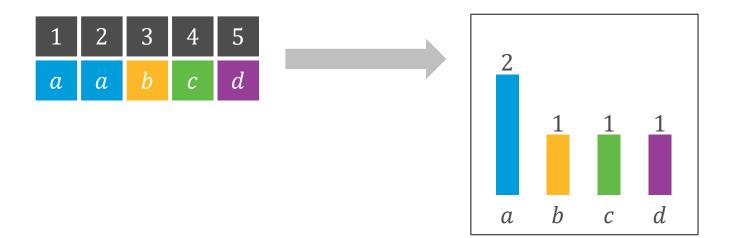


# Fall 2021 | Lecture 11 Social Choice Ariel Procaccia | Harvard University

#### **PLURALITY**

- Each person votes for a single alternative, and the alternative with most points wins
- A highly problematic voting rule!



#### SOME BALLOT TYPES







Rankings

Approvals

Scores/stars

We will focus on rankings!



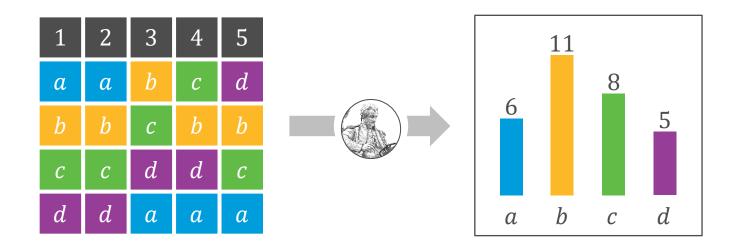
#### Jean-Charles de Borda

1733-1799

Mathematician, engineer, and naval officer. Also remembered as an instigator of the metric system.

#### **BORDA COUNT**

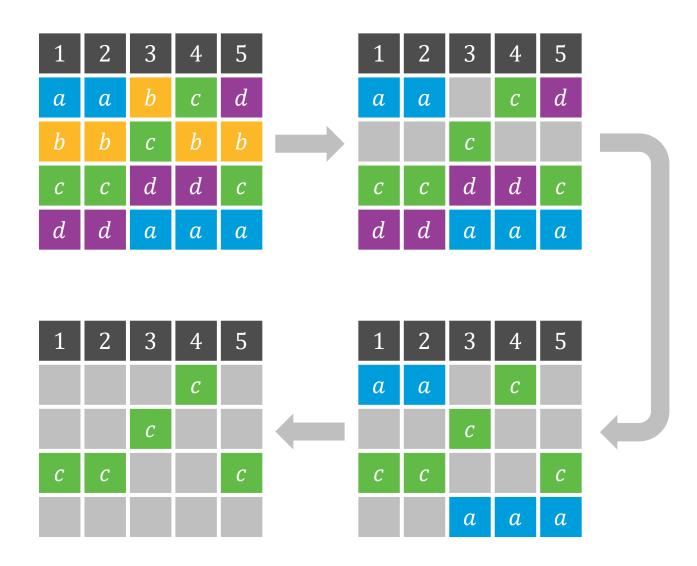
• Each voter awards m - k points to the alternative placed in the k'th position, where m is the number of alternatives



#### SINGLE TRANSFERABLE VOTE

- Also known as "alternative vote," "instantrunoff voting" and (misleadingly) "rankedchoice voting"
- Votes are tabulated in rounds, where in each round the alternative with the lowest plurality score is eliminated; last alternative left standing is the winner

#### SINGLE-TRANSFERABLE VOTE



#### STV AROUND THE WORLD



■ Ireland

Used for all public elections

Canada

Used in Ontario for municipal elections

■ Australia

Used for parliamentary elections

USA

Used for statewide elections in ME and AK, and in cities like Cambridge, MA

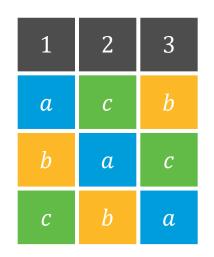


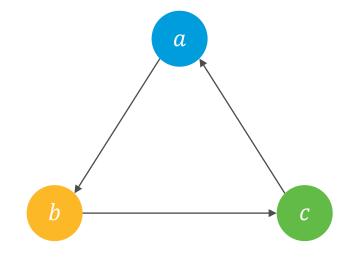
## Marquis de Condorcet

1743-1794

Philosopher, mathematician, enlightened nobleman. Also known for dying mysteriously in prison.

#### THE CONDORCET PARADOX





The preferences of the majority may be cyclical!

#### CONDORCET CONSISTENT RULES

- A Condorcet winner is an alternative that defeats every other alternative in a head-tohead majority comparison
- A rule is Condorcet consistent if it always selects a Condorcet winner whenever it is presented with a profile that contains one

#### Poll

Which rule is Condorcet consistent?

- Plurality
- Both rules

- Borda Count
- Neither one ✓





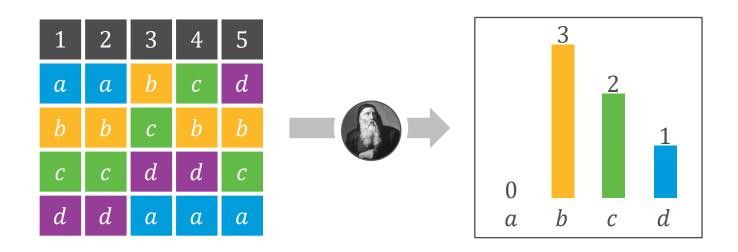
#### Ramon Llull

*c.* 1232–1315

Monk, missionary, and philosopher; one of the most influential intellectuals of his time. Also remembered for publishing a medieval parenting guide.

## LLULL'S RULE

 Each alternative receives one point for each head-to-head comparison it wins (as well as for tied comparisons)



• Llull's rule is Condorcet consistent



## Charles Lutwidge Dodgson

1832-1898

Professor of mathematics at Oxford, pioneer photographer, and beloved author. Also known for not plagiarizing Condorcet's work.

#### DODGSON'S RULE

- The Dodgson score of an alternative x is the minimum number of swaps between adjacent alternatives needed to make x a Condorcet winner; select an alternative with minimum score
- Dodgson's rule is Condorcet consistent
- Dodgson's rule is NP-hard to compute!

## DODGSON'S RULE

What is the Dodgson score of *b*?

1	2	3	4	5	1	2	3	4	5	
a	a	d	d	d	a	a	d	d	d	
b	b	С	С	С	b	b	С	b	С	
С	С	a	b	b	С	С	a	С	b	
d	d	b	a	а	d	d	b	a	а	- 1
										-
1	2	3	4	5	1	2	3	4	5	
b	a	d	b	d	a	a	d	b	d	
a	b	С	d	С	b	b	С	d	С	
С	С	а	С	b	С	С	a	С	b	
d	d	b	а	а	d	d	b	a	а	

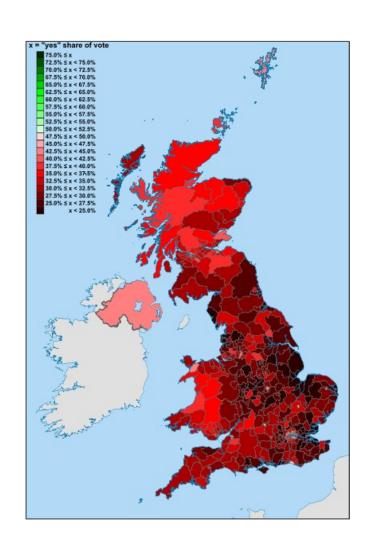


# AWESOME EXAMPLE

33 voters	16 voters	3 voters	8 voters	18 voters	22 voters	Pl. a
а	b	С	С	d	е	
b	d	d	е	е	С	
С	С	b	b	С	b	
d	e	а	d	b	d	
e	а	e	а	а	а	STV d

## IS SOCIAL CHOICE PRACTICAL?

- UK referendum (2011): Choose between plurality and STV as a method for electing MPs
- Academics agreed STV is better
- But STV was seen as beneficial to a particular politician



#### COMPUTATIONAL SOCIAL CHOICE

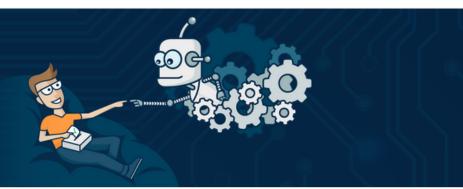
However, in emerging paradigms of democracy and tools for group decision making, the designer is free to choose any voting rule!





#### **AI-Driven Decisions**

RoboVote is a free service that helps users combine their preferences or opinions into optimal decisions. To do so, RoboVote employs state-of-the-art voting methods developed in artificial intelligence research. Learn More



#### Poll Types

RoboVote offers two types of polls, which are tailored to different scenarios; it is up to users to indicate to RoboVote which scenario best fits the problem at hand.



#### Objective Opinions

In this scenario, some alternatives are objectively better than others, and the opinion of a participant reflects an attempt to estimate the correct order. RoboVote's proposed outcome is guaranteed to be as close as possible — based on the available information — to the best outcome. Examples include deciding which product prototype to develop, or which company to invest in, based on a metric such as projected revenue or market share. Try the demo.



#### Subjective Preferences

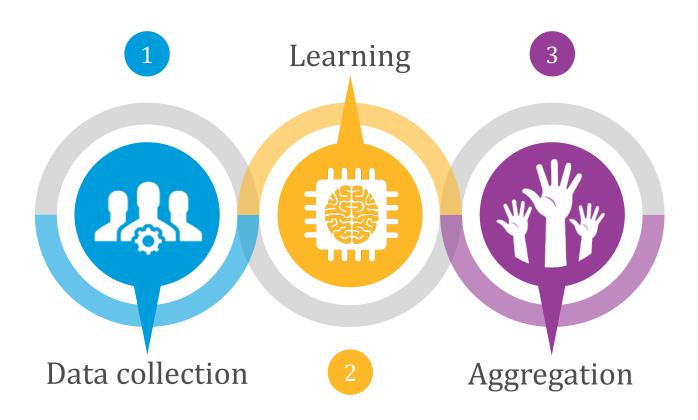
In this scenario participants' preferences reflect their subjective taste; RoboVote proposes an outcome that mathematically makes participants as happy as possible overall. Common examples include deciding which restaurant or movie to go to as a group, which destination to choose for a family vacation, or whom to elect as class president. Try the demo.

Ready to get started?

CREATE A POLL



## VIRTUAL DEMOCRACY



## VIRTUAL DEMOCRACY

