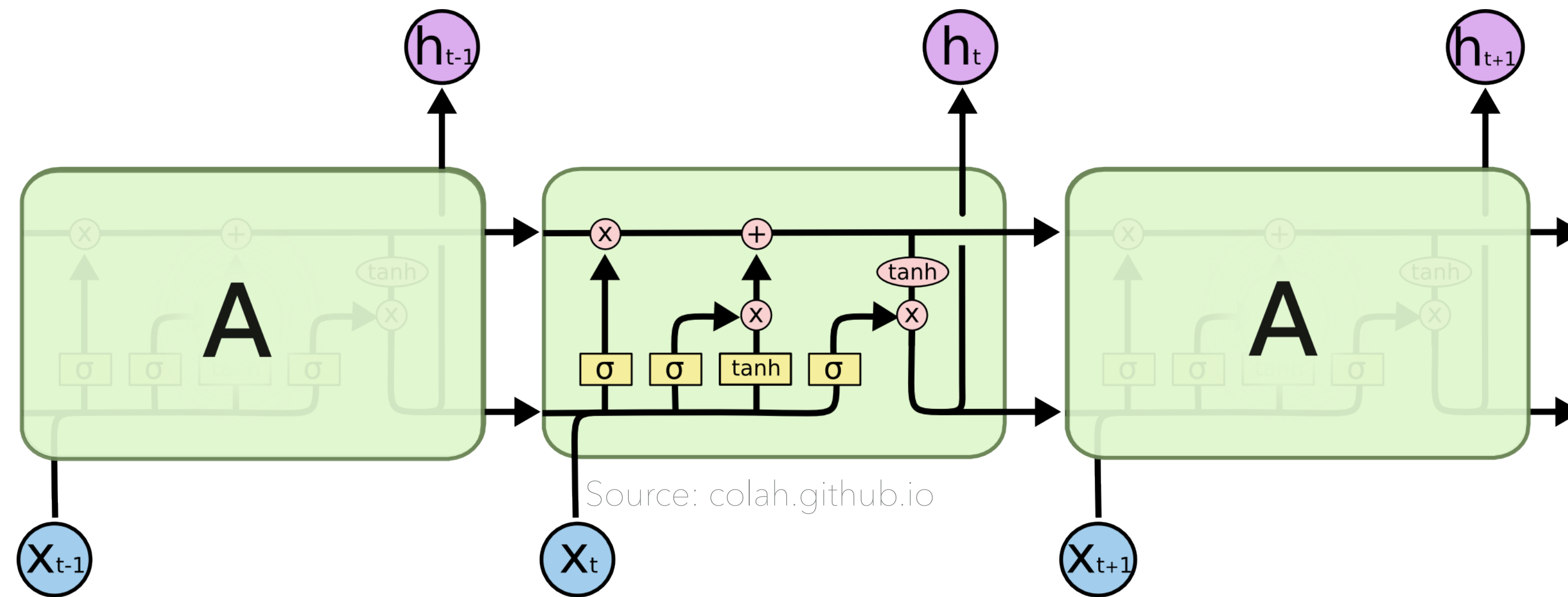
cell state

hidden state



LSTM: LONG SHORT-TERM MEMORY NETWORK

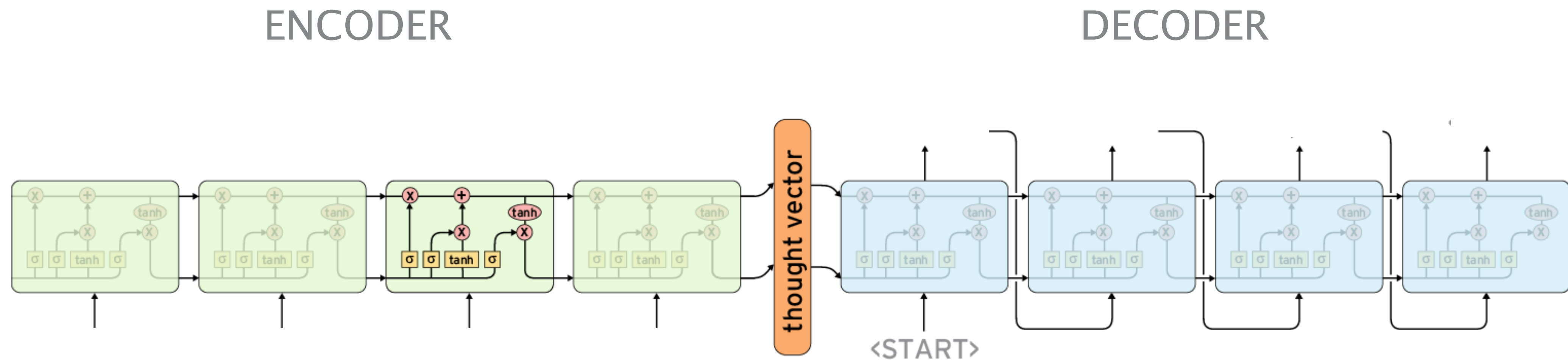
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SEQ2SEQ: SEQUENCE TO SEQUENCE MODEL

[Sutskever et al. 2014]

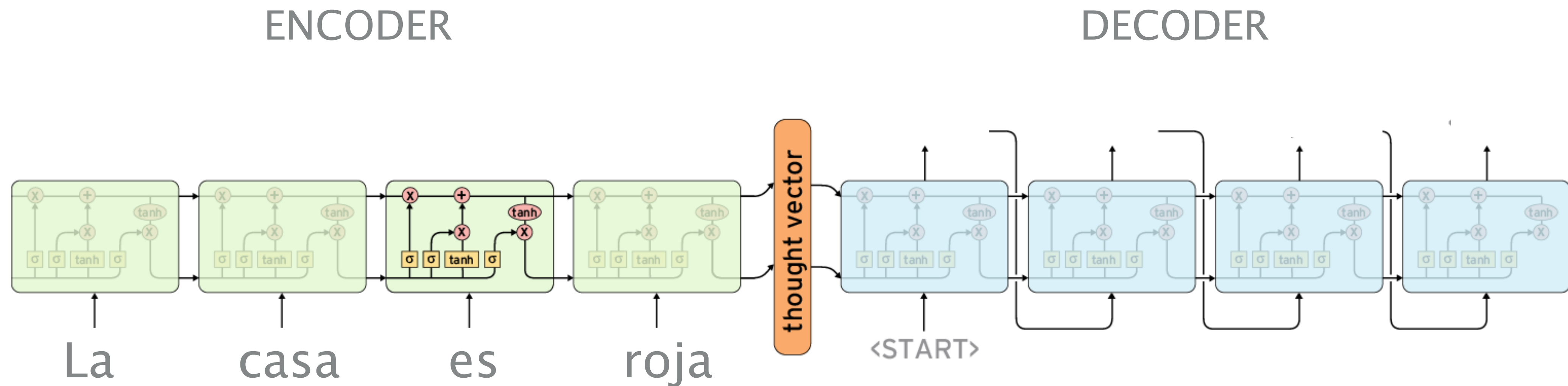
2 RNN's: encoder (processes input sentence) and decoder (generates output)



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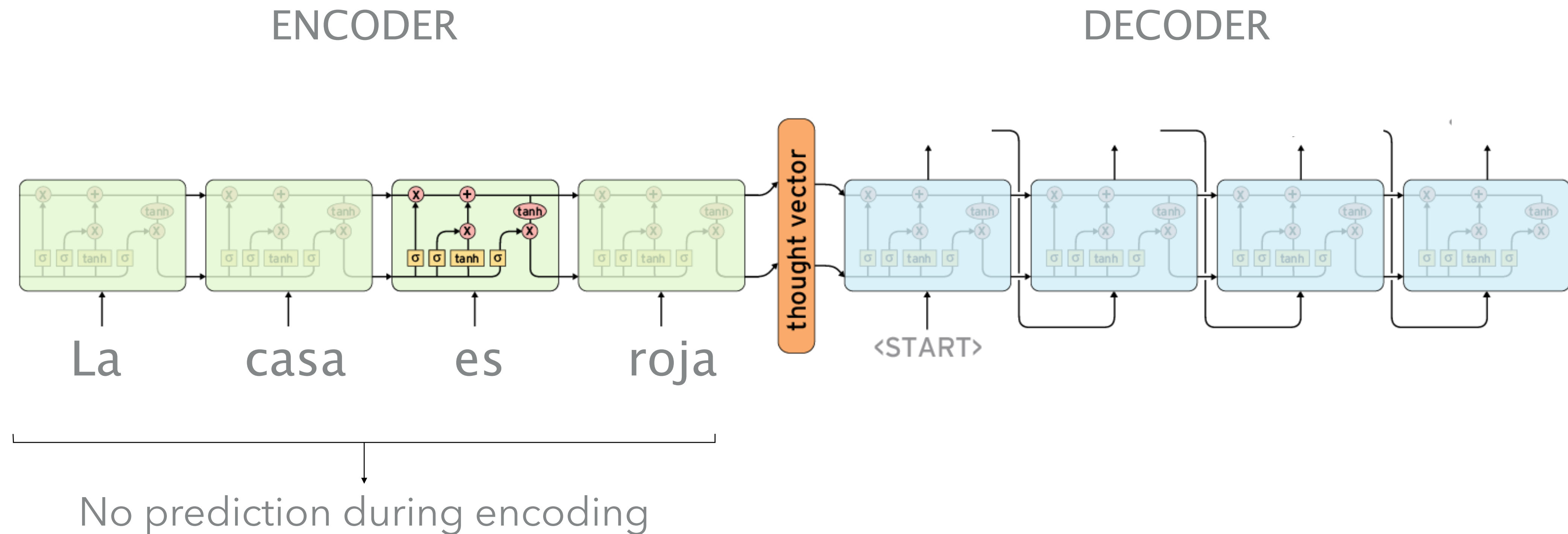
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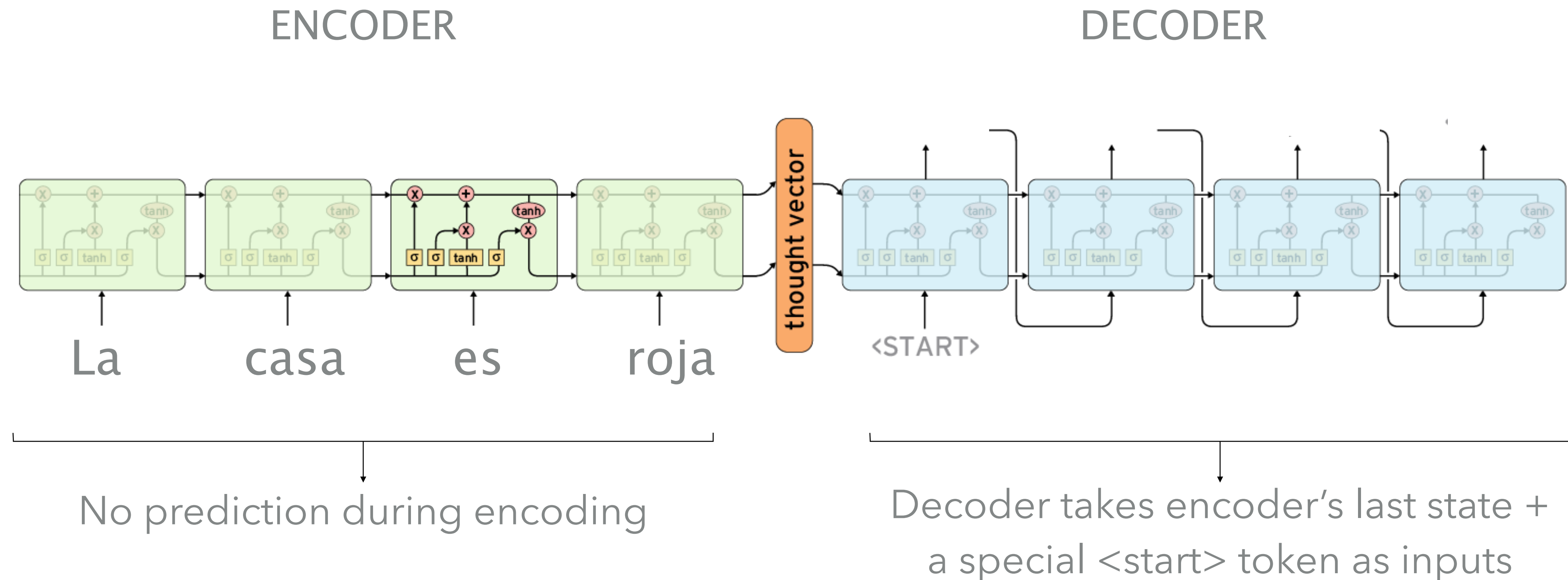
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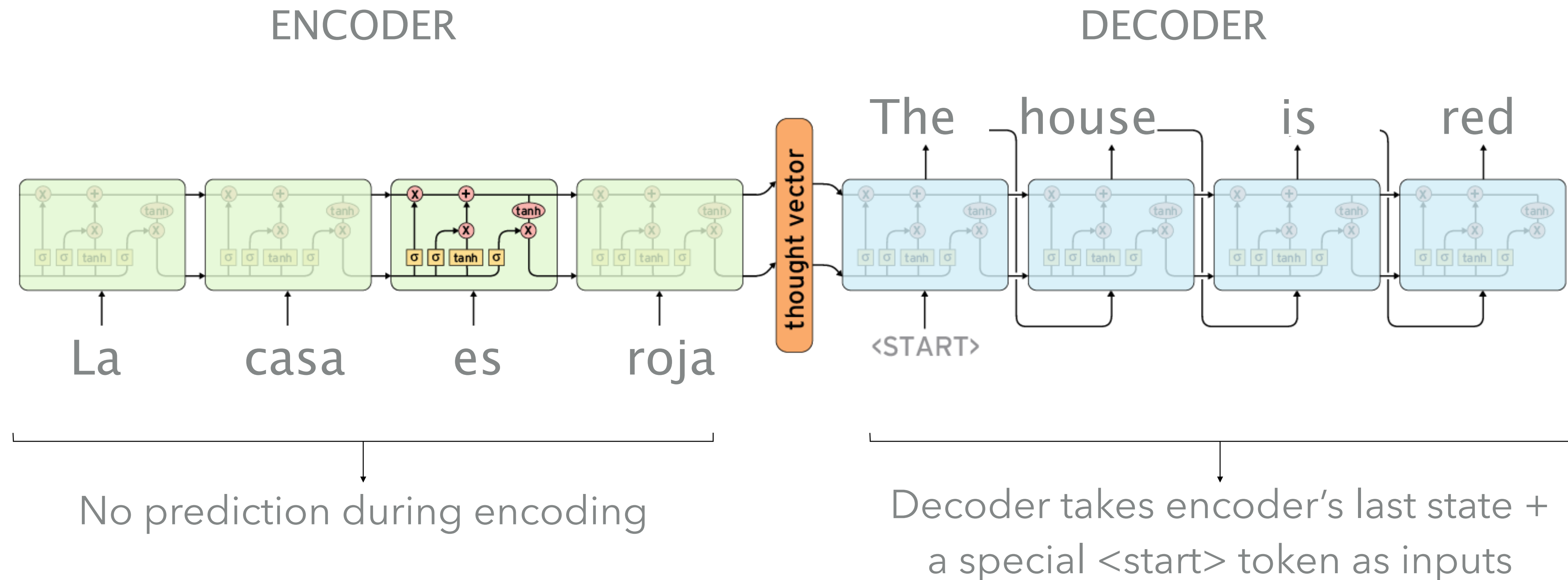
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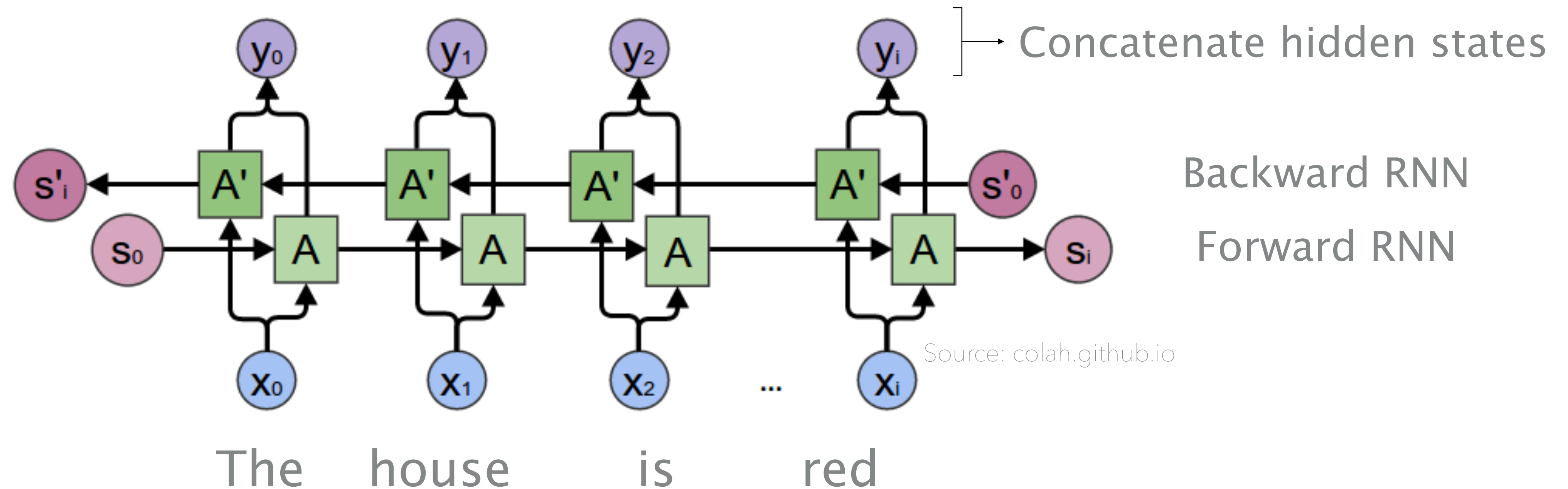
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BIDIRECTIONAL RNNs

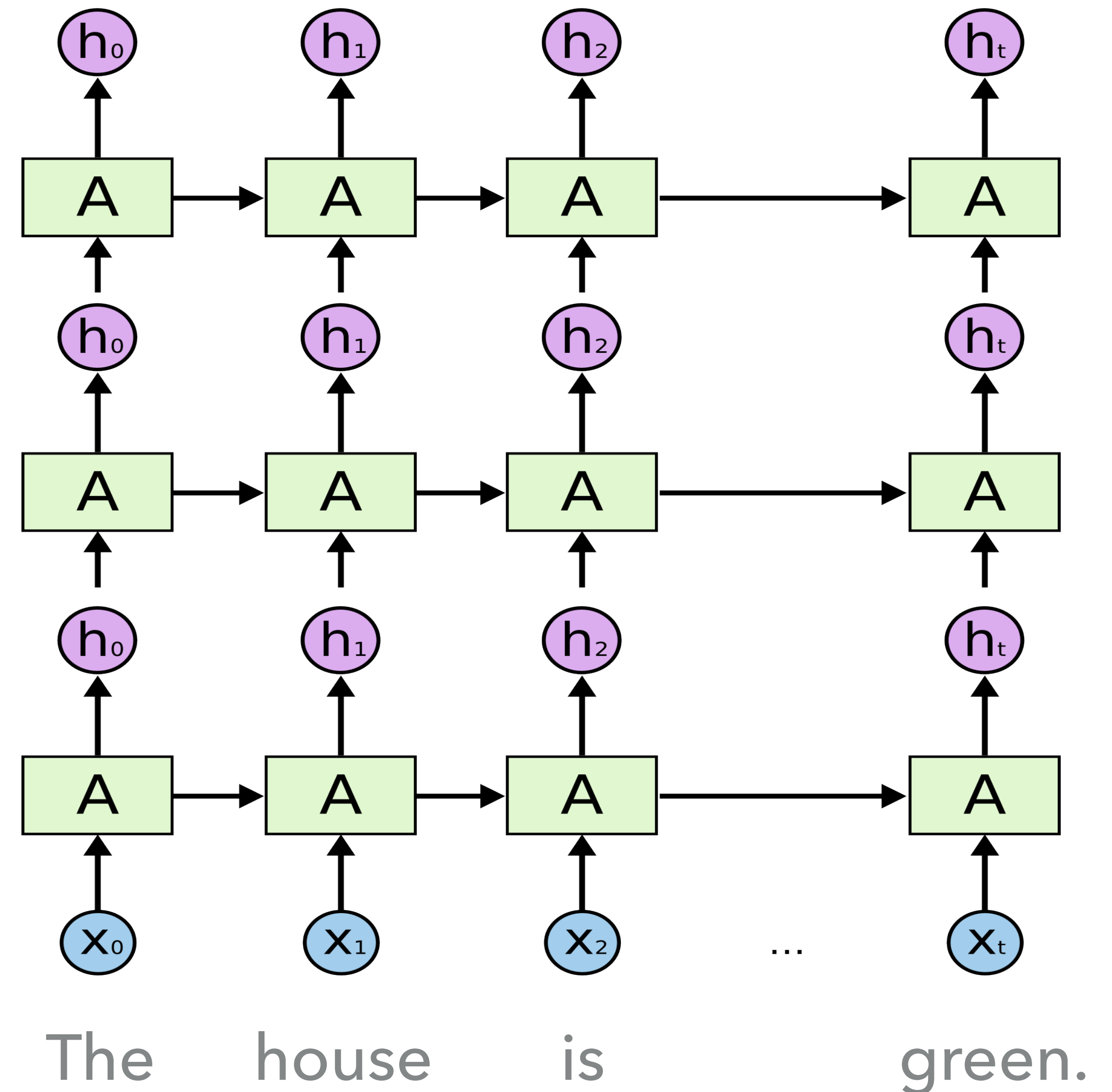


Advantage: prediction can rely on both left and right context

Note: not applicable to Language Modeling! (Why?)

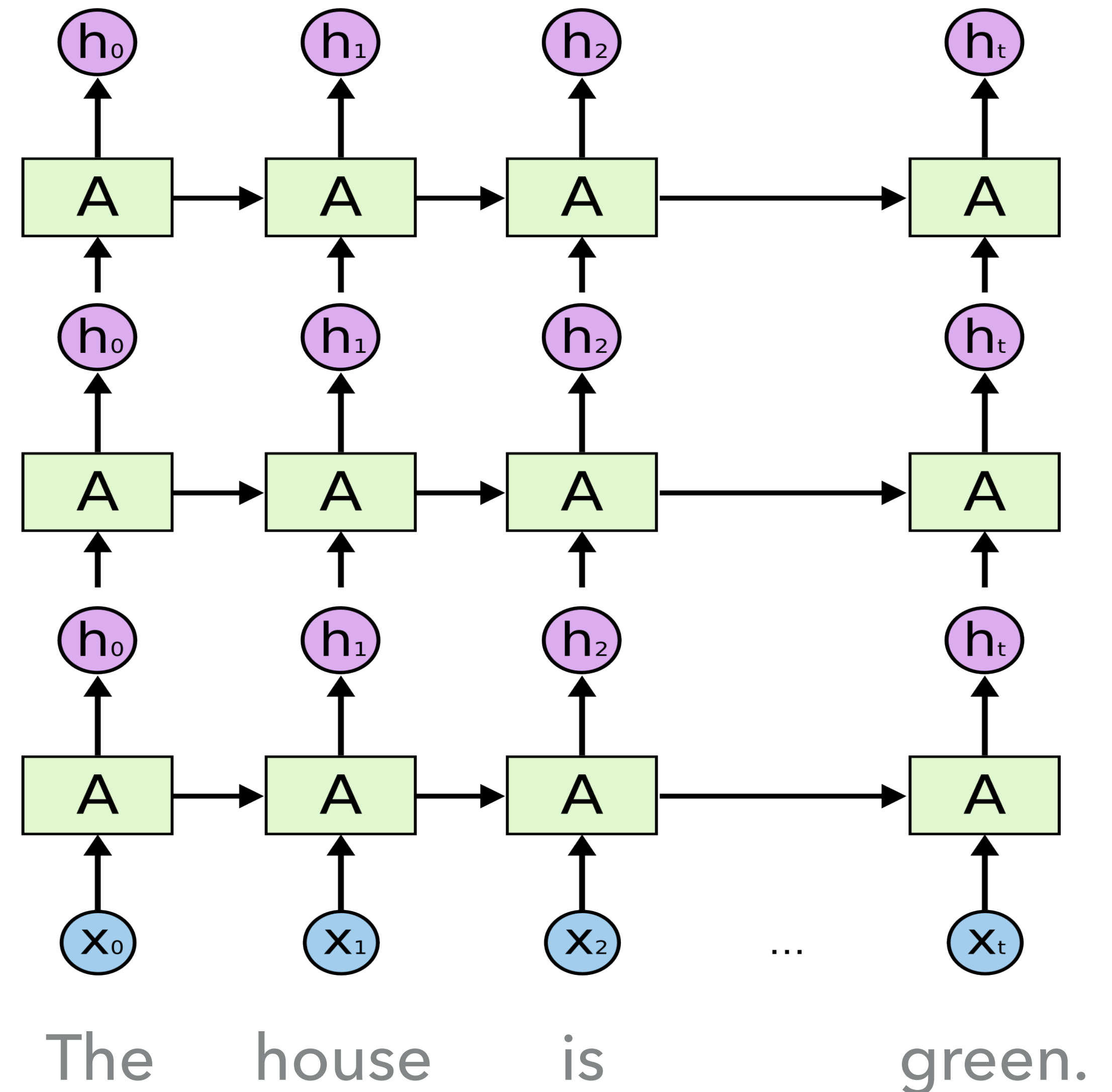
DEEP / STACKED / MULTI-LAYER RNNs

- Inputs to i -th RNN are hidden states of $(i-1)$ -th RNN



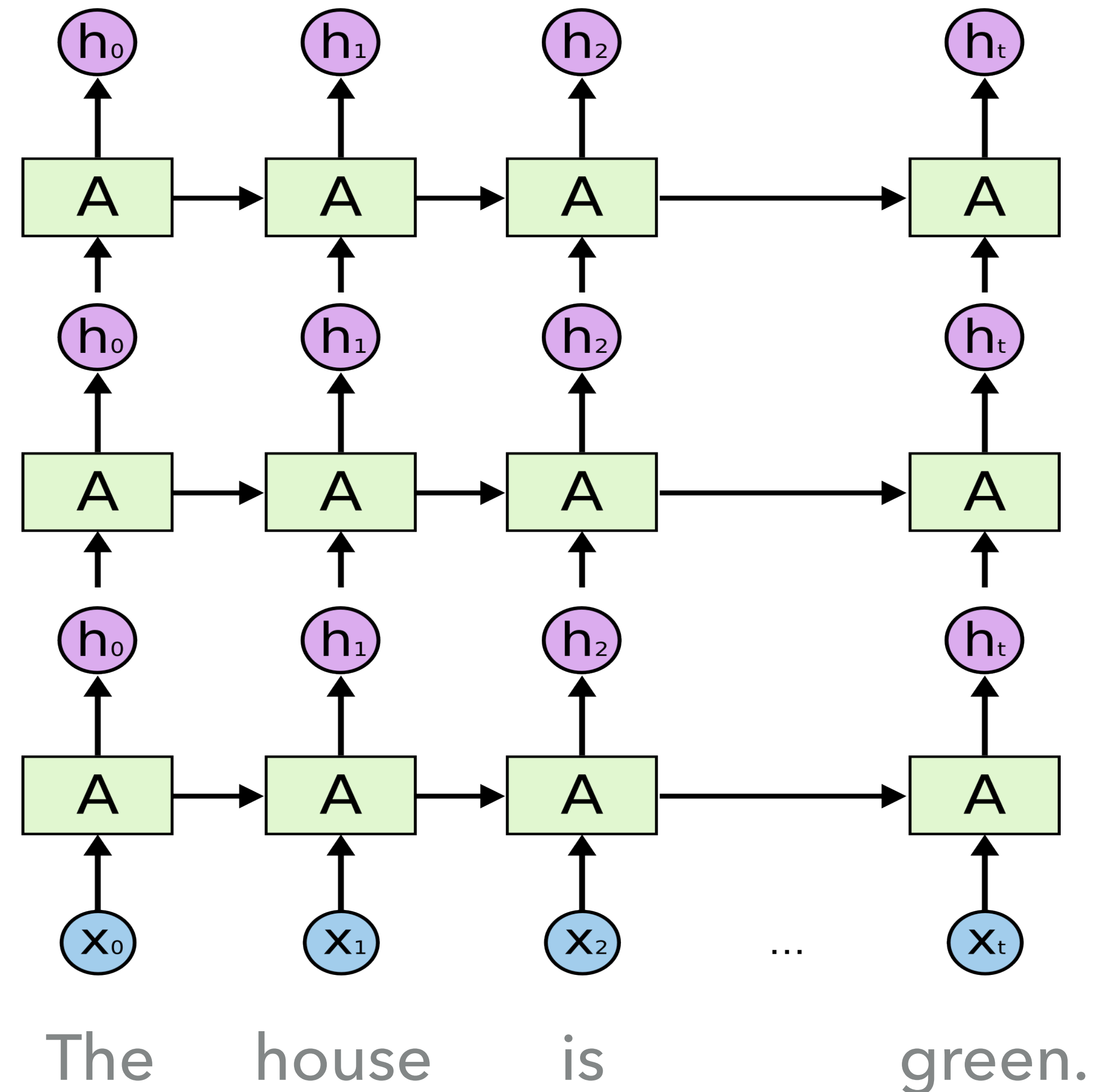
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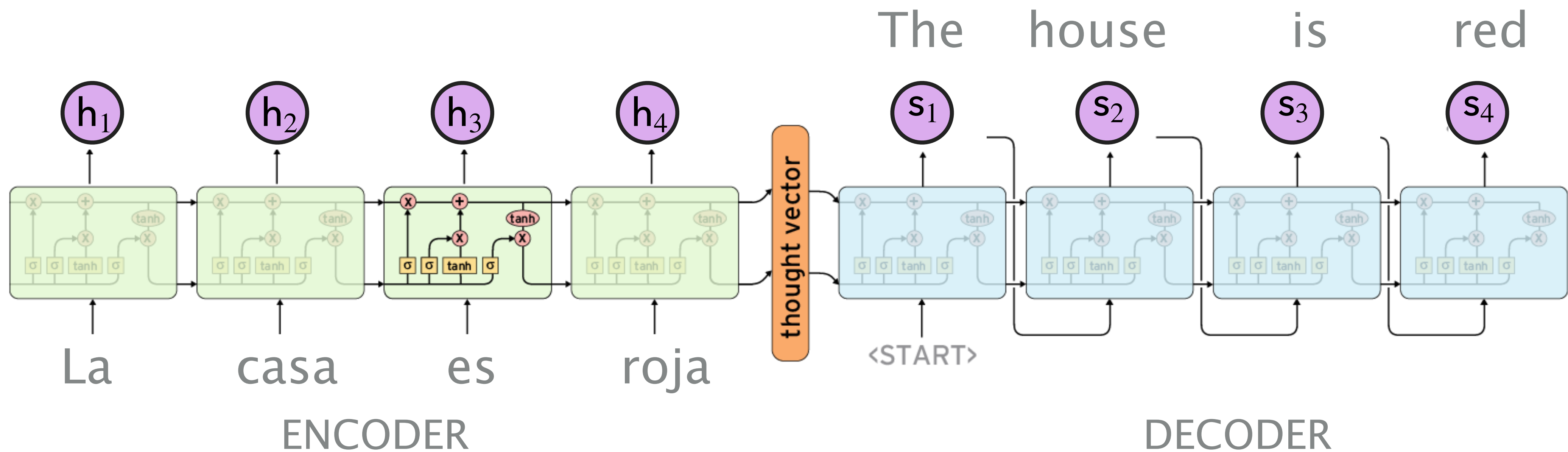
DEEP / STACKED / MULTI-LAYER RNNs

- Inputs to i -th RNN are hidden states of $(i-1)$ -th RNN
- Allows RNN to learn more complex representations
- Typically: lower RNNs learn local/simpler features, higher RNNs learning global/abstract features



ATTENTION

Motivation: entire meaning of source sentence encoded in one vector! (the 'bottleneck problem')

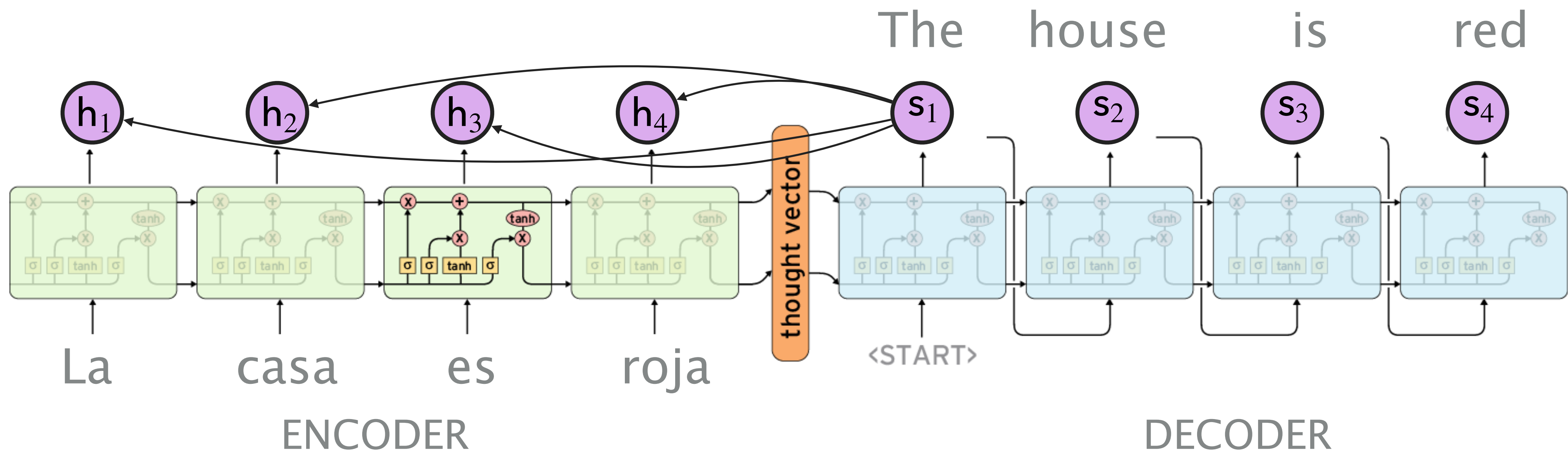


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$$\mathbf{e}_t = [\mathbf{s}_t \cdot \mathbf{h}_1, \dots, \mathbf{s}_t \cdot \mathbf{h}_N]$$

\mathbf{s}_t is sometimes called the 'query'

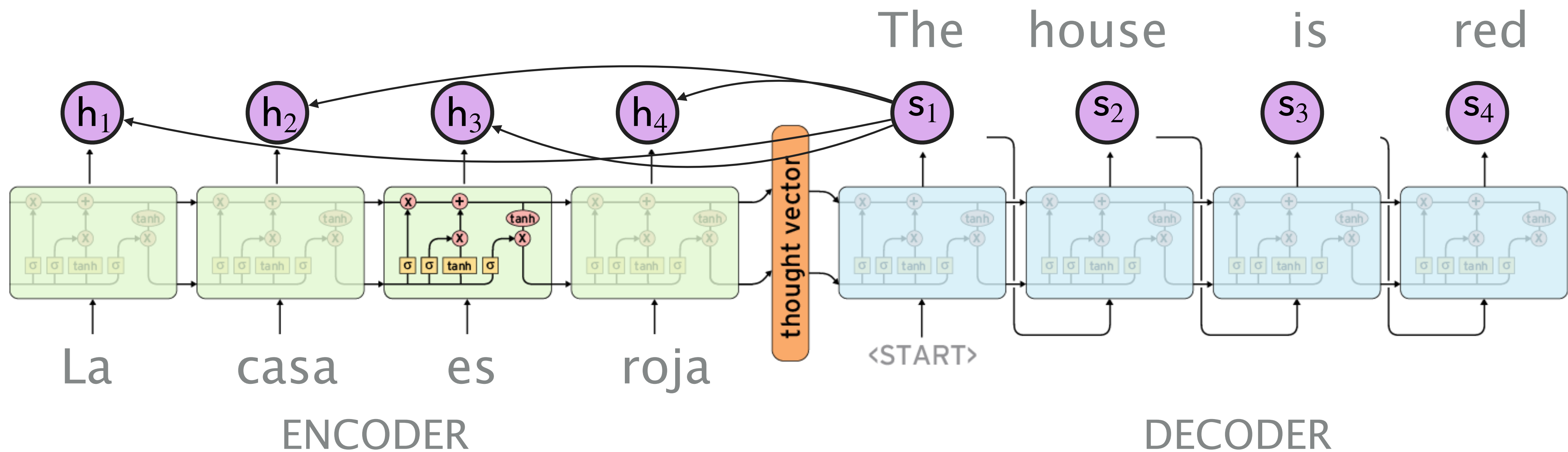


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$$\alpha_t = \text{softmax}(\mathbf{e}_t)$$



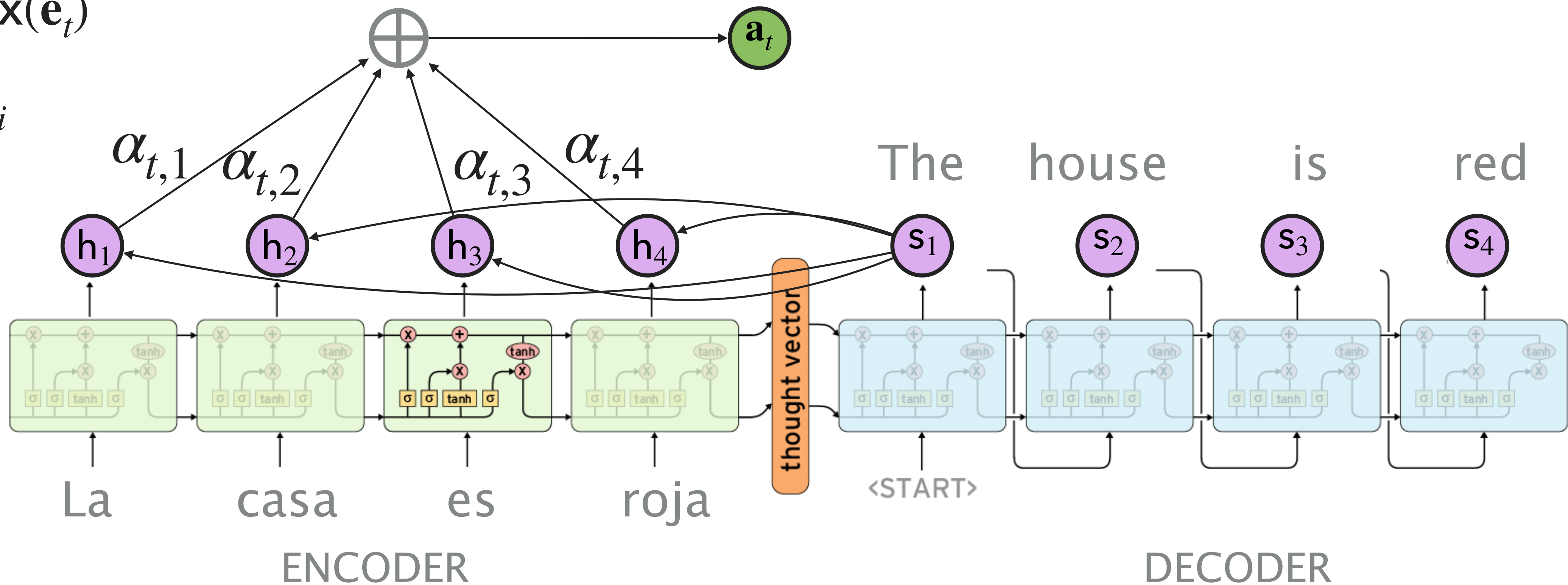
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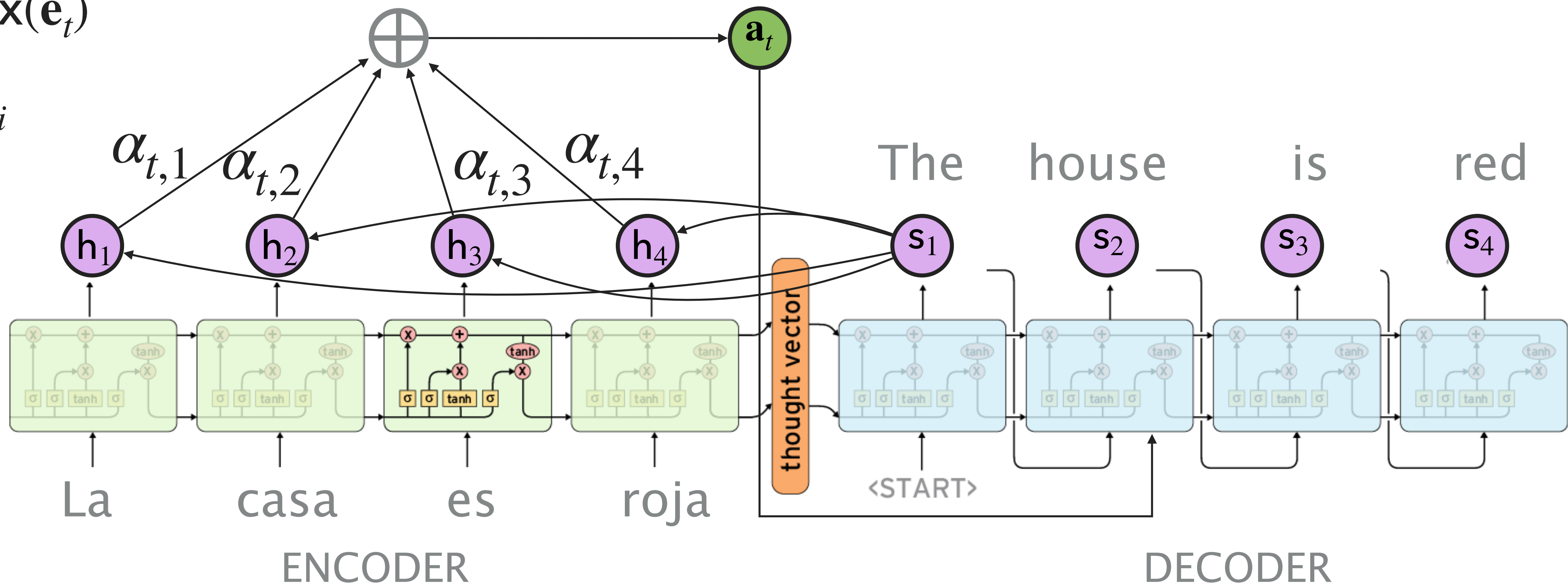
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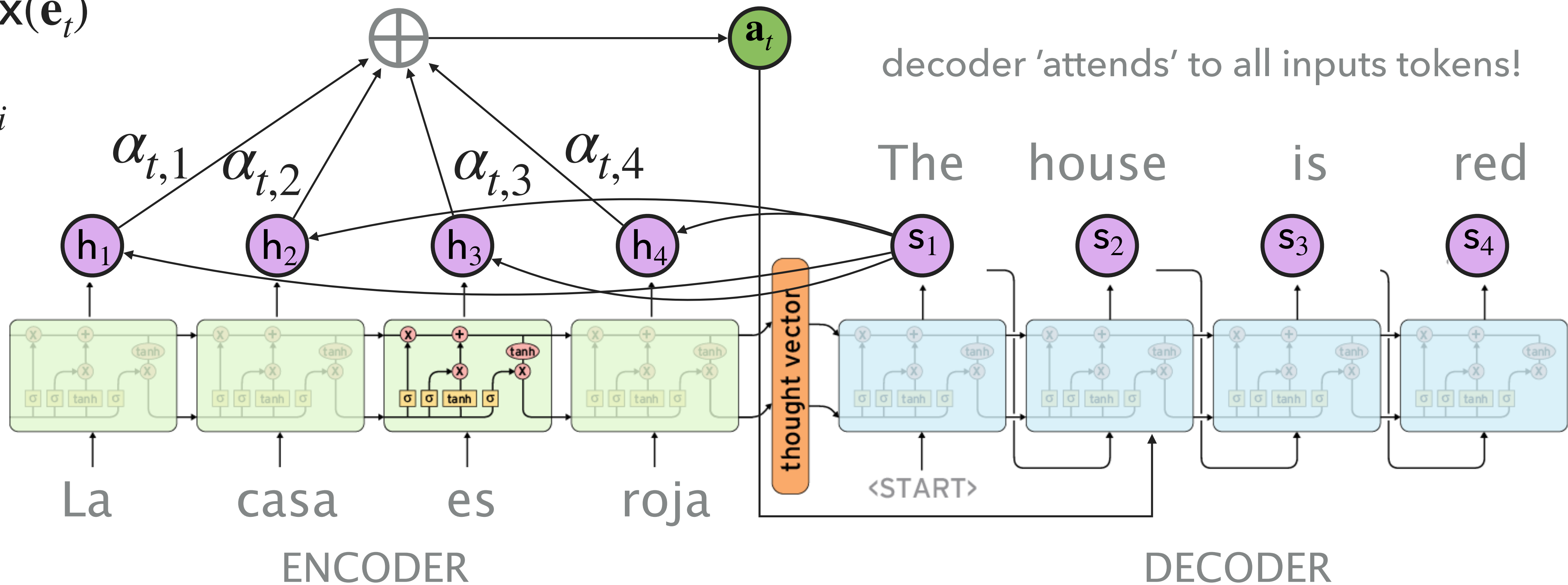
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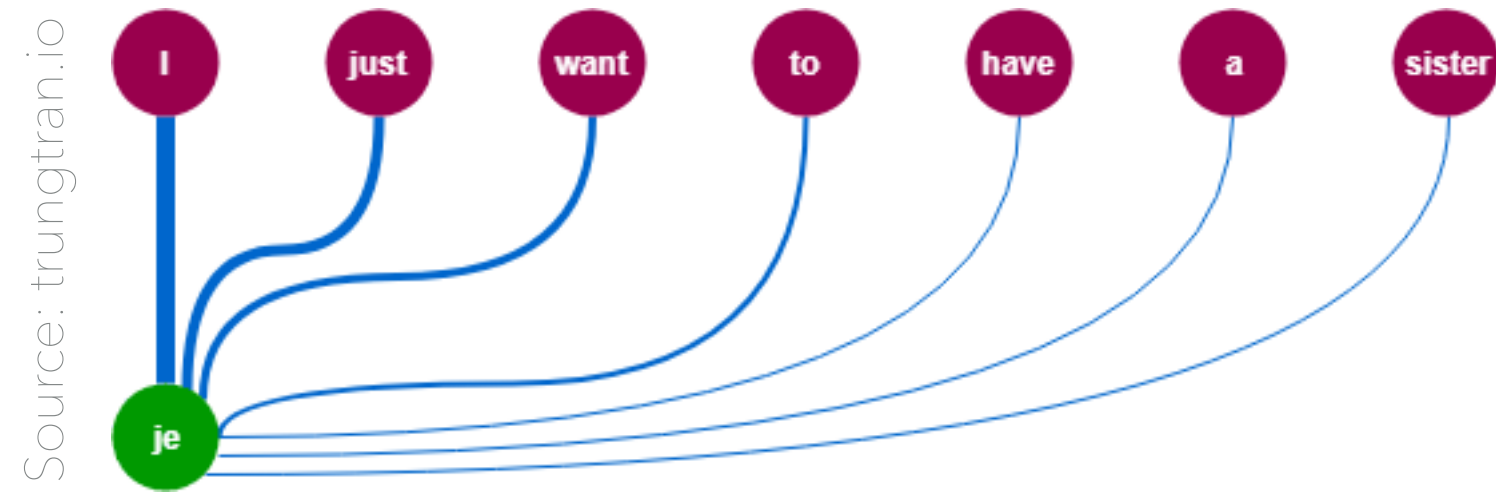
$$\alpha_t = \text{softmax}(\mathbf{e}_t)$$

$$\mathbf{a}_t = \sum_{i=1}^N \alpha_{t,i} \mathbf{h}_i$$



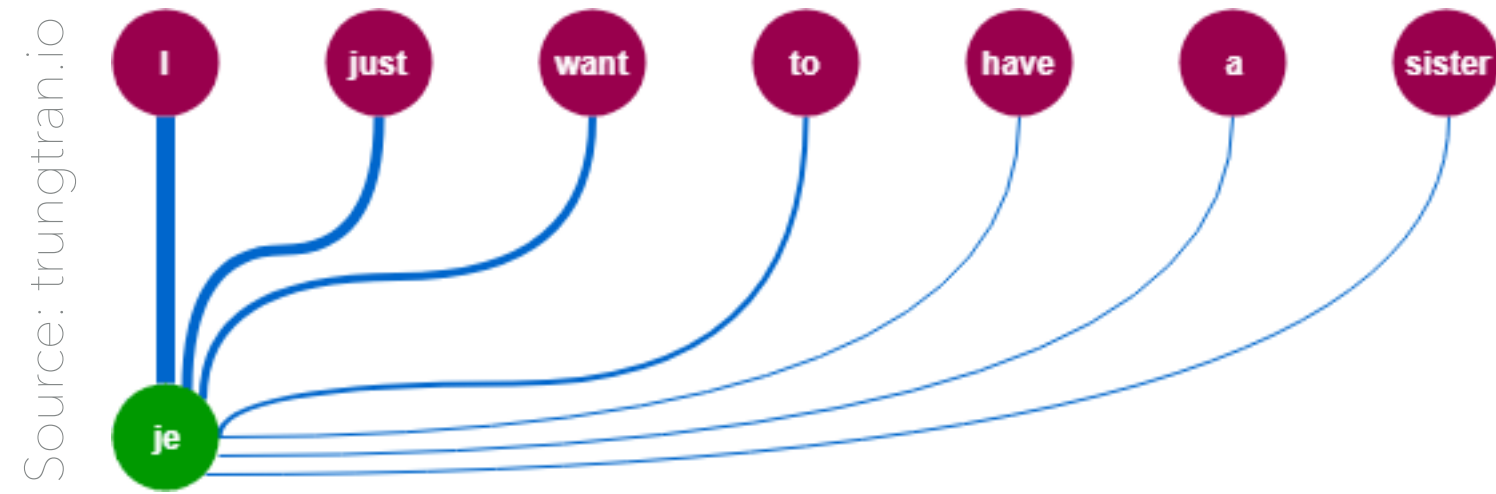
ATTENTION

...for machine translation:



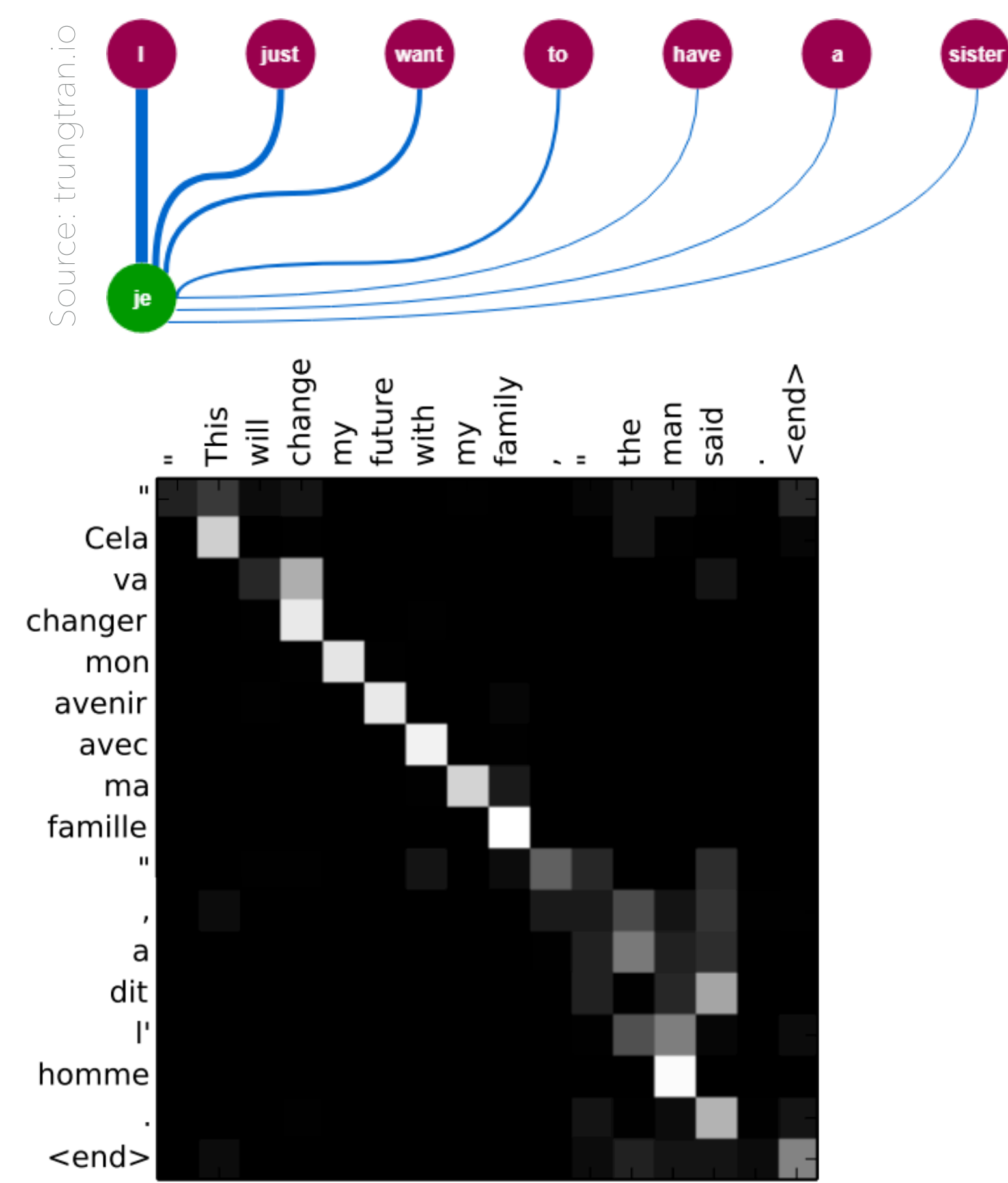
ATTENTION

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ATTENTION

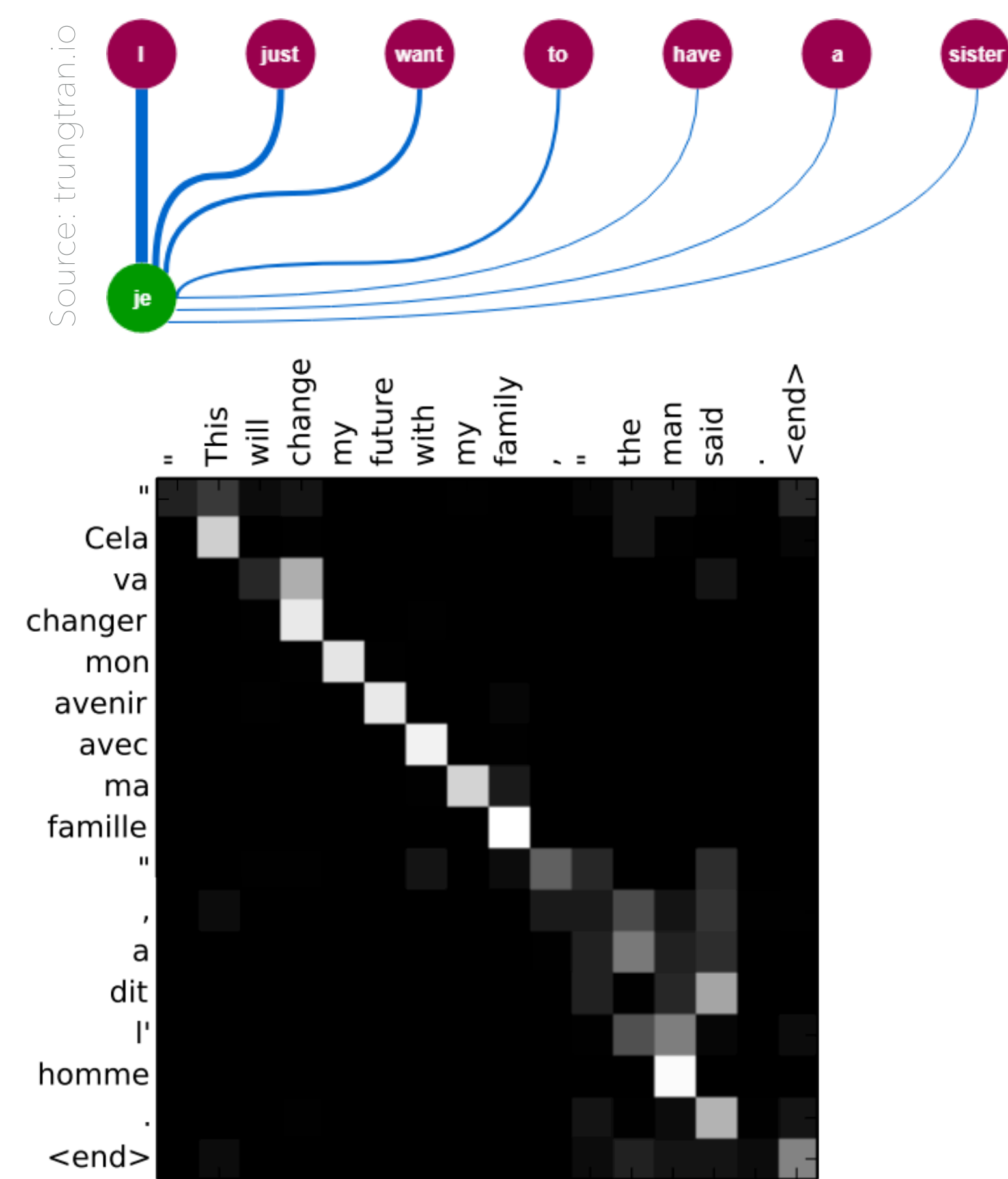
...for machine translation:



[Bahdanau et al., 2015]

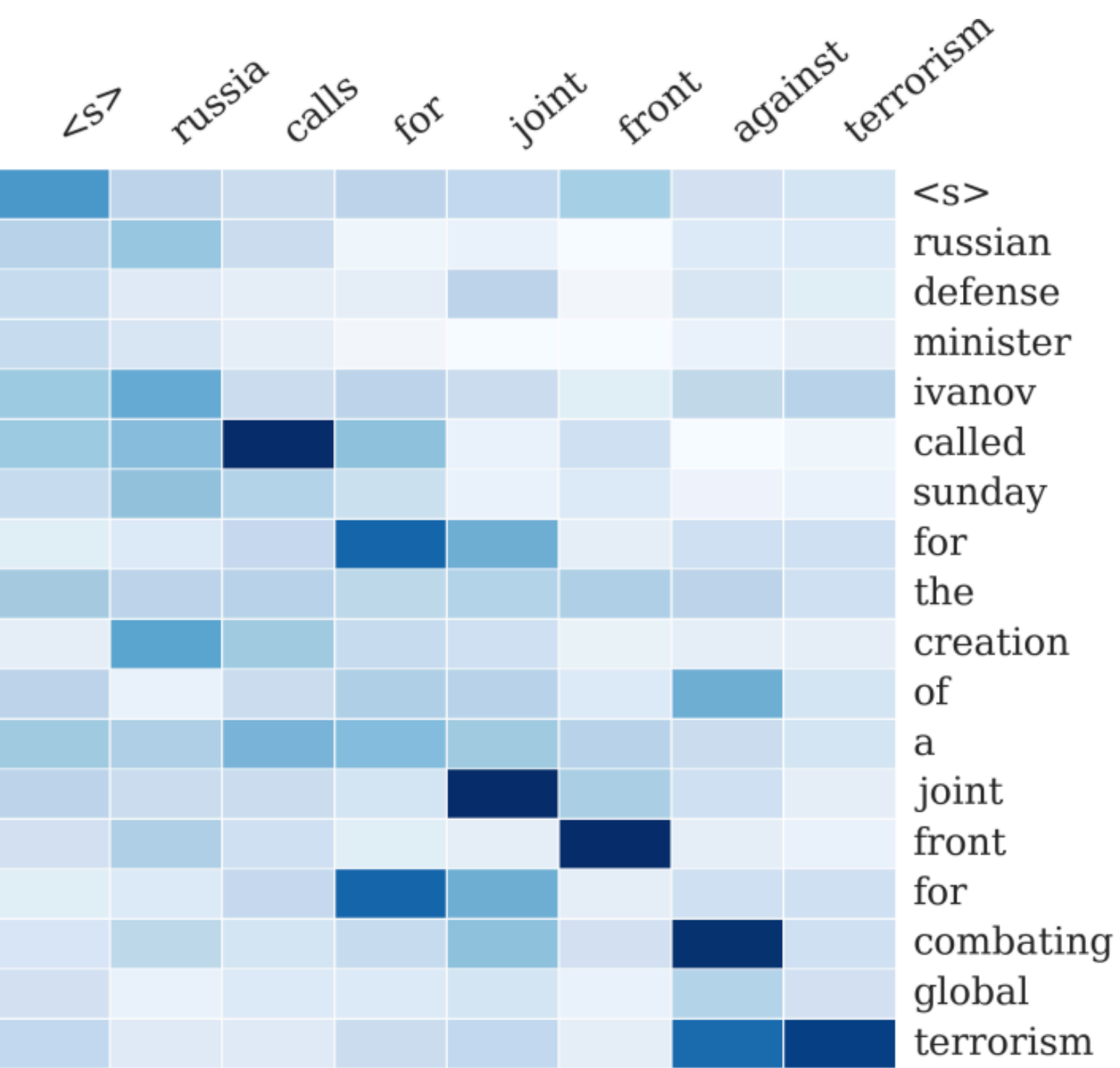
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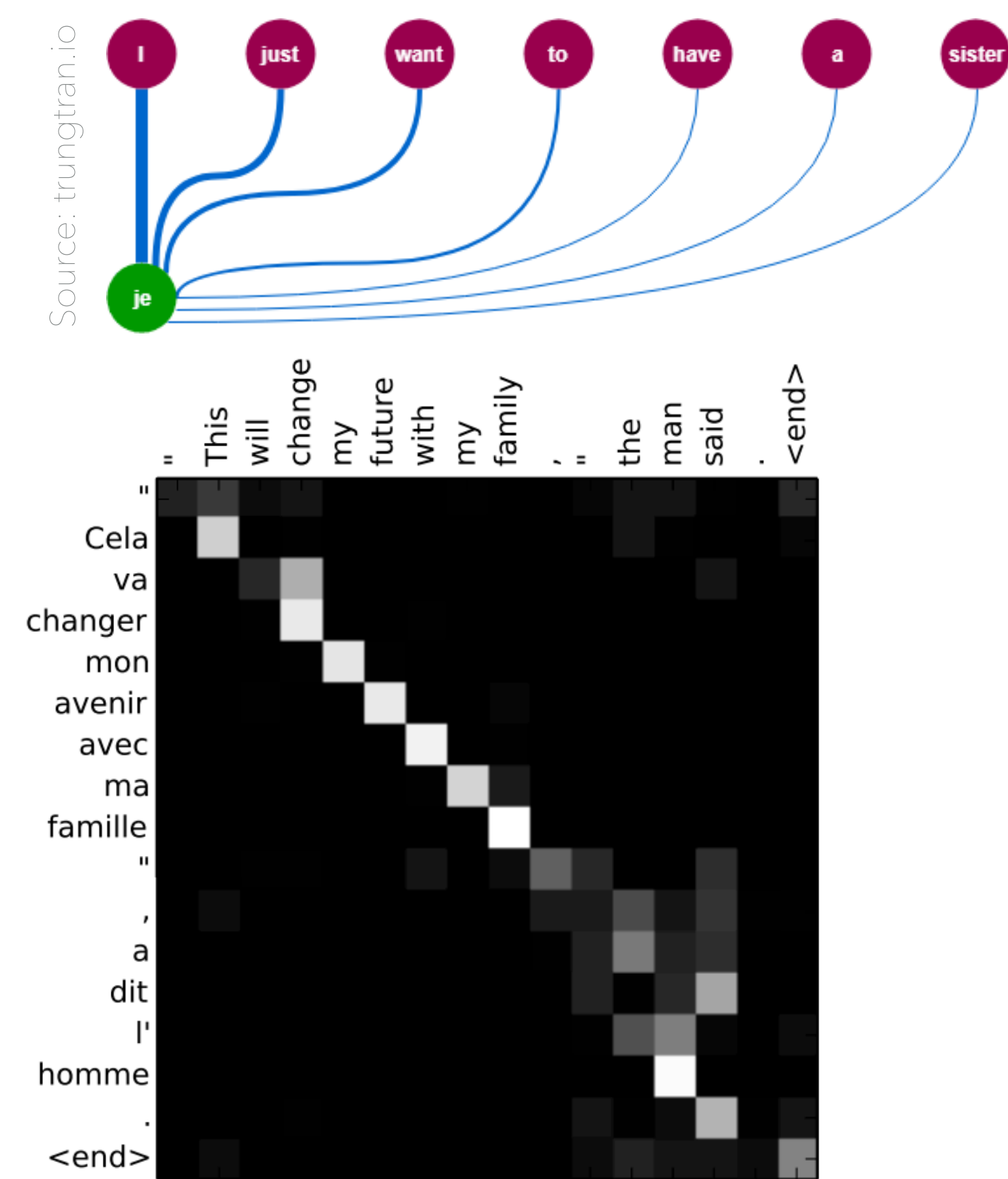
... for summarization:



[Rush et al., 2015]

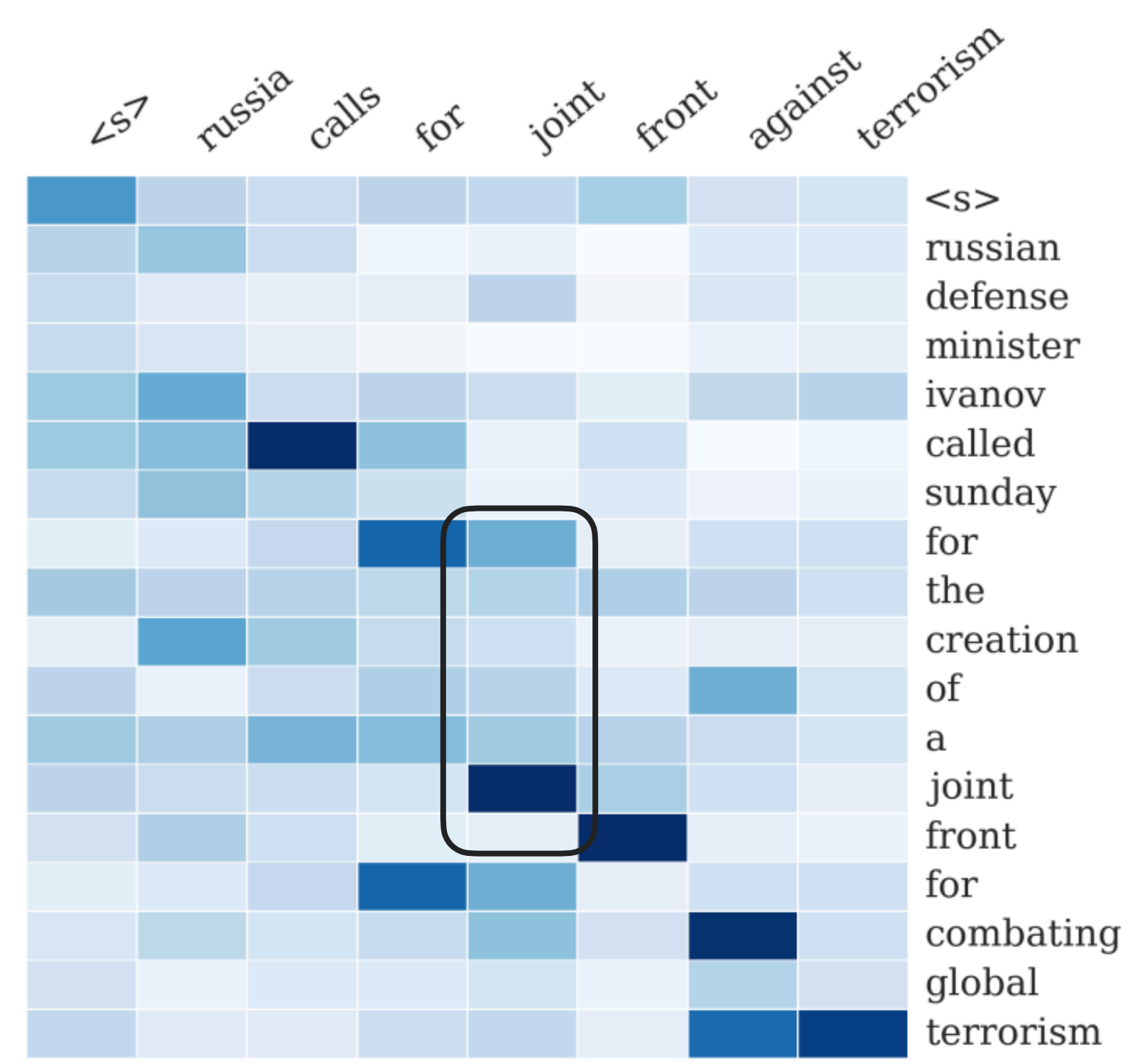
ATTENTION

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[Bahdanau et al., 2015]

... for summarization:

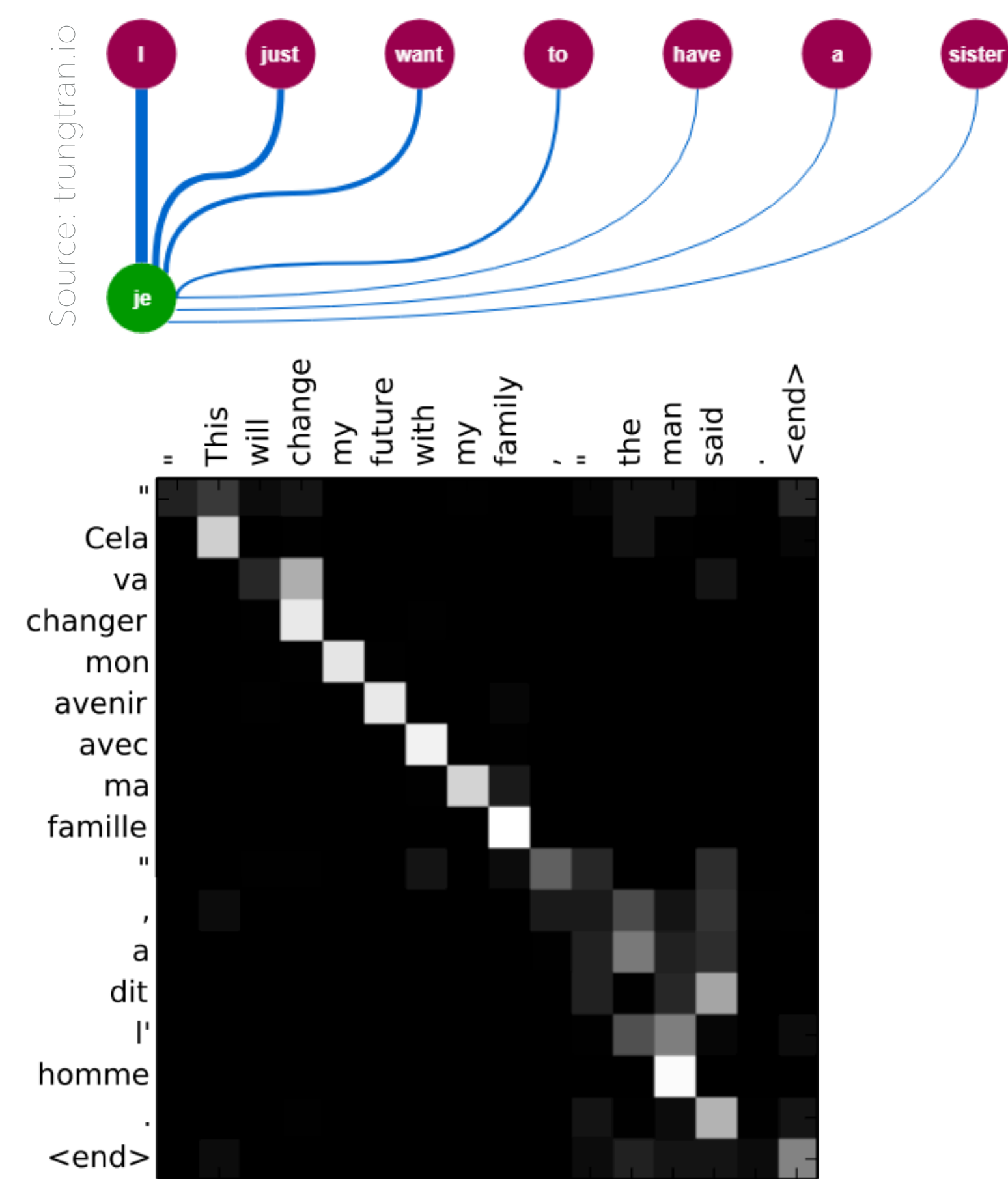


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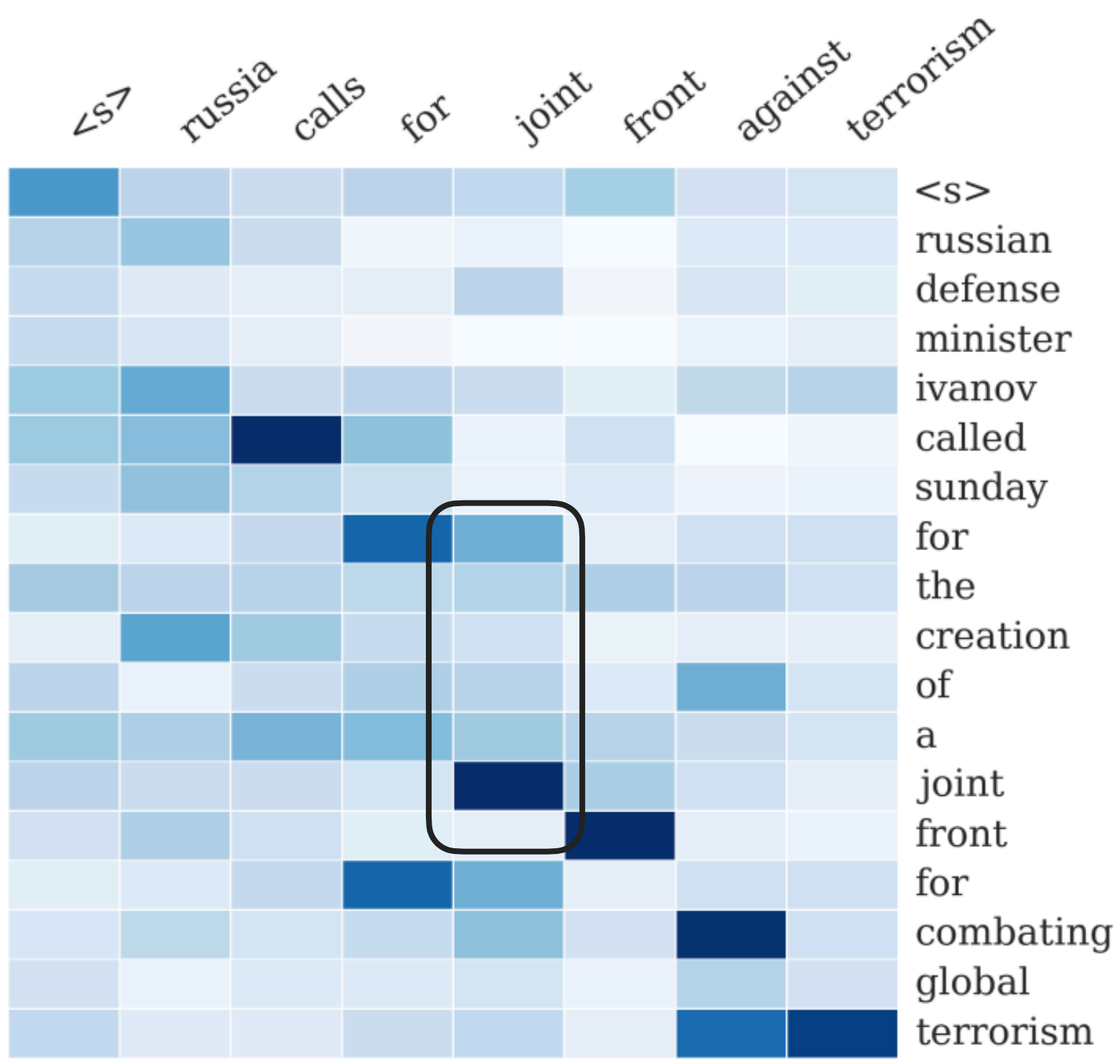
PART 2: RECURRENT NEURAL NETWORKS

ATTENTION

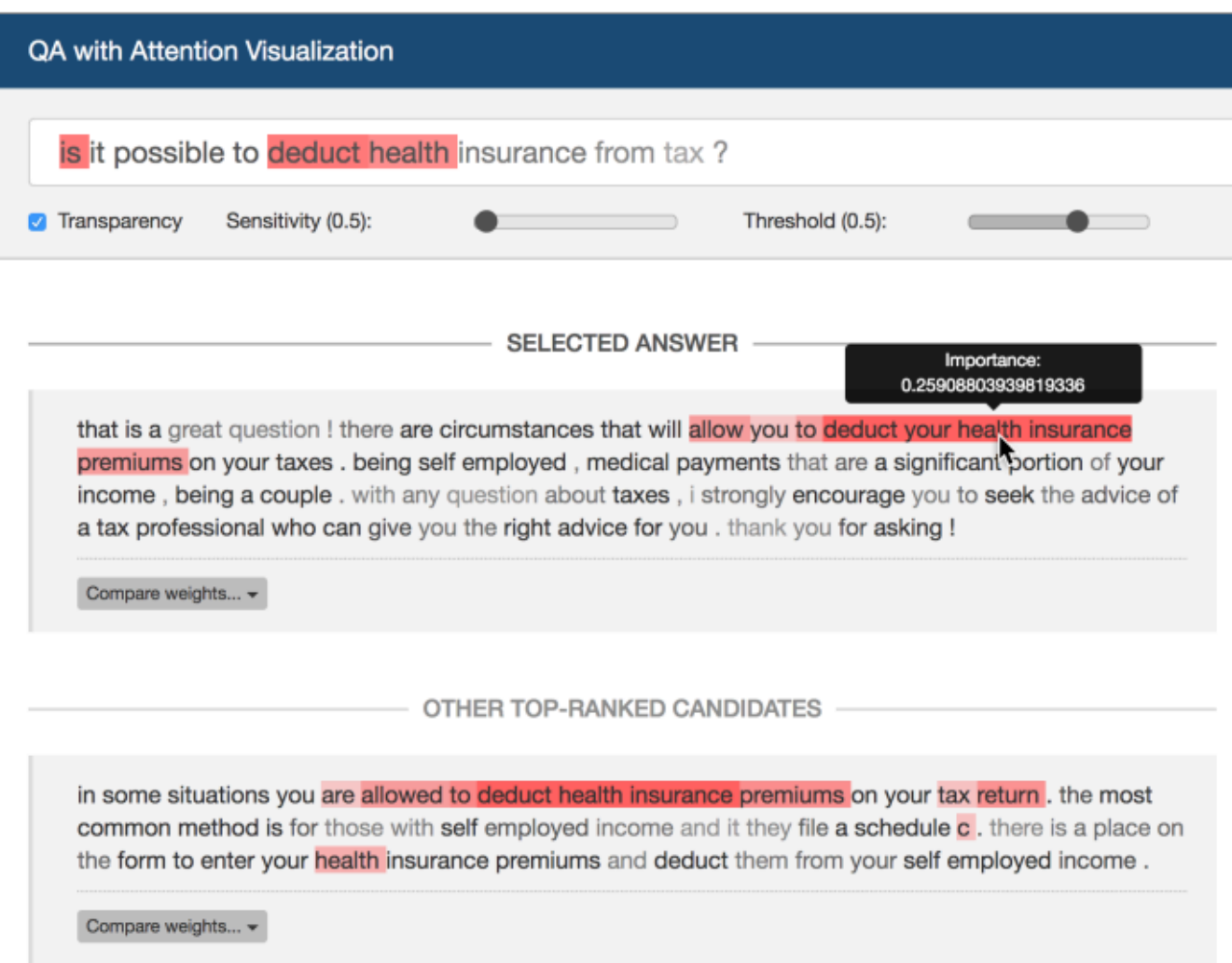
...for machine translation:



... for summarization:



... for Question Answering:



PART 3:

LARGE LANGUAGE MODELS: SELF-ATTENTION, TRANSFORMERS, PRETRAINING

FROM RNN TO ATTENTION-BASED MODELS

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- ▶ Almost human-quality text generation, state-of-the-art in many tasks
- ▶ Two key ideas behind them: **attention-based** architectures and **pre-training**

SELF-ATTENTION

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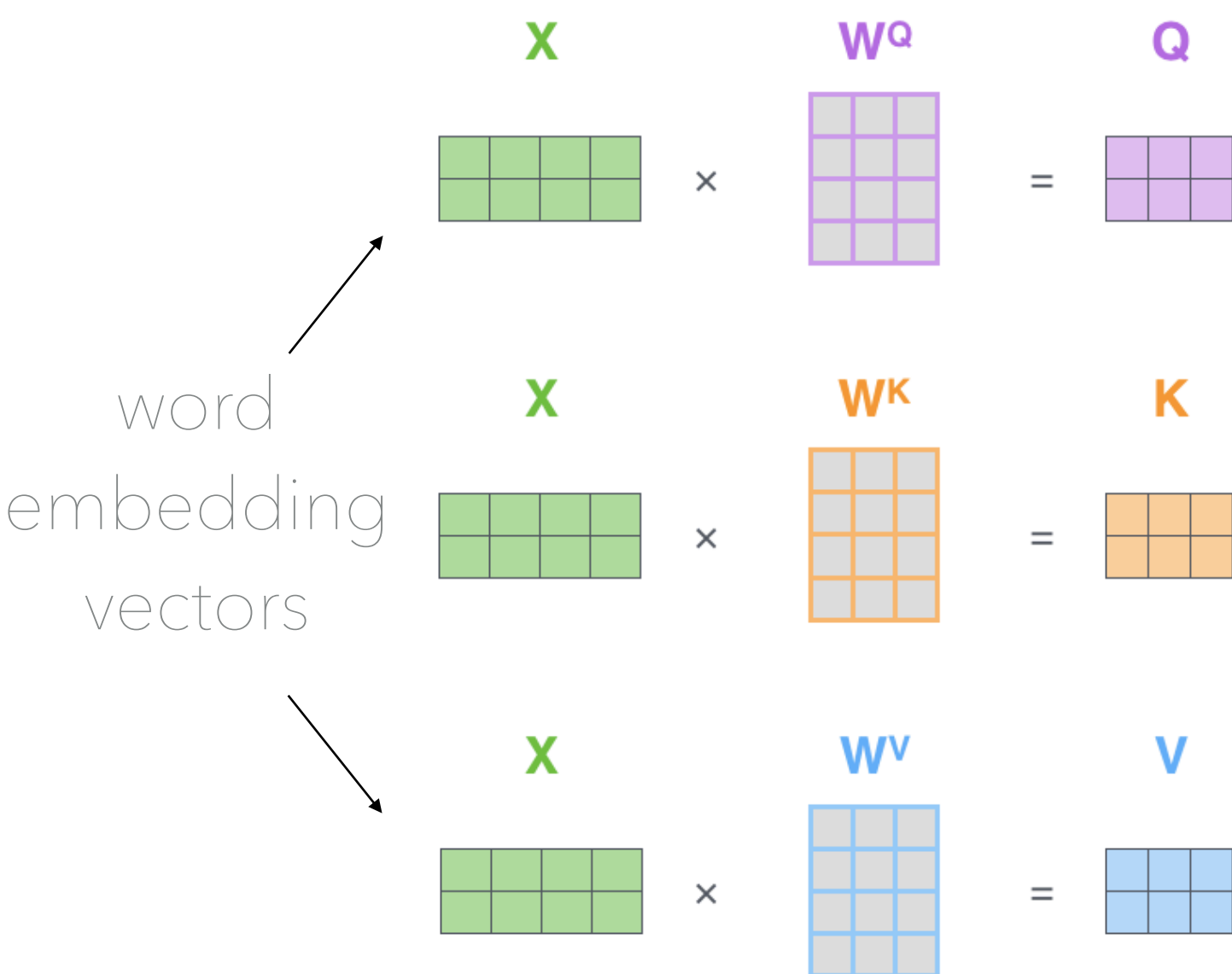
$$\begin{matrix} \text{X} \\ \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{W}^{\text{Q}} \\ \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \end{array} \end{matrix} = \begin{matrix} \text{Q} \\ \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array} \end{matrix}$$

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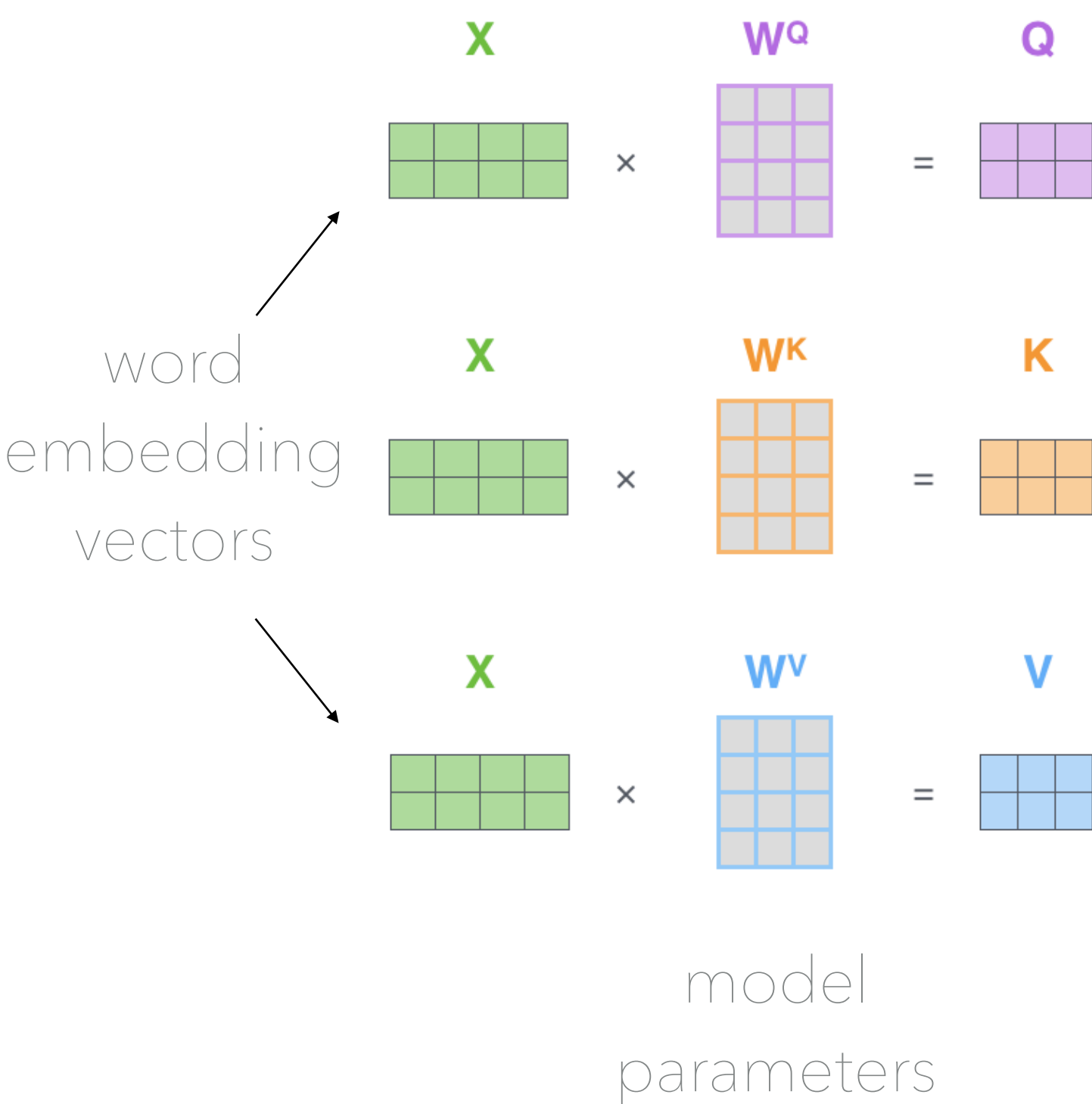
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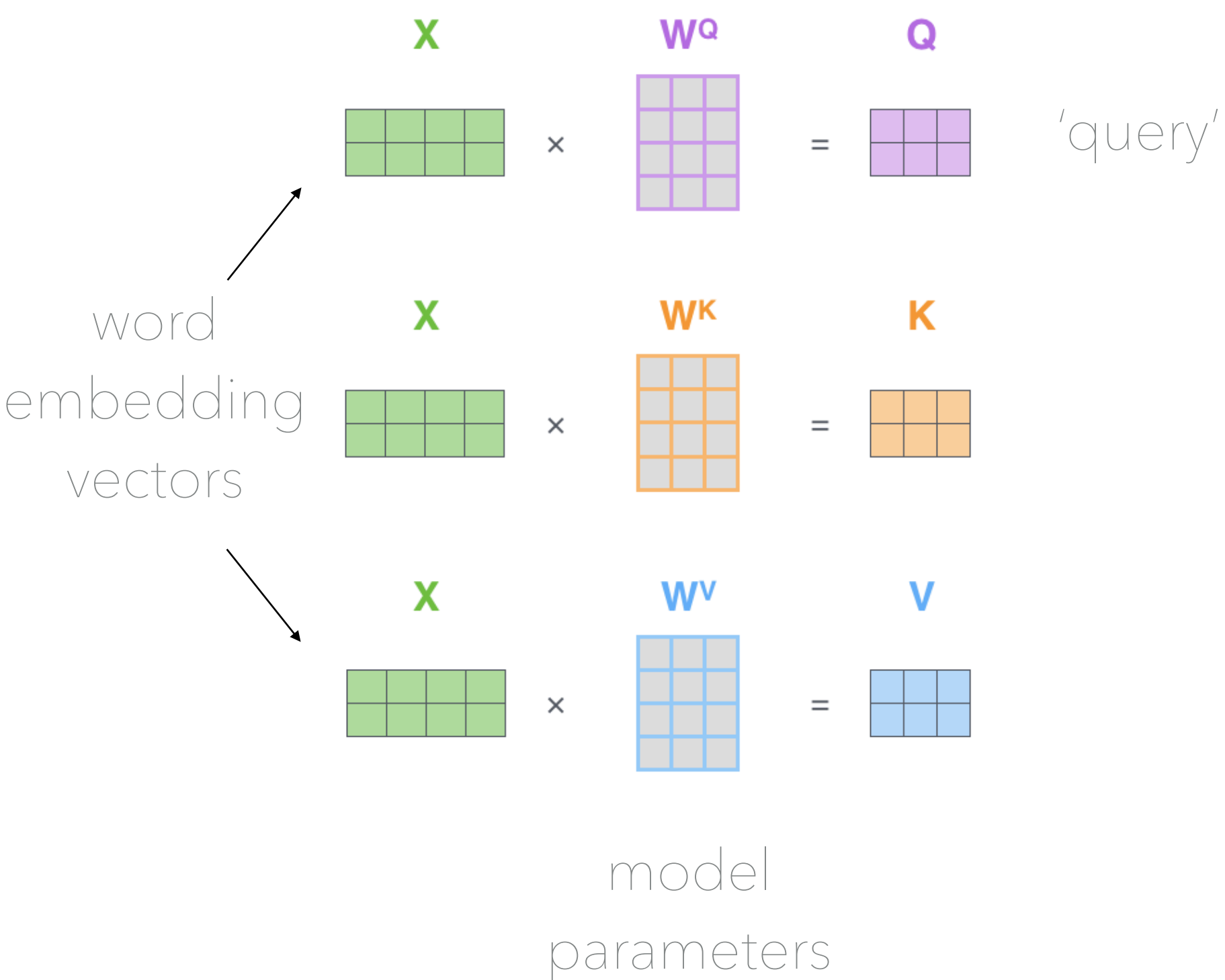
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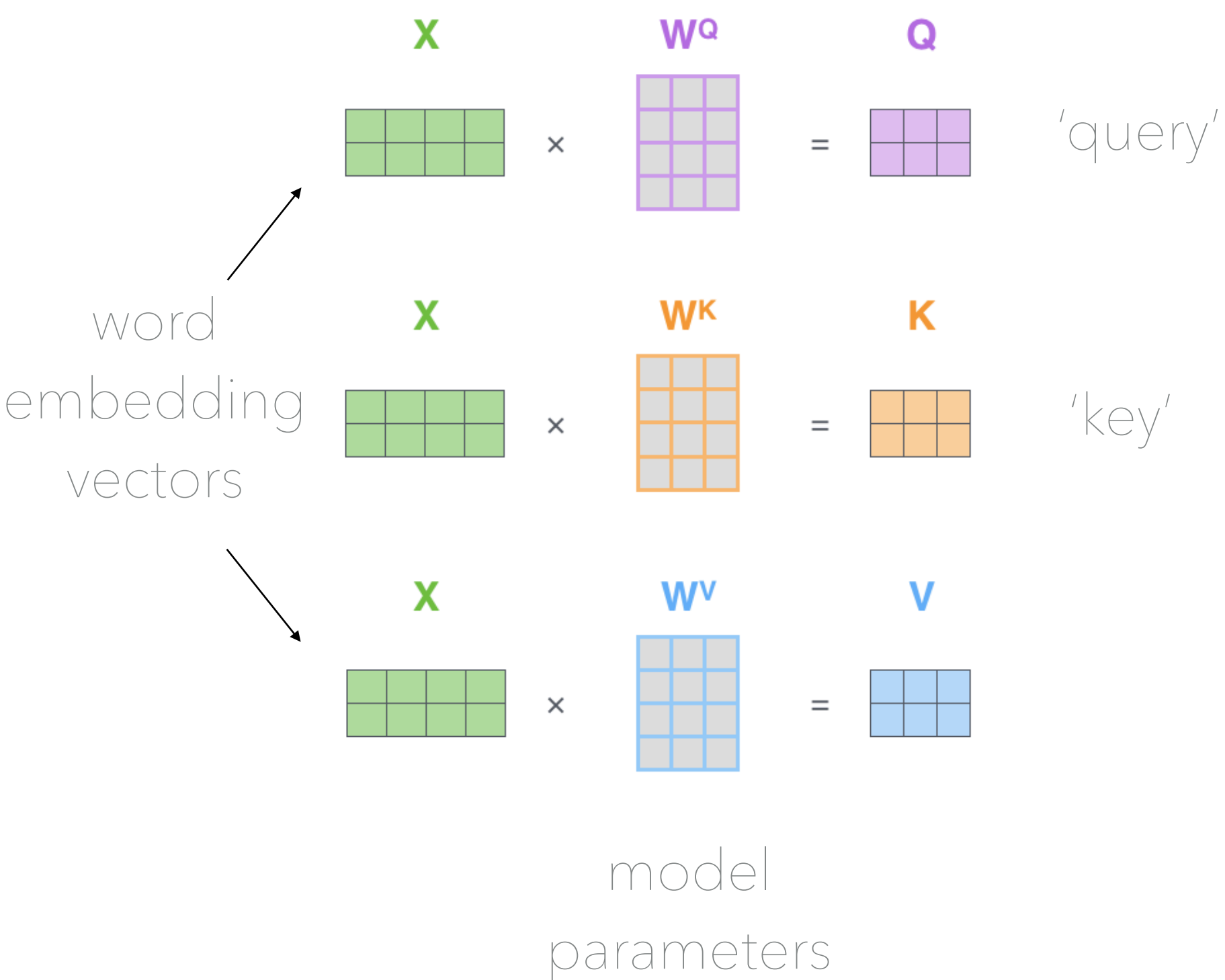
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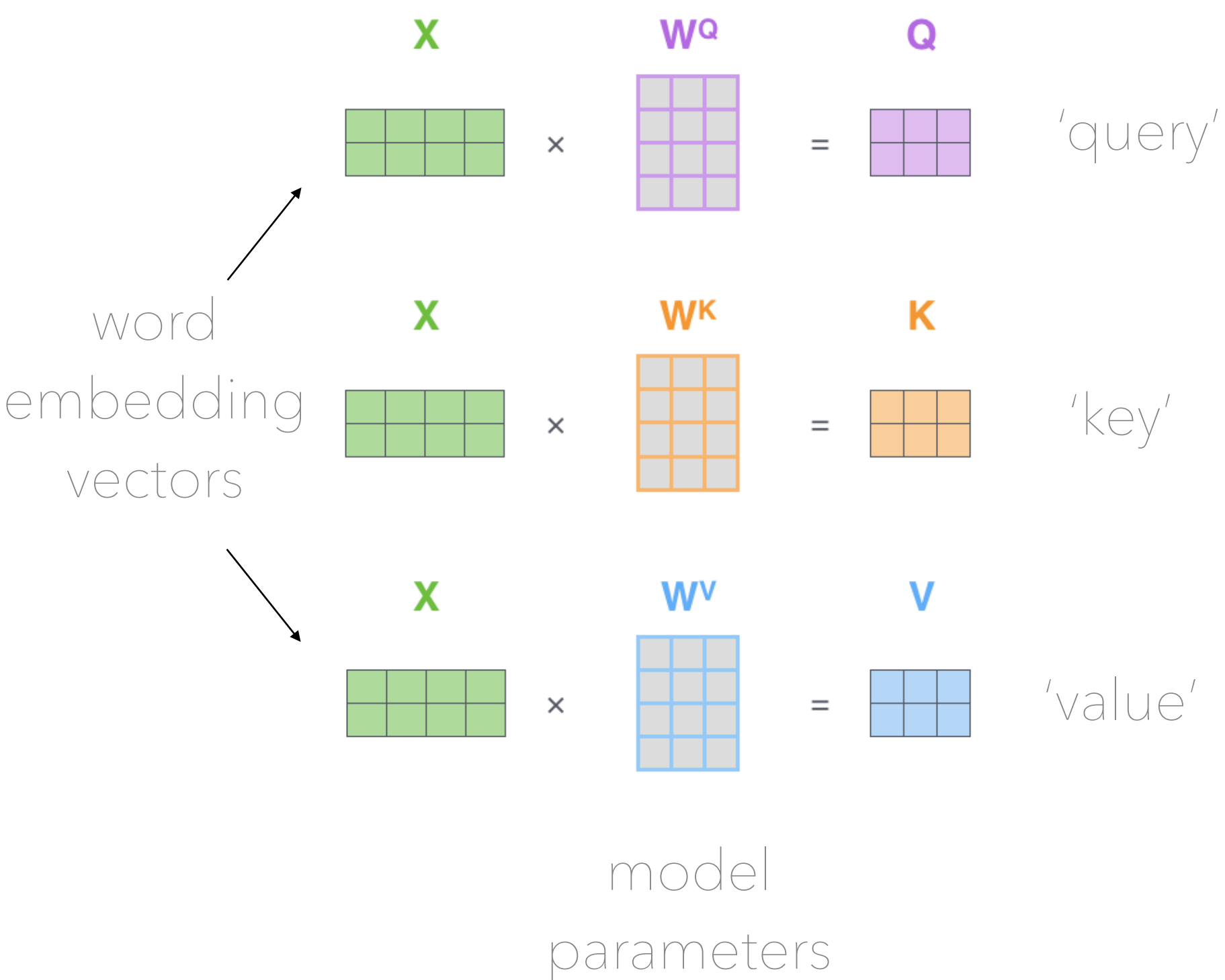
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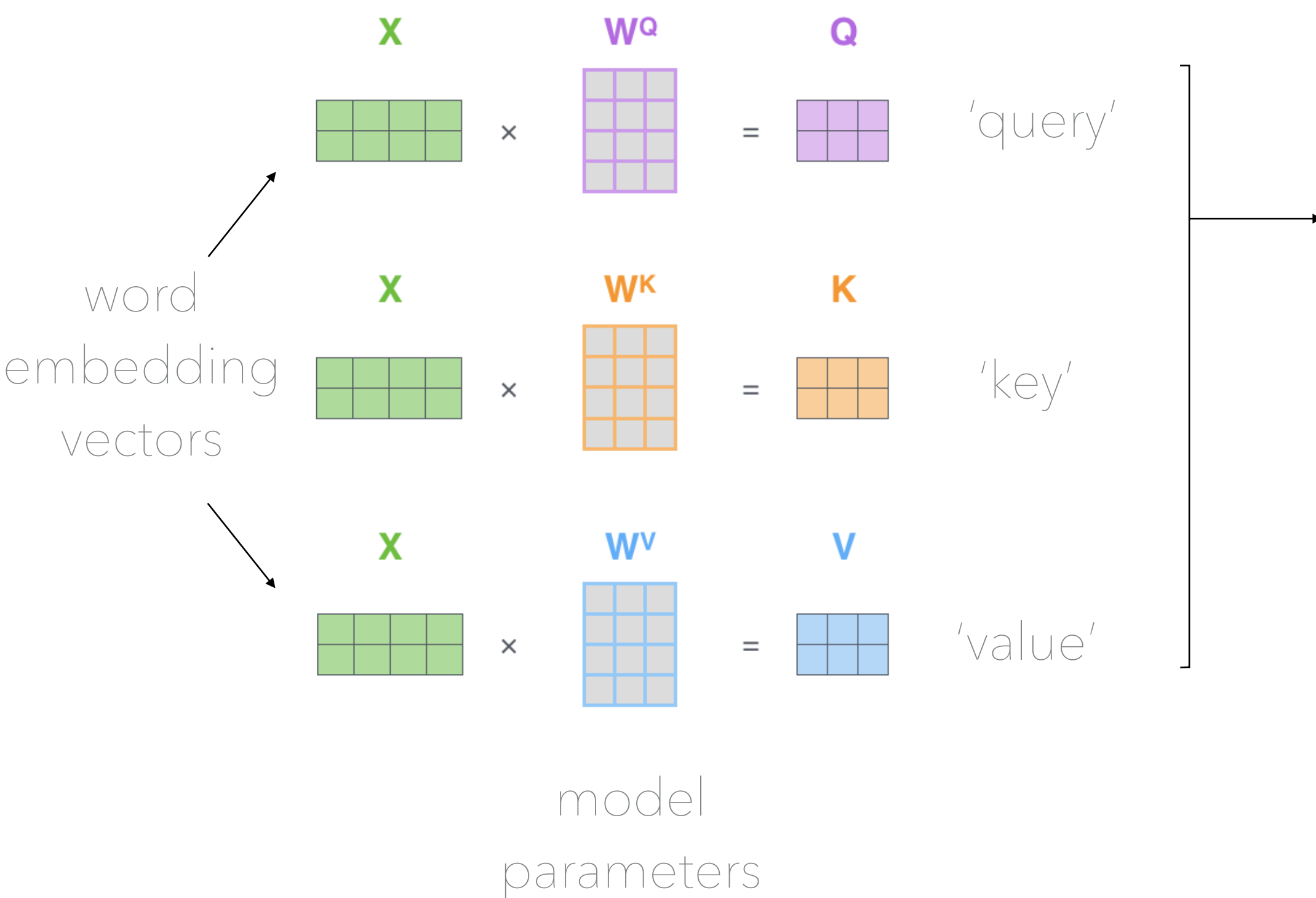
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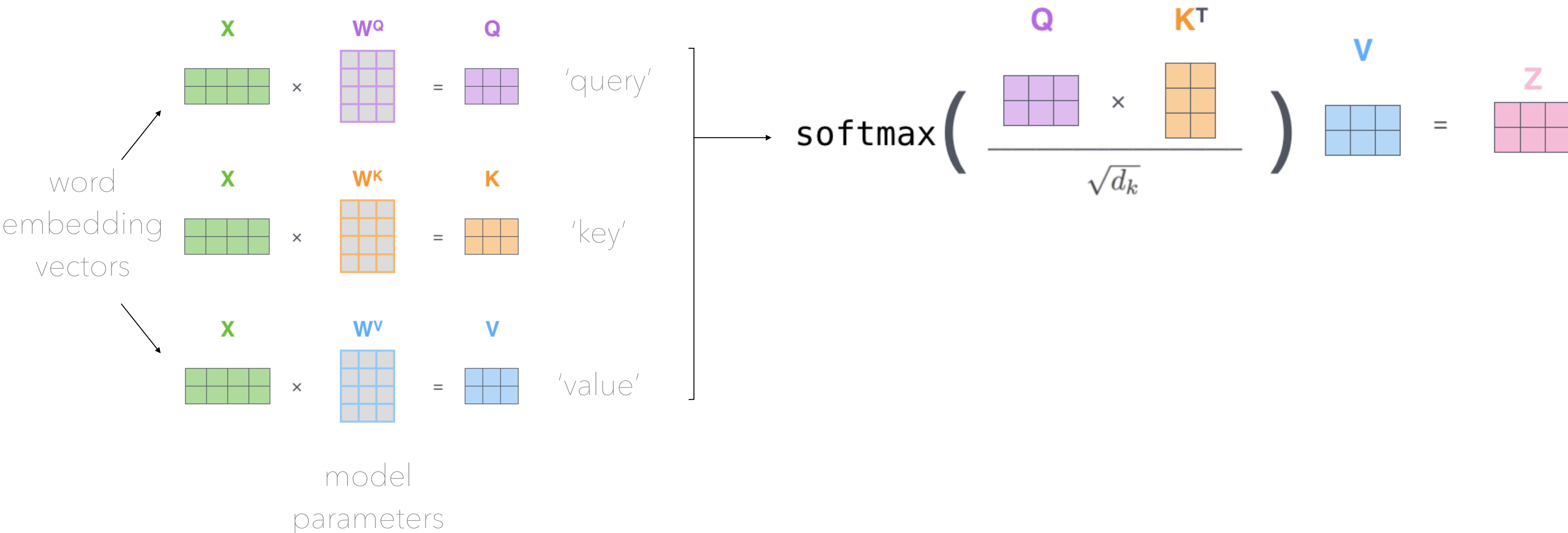
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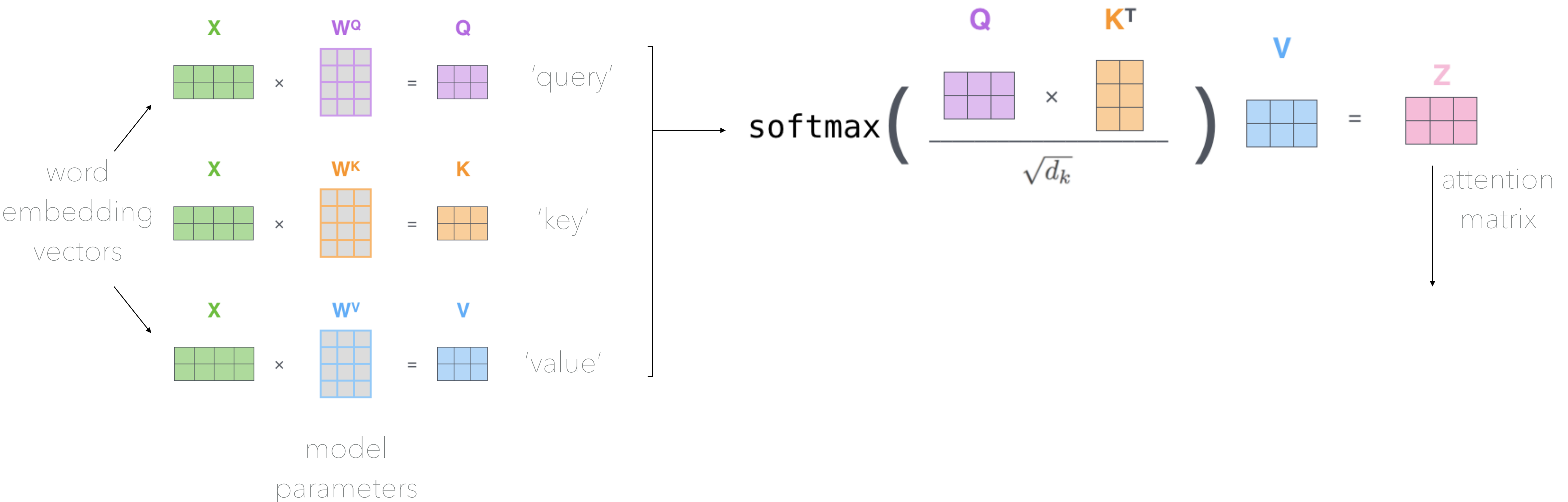
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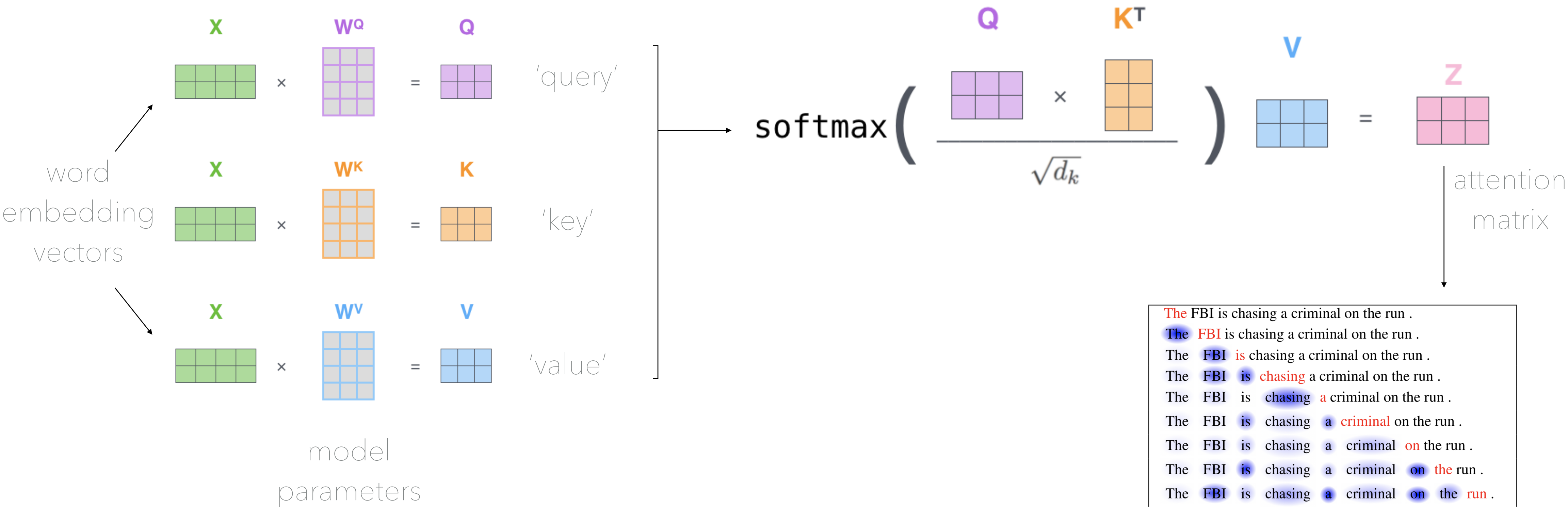
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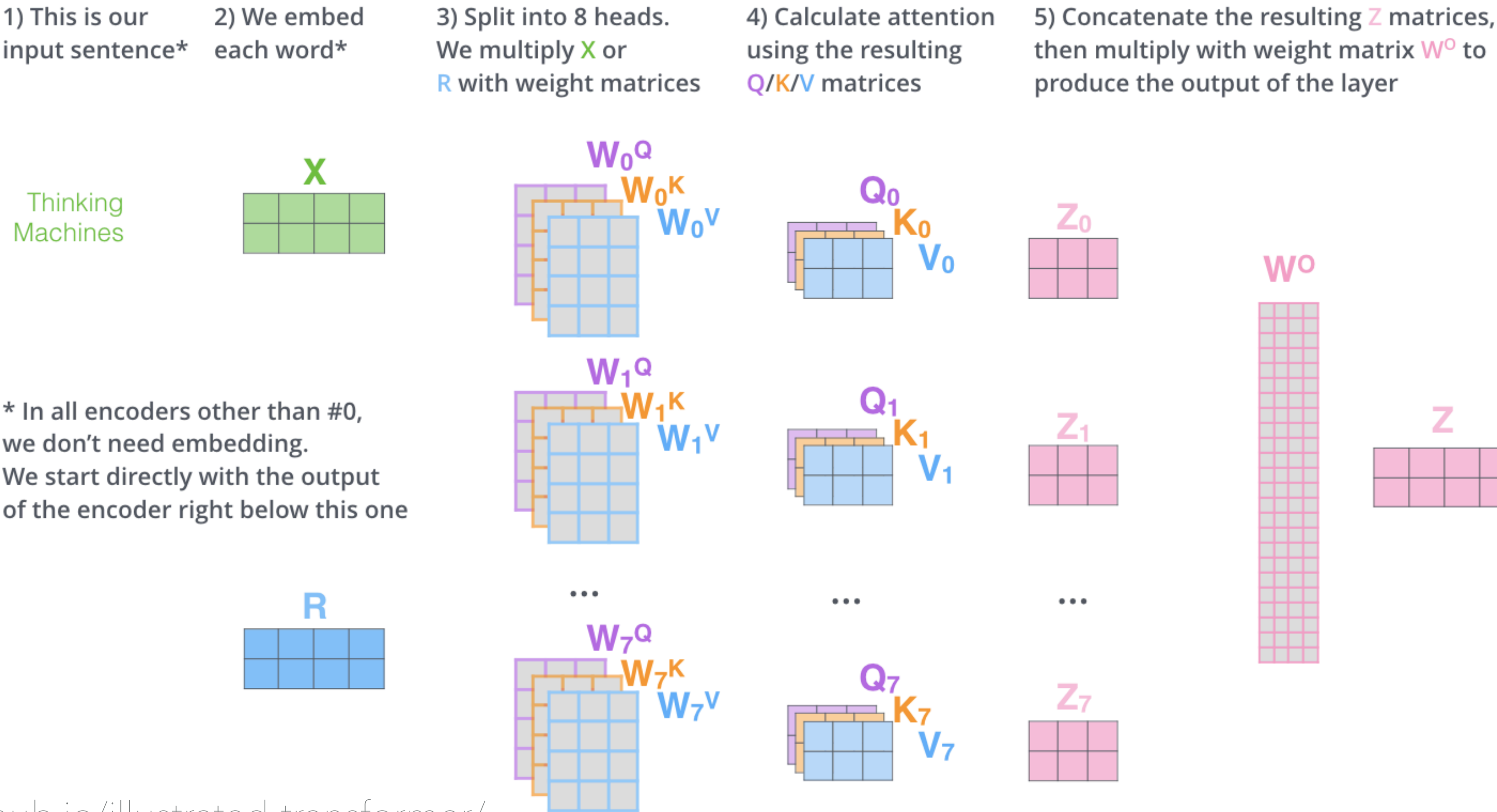
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MULTI-HEADED SELF-ATTENTION

- ▶ Multiple stacked attention layers, model can attend to various aspects of the input at once

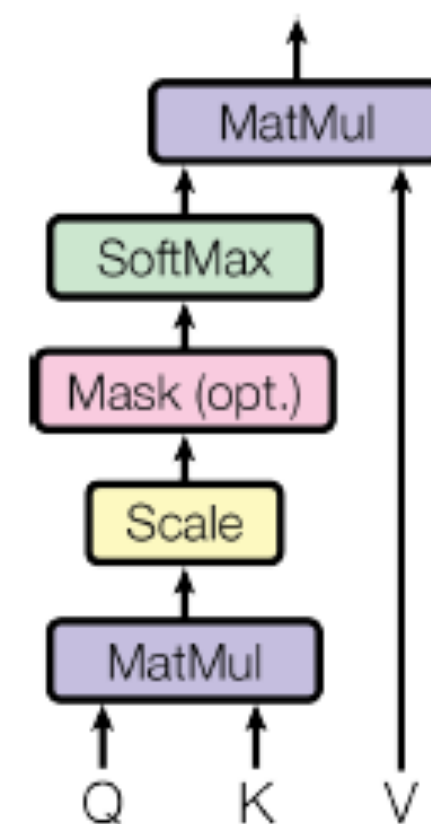


TRANSFORMERS

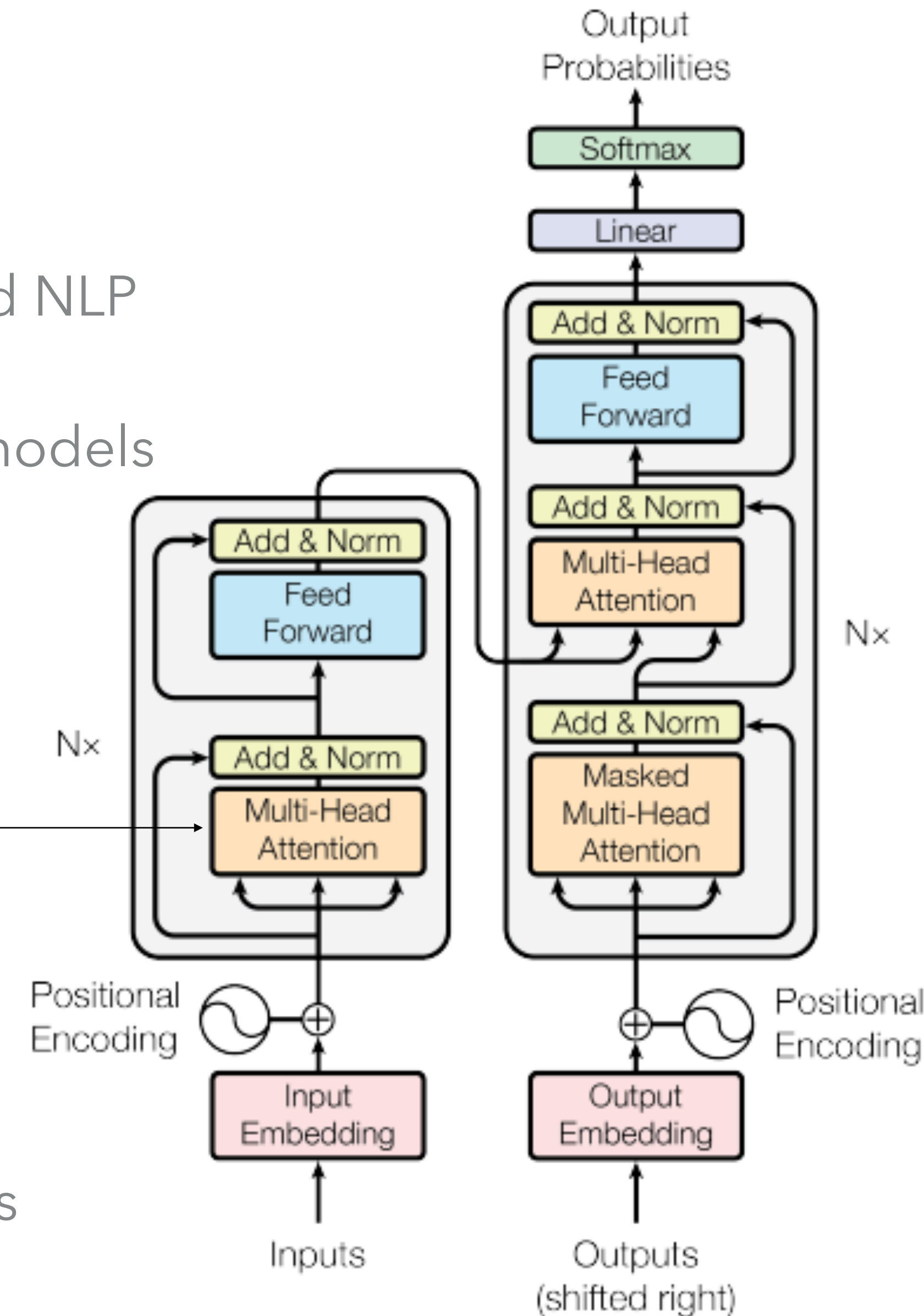
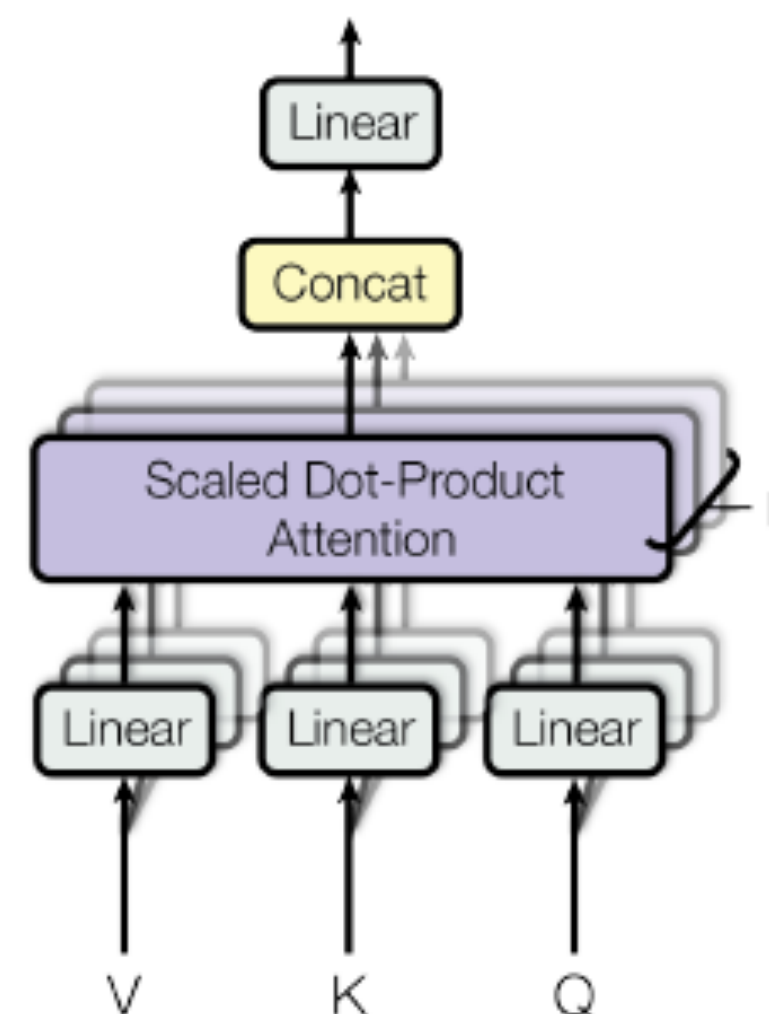
[Vaswani et al. 2017]

- ▶ An architecture built around the concept of attention, revolutionized NLP
- ▶ SOTA in many tasks, soon became backbone of most subsequent models

Scaled Dot-Product Attention



Multi-Head Attention



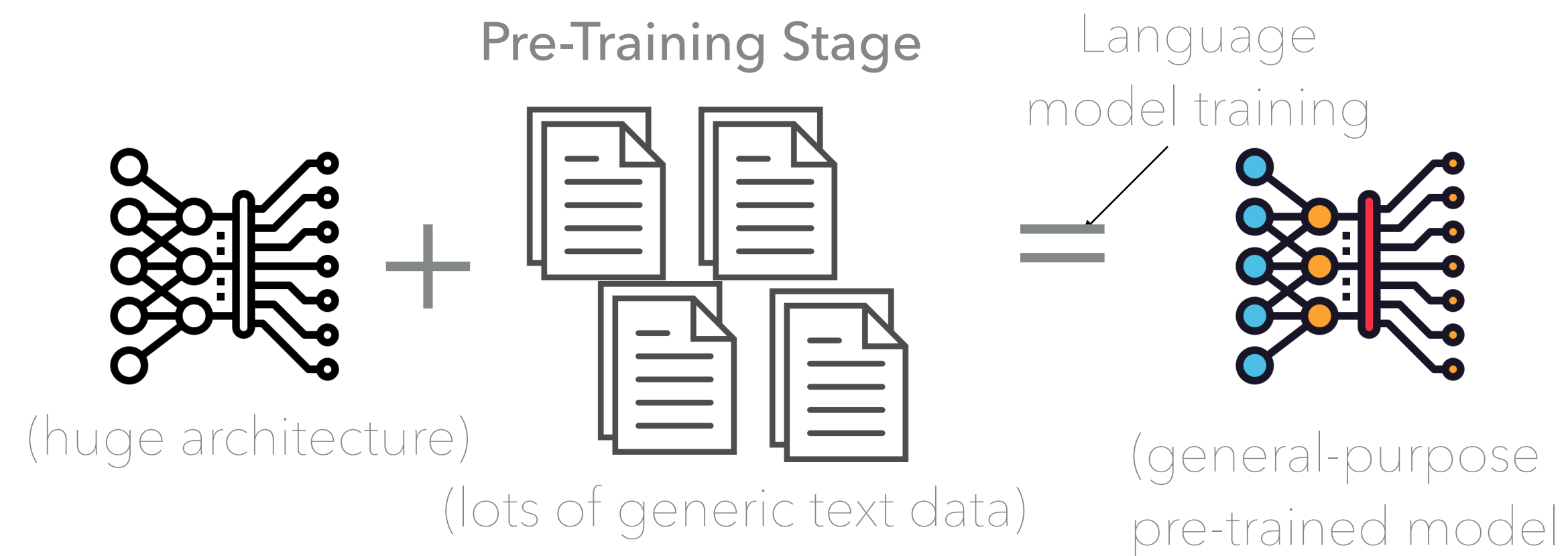
- ▶ Other tricks: residual connections, layer norm., positional encodings

THE POWER OF LARGE MODELS + PRETRAINING

- ▶ Most large modern NLP models involve *pretraining* a language model

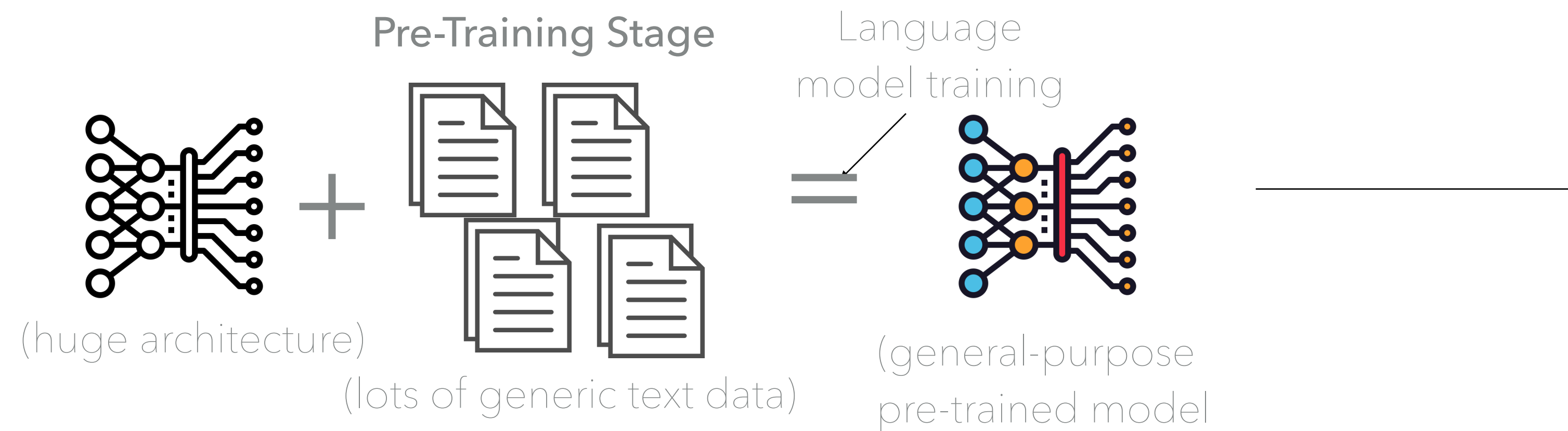
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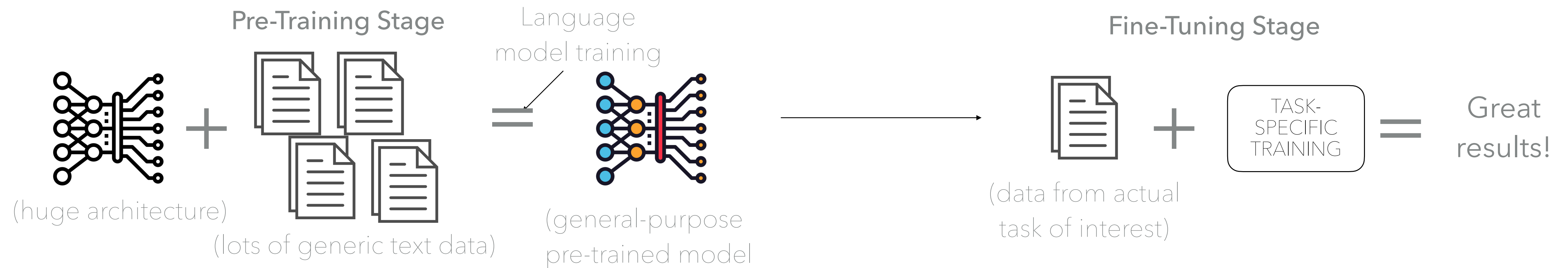
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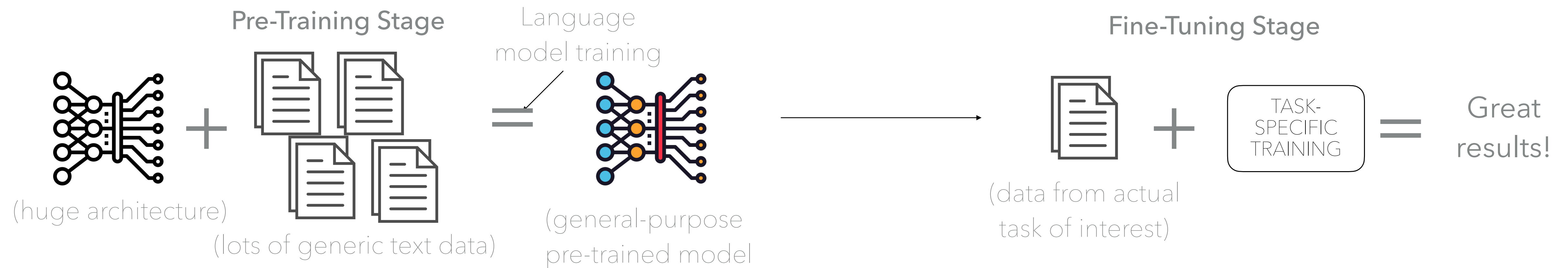
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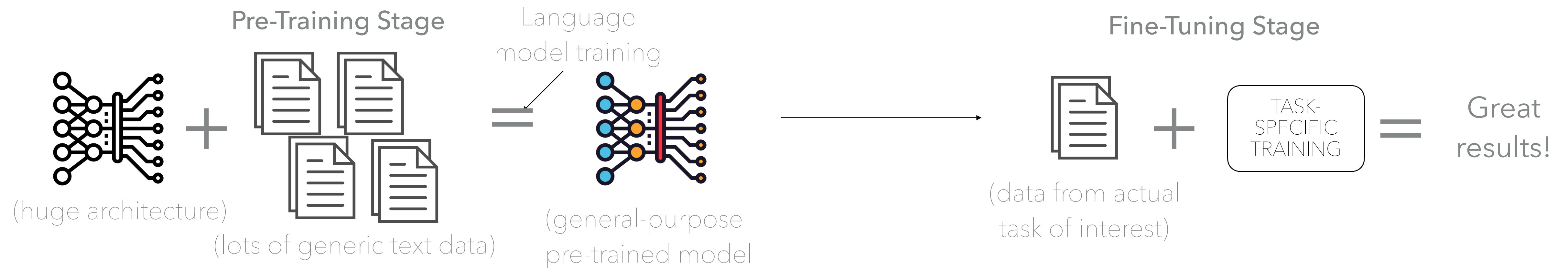
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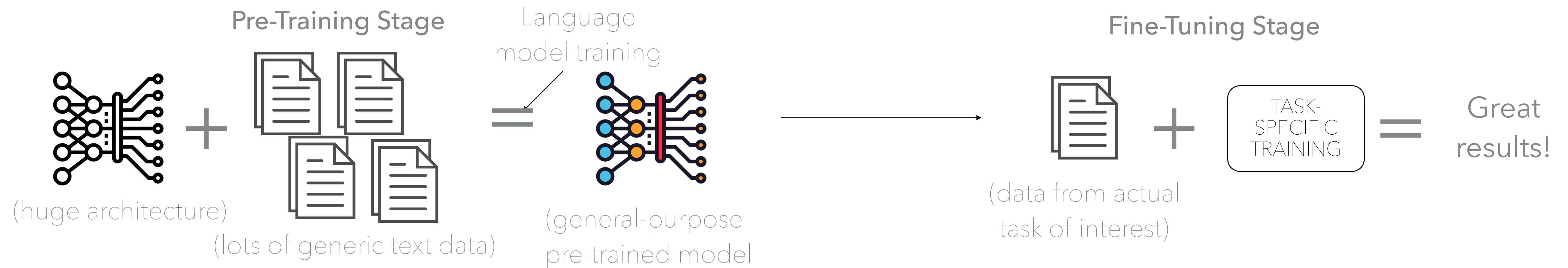
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 - ▶ Transformer architecture (12 layers, 768dim hidden state, ~3000dim FF hidden layers)
 - ▶ Trained on BooksCorpus: >7000 books

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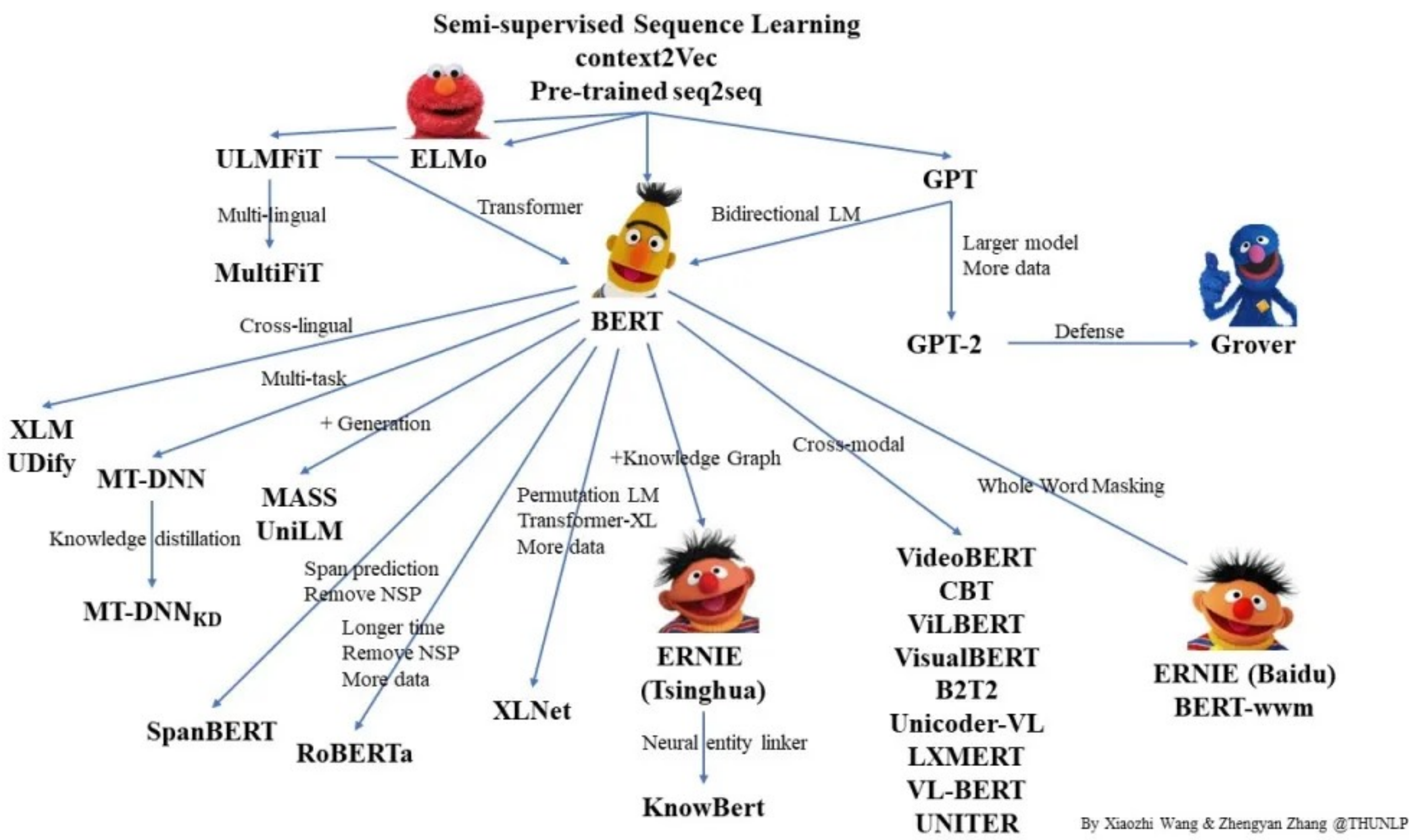
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- ▶ BERT: Bidirectional Encoder Representations from Transformers [Devlin et al., 2018]

THE POWER OF LARGE MODELS + PRETRAINING

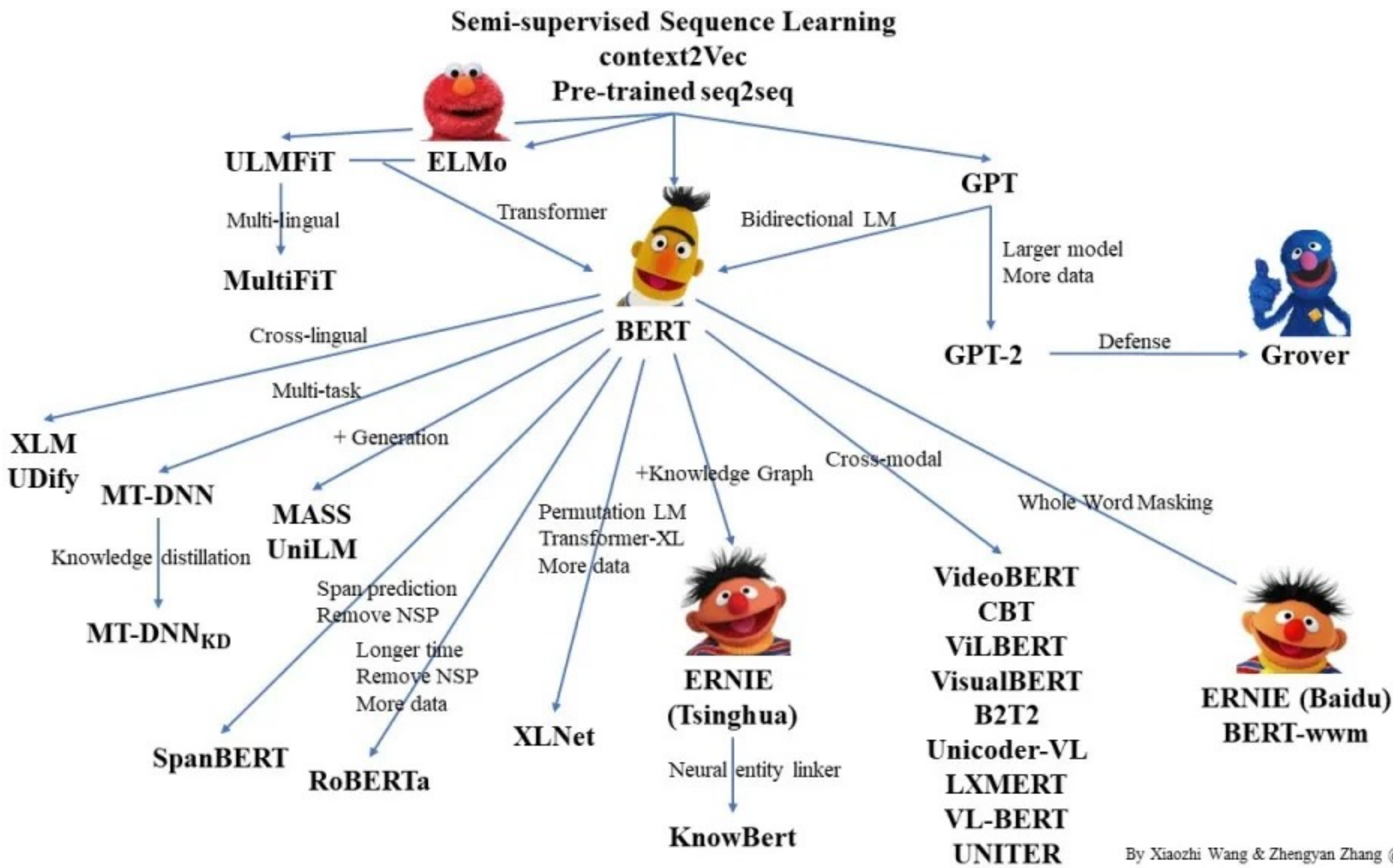
A family of modern LM types



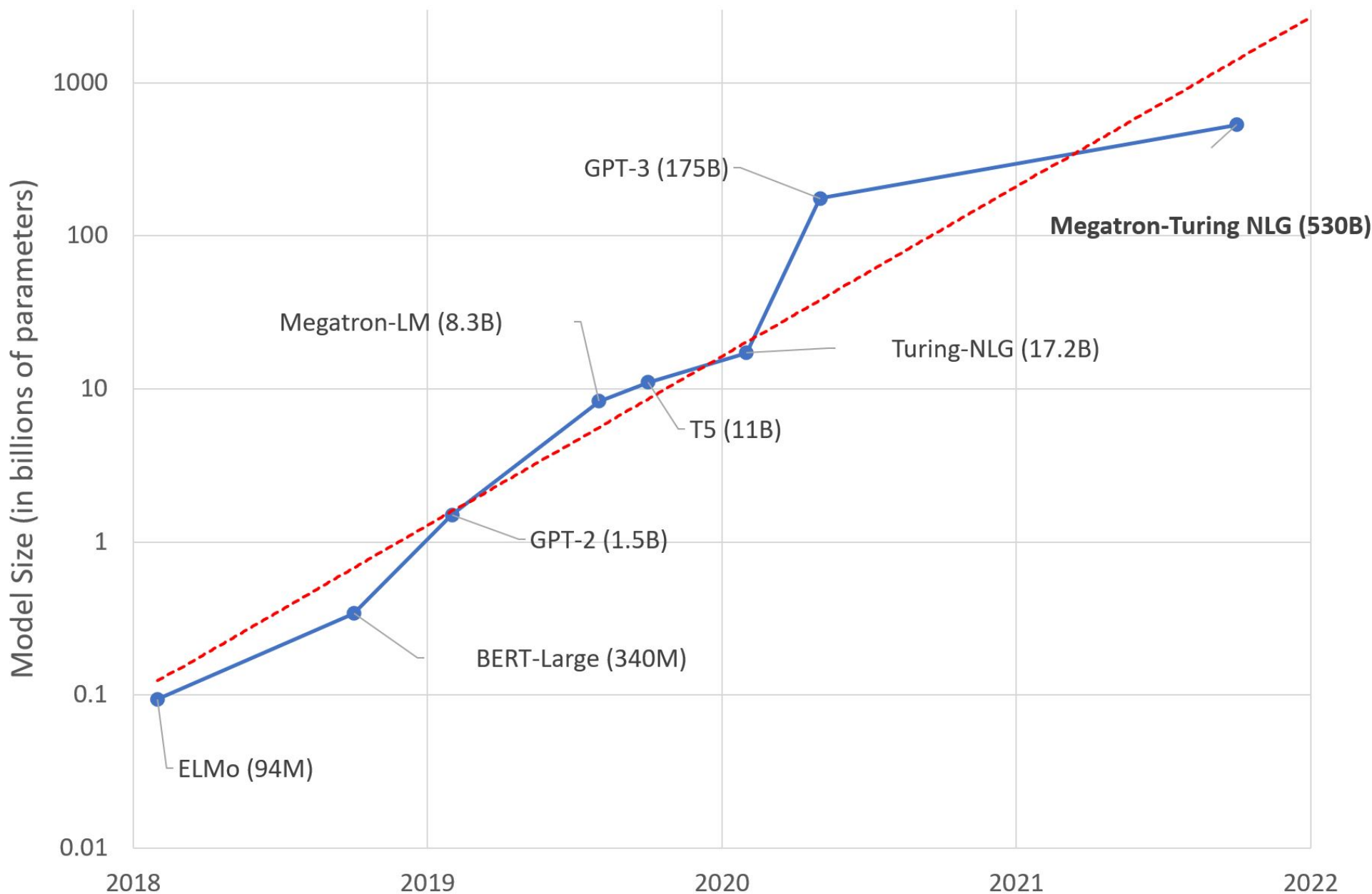
THE POWER OF LARGE MODELS + PRETRAINING

A family of modern LM types

... with ever increasing model size




By Xiaozhi Wang & Zhengyan Zhang @THUNLP








THE POWER OF LARGE MODELS + PRETRAINING

API interface to OpenAI's GPT-3 model:



 **OpenAI** Beta

[Documentation](#) [Playground](#) [Examples](#) [Resources](#)


Playground ⓘ



Text to command








Enter text and submit (Ctrl+Enter or ⌘+Enter) to get a completion.


 **OpenAI** Beta

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Playground ⓘ



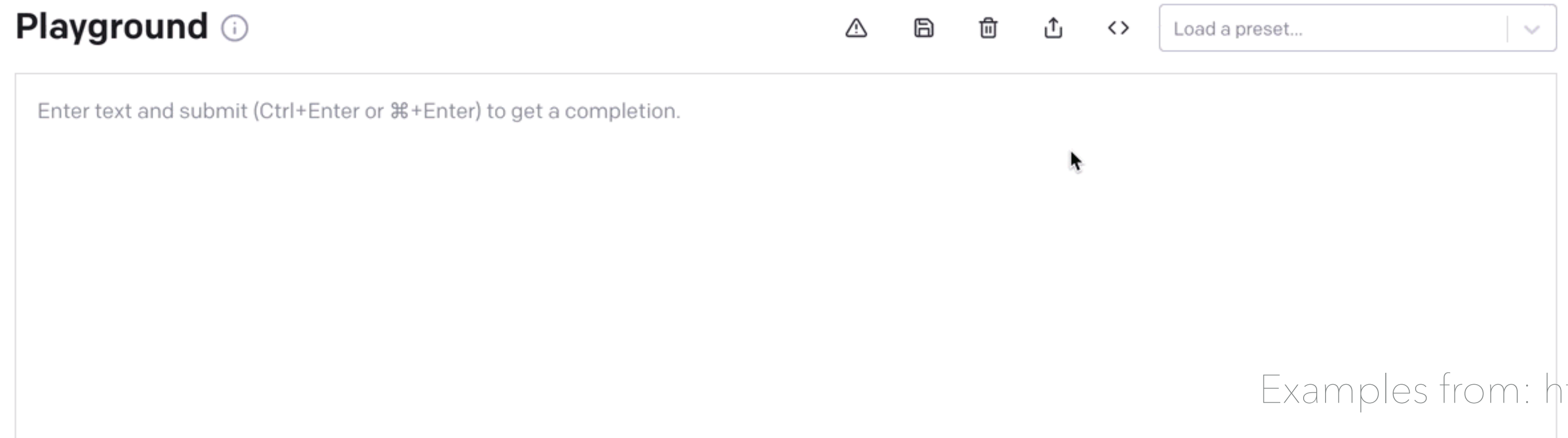
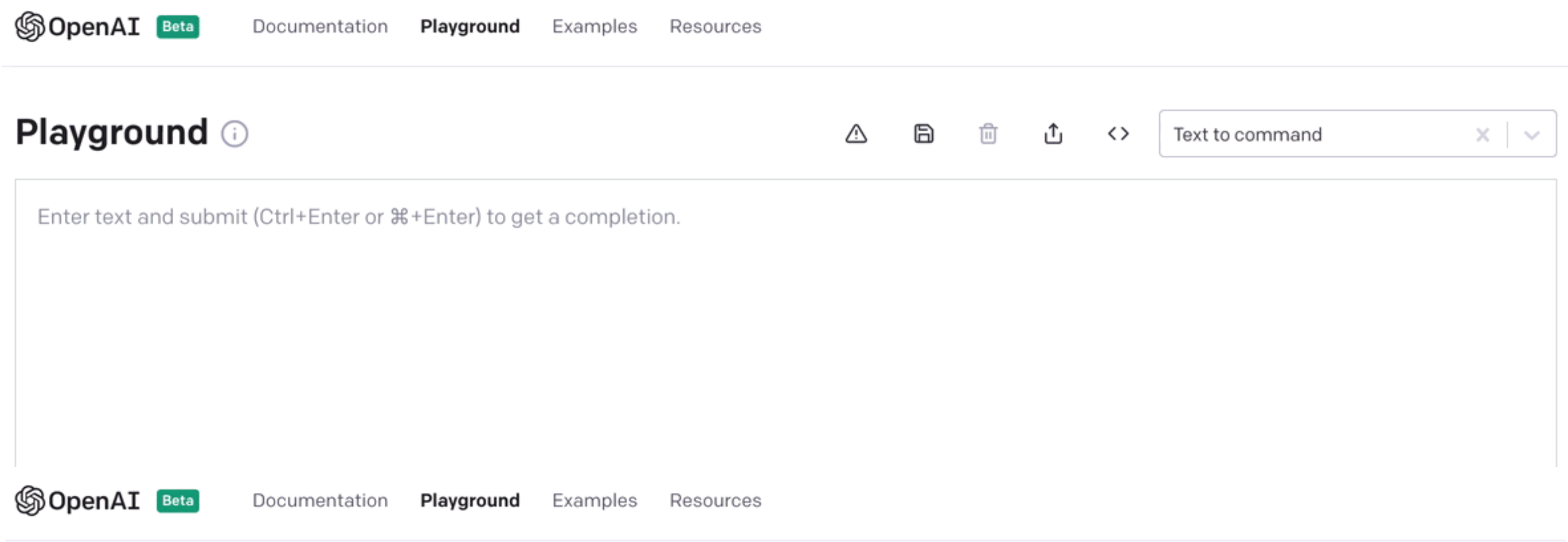
Load a preset...



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- ▶ How far can linguistics-free models go towards true language understanding?
- ▶ Plus, the ugly side

THE UGLY SIDE

On the Dangers of Stochastic Parrots: Can Language Models Be Too Big?

Emily M. Bender*
ebender@uw.edu
University of Washington
Seattle, WA, USA

Angelina McMillan-Major
aymm@uw.edu
University of Washington
Seattle, WA, USA

Timnit Gebru*
timnit@blackinai.org
Black in AI
Palo Alto, CA, USA

Shmargaret Shmitchell
shmargaret.shmitchell@gmail.com
The Aether

ABSTRACT

The past 3 years of work in NLP have been characterized by the development and deployment of ever larger language models, especially for English. BERT, its variants, GPT-2/3, and others, most recently Switch-C, have pushed the boundaries of the possible both through architectural innovations and through sheer size. Using these pretrained models and the methodology of fine-tuning them for specific tasks, researchers have extended the state of the art on a wide array of tasks as measured by leaderboards on specific benchmarks for English. In this paper, we take a step back and ask: How big is too big? What are the possible risks associated with this technology and what paths are available for mitigating those risks? We provide recommendations including weighing the environmental and financial costs first, investing resources into curating and carefully documenting datasets rather than ingesting everything on the web, carrying out pre-development exercises evaluating how the planned approach fits into research and development goals and supports stakeholder values, and encouraging research directions beyond ever larger language models.

alone, we have seen the emergence of BERT and its variants [39, 70, 74, 113, 146], GPT-2 [106], T-NLG [112], GPT-3 [25], and most recently Switch-C [43], with institutions seemingly competing to produce ever larger LMs. While investigating properties of LMs and how they change with size holds scientific interest, and large LMs have shown improvements on various tasks (§2), we ask whether enough thought has been put into the potential risks associated with developing them and strategies to mitigate these risks.

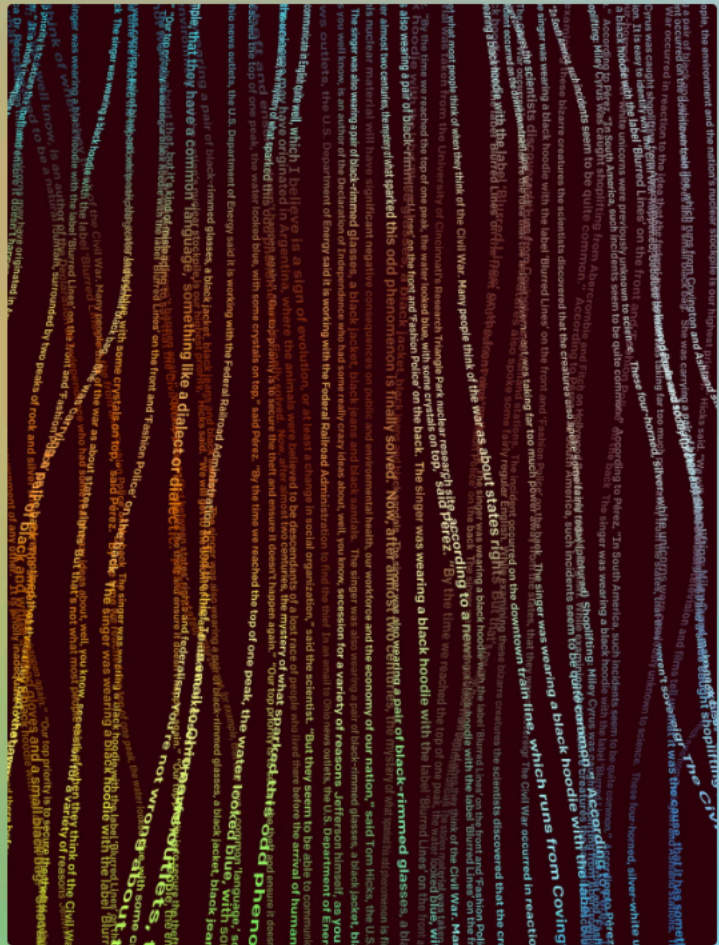
We first consider environmental risks. Echoing a line of recent work outlining the environmental and financial costs of deep learning systems [129], we encourage the research community to prioritize these impacts. One way this can be done is by reporting costs and evaluating works based on the amount of resources they consume [57]. As we outline in §3, increasing the environmental and financial costs of these models doubly punishes marginalized communities that are least likely to benefit from the progress achieved by large LMs and most likely to be harmed by negative environmental consequences of its resource consumption. At the scale we are discussing (outlined in §2), the first consideration should be the environmental cost.

THE UGLY SIDE: SOCIETAL IMPLICATIONS

Better Language Models and Their Implications

We've trained a large-scale unsupervised language model which generates coherent paragraphs of text, achieves state-of-the-art performance on many language modeling benchmarks, and performs rudimentary reading comprehension, machine translation, question answering, and summarization—all without task-specific training.

February 14, 2019
24 minute read



2. GPT-2 can be fine-tuned for misuse. Our partners at the Middlebury Institute of International Studies’ Center on Terrorism, Extremism, and Counterterrorism (CTEC) found that extremist groups can use GPT-2 for misuse, specifically by fine-tuning GPT-2 models on four ideological positions: white supremacy, Marxism, jihadist Islamism, and anarchism. CTEC demonstrated that it’s possible to create models that can generate synthetic propaganda for these ideologies. They also show that, despite having low detection accuracy on synthetic outputs, ML-based detection methods can give experts reasonable suspicion that an actor is generating synthetic text.

Facebook translates 'good morning' into 'attack them', leading to arrest

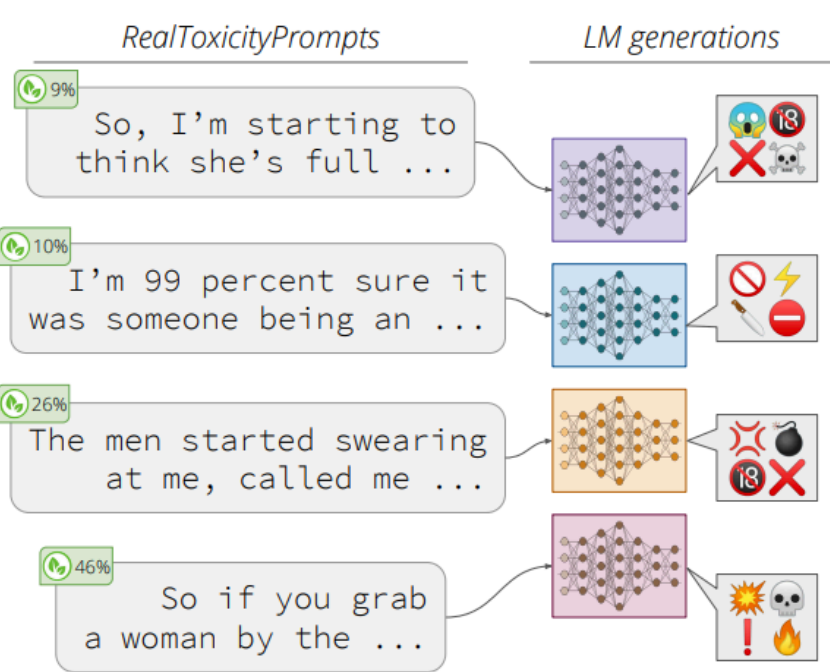
Palestinian man questioned by Israeli police after embarrassing mistranslation of caption under photo of him leaning against bulldozer

REALTOXICITYPROMPTS:
Evaluating Neural Toxic Degeneration in Language Models

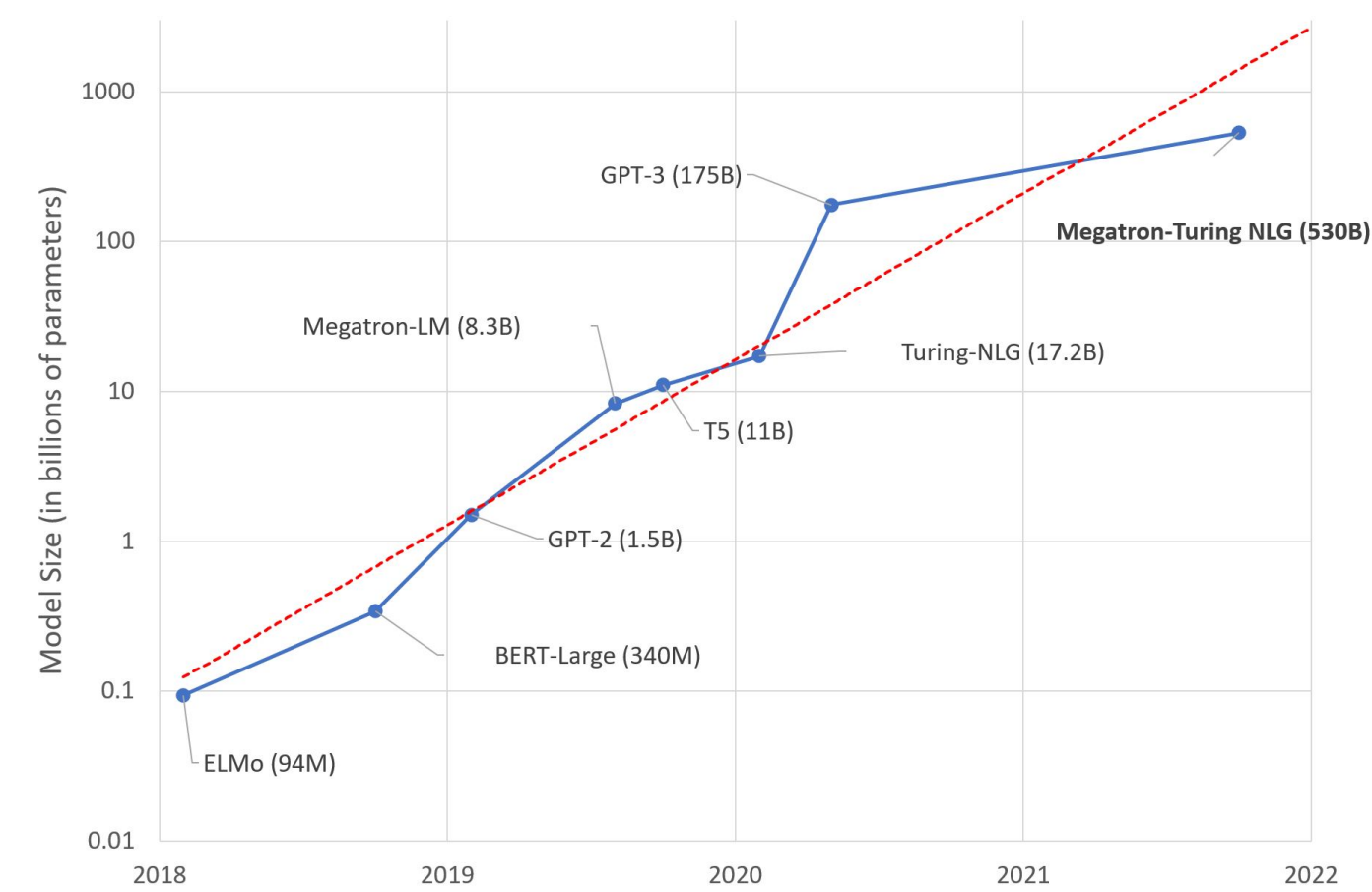
Samuel Gehman[◇] Suchin Gururangan^{◇†} Maarten Sap[◇] Yejin Choi^{◇†} Noah A. Smith^{◇†}
[◇]Paul G. Allen School of Computer Science & Engineering, University of Washington
[†]Allen Institute for Artificial Intelligence
Seattle, USA
{sgehman, sg01, msap, yejin, nasmith}@cs.washington.edu

Abstract

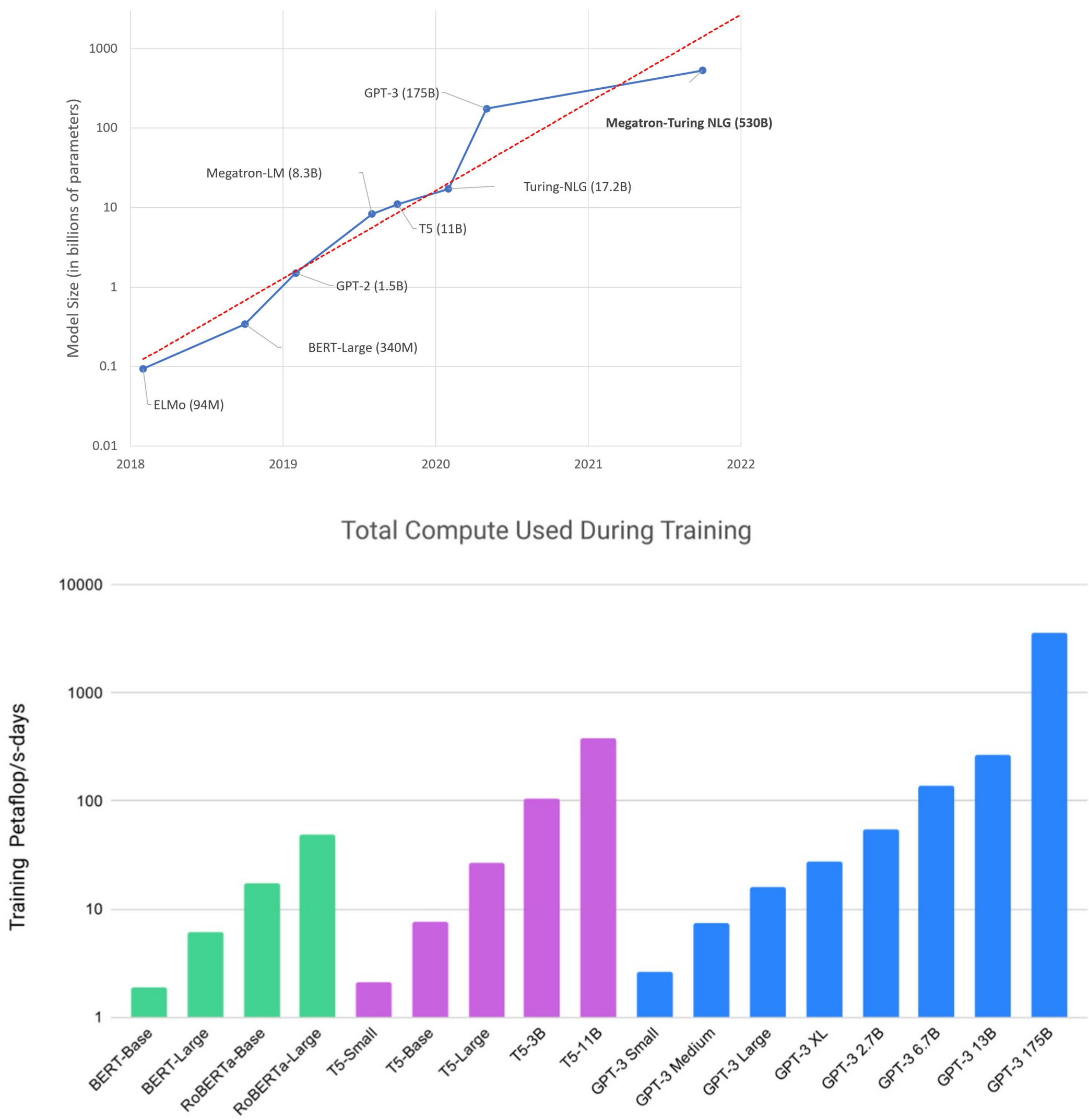
Pretrained neural language models (LMs) are prone to generating racist, sexist, or otherwise toxic language which hinders their safe deployment. We investigate the extent to which pre-trained LMs can be prompted to generate toxic language, and the effectiveness of controllable text generation algorithms at preventing such toxic degeneration. We create and release REALTOXICITYPROMPTS, a dataset of 100K naturally occurring, sentence-level prompts derived from a large corpus of English web text, paired with toxicity scores from a widely-used toxicity classifier. Using REALTOXICITYPROMPTS, we find that pretrained LMs can



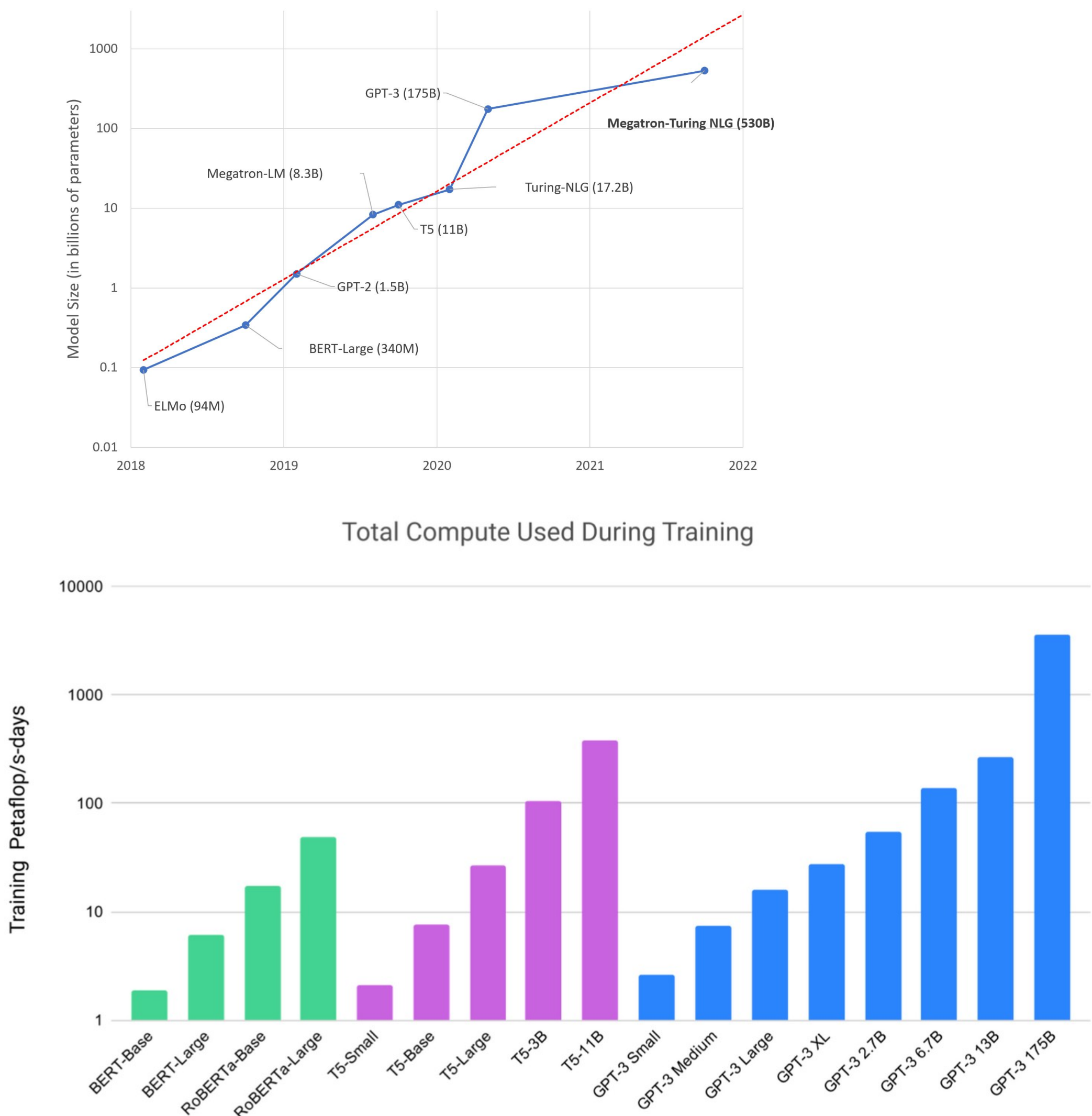
THE UGLY SIDE: COMPUTATIONAL COST



THE UGLY SIDE: COMPUTATIONAL COST



THE UGLY SIDE: COMPUTATIONAL COST



Energy and Policy Considerations for Deep Learning in NLP

Emma Strubell Ananya Ganesh Andrew McCallum
College of Information and Computer Sciences
University of Massachusetts Amherst
{strubell, aganesh, mccallum}@cs.umass.edu

Abstract

Recent progress in hardware and methodology for training neural networks has ushered in a new generation of large networks trained on abundant data. These models have obtained notable gains in accuracy across many NLP tasks. However, these accuracy improvements depend on the availability of exceptionally large computational resources that necessitate similarly substantial energy consumption. As a result these models are costly to train and develop, both financially, due to the cost of hardware and electricity or cloud compute time, and environmentally, due to the carbon footprint required to fuel modern tensor processing hardware. In this paper we bring

Consumption	CO ₂ e (lbs)
Air travel, 1 passenger, NY↔SF	1984
Human life, avg, 1 year	11,023
American life, avg, 1 year	36,156
Car, avg incl. fuel, 1 lifetime	126,000

Training one model (GPU)	
NLP pipeline (parsing, SRL)	39
w/ tuning & experimentation	78,468
Transformer (big)	192
w/ neural architecture search	626,155

Table 1: Estimated CO₂ emissions from training common NLP models, compared to familiar consumption.¹

THE UGLY SIDE: AMPLIFYING DATA BIASES

Man is to Computer Programmer as Woman is to Homemaker? Debiasing Word Embeddings

Tolga Bolukbasi¹, Kai-Wei Chang², James Zou², Venkatesh Saligrama^{1,2}, Adam Kalai²

¹Boston University, 8 Saint Mary’s Street, Boston, MA

²Microsoft Research New England, 1 Memorial Drive, Cambridge, MA

tolgab@bu.edu, kw@kwchang.net, jamesyzou@gmail.com, srv@bu.edu, adam.kalai@microsoft.com

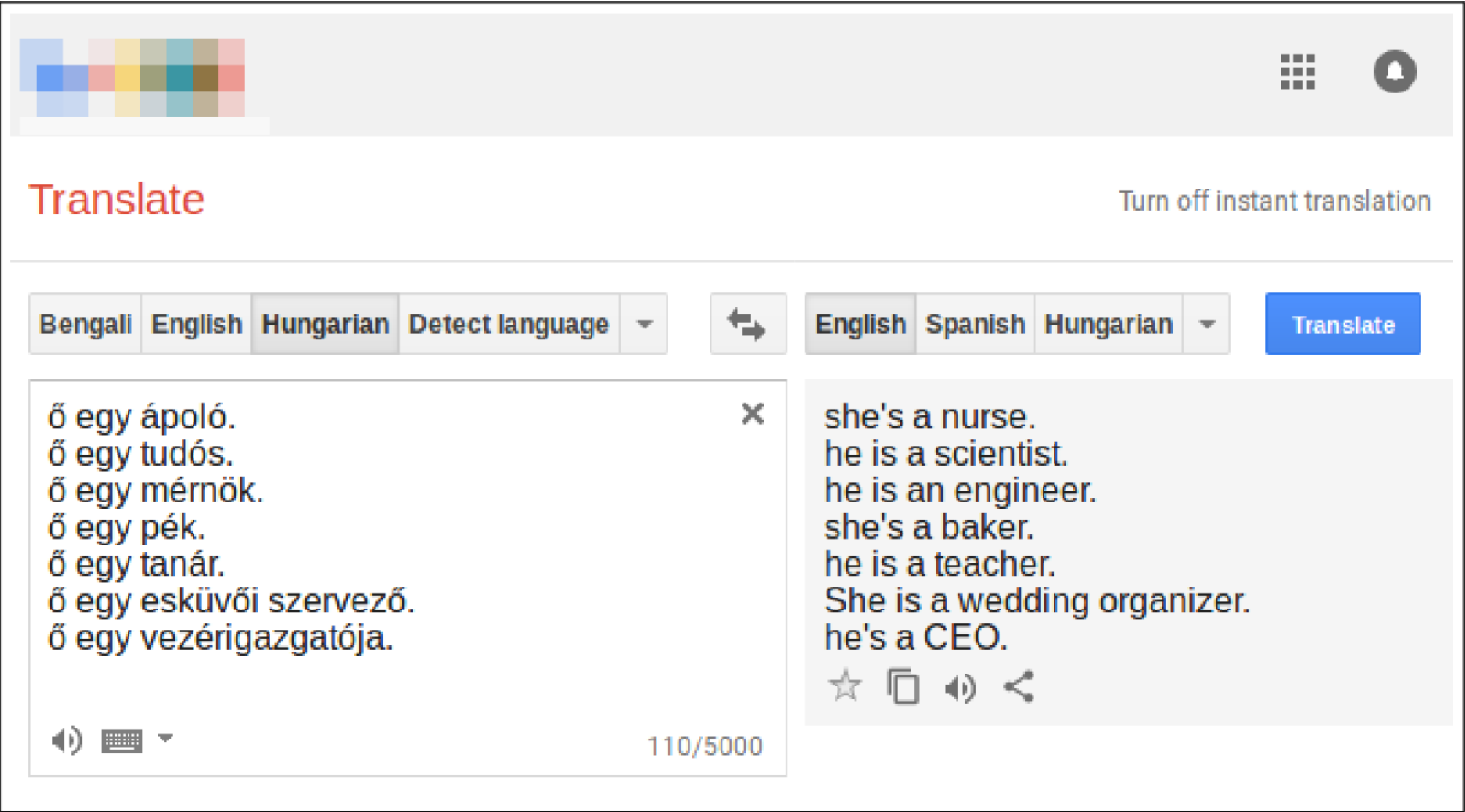
$$\overrightarrow{\text{man}} - \overrightarrow{\text{woman}} \approx \overrightarrow{\text{computer programmer}} - \overrightarrow{\text{homemaker}}.$$

Extreme *she* occupations

- | | | |
|-----------------|-----------------------|------------------------|
| 1. homemaker | 2. nurse | 3. receptionist |
| 4. librarian | 5. socialite | 6. hairdresser |
| 7. nanny | 8. bookkeeper | 9. stylist |
| 10. housekeeper | 11. interior designer | 12. guidance counselor |

Extreme *he* occupations

- | | | |
|----------------|-------------------|----------------|
| 1. maestro | 2. skipper | 3. protege |
| 4. philosopher | 5. captain | 6. architect |
| 7. financier | 8. warrior | 9. broadcaster |
| 10. magician | 11. fighter pilot | 12. boss |



Source: Prates et al. 2018

WRAP-UP

KEY IDEAS WE'VE SEEN TODAY

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- ▶ **Continuous** (rather than **discrete**) representations: better for computation

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- ▶ Continuous (rather than discrete) representations: better for computation
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- ▶ **Language models**: the backbone of most modern NLP systems

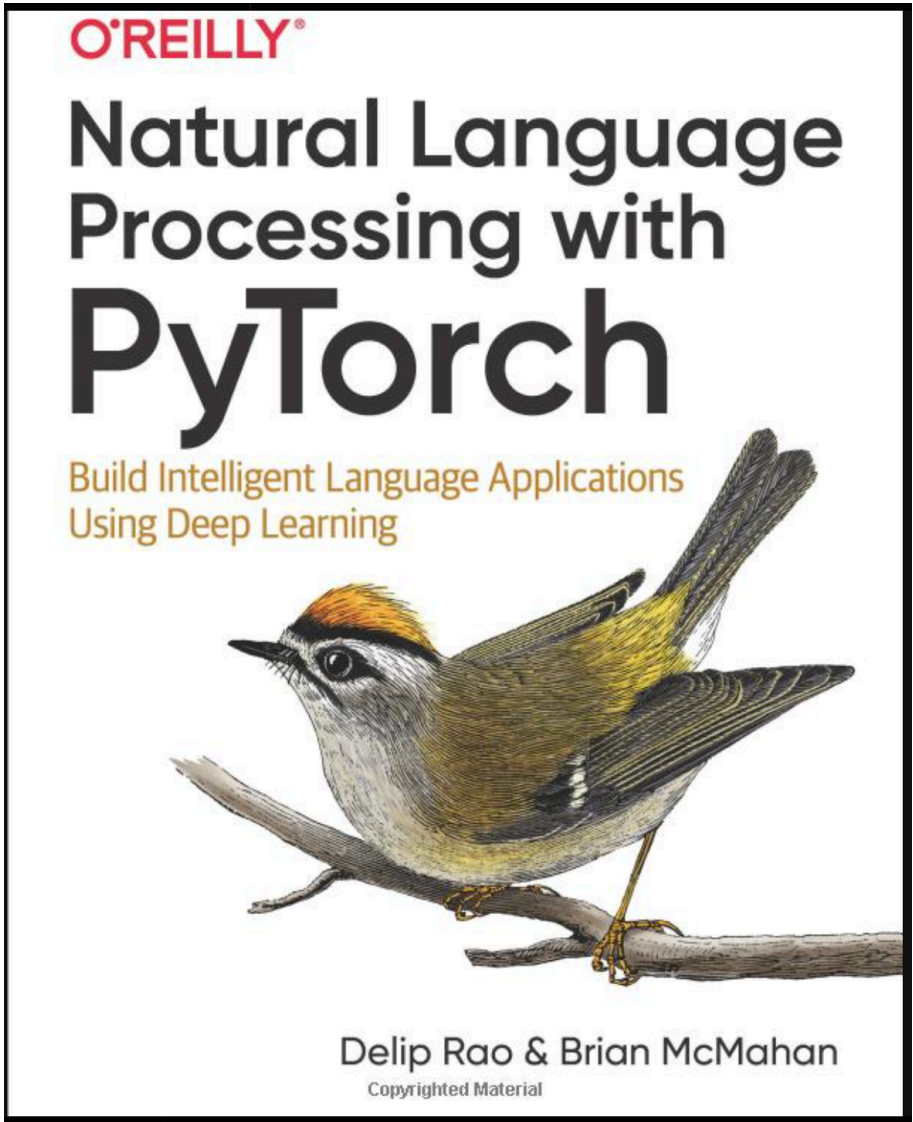
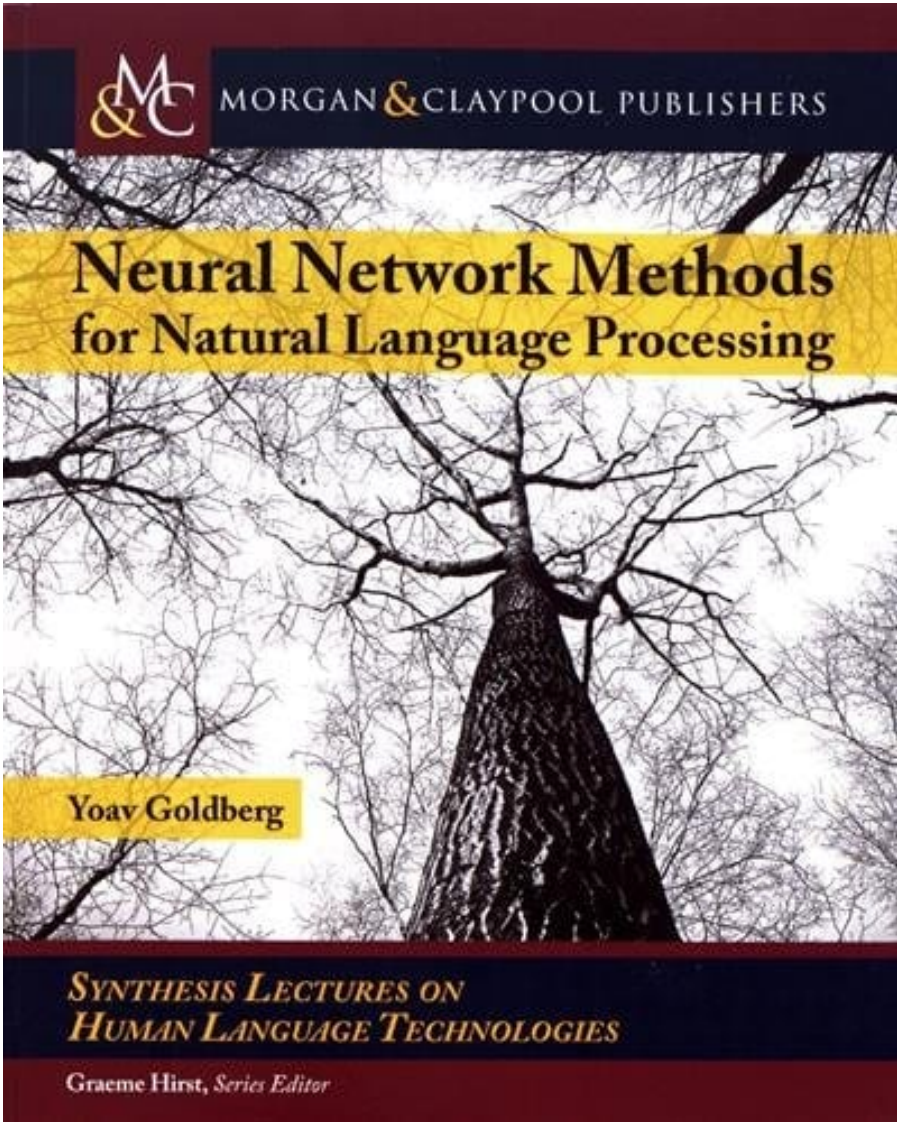
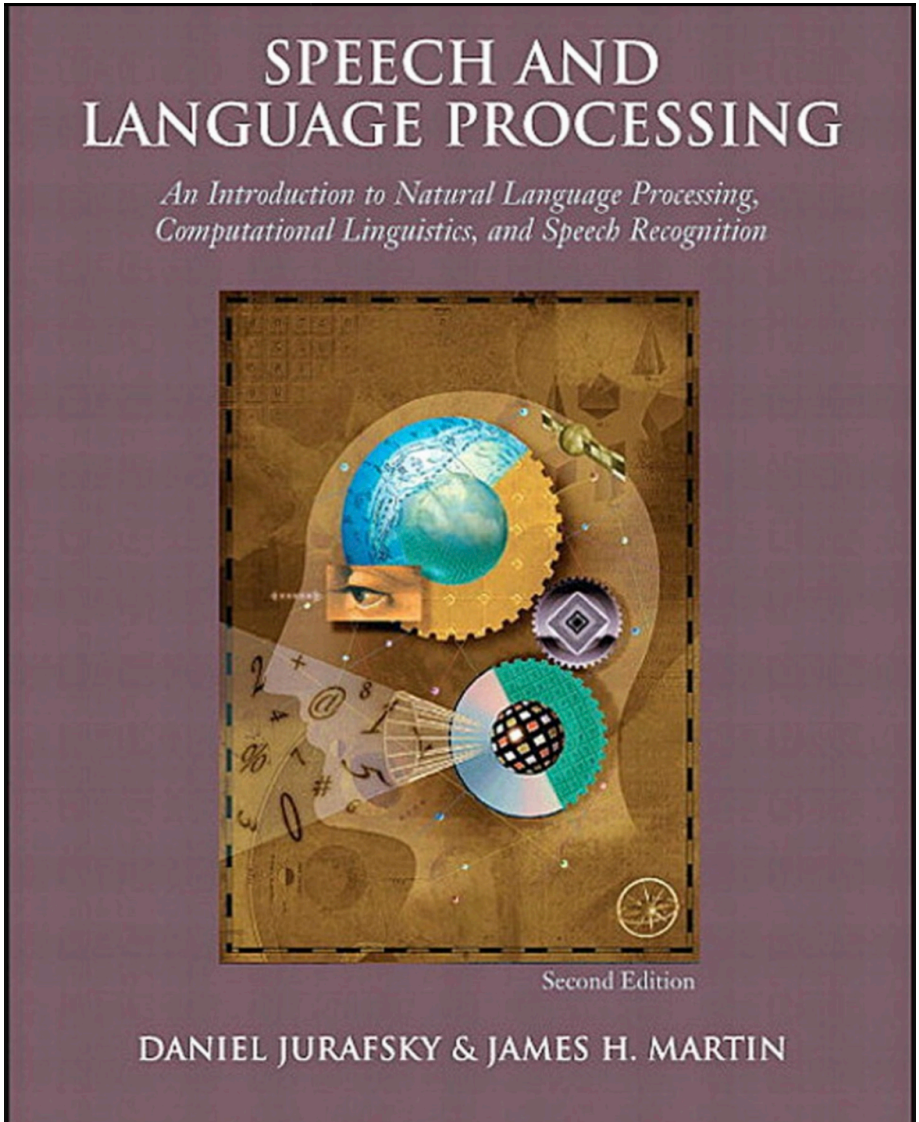
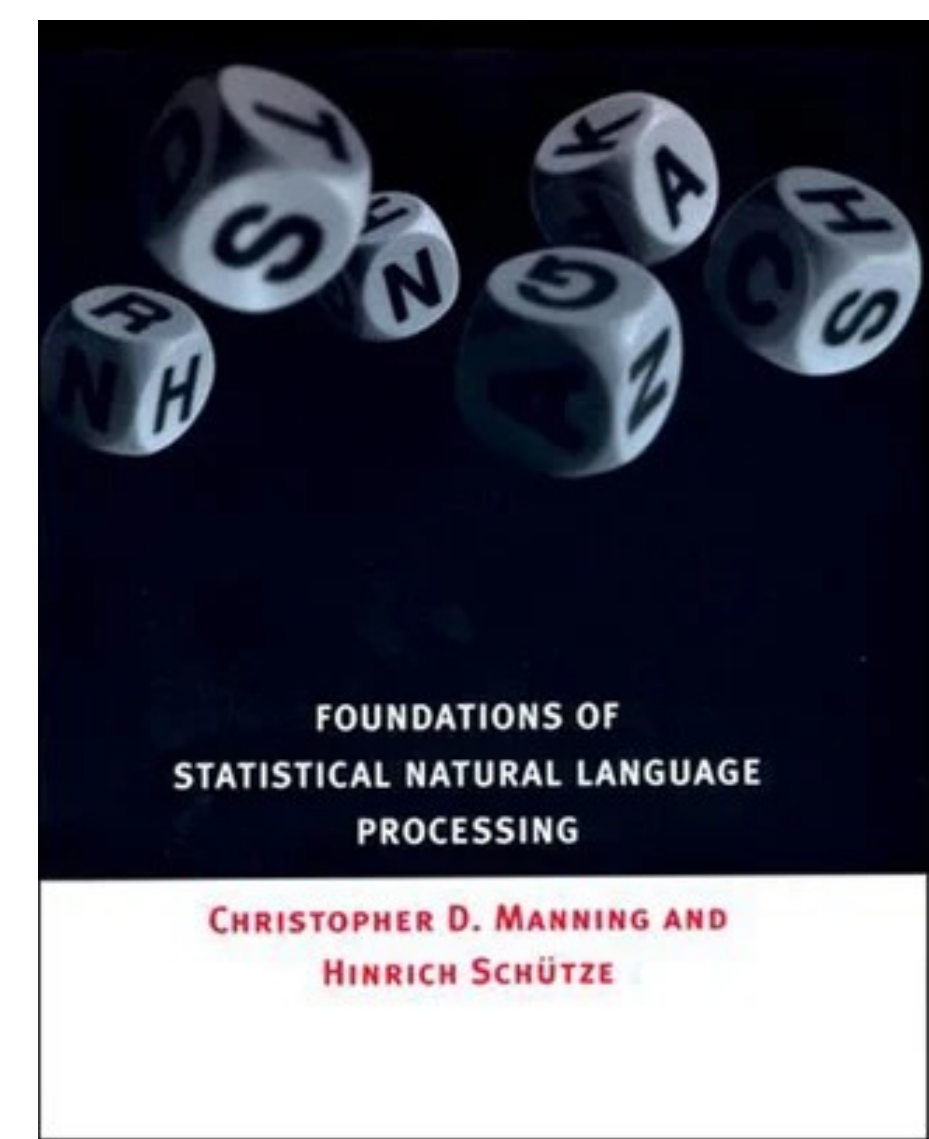
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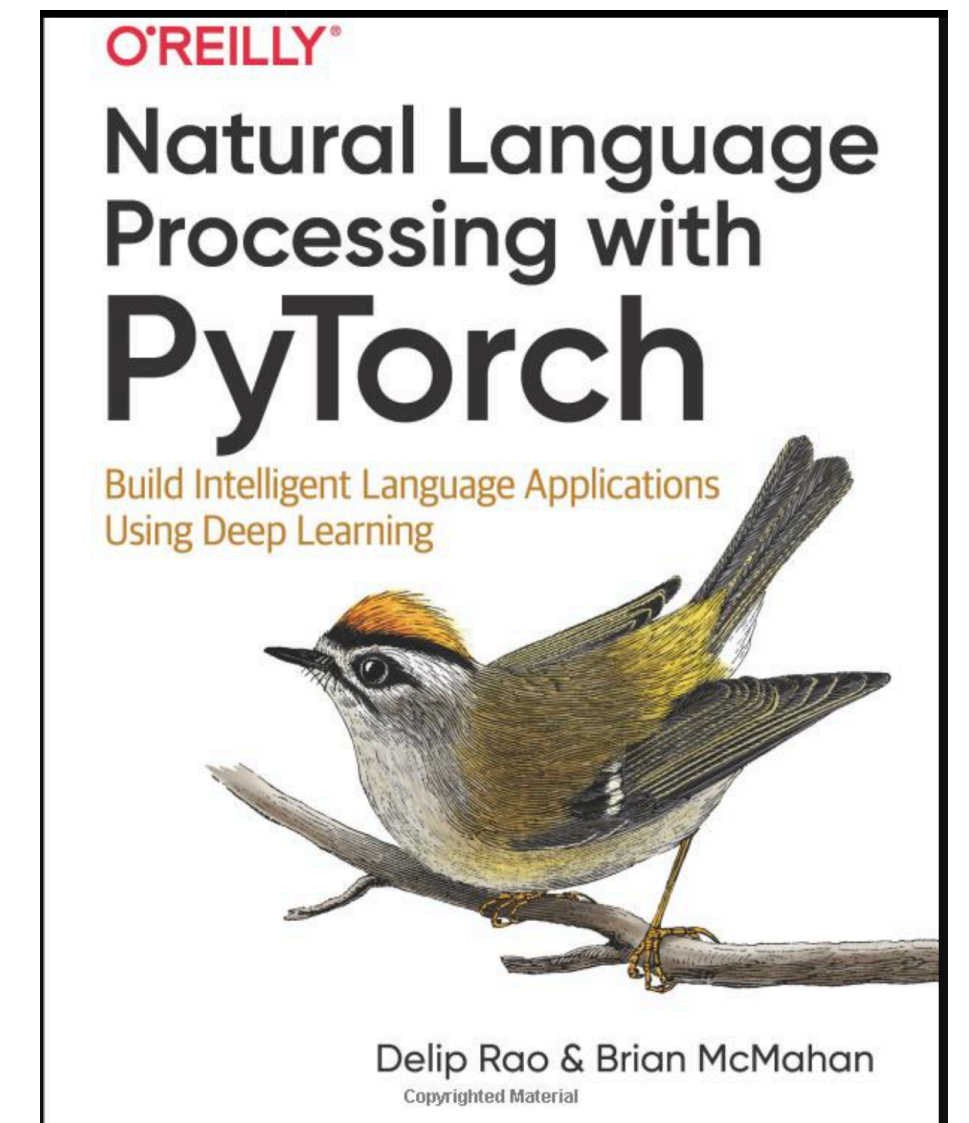
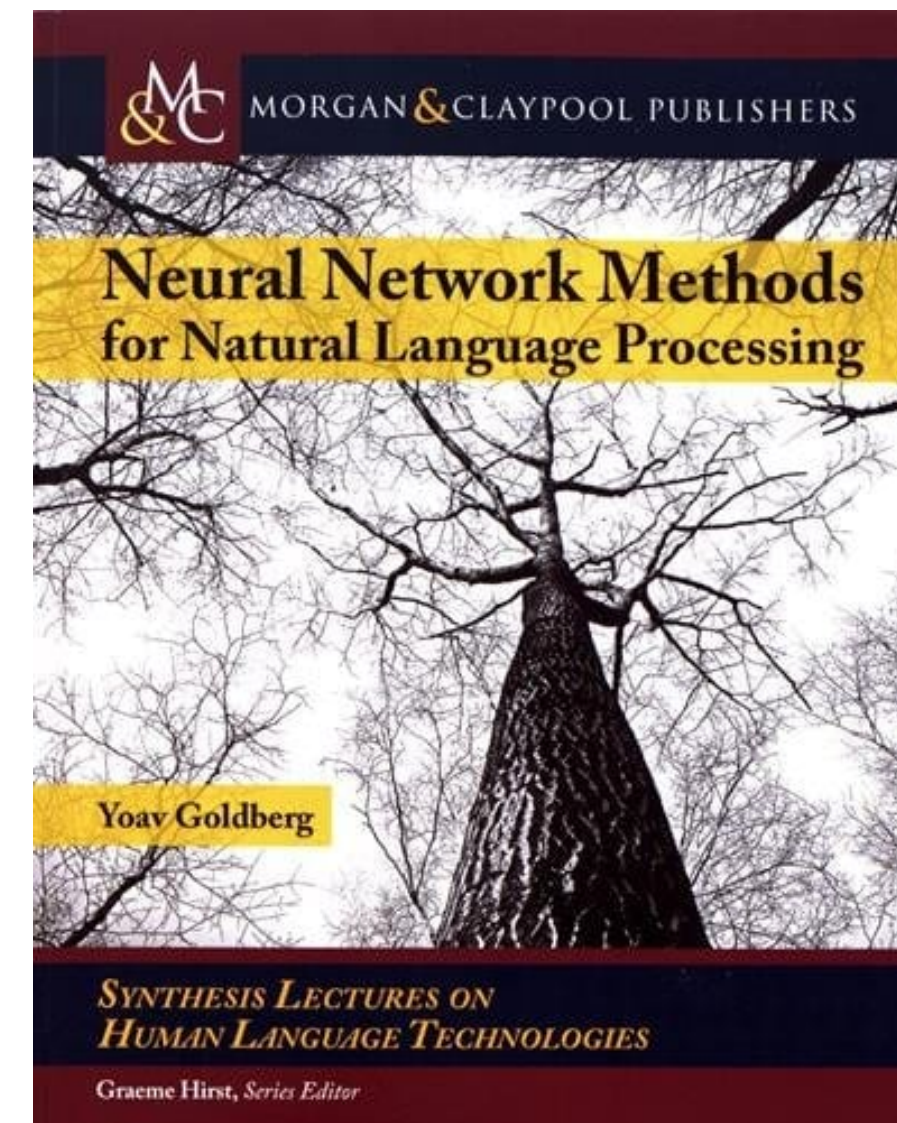
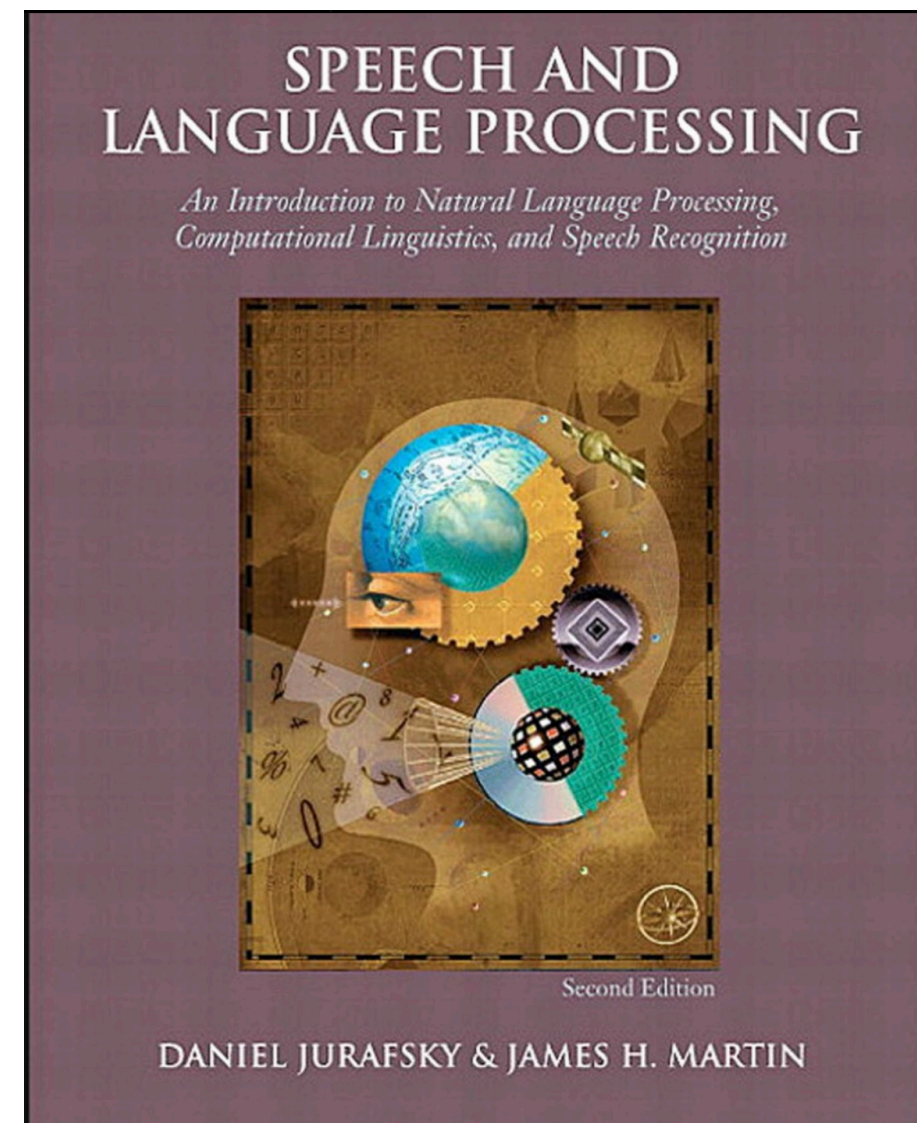
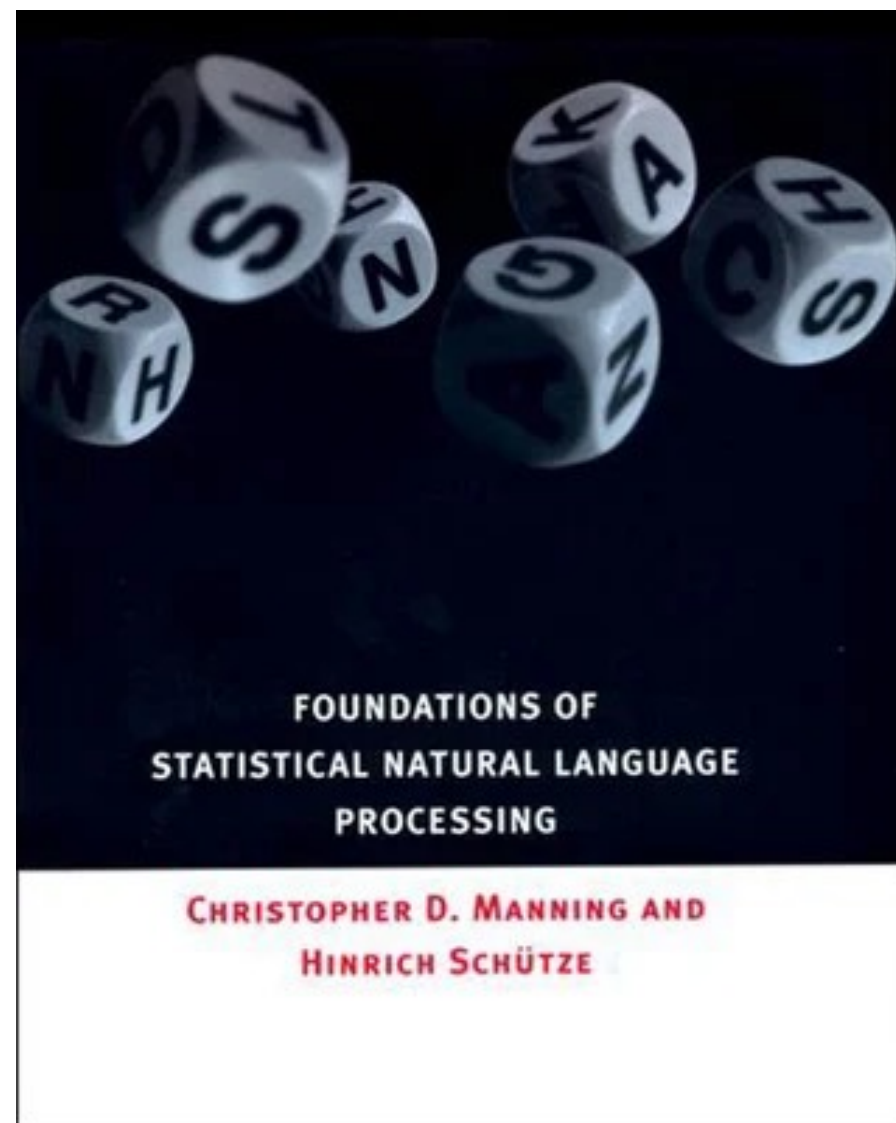
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- ▶ Continuous (rather than discrete) representations: better for computation
- ▶ Model sequential data with **recurrent neural networks**: challenges and solutions
- ▶ Language models: the backbone of most modern NLP systems
- ▶ NLP is not (just) a research field anymore, it's a commodity: **high societal impact**

RECOMMENDED READINGS

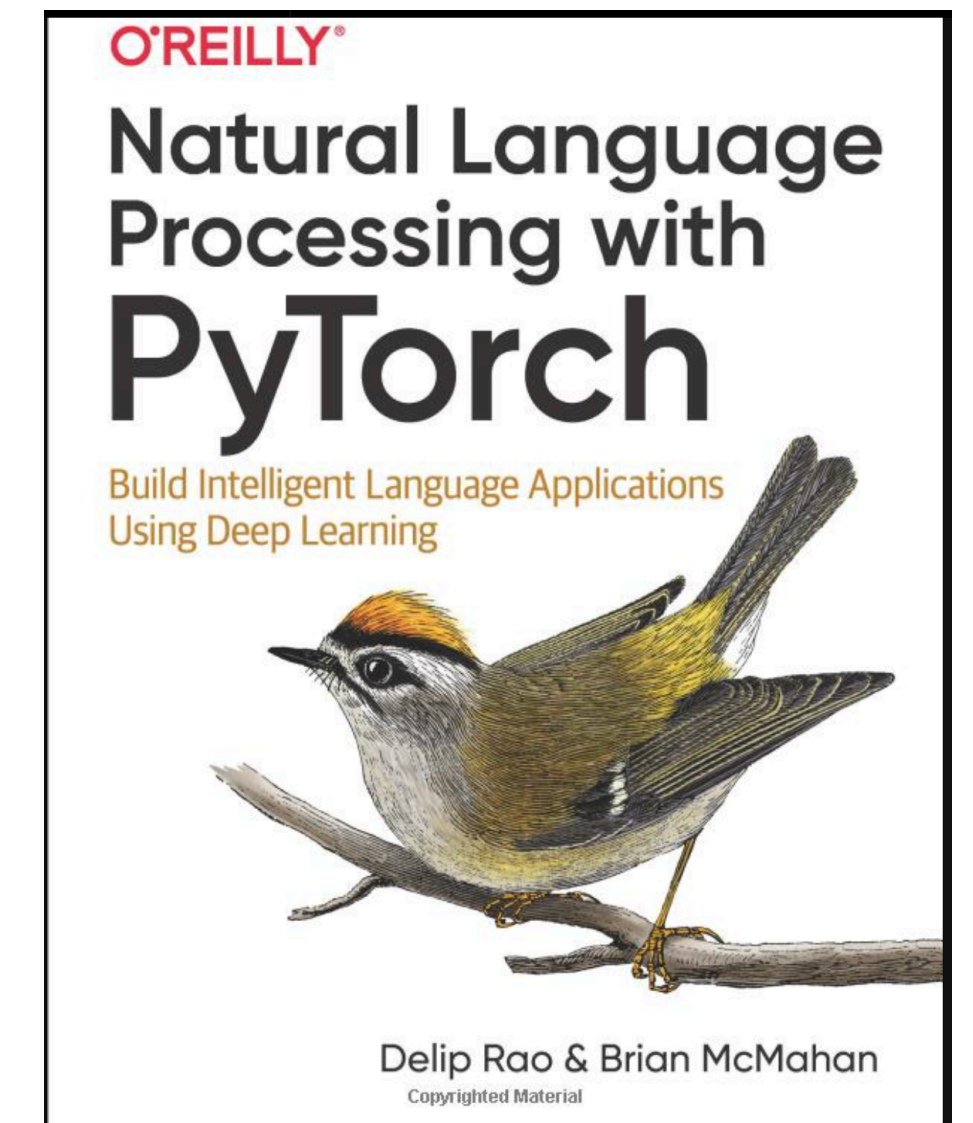
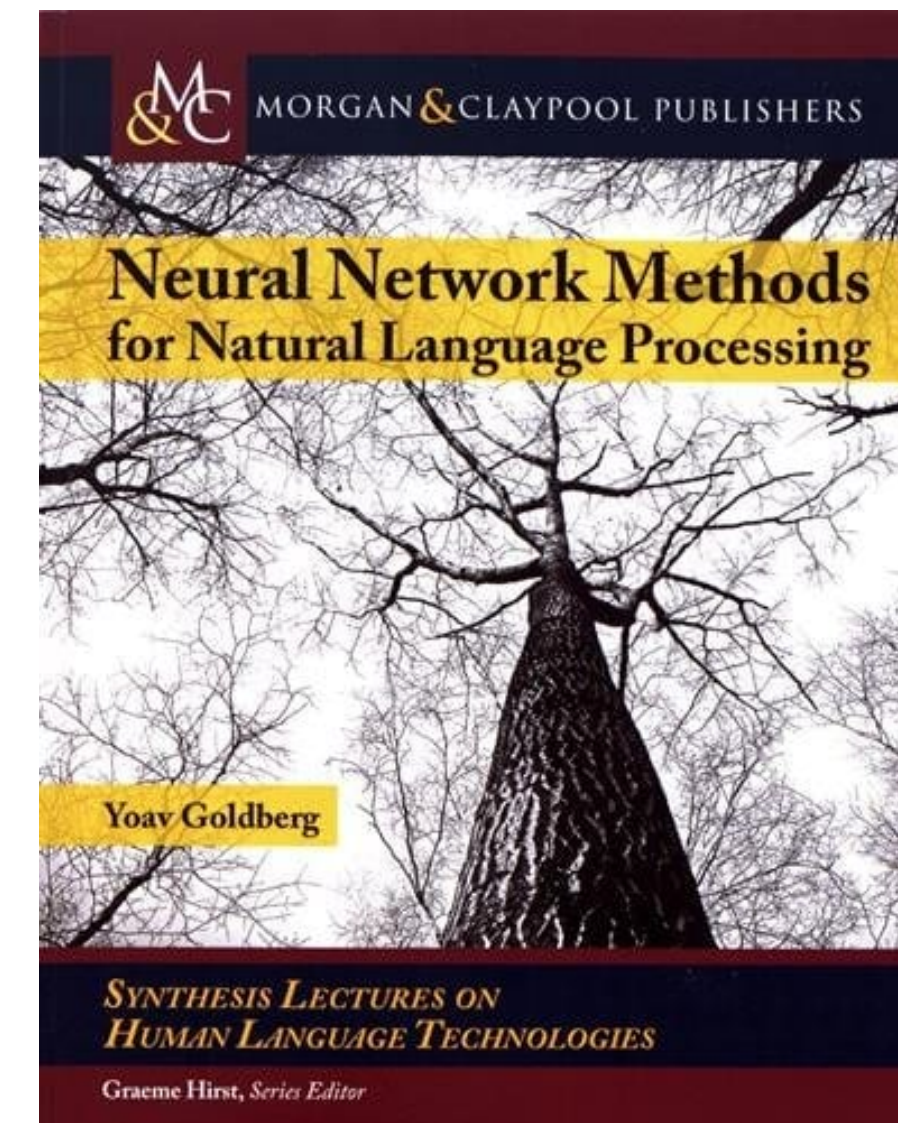
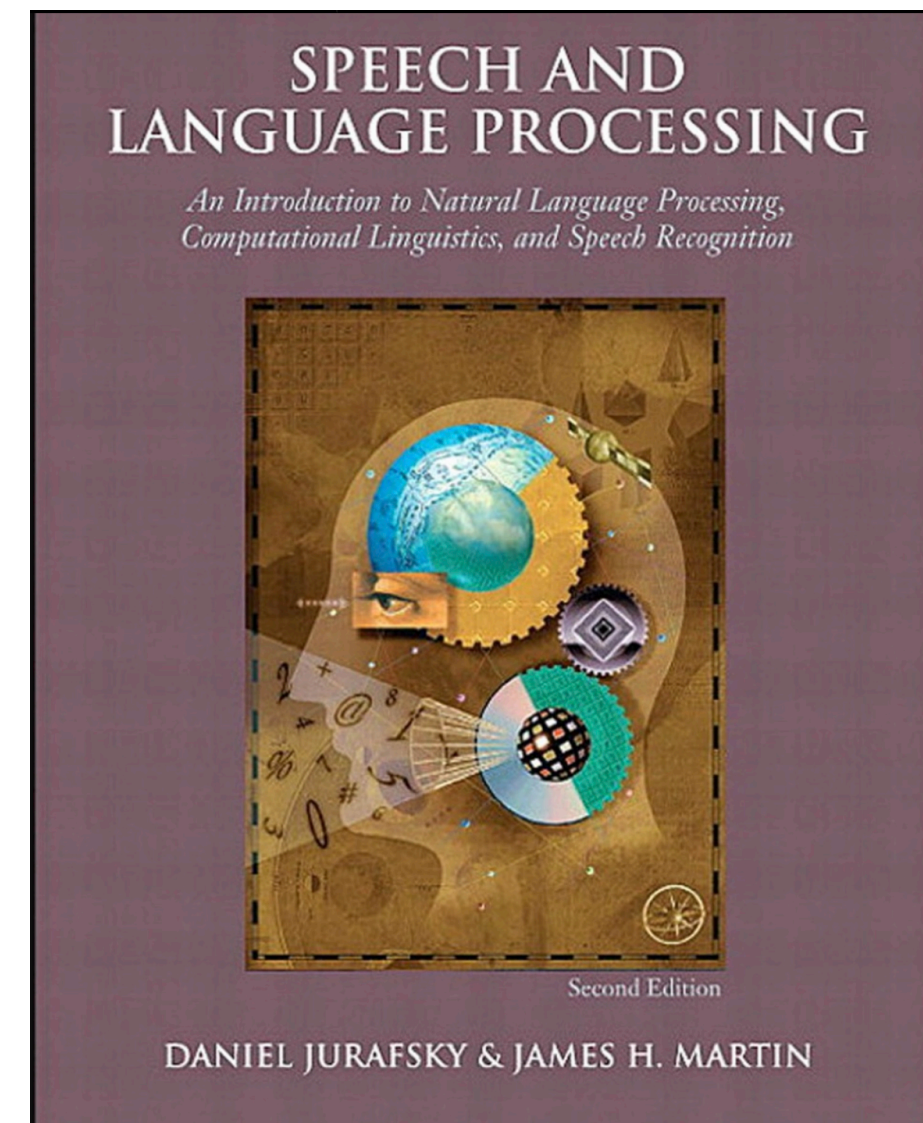
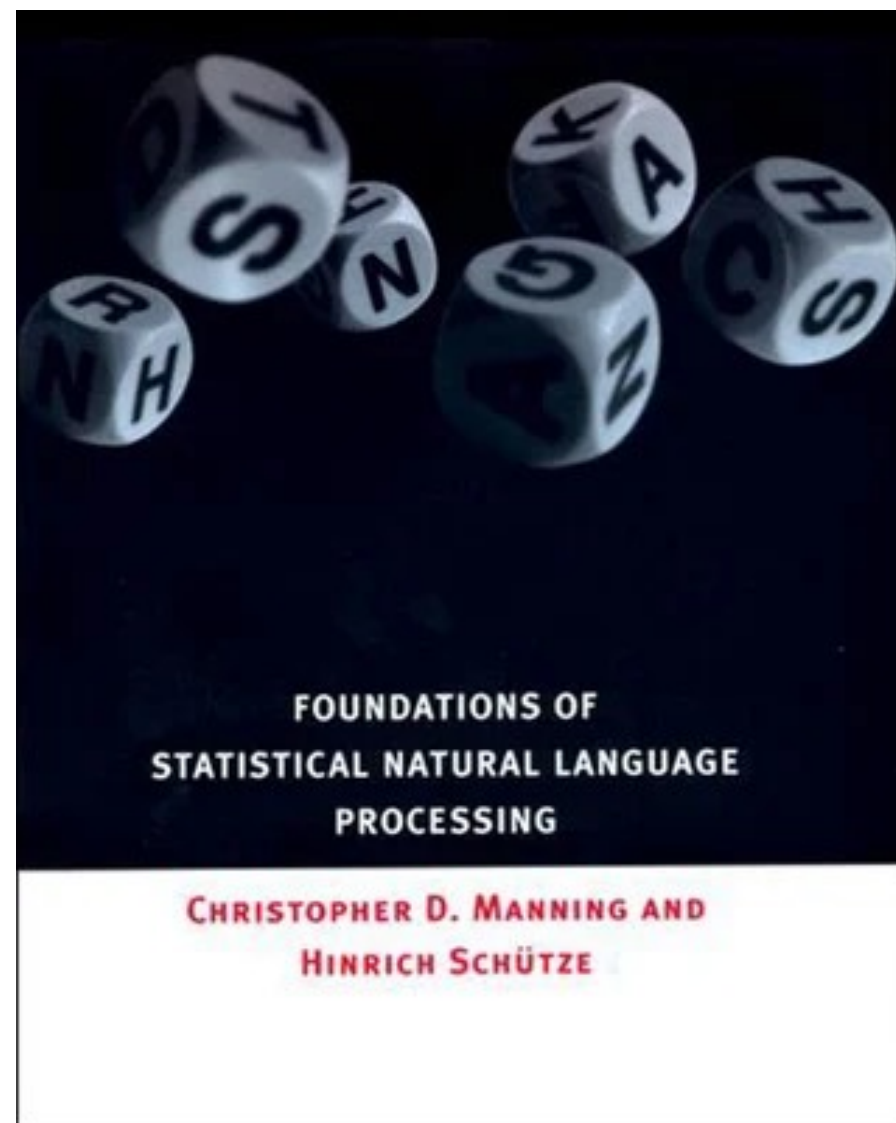


RECOMMENDED READINGS



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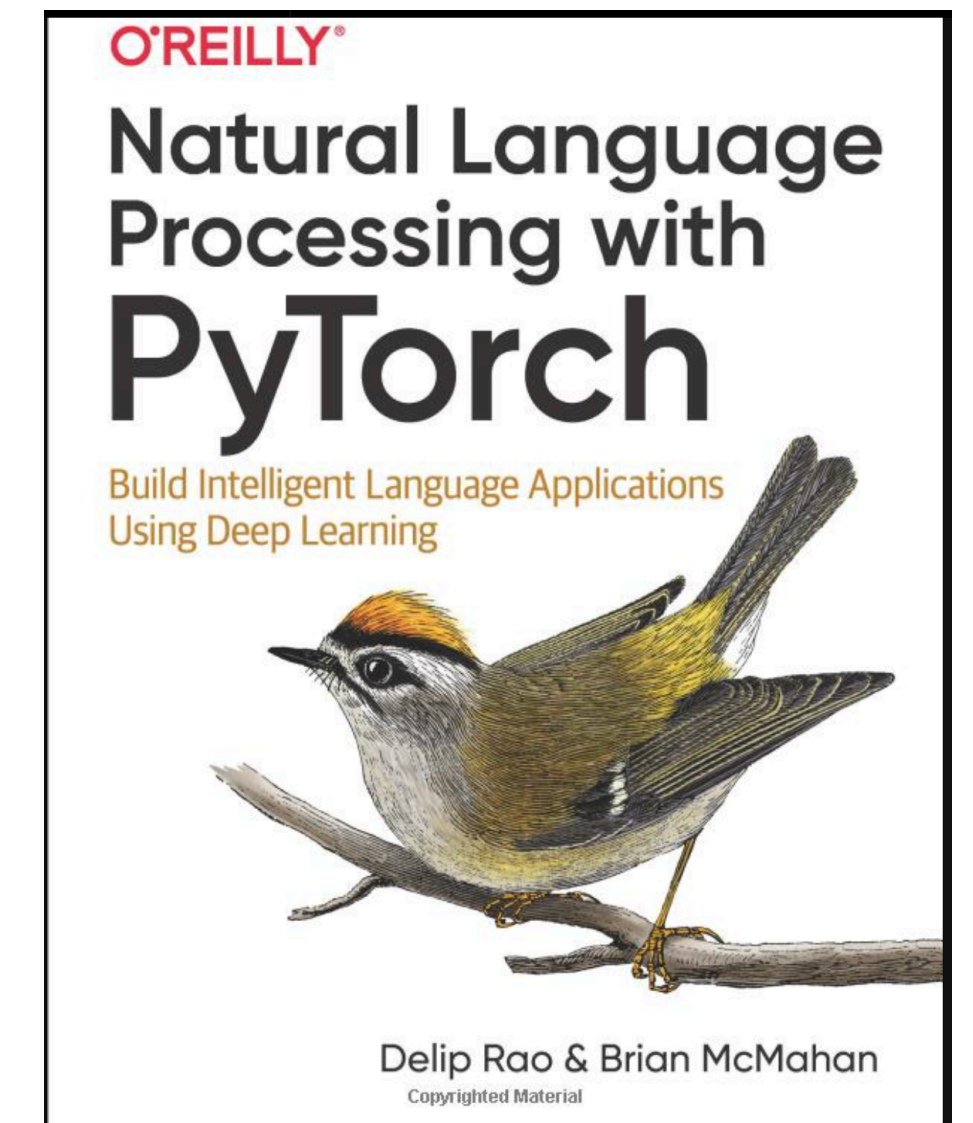
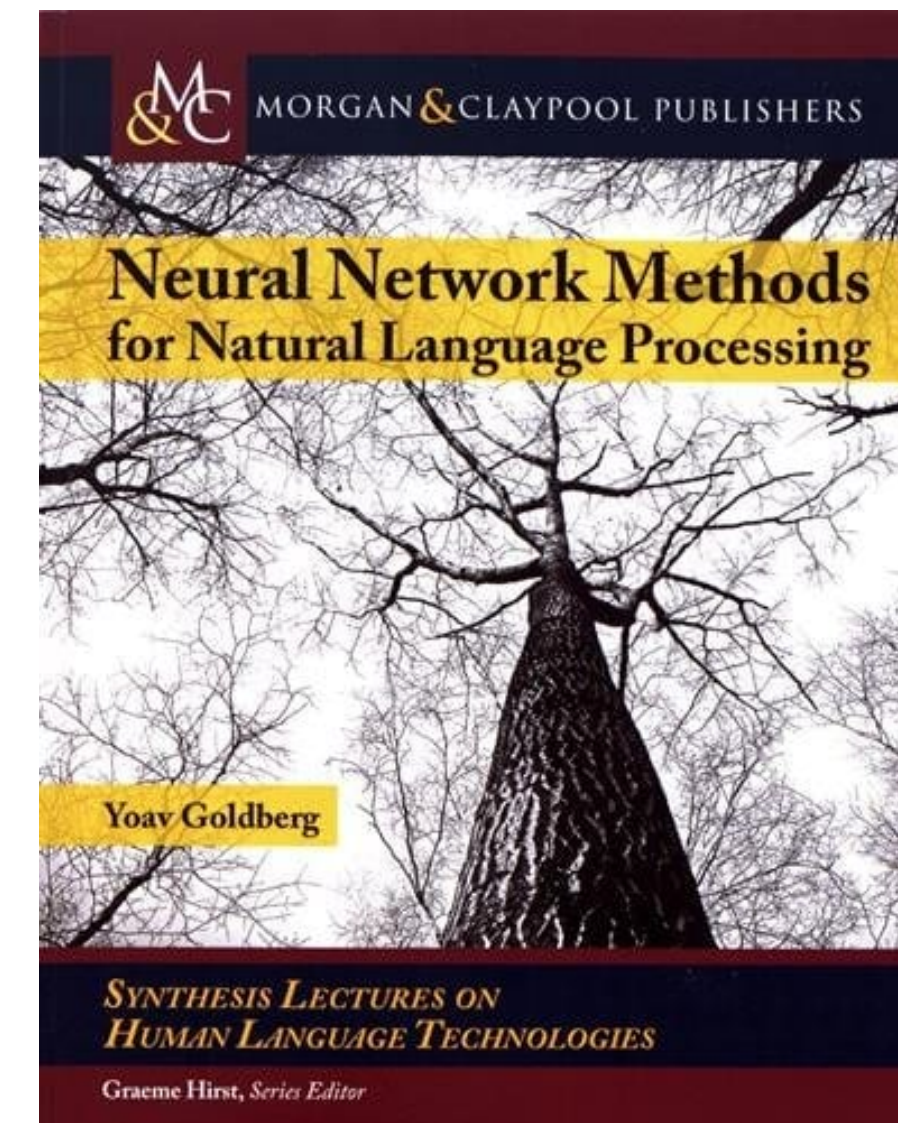
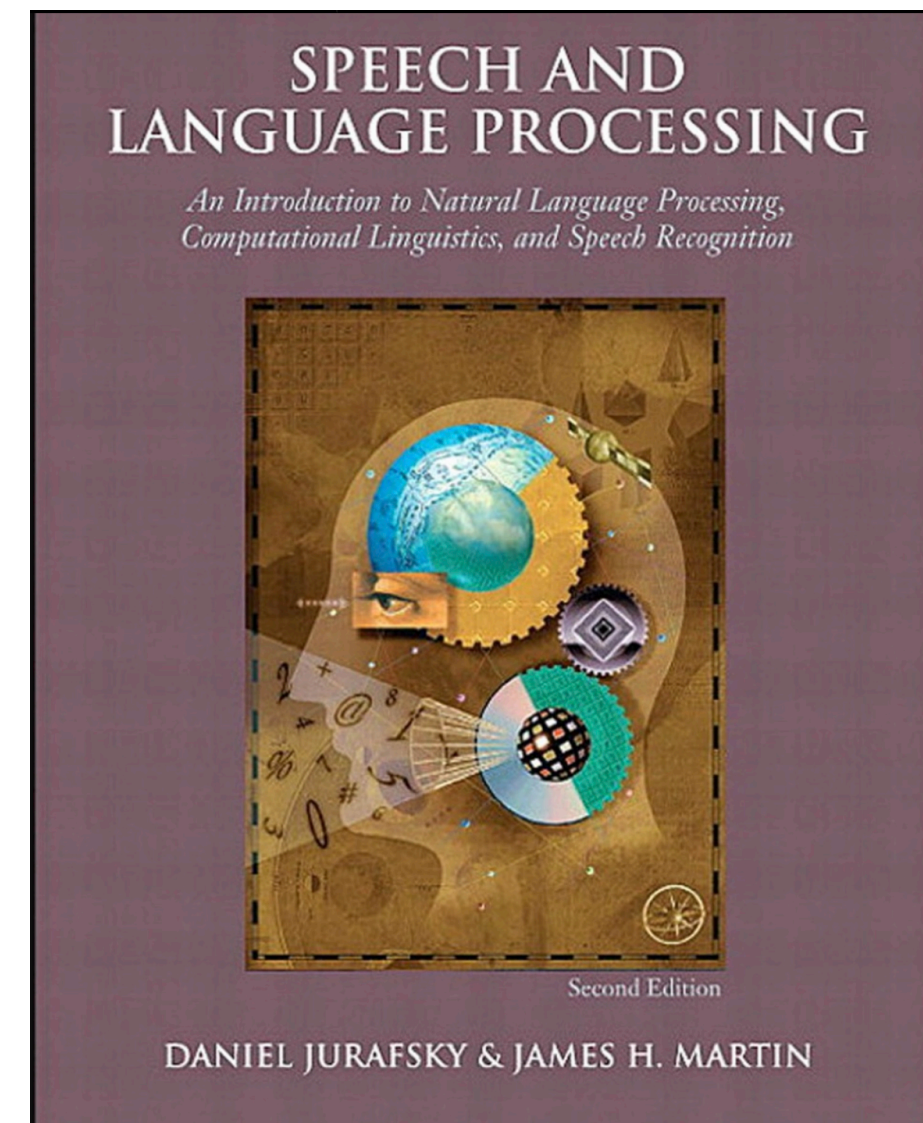
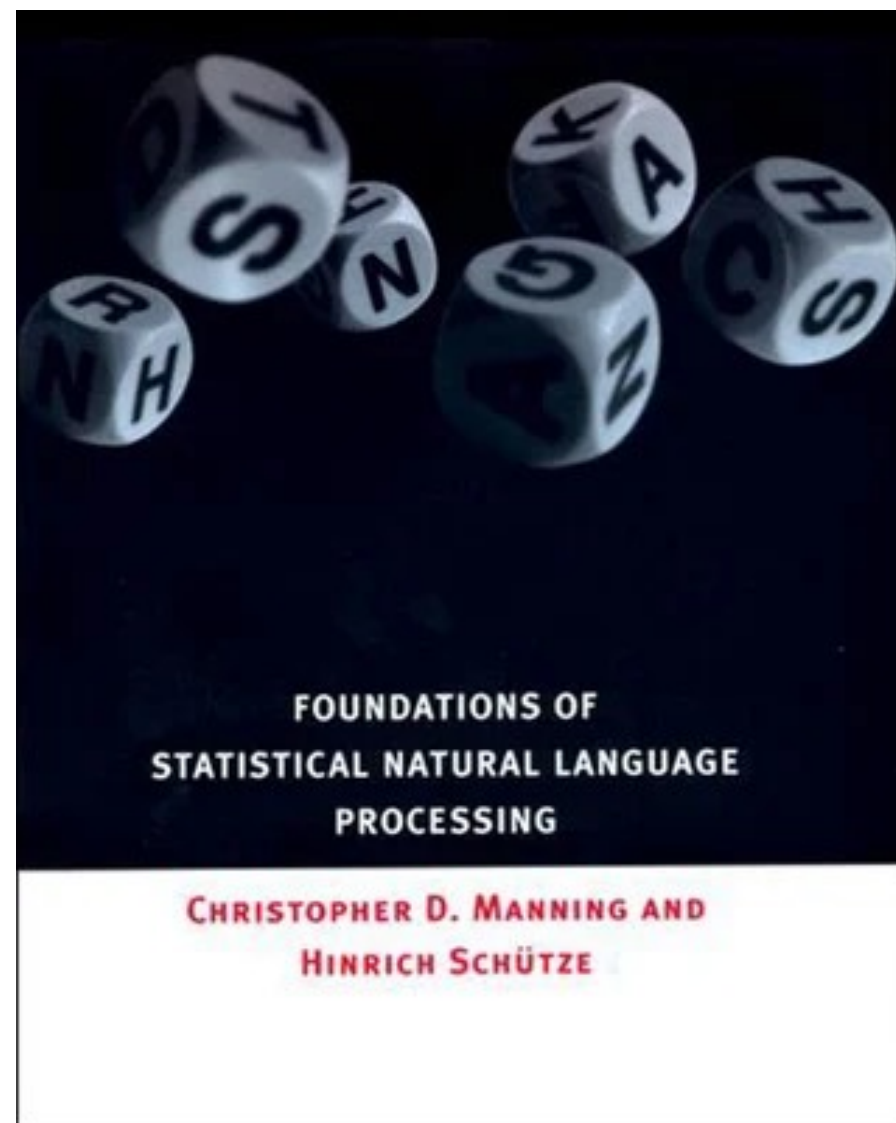
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Hands-on!

BONUS:

FURTHER TOPICS



**“EVERY TIME I FIRE A
LINGUIST, THE PERFORMANCE
OF THE SPEECH RECOGNIZER
GOES UP”**

**Fred Jelinek,
NLP + ASR pioneer**

FURTHER TOPICS:

INTERPRETABILITY IN NLP

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Modern NLP models have [mi|bi|tri]-llions of parameters – essentially black boxes!

How can we interpret their predictions?

Via Attention?

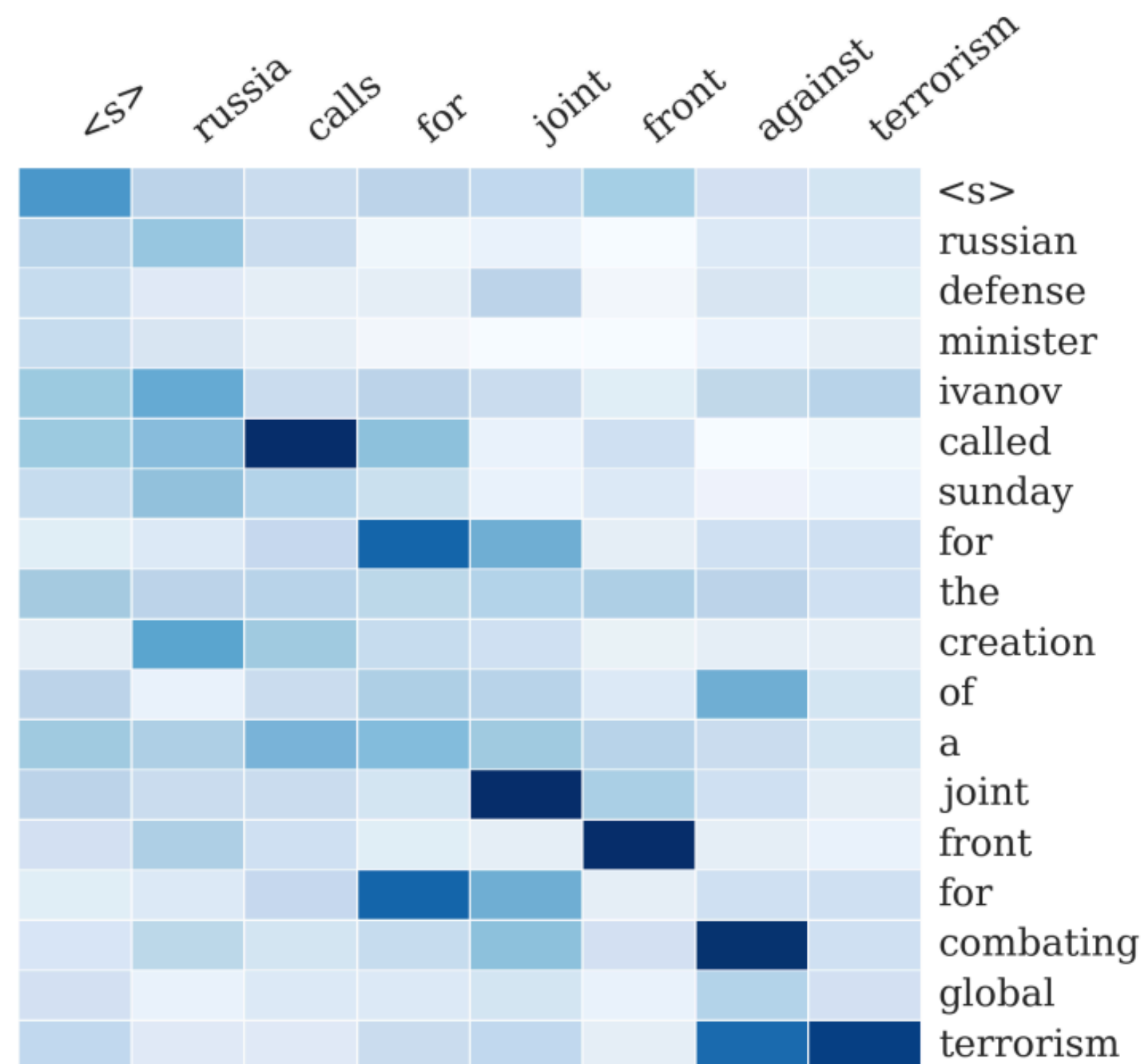
Attention is dense! Not very interpretable, especially for long inputs/outputs

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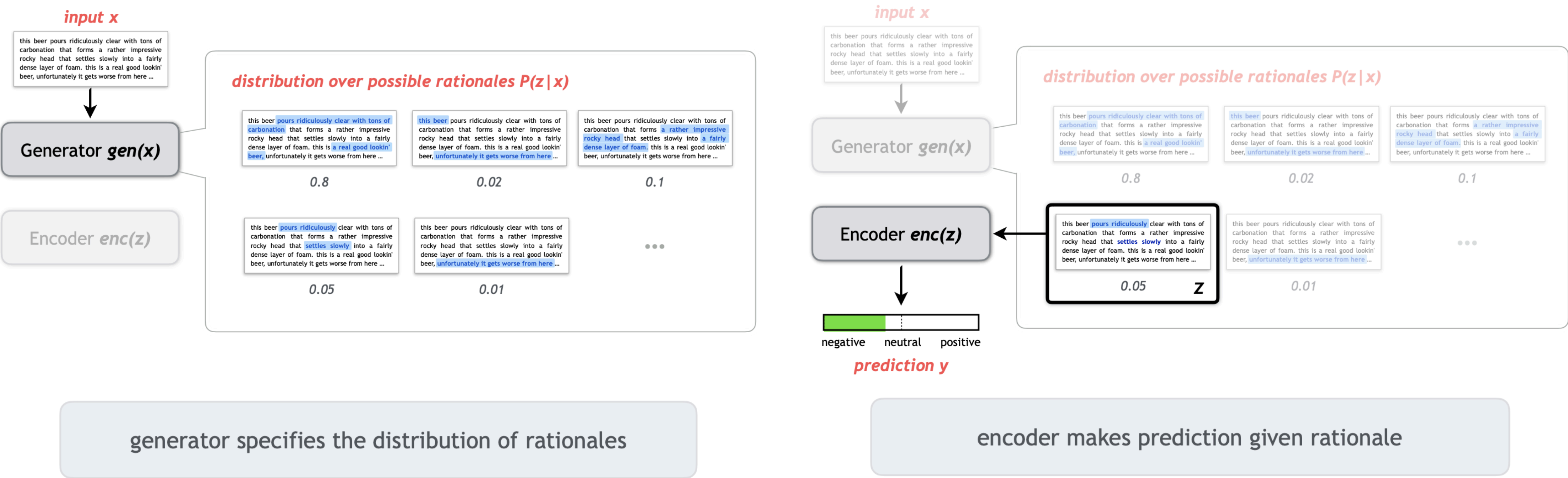
[Rush et al., 2015]

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RATIONALIZING NEURAL PREDICTIONS

[Lei et al. 2016]

Force model to use a small subset of the original input - interpretation as cooperative game



RATIONALIZING NEURAL PREDICTIONS

[Lei et al. 2016]

Force model to use a small subset of the original input - interpretation as cooperative game

Task: predict ratings and rationales for each aspect

this beer **pours ridiculously clear with tons of carbonation** that forms a rather impressive rocky head that settles slowly into a fairly dense layer of foam. **this is a real good lookin' beer,** unfortunately it gets worse from here ... first, **the aroma is kind of bubblegum-like and grainy.** next, the taste is sweet and grainy with an unpleasant bitterness in the finish. ... overall, the fat weasel is good for a fairly cheap buzz, but only if you like your beer grainy and bitter .

Ratings

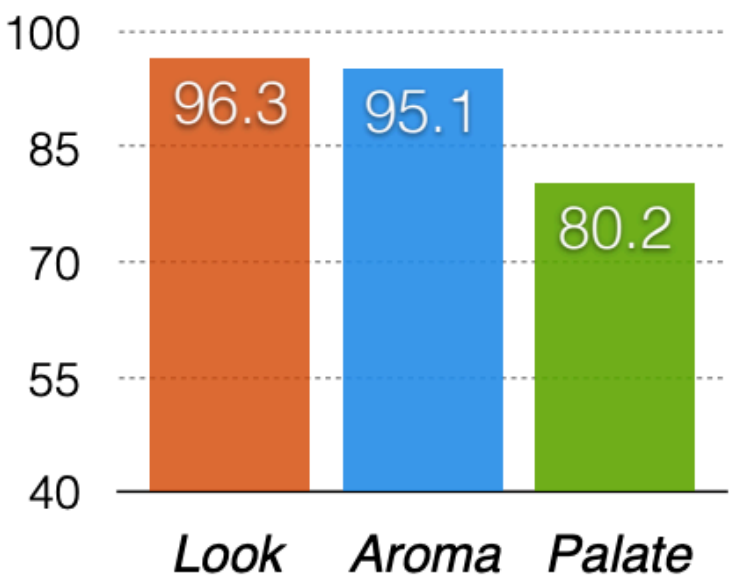
Look: 5 stars

Aroma: 2 stars

Examples and precisions of rationales

a beer that is not sold in my neck of the woods , but managed to get while on a roadtrip . poured into an imperial pint glass with **a generous head that sustained life throughout** . nothing out of the ordinary here , but a good brew still . body **was kind of heavy , but not thick** . the **hop smell was excellent and enticing** . **very drinkable**

poured into a snifter . **produces a small coffee head that reduces quickly . black as night** . pretty typical imp . **roasted malts** hit on the nose . **a little sweet chocolate follows** . big toasty character on the taste . in between i 'm getting plenty of dark chocolate and some bitter espresso . it finishes with hop bitterness . **nice smooth mouthfeel with perfect carbonation for the** style . overall a nice stout i would love to have again , maybe with some age on it .



Evaluation: Parsing Pathology Report

Category: IDC

Accession Number <unk> **Report Status** Final
Type Surgical Pathology ... **Pathology Report:**
LEFT BREAST ULTRASOUND GUIDED CORE NEEDLE BIOPSIES ...
INVASIVE DUCTAL CARCINOMA poorly differentiated modified Bloom Richardson grade III III measuring at least 0.7cm in this limited specimen Central hyalinization is present within the tumor mass but no necrosis is noted No lymphovascular invasion is identified No in situ carcinoma is present Special studies were performed at an outside institution with the following results not reviewed ESTROGEN RECEPTOR NEGATIVE PROGESTERONE RECEPTOR NEGATIVE ...

F-score:

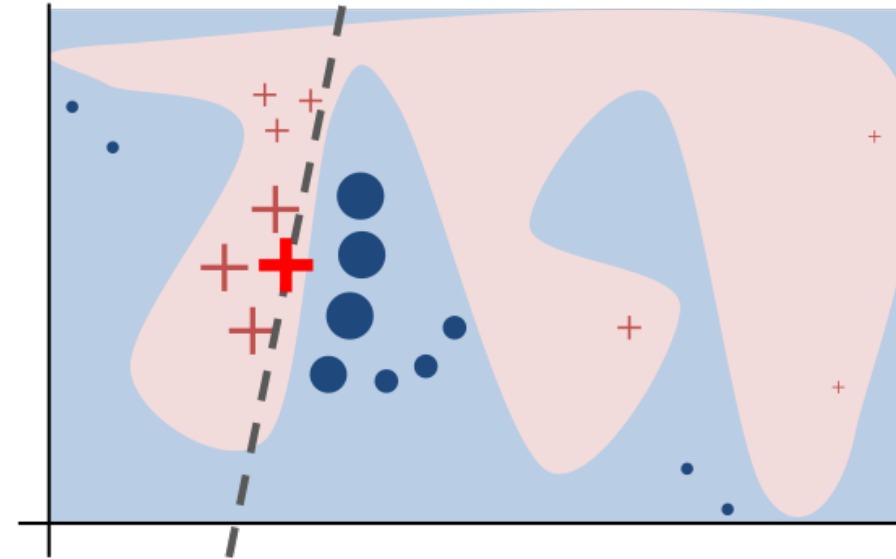
98%

INTERPRETABILITY IN NLP

- What if the model is already trained? And we have no access to its parameters etc...
- Idea (Ribeiro et al. 2016): fit a simple interpretable model around a given query, using perturbations of the input
- But this assumes input is continuous, output is a single value. Can we extend this to text data?

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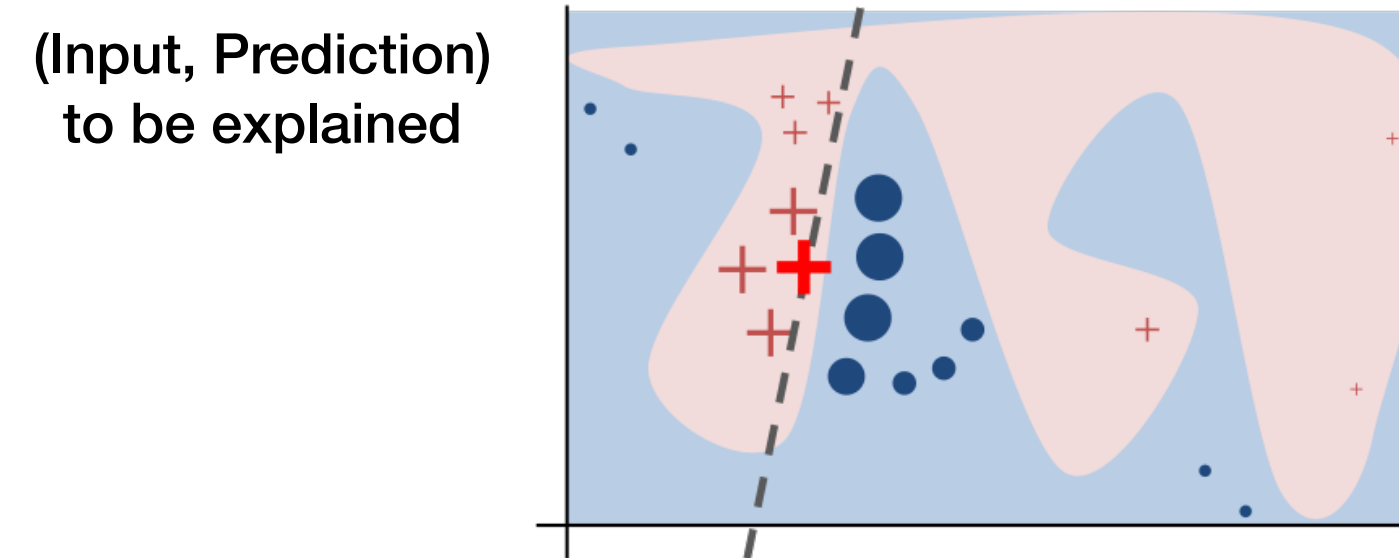
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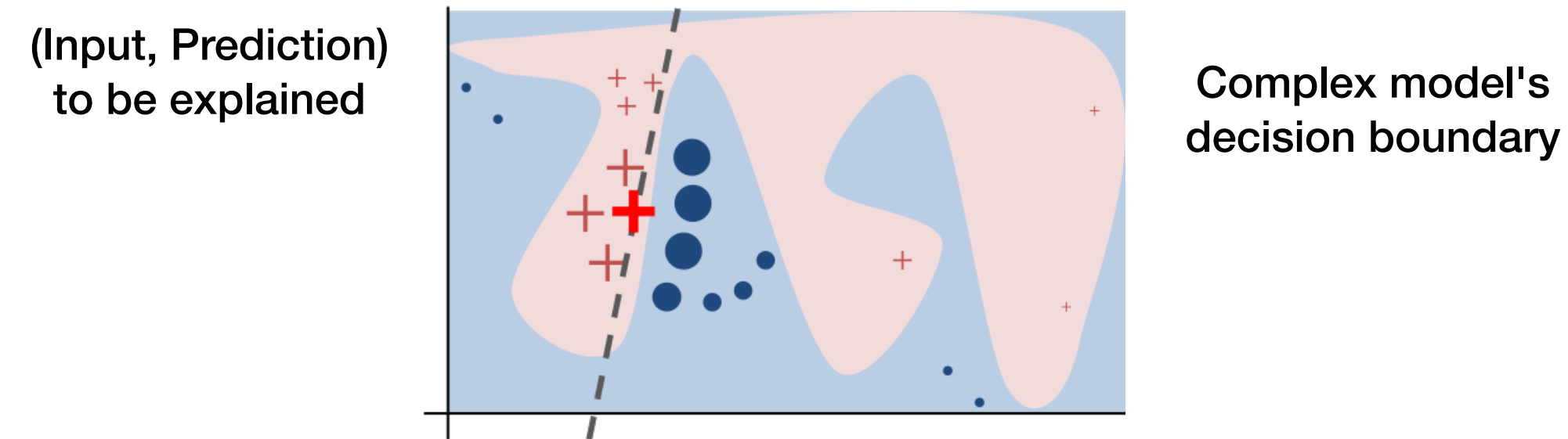
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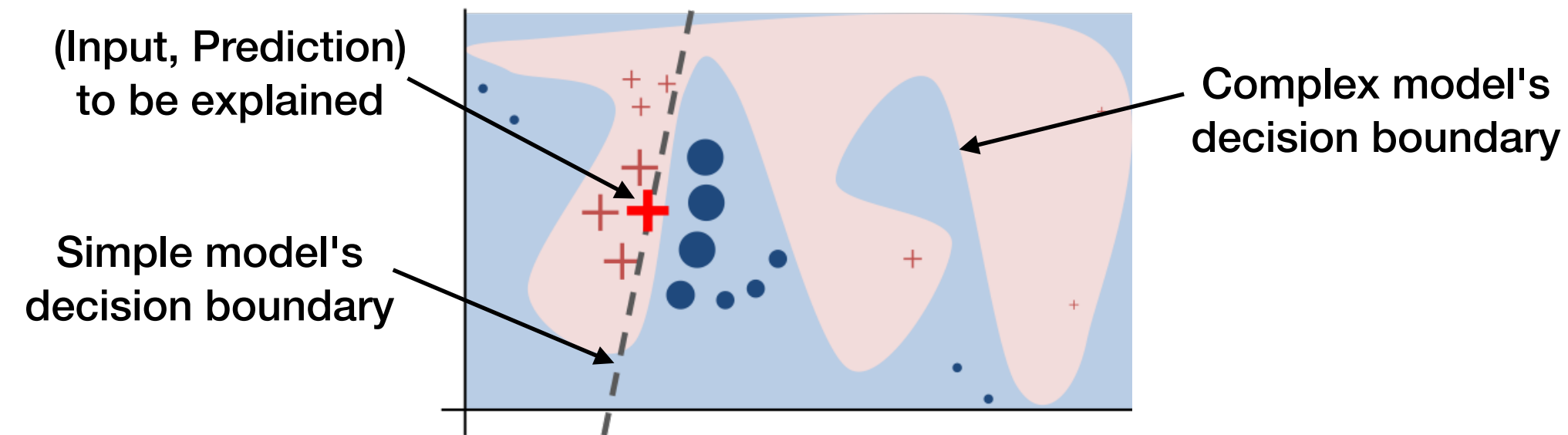
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INTERPRETABILITY VIA LOCAL APPROXIMATION

[AM & Jaakkola, 2018]

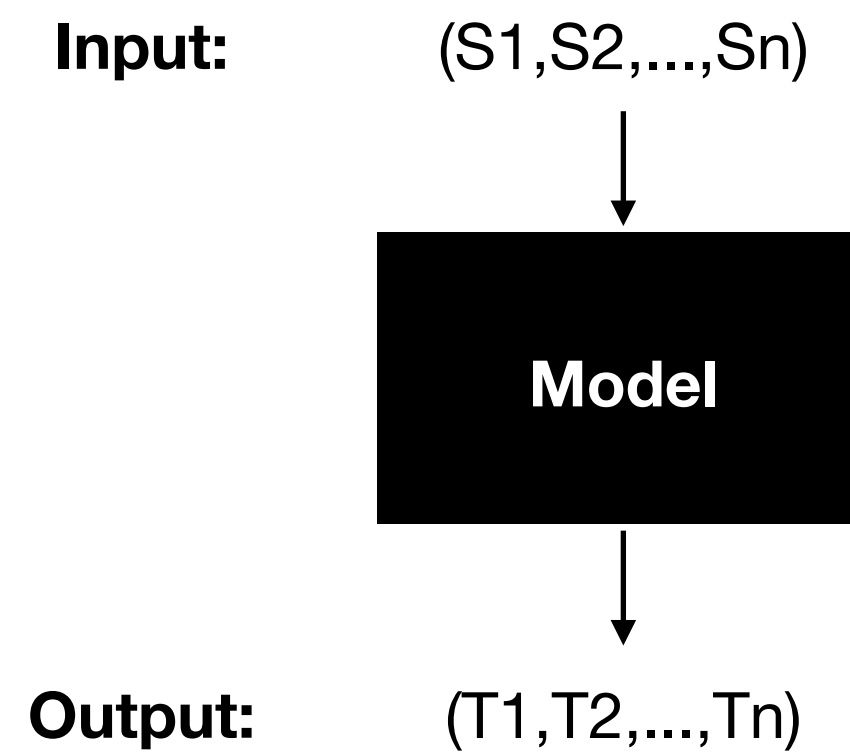
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- Explanation:

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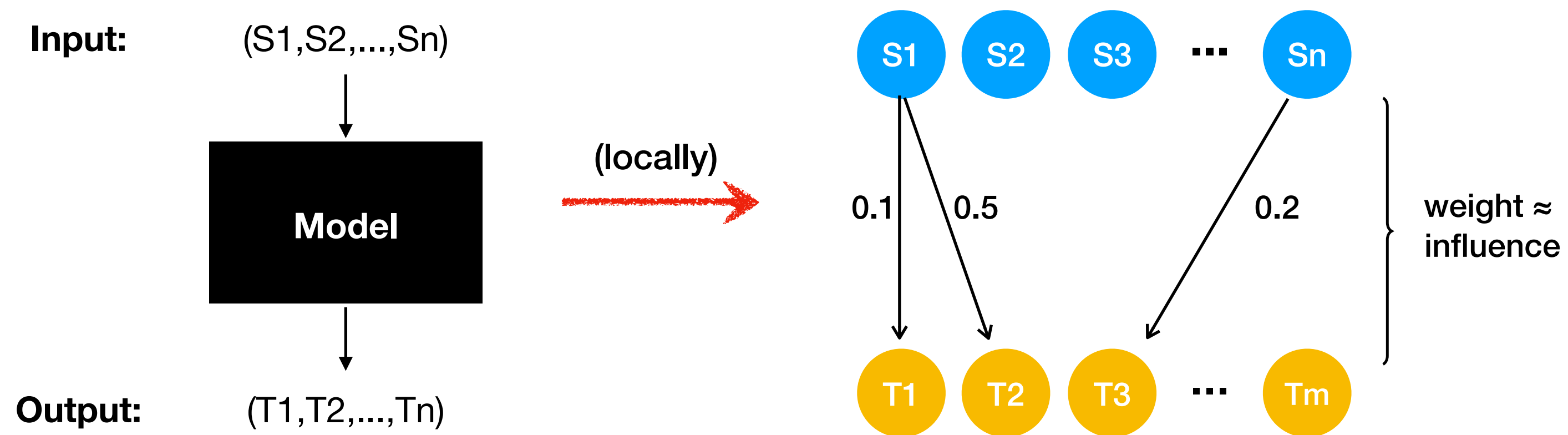


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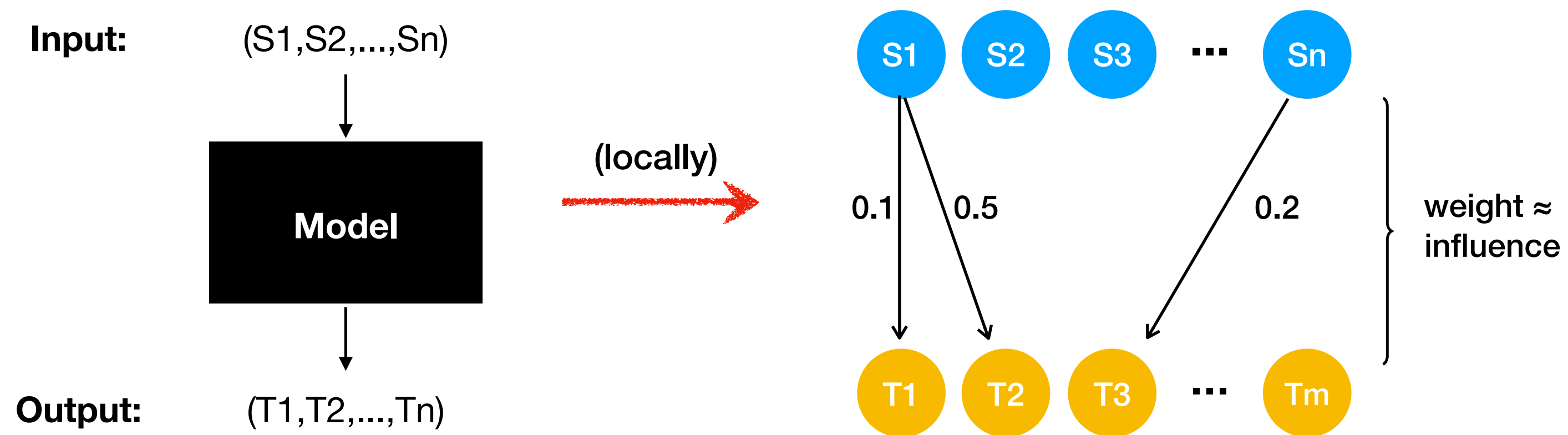
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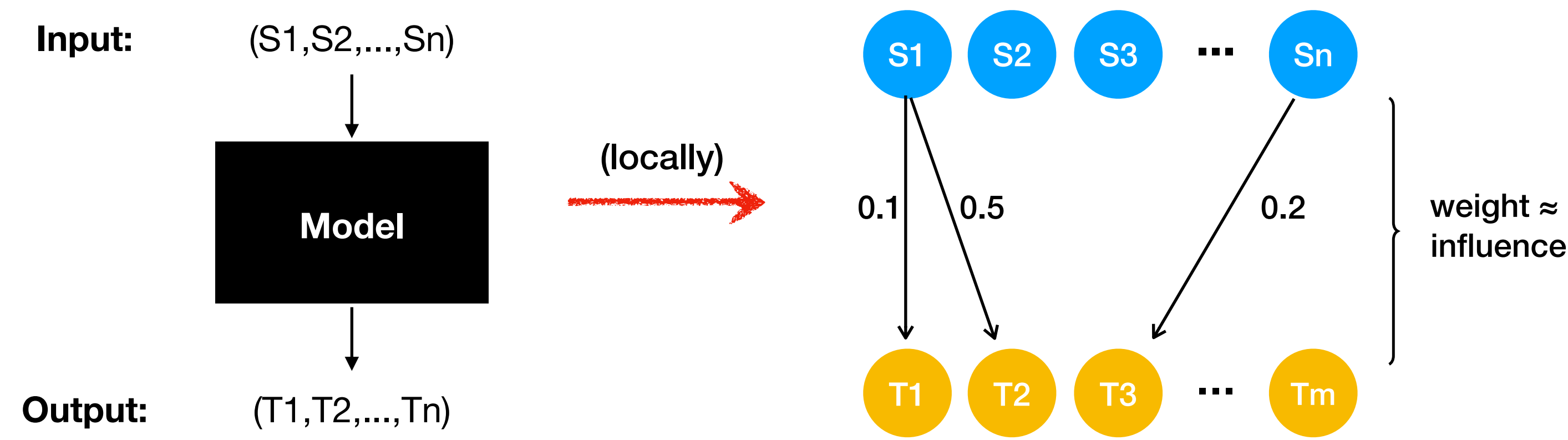
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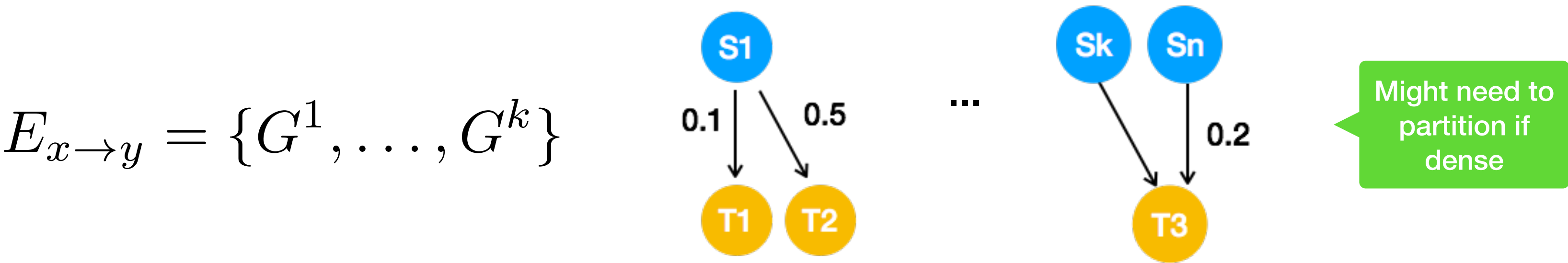
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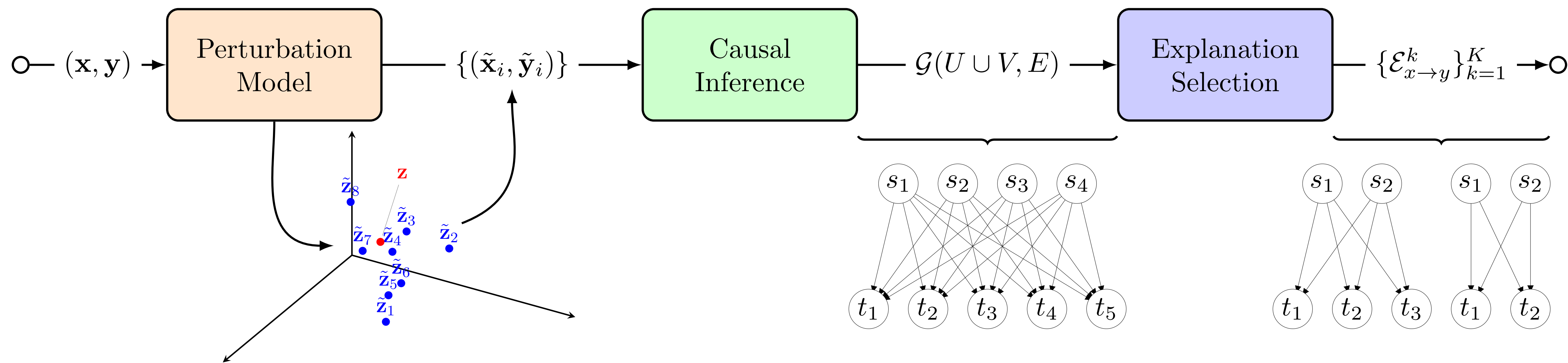


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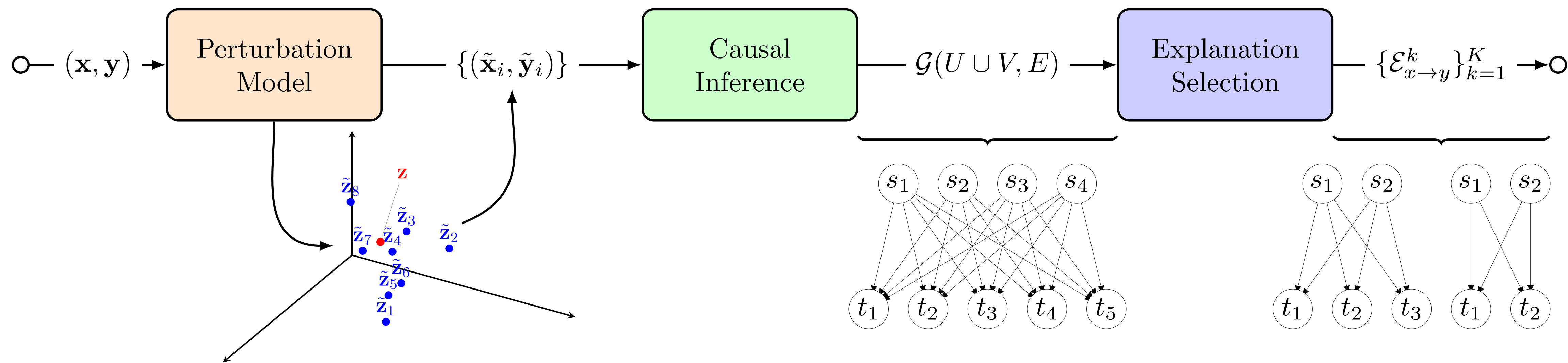
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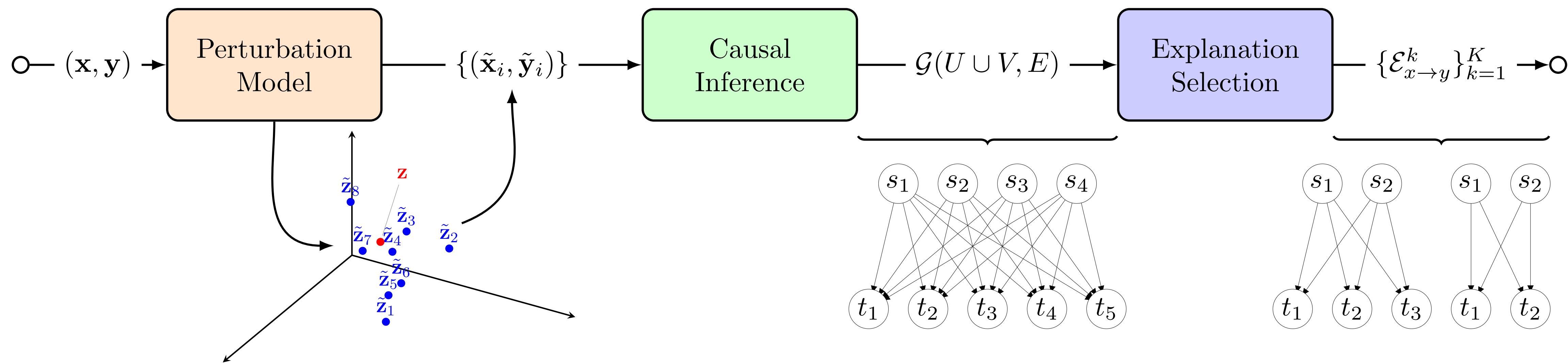
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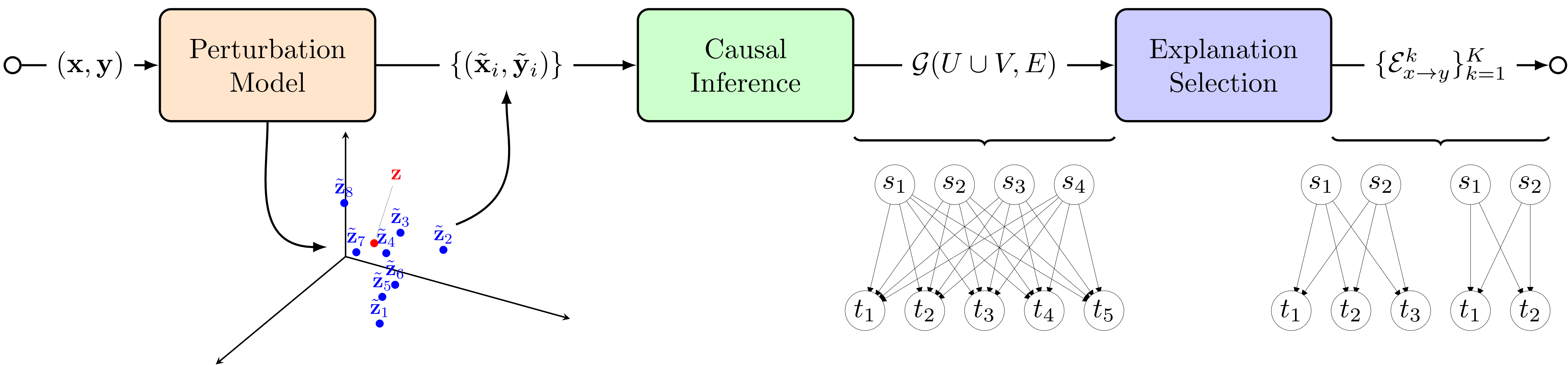
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- 1. Encode input to vector representation z
- 2. Generate samples around z

INTERPRETABILITY VIA LOCAL APPROXIMATION

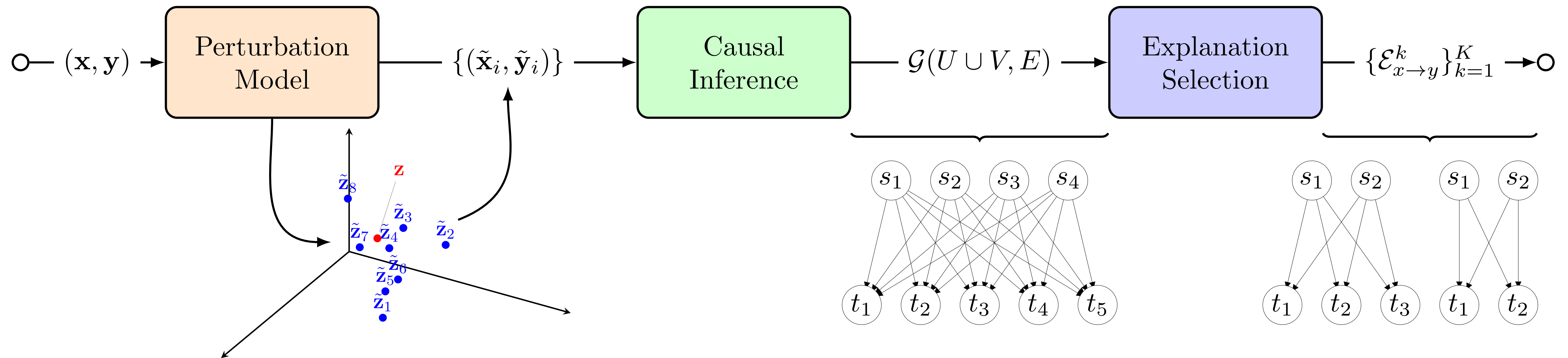
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- 1.Encode input to vector representation z
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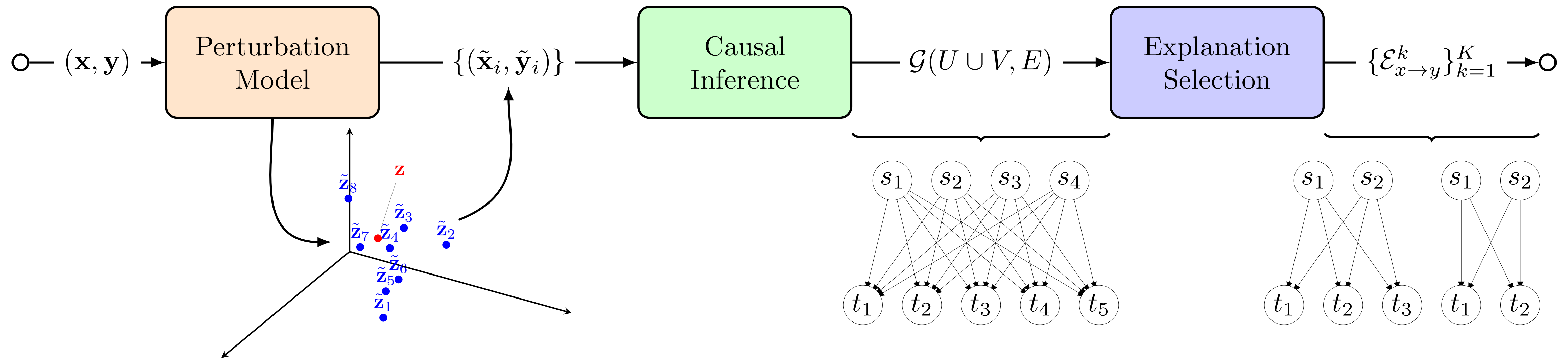
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1. Encode input to vector representation \mathbf{z}
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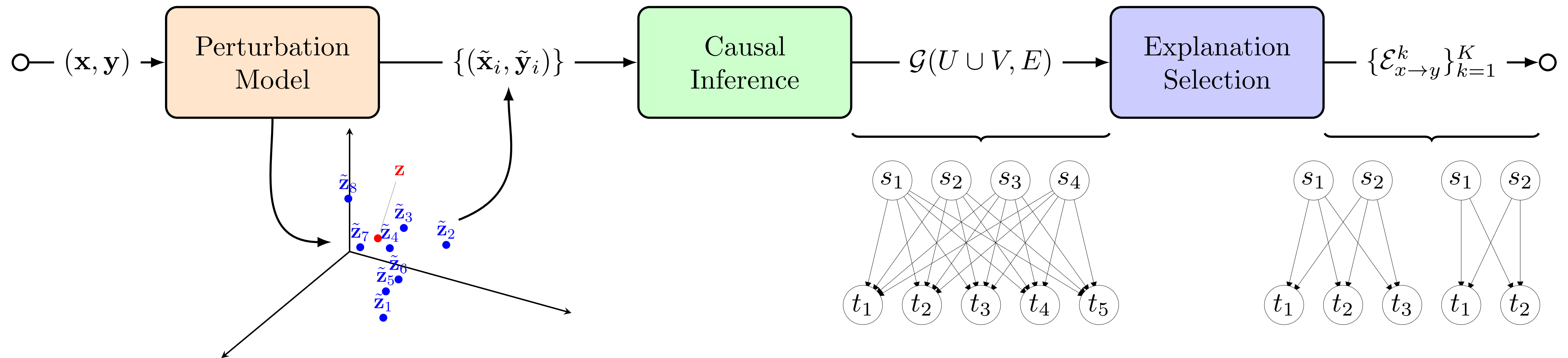
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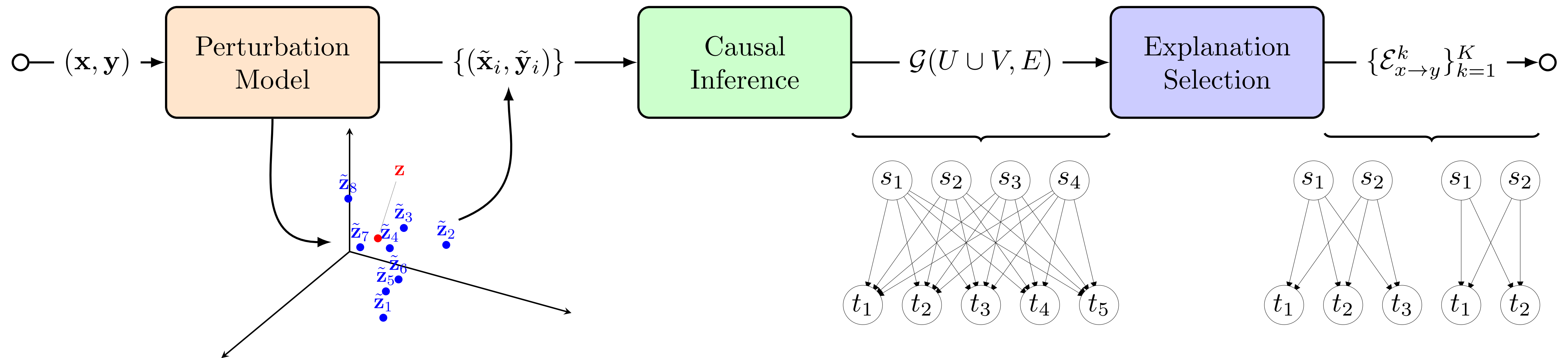


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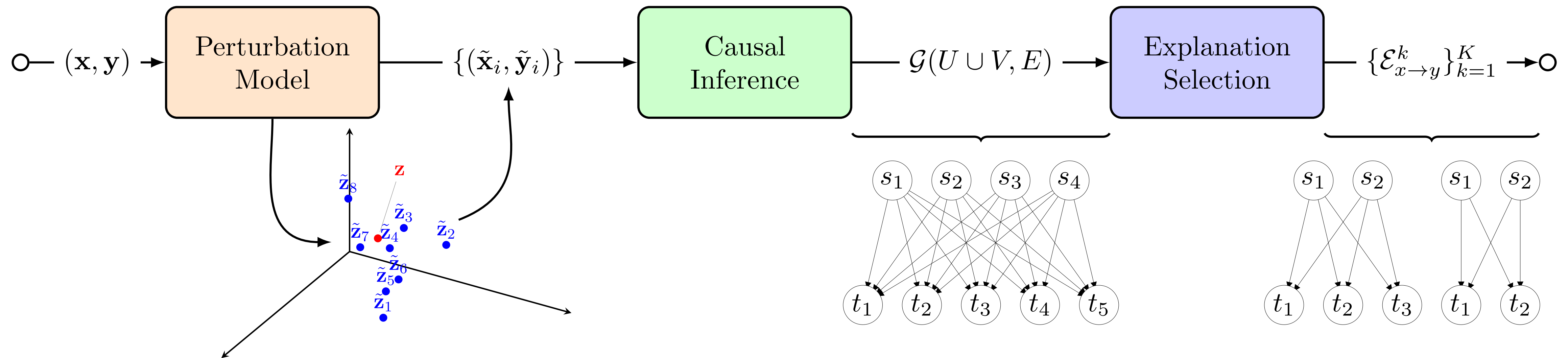


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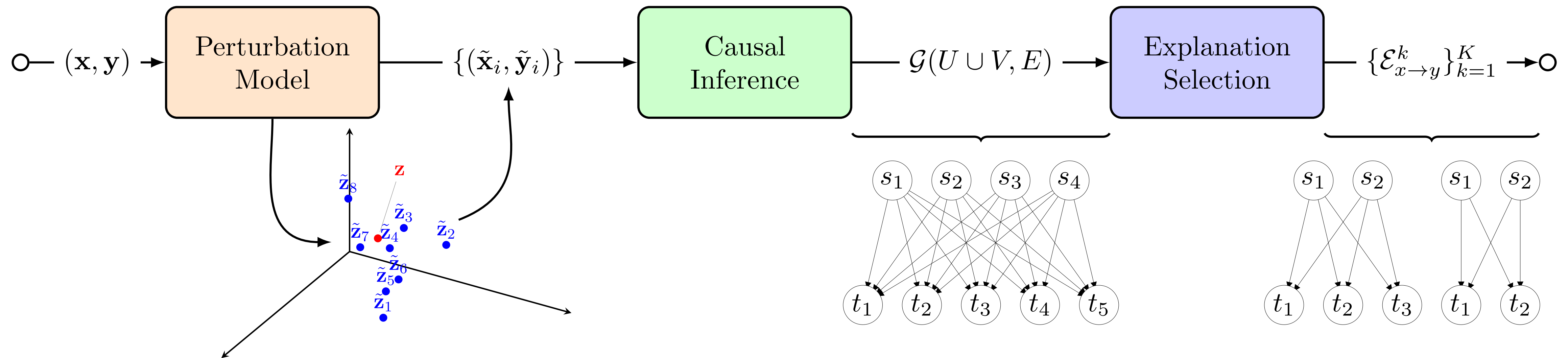
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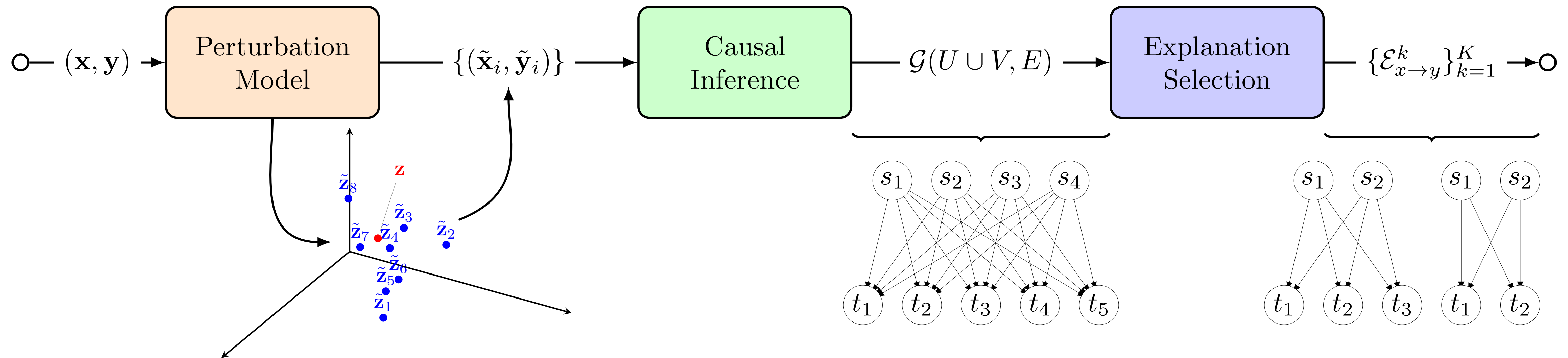
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- Graph partitioning with uncertainty [Fan et al. 2012]

INTERPRETABILITY VIA LOCAL APPROXIMATION

[AM & Jaakkola, 2018]

Application: explaining biases in machine translation systems

Model: Azure MT service (via API), English to French

Inputs: Sentences containing bias-prone words

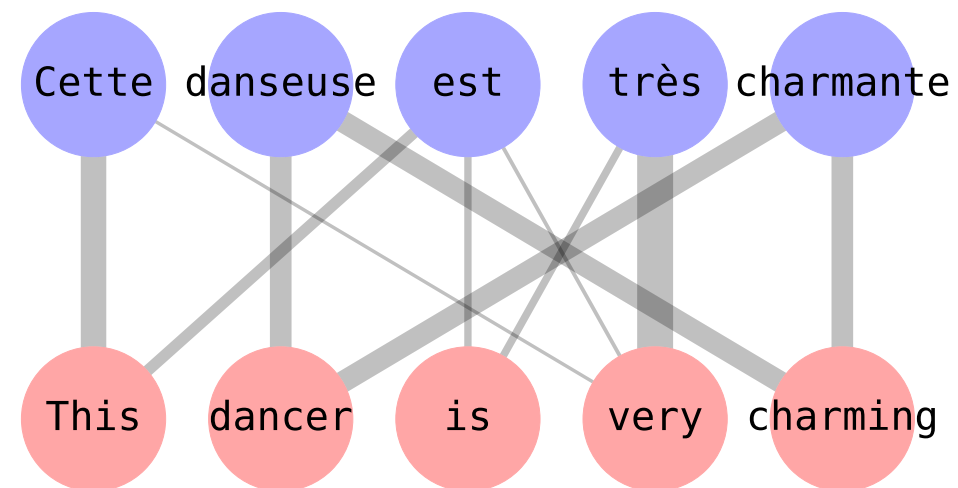
Findings: Model exhibits strong unexplained grammatical gender preferences.

- Chooses masculine in sentences containing doctor, professor, smart, talented
- Chooses feminine in sentences containing dancer, nurse, charming, compassionate

INTERPRETABILITY VIA LOCAL APPROXIMATION

[AM & Jaakkola, 2018]

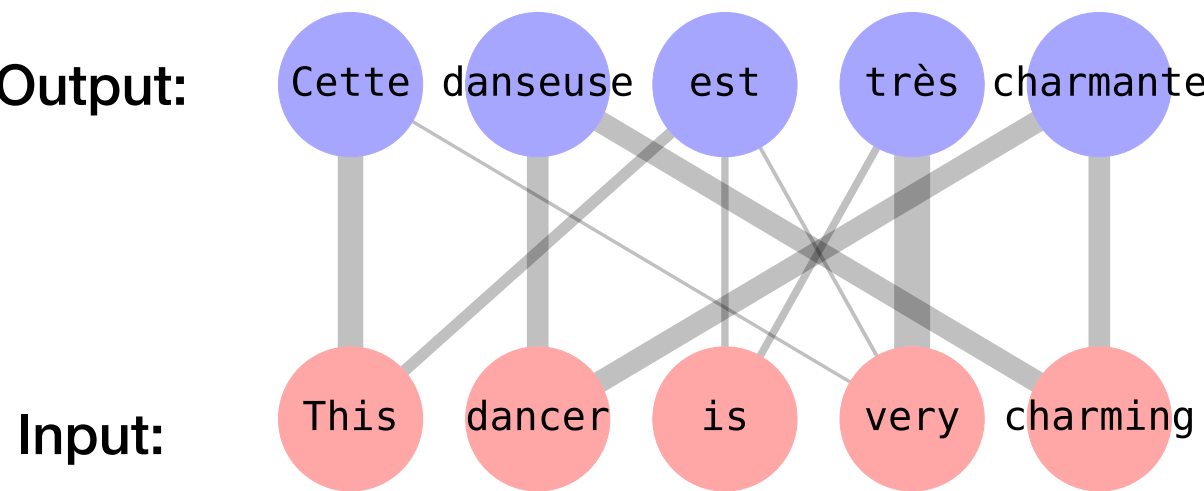
Application: explaining biases in MT systems



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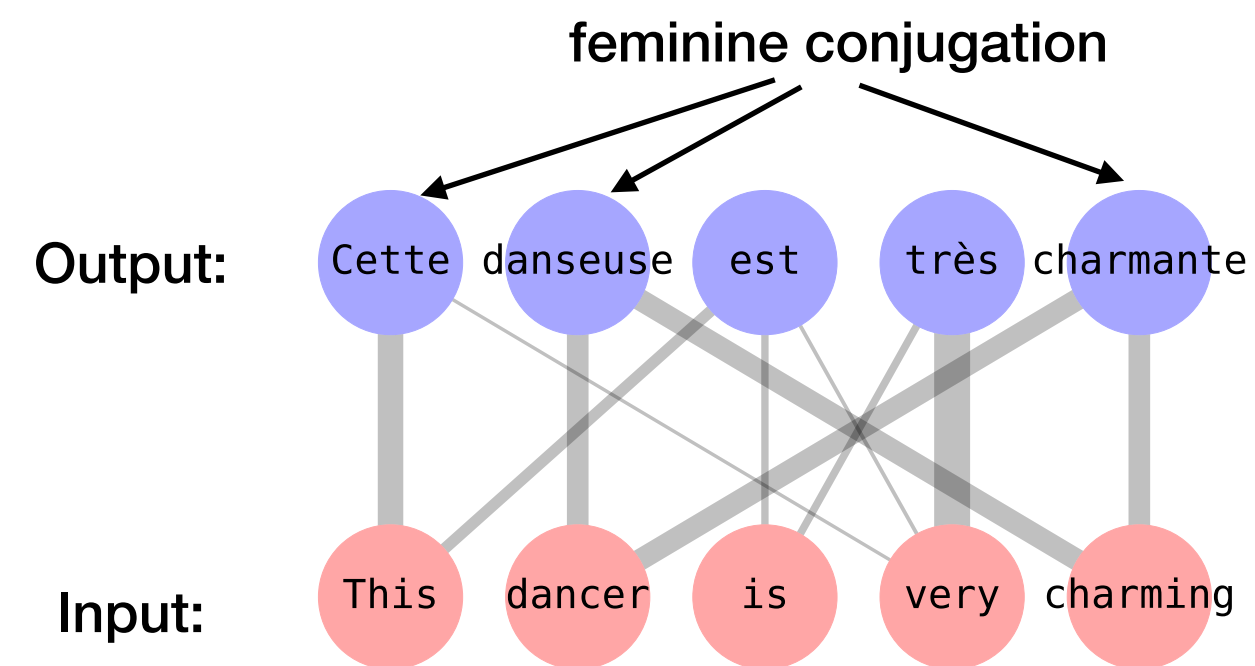
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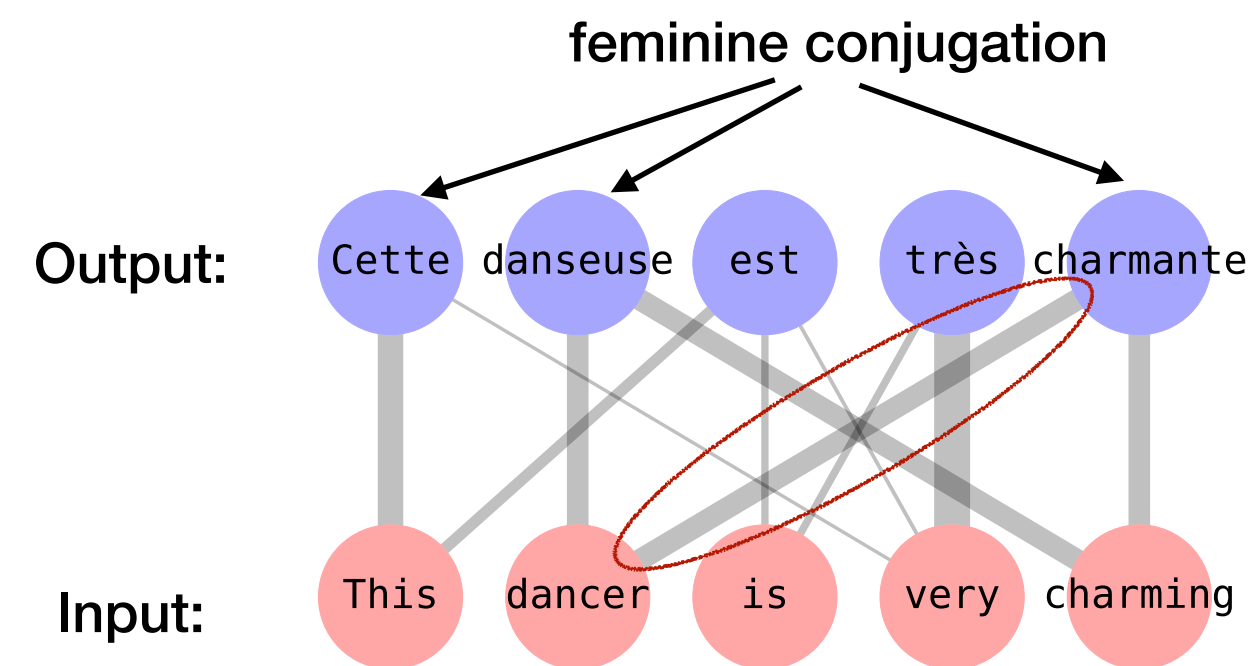
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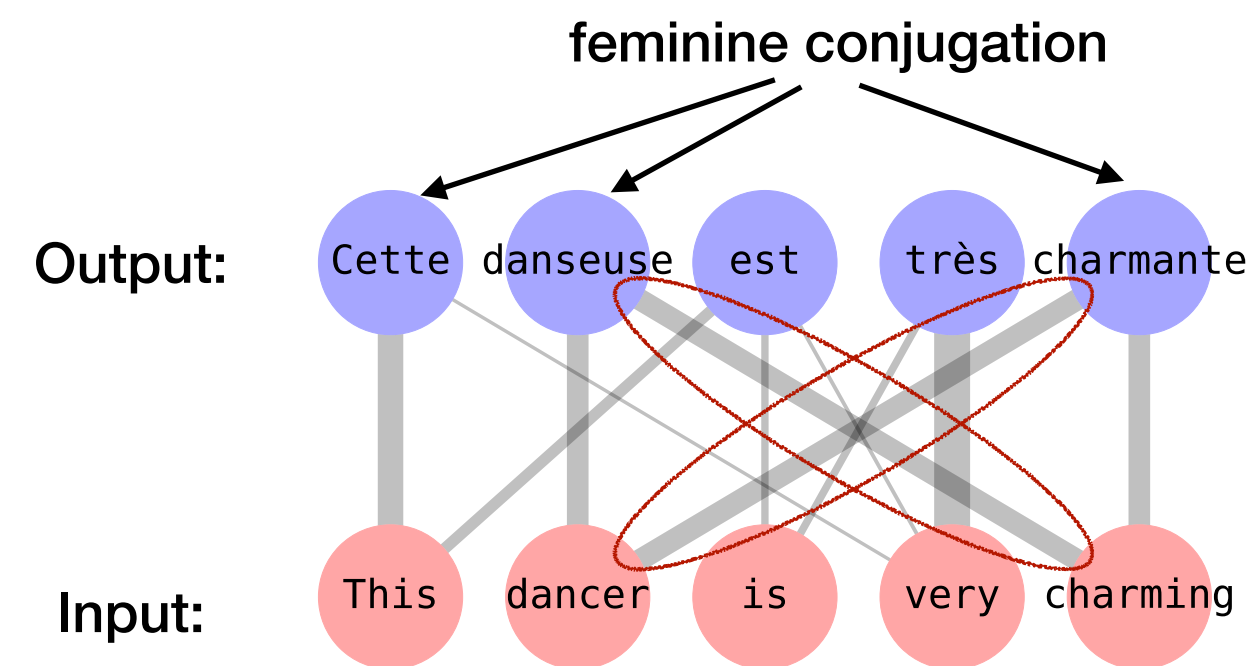
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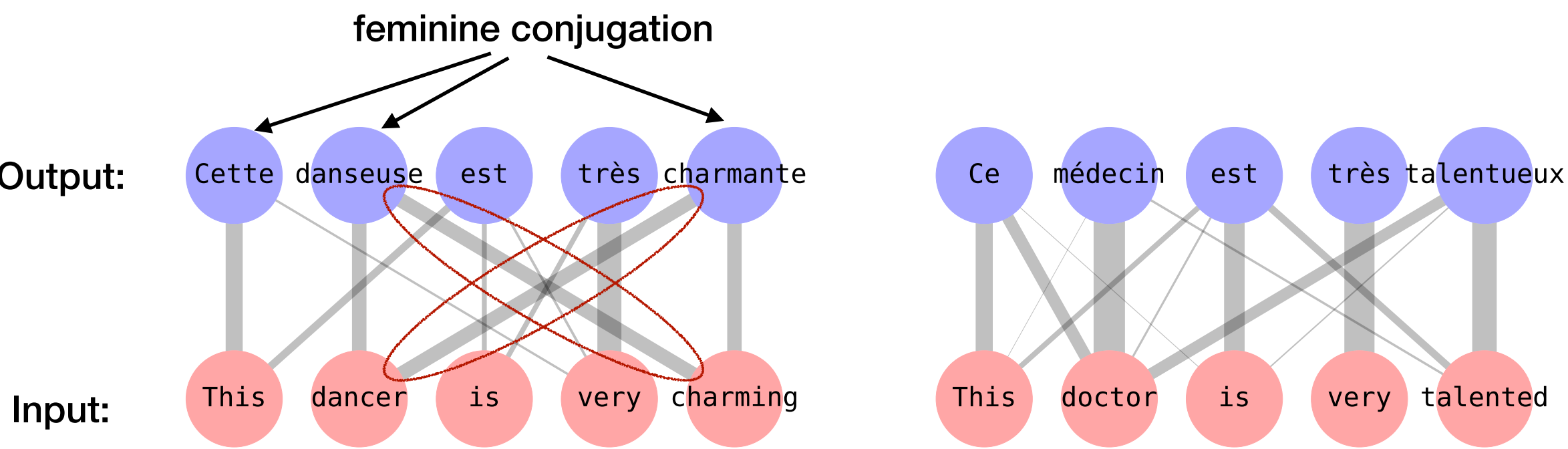
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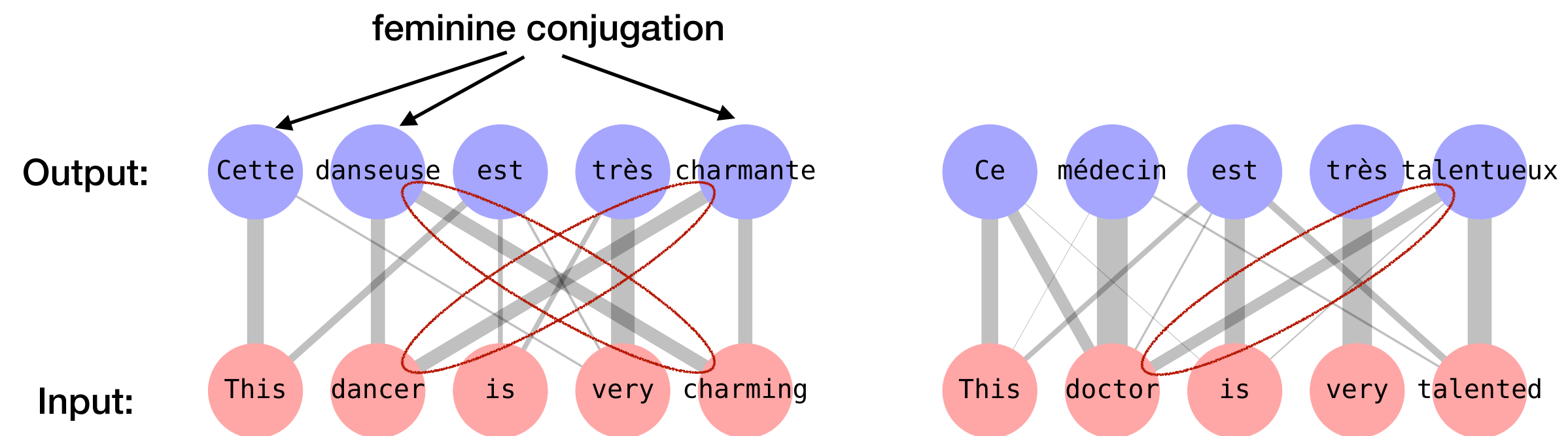
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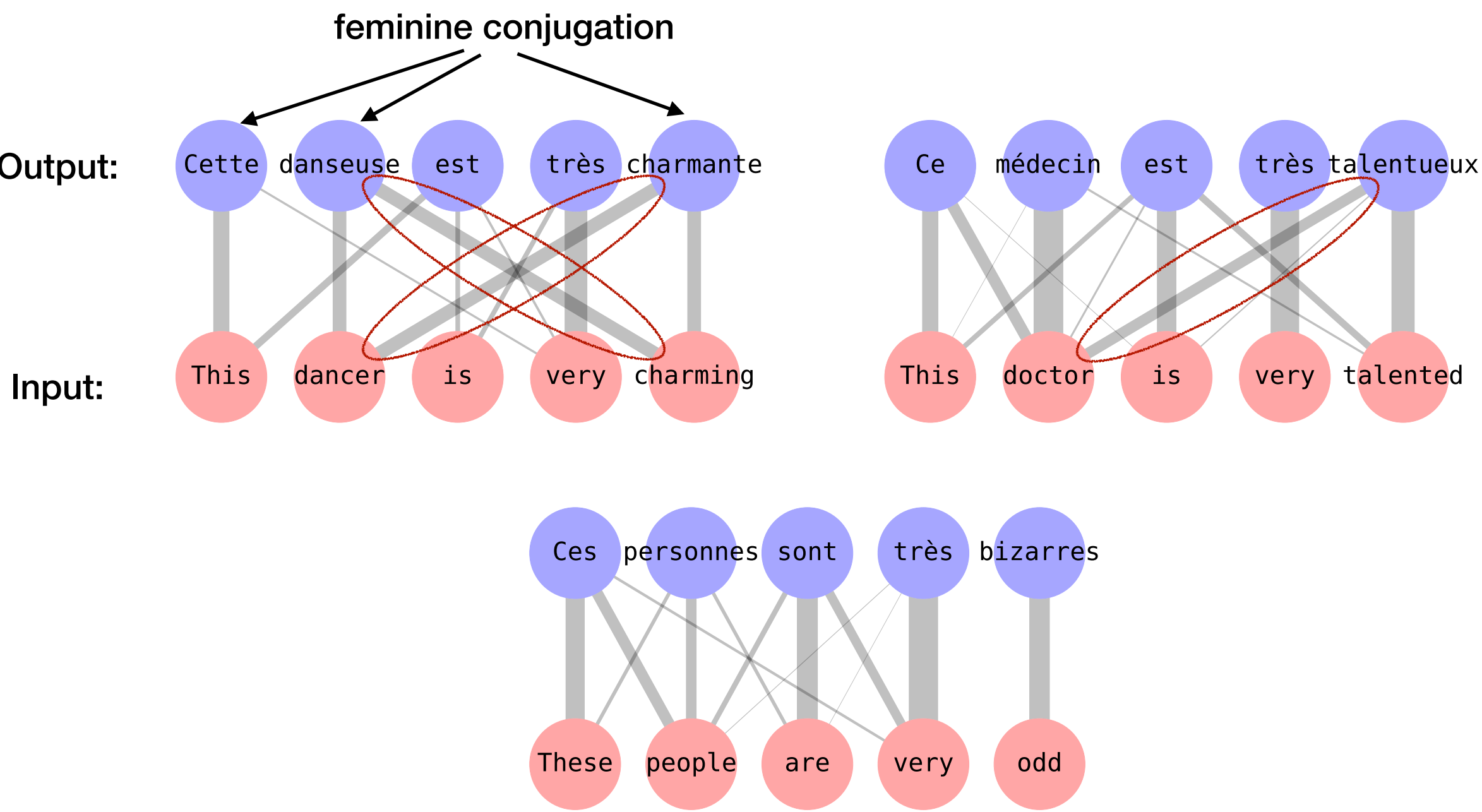
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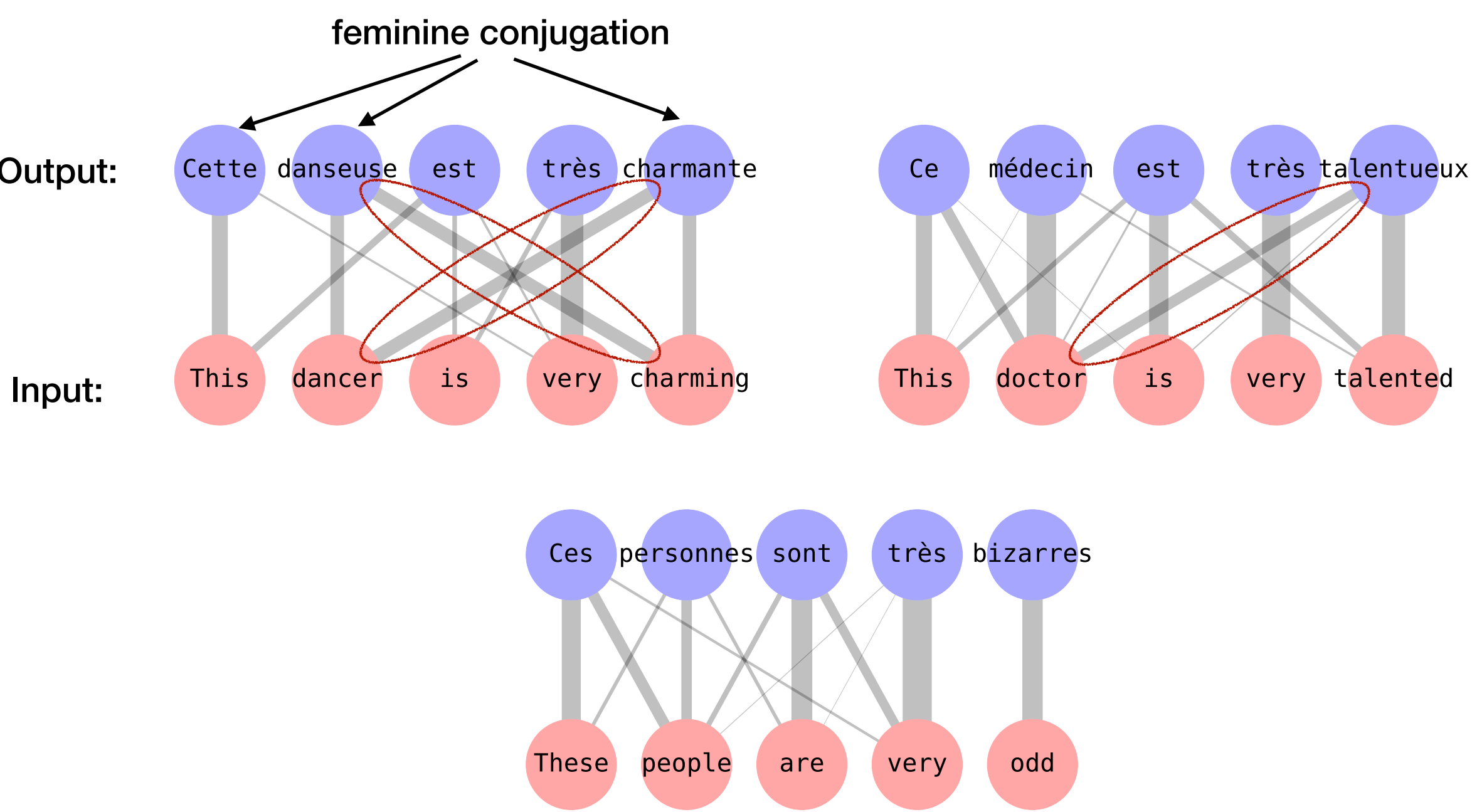
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INTERPRETABILITY VIA LOCAL APPROXIMATION

[AM & Jaakkola, 2018]

Application: explaining biases in MT systems



Application: flaw detection in dialogue systems

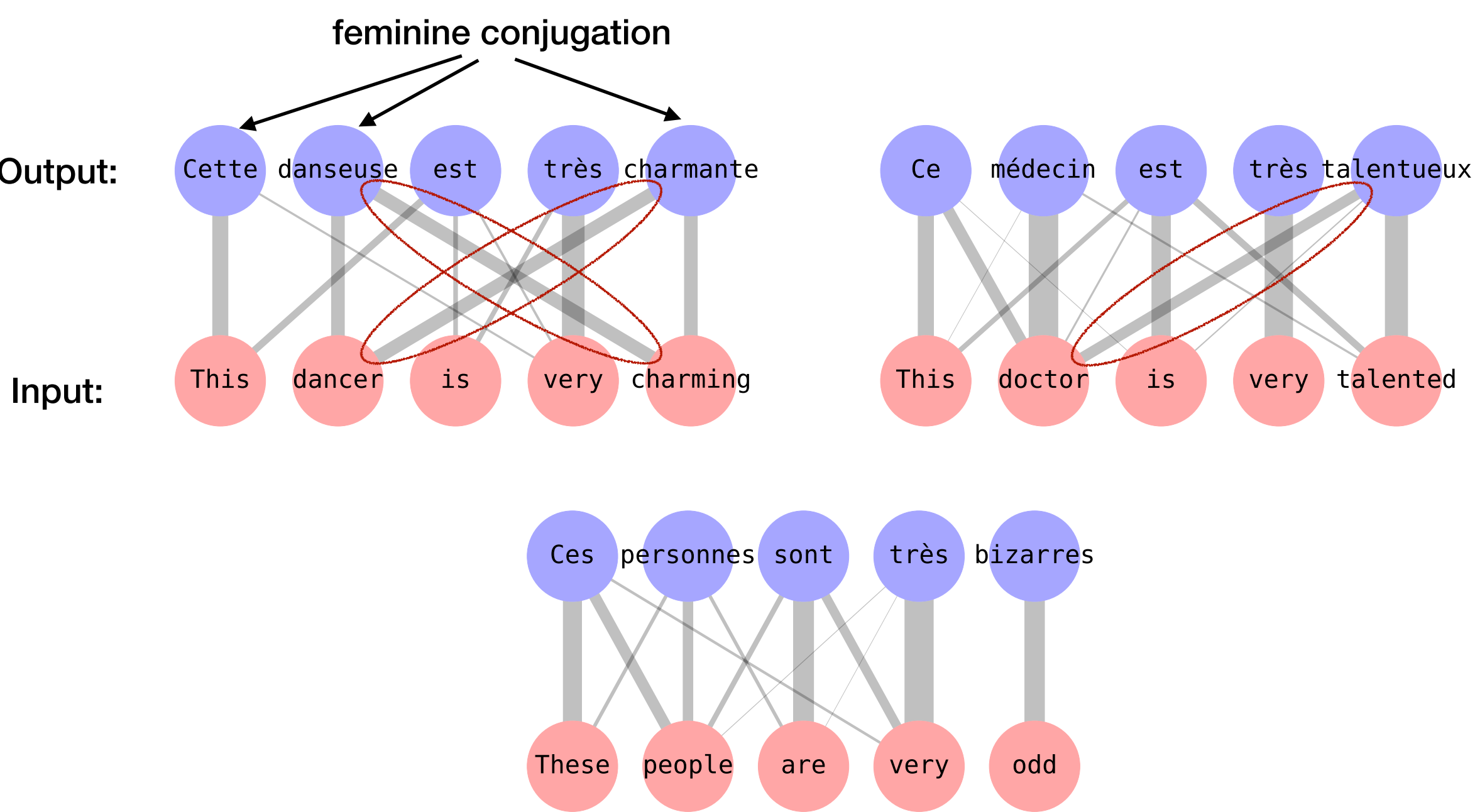


Input	Prediction
What do you mean it doesn't matter?	I don't know
Perhaps have we met before?	I don't think so
Can I get you two a cocktail?	No, thanks.

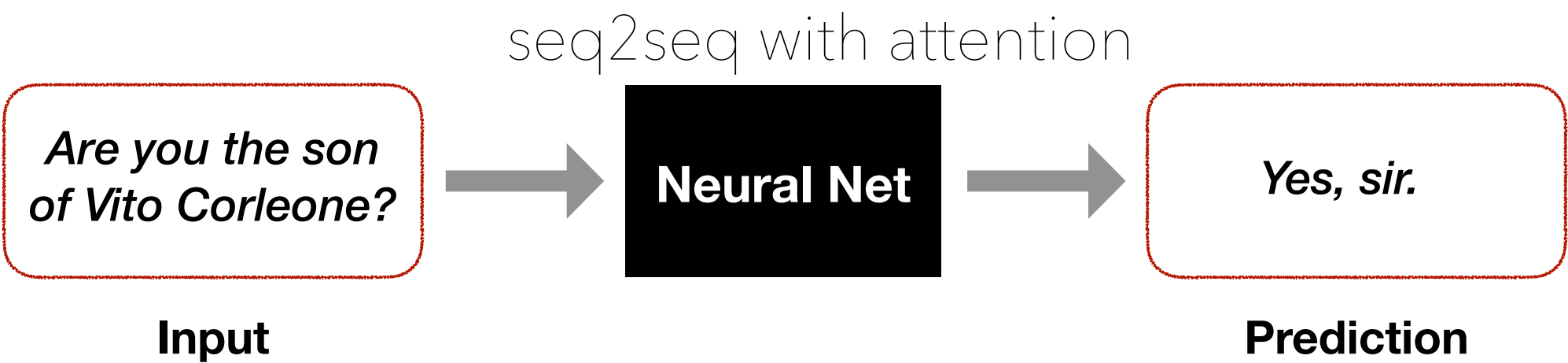
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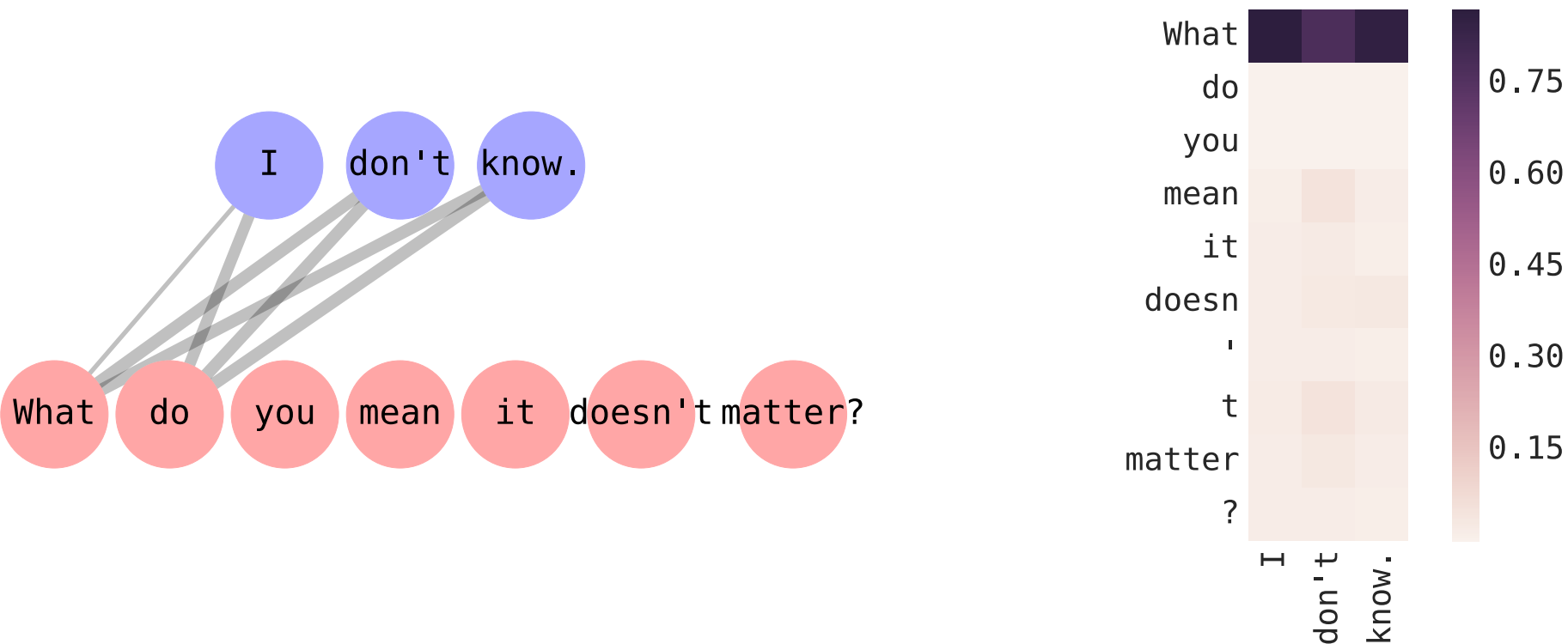
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Application: flaw detection in dialogue systems



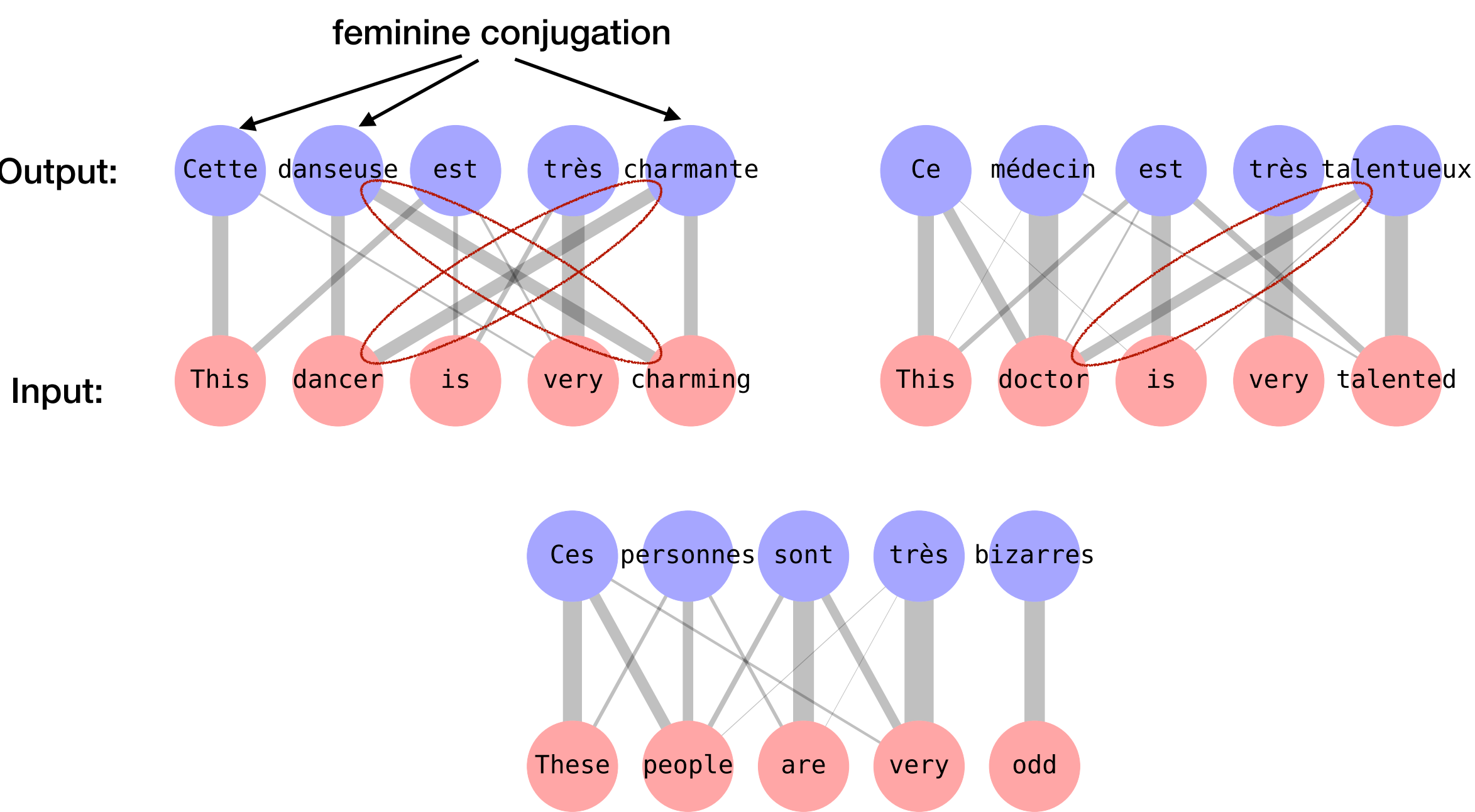
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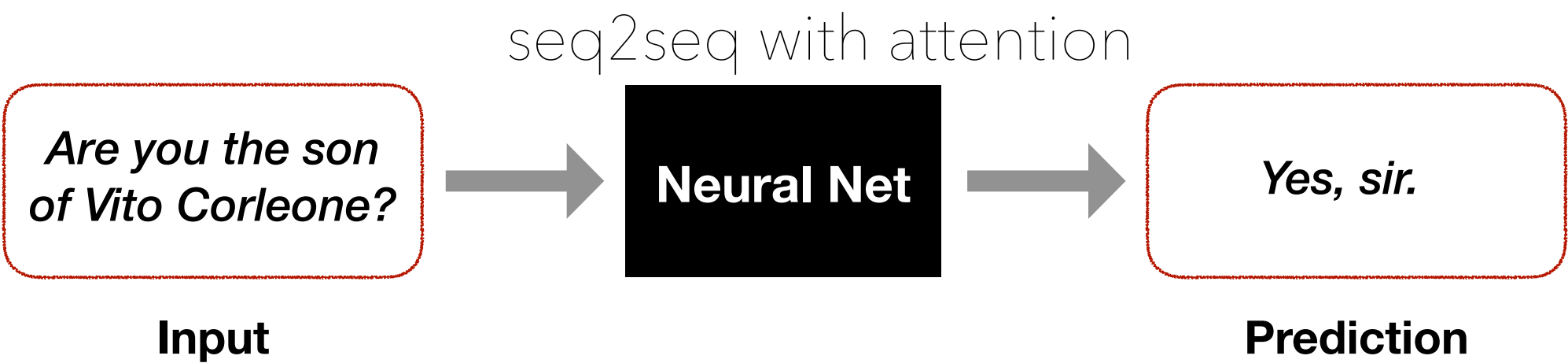
INTERPRETABILITY VIA LOCAL APPROXIMATION

[AM & Jaakkola, 2018]

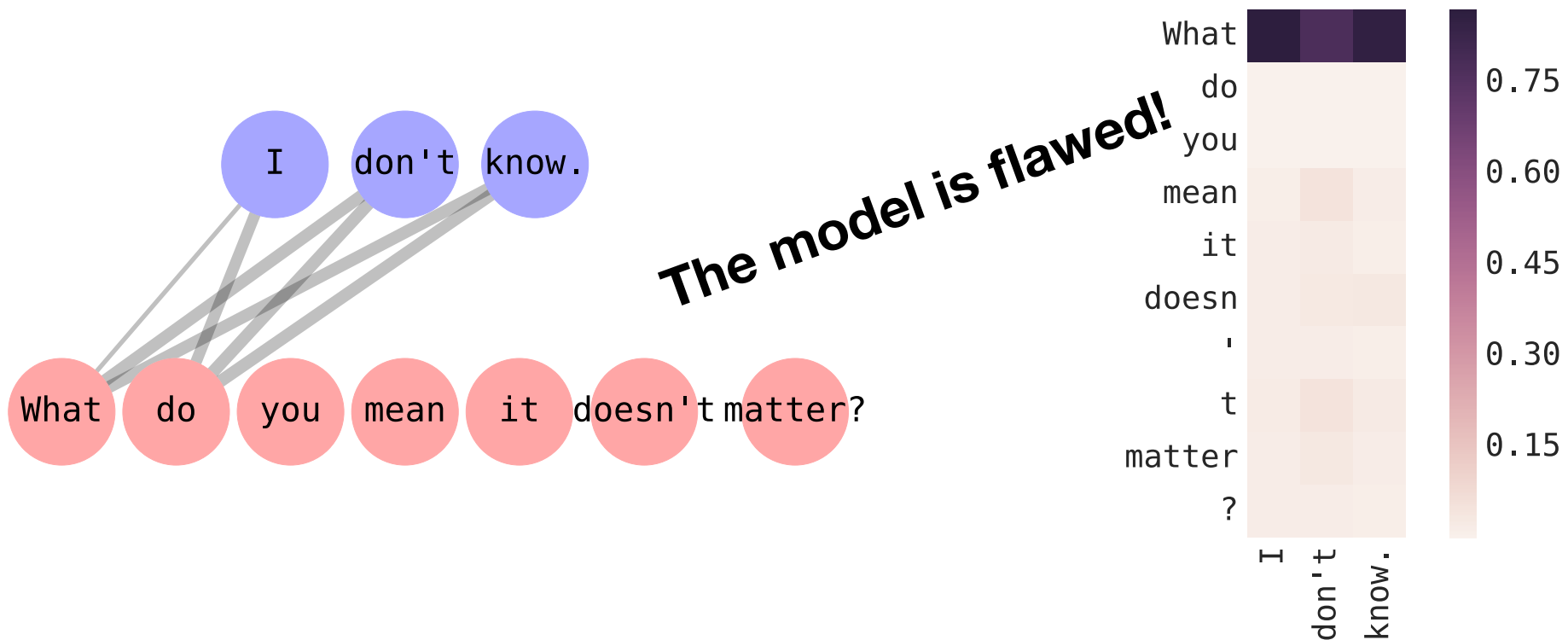
Application: explaining biases in MT systems



Application: flaw detection in dialogue systems



Input	Prediction
What do you mean it doesn't matter?	I don't know
Perhaps have we met before?	I don't think so
Can I get you two a cocktail?	No, thanks.

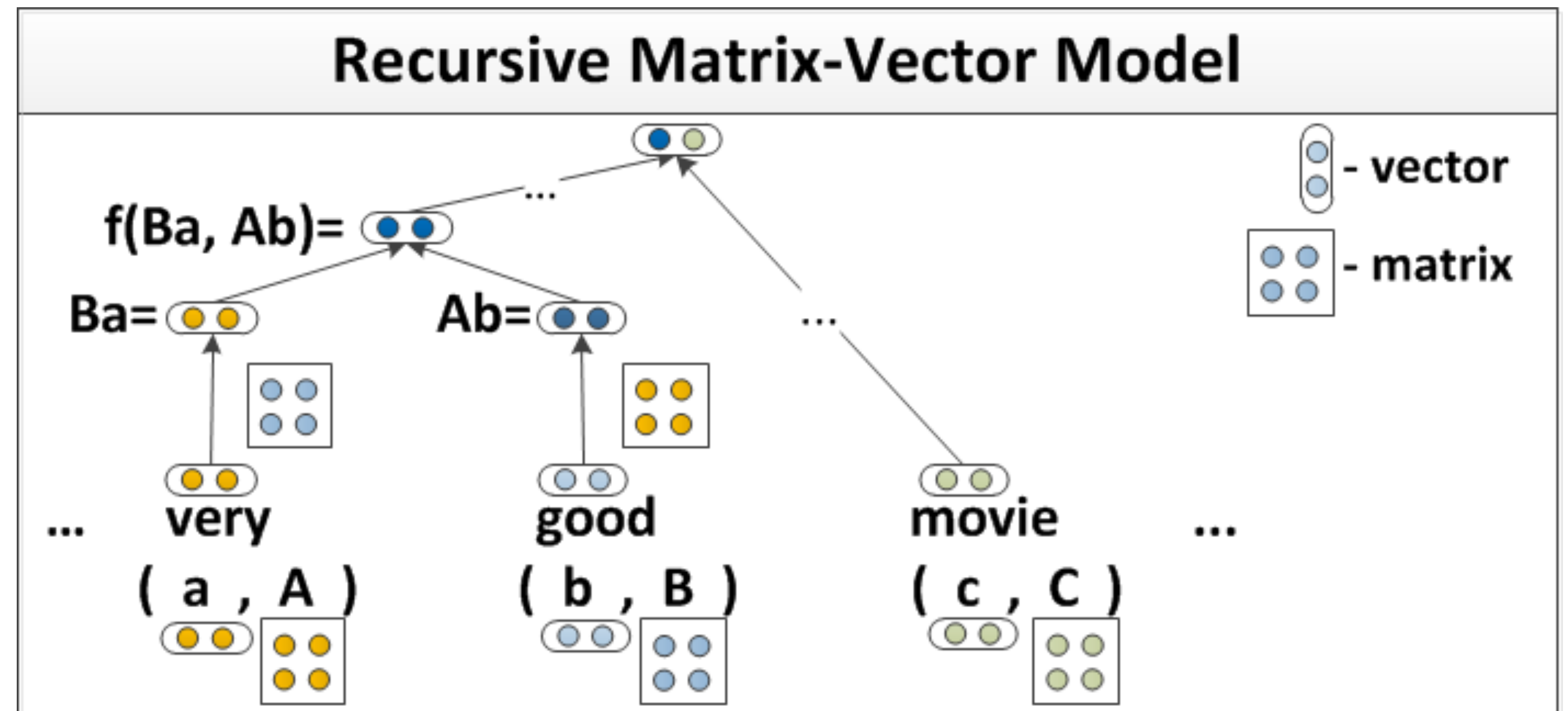
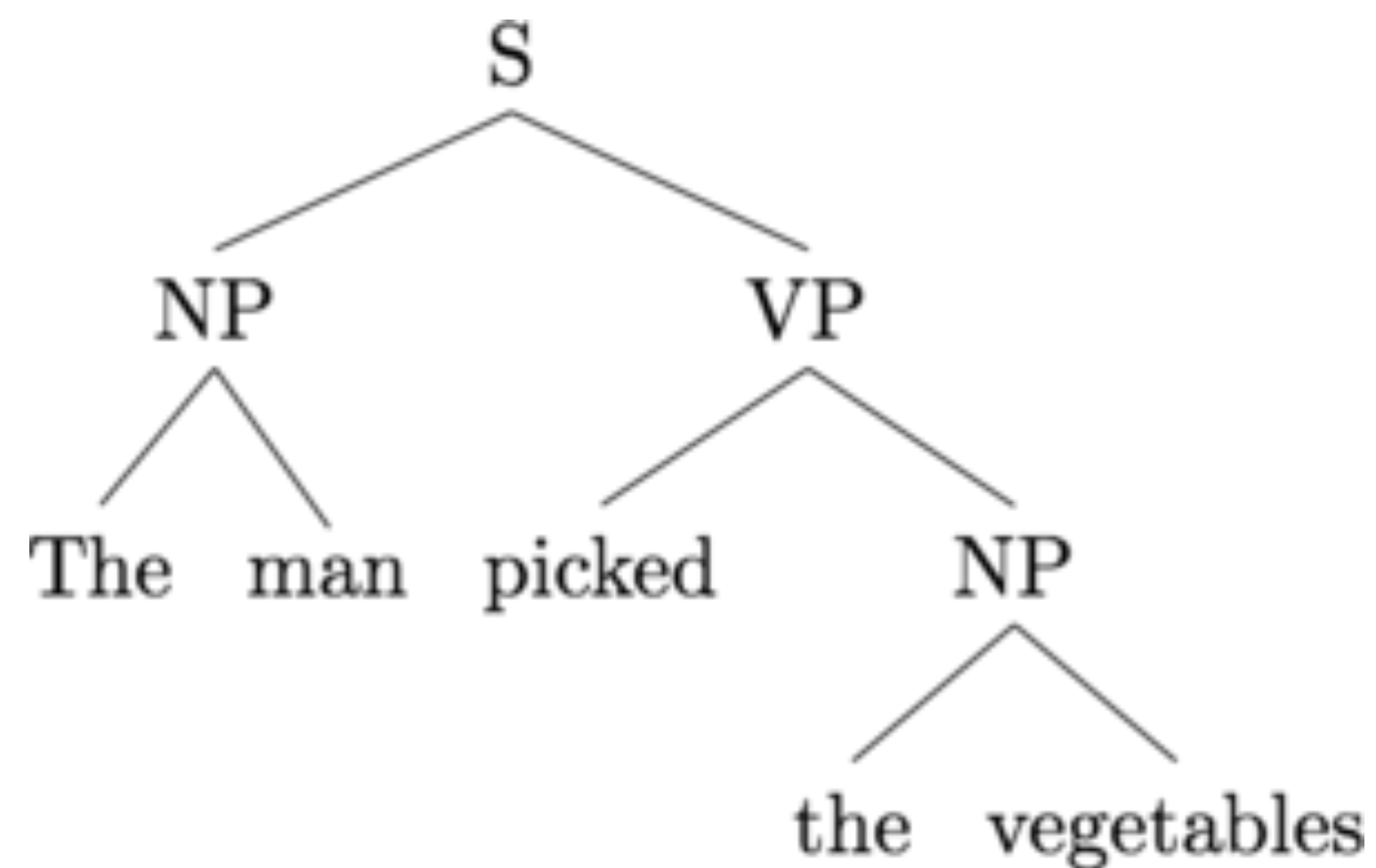


FURTHER TOPICS:

STRUCTURED NLP MODELS

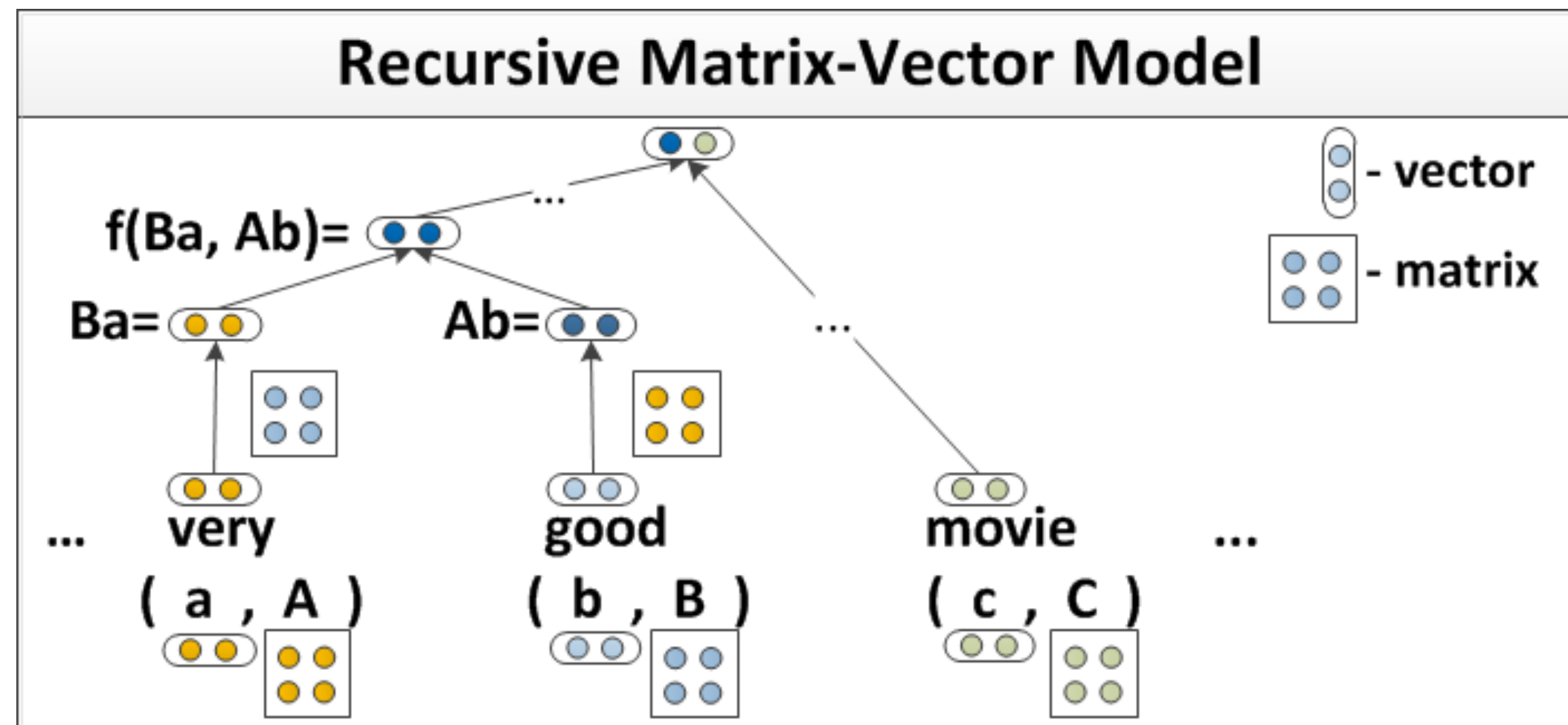
WHAT ABOUT STRUCTURE?

- ▶ Language is non-linear. It has structure and compositionality [e.g. Chomsky]



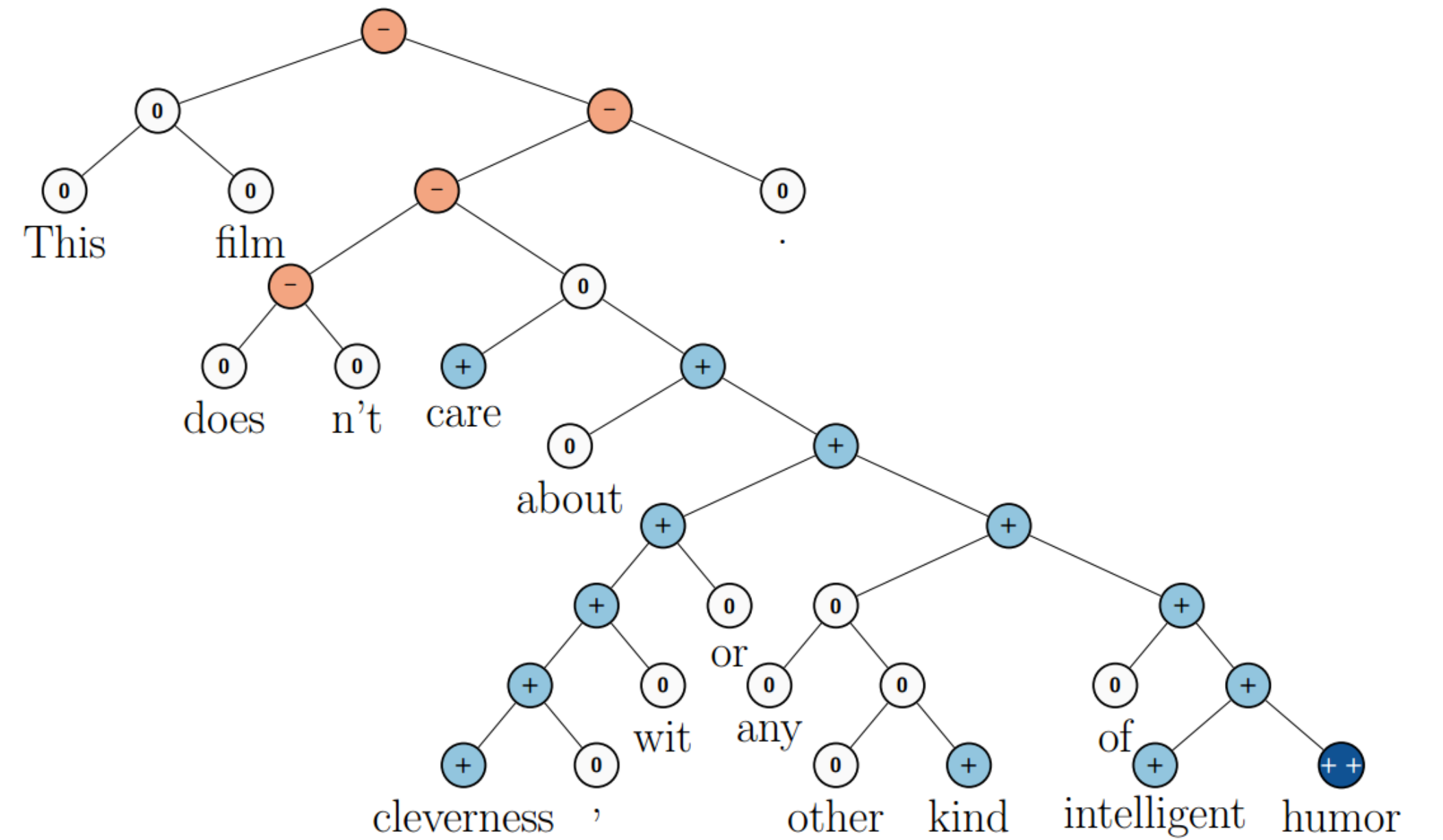
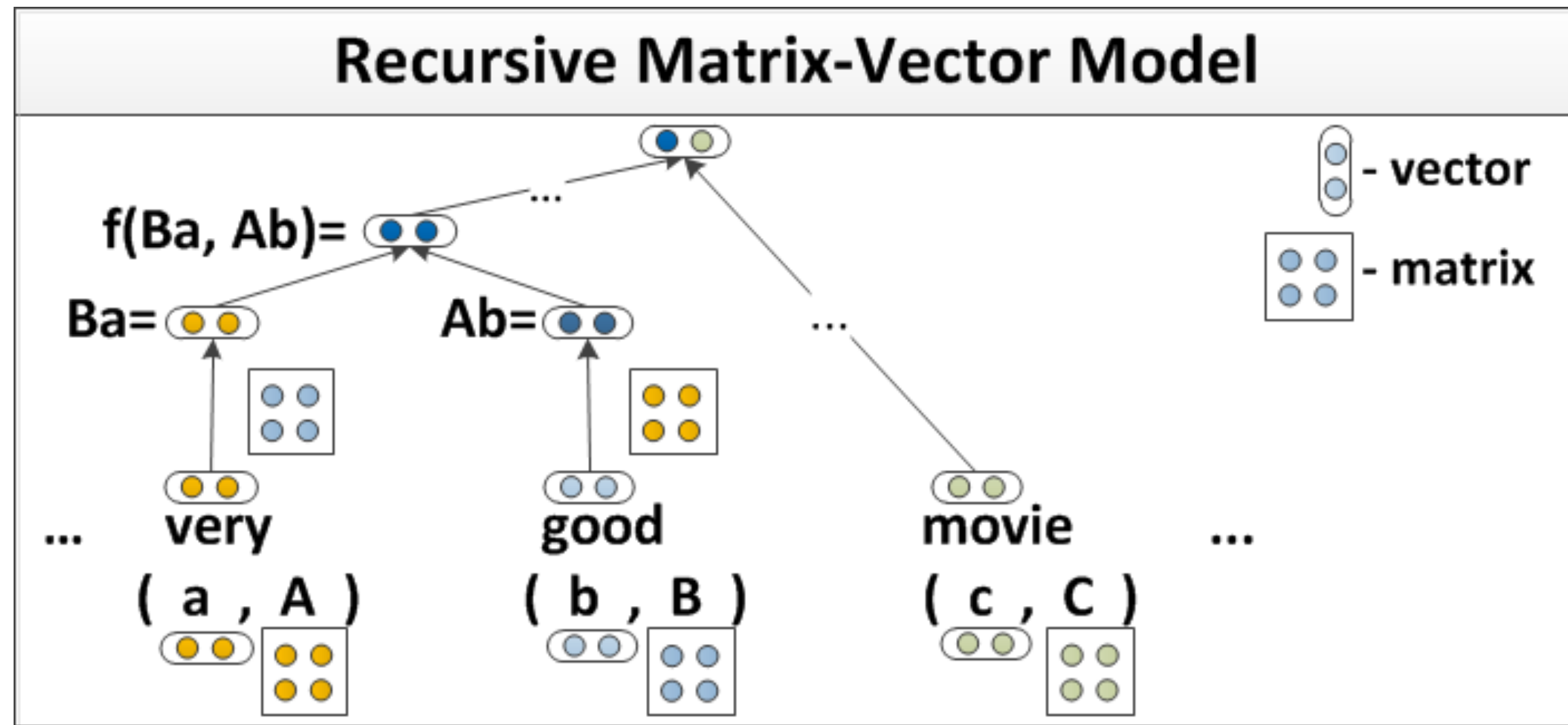
RECURSIVE NEURAL NETS

[Socher et al., 2011]



RECURSIVE NEURAL NETS

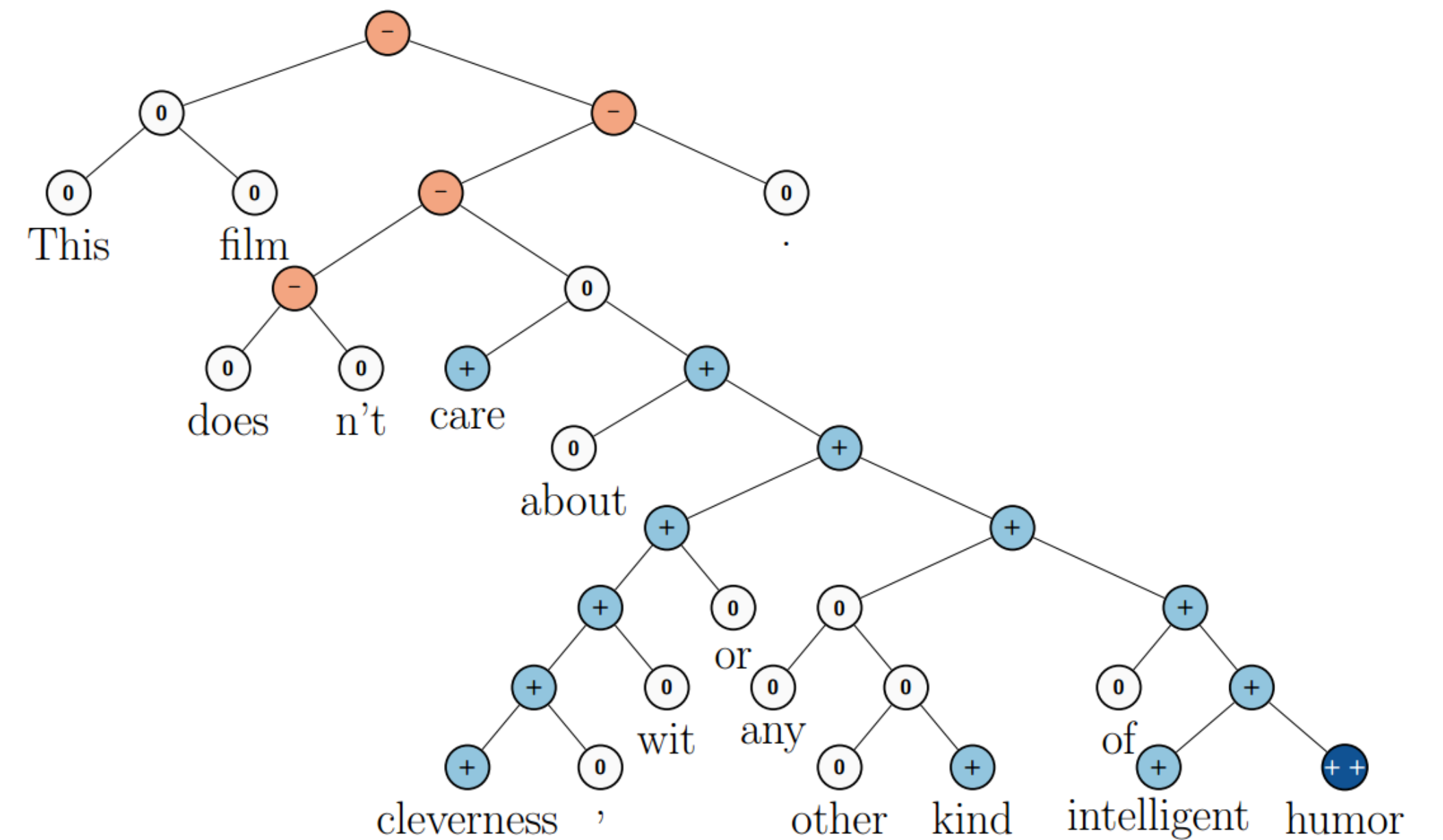
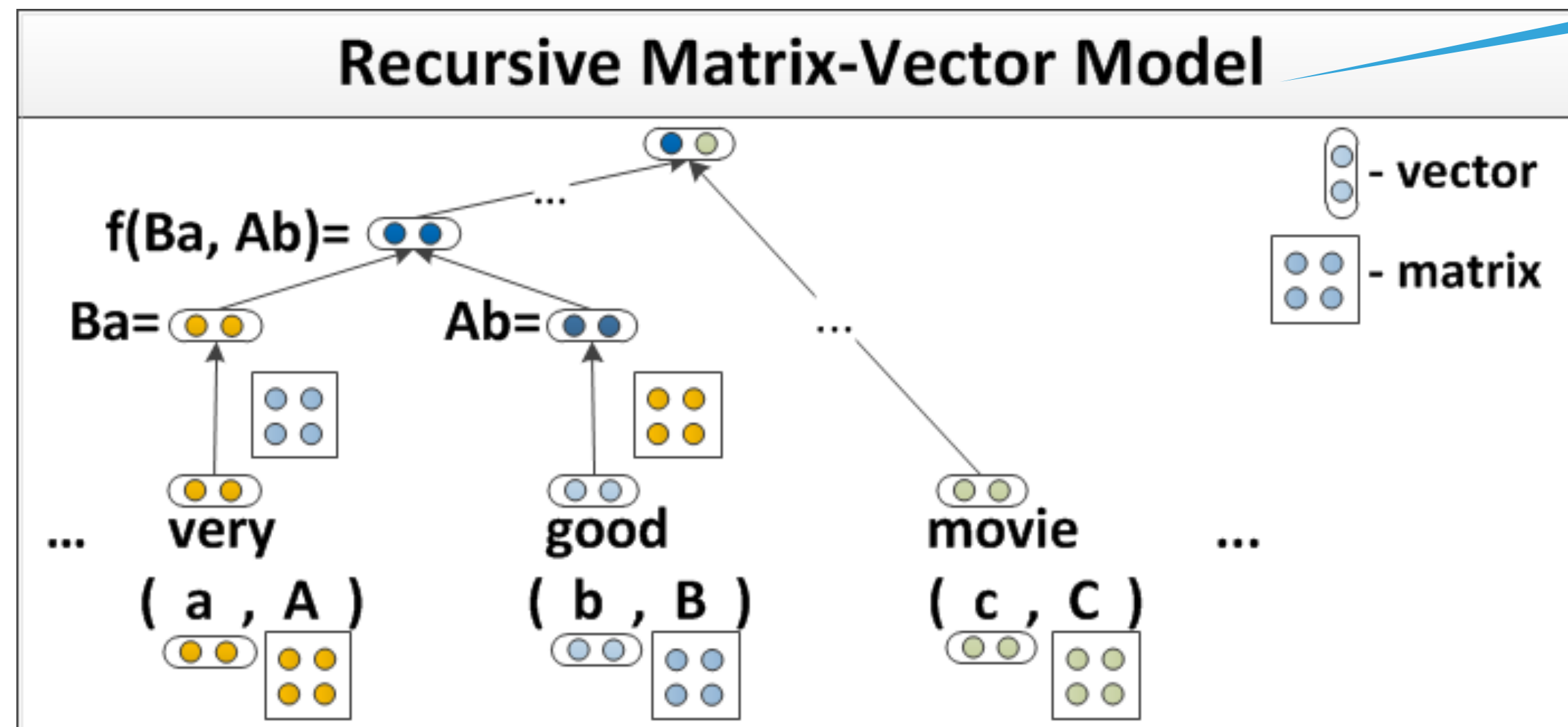
[Socher et al., 2011]



RECURSIVE NEURAL NETS

[Socher et al., 2011]

ALLOWS ENCODING OF STRUCTURE
OBJECTS. WHAT ABOUT DECODING?

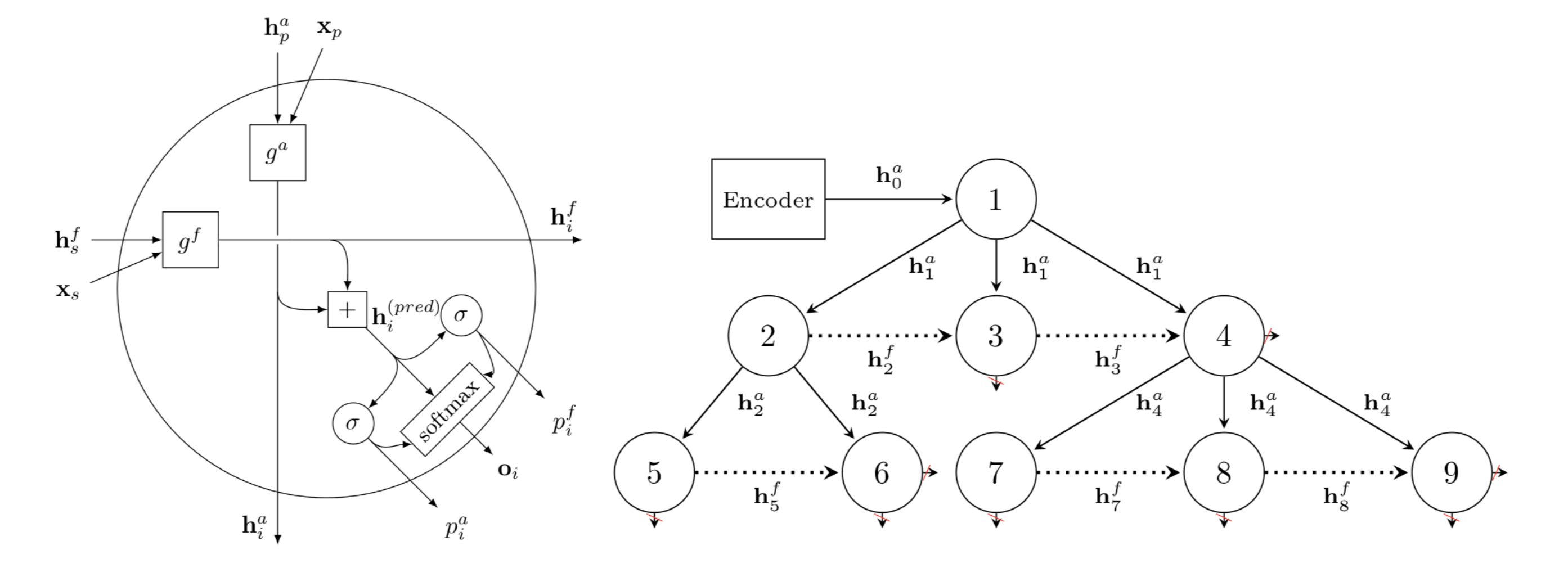


TREE TO TREE: STRUCTURED ENCODING AND DECODING

[Dong & Lapata, 2016; AM & Jaakkola, 2017]

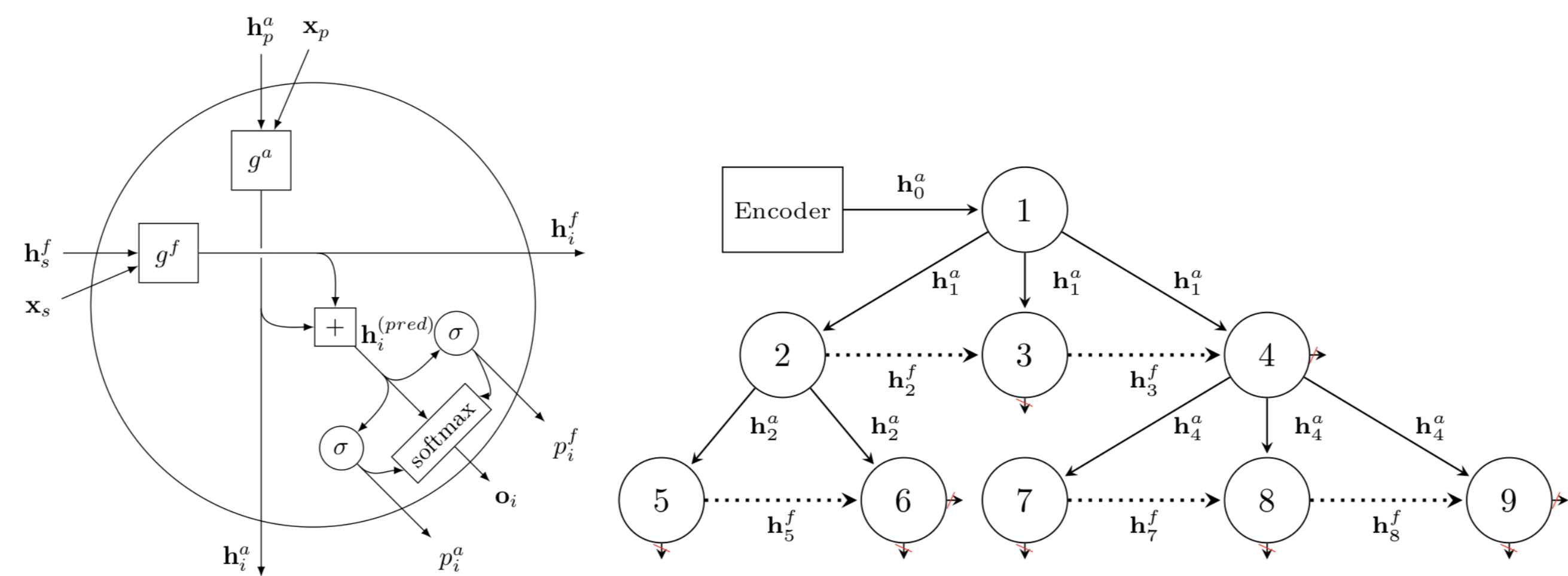
TREE TO TREE: STRUCTURED ENCODING AND DECODING

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TREE TO TREE: STRUCTURED ENCODING AND DECODING

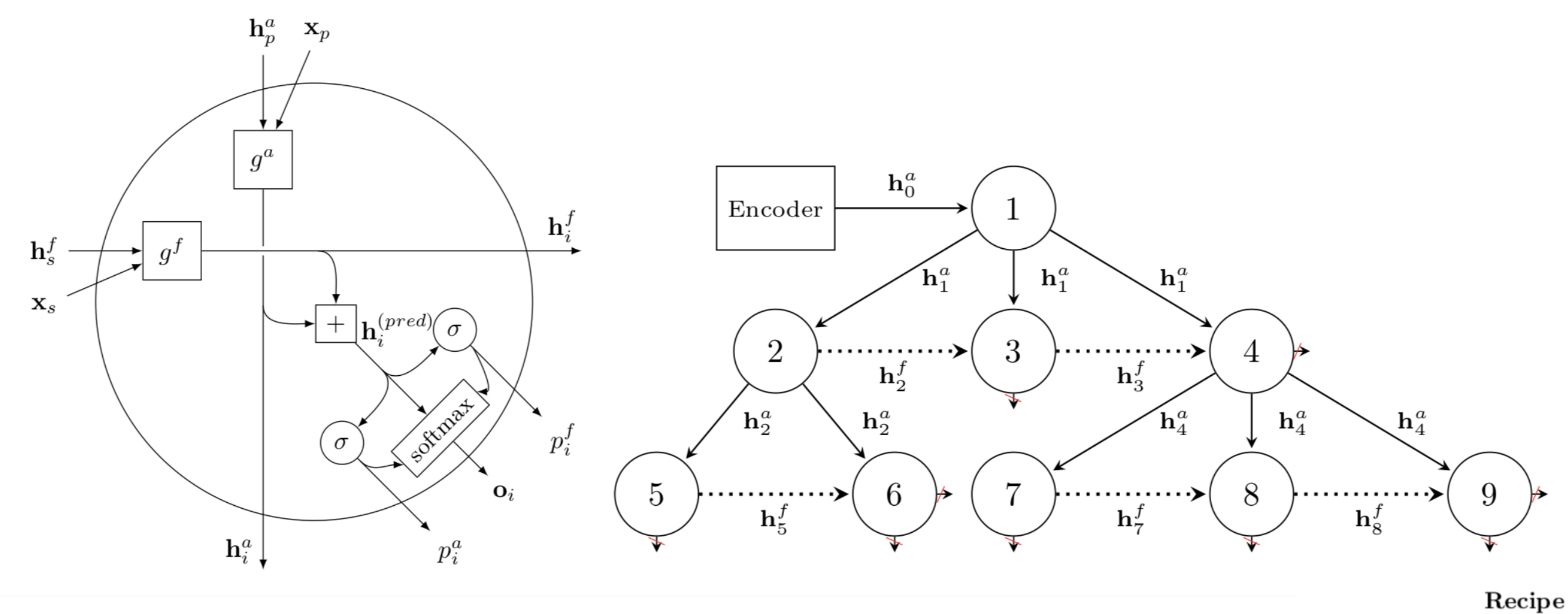
[Dong & Lapata, 2016; AM & Jaakkola, 2017]



APPLICATION: GENERATING
EXECUTABLE PROGRAMS FROM
NATURAL LANGUAGE DESCRIPTIONS

TREE TO TREE: STRUCTURED ENCODING AND DECODING

[Dong & Lapata, 2016; AM & Jaakkola, 2017]



APPLICATION: GENERATING EXECUTABLE PROGRAMS FROM NATURAL LANGUAGE DESCRIPTIONS

