

The Provable Virtue of Laziness in Motion Planning

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Abstract

The *Lazy Shortest Path (LazySP)* class consists of motion-planning algorithms that only evaluate edges along shortest paths between the source and target. These algorithms were designed to minimize the number of edge evaluations in settings where edge evaluation dominates the running time of the algorithm; but how close to optimal are LazySP algorithms in terms of this objective? Our main result is an analytical upper bound, in a probabilistic model, on the number of edge evaluations required by LazySP algorithms; a matching lower bound shows that these algorithms are asymptotically optimal in the worst case.

1 Introduction

The simplest motion planning model (Sharir 2004; LaValle 2006) involves a robot system R moving in a workspace $W \in \{\mathbb{R}^2, \mathbb{R}^3\}$ cluttered with obstacles O . Given an initial placement s and a target placement t of R , we wish to determine whether there exists a collision-free motion of R connecting s and t , and, if so, to plan such a motion.

Typically, R is abstracted as a point, or a *configuration*, in a high-dimensional space called the *configuration space* X , where each configuration maps R to a specific placement in W (Lozano-Perez 1983). The configuration space is subdivided into the free and forbidden spaces, corresponding to placements of R that are free or that intersect with an obstacle, respectively. Since the general motion-planning problem is PSPACE-hard (Hopcroft, Schwartz, and Sharir 1984), a common approach is to use sampling-based algorithms (Kavraki et al. 1996; Hsu, Latombe, and Motwani 1999; LaValle and Kuffner 1999; Karaman and Frazzoli 2011). These algorithms approximate X via a discrete graph G called a *roadmap*. Vertices in G correspond to sampled configurations in X , and edges in G correspond to local paths (typically straight lines). Approximately solving the motion-planning problem thus reduces to the problem of finding a collision-free shortest path in G between the vertices corresponding to s and t .

Testing if a vertex or an edge of G is collision free requires one or more geometric tests called *collision detection*. Arguably, collision detection in general, and edge evaluation in particular, are the most time-consuming operations in sampling-based algorithms (LaValle 2006; Choset

et al. 2005). Thus, path planning on G differs from traditional search algorithms such as Dijkstra (1959) or A* (Hart, Nilsson, and Raphael 1968), where the graph is typically implicit and large, but edge evaluation is trivial compared to search. Indeed, much recent work in motion planning focuses on evaluating the edges of G *lazily*, informed by the search algorithm as it progresses (Bohlin and Kavraki 2000; Hauser 2015; Dellin and Srinivasa 2016; Salzman and Halperin 2015; Choudhury et al. 2017).

In a recent paper, Dellin and Srinivasa (2016) present a unifying formalism for shortest-path problems where edge evaluation dominates the running time of the algorithm. Specifically, they define and investigate a class of algorithms termed *Lazy Shortest Path (LazySP)*, which run any shortest-path algorithm on G followed by evaluating the edges along that shortest path. The algorithms are differentiated by an *edge selector* function, which chooses the edges the algorithm evaluates along the shortest path. Dellin and Srinivasa show that several prominent motion-planning algorithms are captured by LazySP, using a suitable choice of this selector. Furthermore, they extensively evaluate the algorithm empirically on a wide range of edge selectors. Their experiments range from toy scenarios, which demonstrate the advantages of each edge selector, to articulated 7D motion-planning problems that show that, using this approach, non-trivial problems can be solved within seconds.

LazySP was proposed as an algorithm that attempts to minimize the overall number of edges evaluated (or *queried*) in the process of solving a given motion-planning problem. A natural question to ask is

... *what is the query complexity of LazySP, and is its query complexity the best possible?*

In other words, can we bound the number of edges evaluated by LazySP as a function of the complexity of the roadmap G ? And are there algorithms not in this class that have lower query complexity?

To address these questions, we need to explicitly model how queries are answered. We start in Section 3 by considering the *deterministic* setting, where the set of collision-free edges is determined upfront. Our first result establishes that, in this model, it is optimal to always test edges along the shortest path, i.e., in every instance there is an edge selector for which LazySP is optimal. Although the edge selector in question requires full access to the set of collision-free

edges, so the real-world implications of this result are limited, it does provide a theoretical underpinning for the idea of restricting queries to shortest paths, which lies at the heart of LazySP.

In practice, we are interested in a slightly more complex model, which we call the *probabilistic* setting; it is explored in Section 4. Here, each edge is endowed with a probability of being in collision — a common assumption in motion planning (see, e.g., Choudhury, Dellin, and Srinivasa 2016) — and we are interested in policies that minimize the query complexity, that is, policies that minimize the *expected* number of steps until the algorithm finds the shortest path or declares that no path exists. We first show that there are instances where LazySP is suboptimal, regardless of the edge selector. In a nutshell, we describe a delicate construction where initially querying edges that are not on the shortest path provides valuable information for subsequent queries.

So, in the probabilistic setting, LazySP is just a proxy for the (presumably intractable) optimal policy, but is it a good proxy? We answer this question in the positive. Our main result is that the query complexity of LazySP (with an edge selector satisfying a certain *connectivity* property) is bounded by $O(n/p)$ edge evaluations with high probability, where n is the number of vertices in G , and p is the minimum probability on any edge. We complement this result with an $\Omega(n/p)$ lower bound that holds for *every* algorithm that is guaranteed to be correct. We conclude that, from a worst-case viewpoint, LazySP is, in fact, (asymptotically) optimal.

2 The Model

An instance of our problem is given by a multigraph $G = (V, E)$ — that is, there may be multiple edges between two vertices — whose set of vertices includes two distinguished vertices: the source vertex s and the target vertex t . We deal with multigraphs, rather than simple graphs, mostly for ease of exposition; see Section 5 for a discussion of this point. We simply refer to G as a *graph* hereinafter.

We say that a graph $G' = (V, E')$ is a subgraph of G if $E' \subseteq E$. Given a graph $G = (V, E)$ and its subgraph $G' = (V, E')$, an oracle $\mathcal{O}_{G'}$ is a function that takes as input an edge $e \in E$ and returns YES if $e \in E'$, and NO otherwise. When G is clear from the context, we suppress it in this notation.

In the *path-finding* problem, an algorithm ALG is given a graph G and an oracle $\mathcal{O}_{G'}$. The goal of the algorithm is to find the shortest s - t path in G' . Since G' is not revealed to the algorithm directly, the algorithm has to query $\mathcal{O}_{G'}$ on specific edges of G to find a path. That is, $\text{ALG}(G, \mathcal{O}_{G'})$ issues a sequence of edge queries to $\mathcal{O}_{G'}$, and upon termination, returns an s - t path or decides that none exists.

For an algorithm to be *correct*, we require that it correctly identify a shortest s - t path in G' , or that it *certify* that none exists (by invalidating every possible path), for any G and $G' \subseteq G$. Therefore, a correct algorithm can only terminate when the solution it provides continues to be correct even if the responses to unqueried edges are selected adversarially. More formally, let $Q \subseteq E$ be the set of edges queried by a correct algorithm ALG on G and $\mathcal{O}_{G'}$. Let $Q_y = Q \cap E'$ and

$Q_n = Q \setminus E'$ be the set of queried edges that, respectively, belong and do not belong to G' . Then ALG can terminate only if there is a shortest s - t path in G' , denoted P^* , such that $P^* \subseteq Q_y$, and there is no s - t path in $(V, E \setminus Q_n)$ that is shorter than P^* . If no path exists, then ALG can terminate only if there is no s - t path in $(V, E \setminus Q_n)$.

Clearly, an algorithm that first queries all edges in E , thereby fully constructing G' , and only then finds the shortest s - t path, is a correct algorithm. However, such an algorithm may use a large number of queries, some of which may be unnecessary. In this paper, we are interested in algorithms that find a shortest s - t path using a minimal number of queries. We denote the number of queries that ALG makes on input G and $\mathcal{O}_{G'}$ by $\text{cost}(\text{ALG}(G, \mathcal{O}_{G'}))$.

We are especially interested in the *LazySP* class of algorithms, introduced by Dellin and Srinivasa (2016). Any algorithm in the class *LazySP* is determined by an *edge selector*, which, informally, decides which edge to query on a given s - t path. Formally, let \mathcal{P} be the set of all s - t paths in G . An edge selector is a function $f : \mathcal{P} \times 2^E \times 2^E \rightarrow E$ that takes any s - t path $P \in \mathcal{P}$, a subset of queried edges Q_y that are in E' , and a subset of queried edges Q_n that are not in E' , and returns an edge $e \in P \setminus Q$. Examples of edge selectors include:

- *Forward edge selector*: Returns the first unqueried edge in P , that is, the one closest to s .
- *Backward edge selector*: Returns the last unqueried edge in P , that is, the one closest to t .
- *Bisection edge selector*: Returns an unqueried edge in P which is furthest from an evaluated edge on the path.

Given an edge selector f , the corresponding $\text{LAZYSP}_f \in \text{LazySP}$ is described in Algorithm 1. At a high level, LAZYSP_f , in a given time step, considers a candidate *shortest* s - t path P over all those edges whose existence has not yet been ruled out by the oracle. Then, it uses the edge selector to query an unqueried edge $e \in P$. It updates the set of queried edges and repeats. At any point, if the edges of path P that is currently under consideration are all verified, the algorithm terminates and returns P . If no viable s - t paths remain, the algorithm terminates and certifies that no s - t path exists in G' .

Algorithm 1: LAZYSP_f

input: Graph G and oracle $\mathcal{O}_{G'}$

```

 $Q_n \leftarrow \emptyset$ ;          /* in-collision evaluated edges */
 $Q_y \leftarrow \emptyset$ ; /* collision-free evaluated edges */
while there exists1 a shortest  $s$ - $t$  path  $P$  in  $E \setminus Q_n$  do
  if  $P \subseteq Q_y$  then return  $P$ ;
   $e \leftarrow f(P, Q_y, Q_n)$ ; /* select edge along  $P$  */
  if  $\mathcal{O}_{G'}(e) = \text{YES}$  then  $Q_y \leftarrow Q_y \cup \{e\}$ ;
  else  $Q_n \leftarrow Q_n \cup \{e\}$ ;
end
return  $\emptyset$ ;

```

¹If there are multiple s - t paths of the same length, the algorithm breaks ties according to a consistent tie-breaking rule.

It is not hard to see that any algorithm in the class *LazySP* is a correct algorithm. This is due to the fact that these algorithms always consider the shortest path that has not yet been ruled out. Therefore, upon termination, they return the shortest s - t path in G' . Moreover, an edge selector never returns an edge that has been queried before and, hence, these algorithms never query an edge more than once. It follows that any such algorithm eventually terminates. See the paper of Dellin and Srinivasa (2016) for a more detailed discussion of the *LazySP* class.

Let us conclude this section with an example of the execution of LAZYSP with the forward edge selector, which also illustrates some of the terminology introduced earlier. Figure 1 shows the set of vertices $V = \{s, t, a, b, c, d, e\}$ shared by G and G' , as well as two types of edges: those in E' , shown as solid edges, and those in $E \setminus E'$, shown as dashed edges. The order in which edges are queried is shown as labels on the edges. This order on edge queries is induced by evaluating shortest paths in the following order: sat , $sabt$, $scdt$, $sabdt$, and $sabdet$.

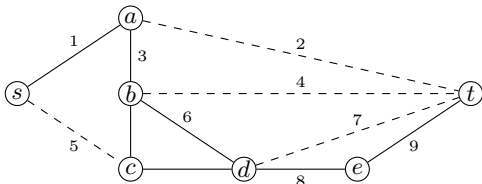


Figure 1: Example of the execution of LAZYSP with the forward edge selector. Solid edges are in E' , dashed edges are in $E \setminus E'$.

3 The Deterministic Setting

In this section, we consider the problem of using a minimum number of edge queries to find a shortest s - t path, or verifying that no s - t path exists, when a subgraph $G' \subseteq G$ is *deterministically* chosen (but not revealed to the algorithm).

In more detail, let $G = (V, E)$ be a graph, and let $G' = (V, E')$ be its subgraph. Recall that $\text{cost}(\text{ALG}(G, \mathcal{O}_{G'}))$ denotes the number of edge queries ALG makes on graph G when oracle responses are according to graph G' . Our first result asserts that the class *LazySP* is optimal in this setting, in the sense that for any correct algorithm there is a LAZYSP algorithm (with a specific edge selector) that finds the shortest path using at most as many queries.

Theorem 1. *For any graph G and $G' \subseteq G$, and any correct algorithm ALG, there exists $\text{ALG}' \in \text{LazySP}$ such that*

$$\text{cost}(\text{ALG}'(G, \mathcal{O}_{G'})) \leq \text{cost}(\text{ALG}(G, \mathcal{O}_{G'})).$$

Proof. Let P^* be a shortest s - t path in G' and let P_1, \dots, P_m be the s - t paths in G that are strictly shorter than P^* , ordered by their length. If no path in G' exists, P_1, \dots, P_m is the list of all s - t paths in G . Let Q be the set of edges queried by $\text{ALG}(G, \mathcal{O}_{G'})$, $Q_y = Q \cap E'$, and $Q_n = Q \setminus E'$.

Let Q^* be the set that includes all edges of P^* , all of which exist in G' , and an optimal cover for the sets P_i using edges that do not belong to G' . That is, let $Q^* = P^* \cup Q_n^*$, where

$$Q_n^* = \arg \min_{S \subseteq E \setminus E'} \{ |S| : \forall i \in [m], P_i \cap S \neq \emptyset \}.$$

We argue that $|Q| \geq |Q^*|$. This is due to the fact that correctness of ALG implies that $P^* \subseteq Q_y$, and any path that is shorter than P^* has an invalidated edge, i.e., for all $i \in [m]$, $P_i \cap Q_n \neq \emptyset$. Note that the latter condition shows that Q_n is a cover for the sets P_i using edges $E \setminus E'$, so by the optimality of Q_n^* , we have

$$|Q| = |Q_y| + |Q_n| \geq |P^*| + |Q_n^*| = |Q^*|.$$

It remains to show that there is $\text{ALG}' \in \text{LazySP}$ that only queries edges in Q^* . Let ALG' be the algorithm that first queries an edge in $Q_n^* \cap P_1$ (there must be one), then an edge in $Q_n^* \cap P_2$ (if it is nonempty), and so on, until $Q_n^* \cap P_m$ (if it is nonempty), and finally queries all the edges in P^* . We argue that ALG' must query all the edges in Q^* . Indeed, the only difficulty is that, in principle, it may be the case that at some point P_1, \dots, P_k have already been invalidated, and there is some $e \in Q_n^*$ such that $e \notin P_{k+1} \cup \dots \cup P_m$, meaning that e cannot be queried in the future. But, in that case, e is not needed in order to invalidate the paths P_1, \dots, P_m , in contradiction to the optimality of Q^* (and that of Q_n^* , specifically).

Note that ALG' has the property that at any time it only queries edges on the shortest s - t path that has not been invalidated yet. Clearly, it is possible to define an edge selector that makes the same choices as ALG' . We conclude that ALG' , whose cost is at most that of ALG, can be represented as a member of *LazySP*. \square

We can alternatively interpret Theorem 1 in a model where LAZYSP may be equipped with an *omniscient* edge selector that has full access to G' . In particular, this omniscient edge selector can compute Q^* , which, by the way, requires solving an NP-hard variant of SET COVER. Even though the algorithm already knows G' , it still has to issue queries as it must *certify* that P^* is indeed the shortest path (if an s - t path exists).

Clearly, an omniscient edge selector is impractical. The significance of Theorem 1, therefore, is mostly conceptual. It suggests that the restriction that algorithms must always query edges on the current shortest path is not a barrier to optimality. This gives theoretical justification for the *LazySP* class. However, as we shall see shortly, the message is more nuanced when the outcomes of queries are randomized.

4 The Probabilistic Setting

In this section, we consider a probabilistic variant of the setting we investigated in Section 3. We view the probabilistic model as a closer fit with reality than its deterministic counterpart.

In more detail, let $p \in (0, 1)$ be the probability that any given edge in G exists in G' . In a more general setting with different probabilities associated with different edges, we

can simply think of p as a lower bound on the probabilities for query upper bounds, or as an upper bound on the probabilities for query lower bounds. We denote by $G' \sim_p G$ the process of generating a random graph $G' = (V, E')$ from G by allowing each $e \in E$ to belong to E' with probability p , independently. We suppress p in this notation when it is clear from the context.

In the current setting, a subgraph $G' = (V, E') \sim_p G$ is realized according to edge probability p , but it is not revealed to the algorithm. As before, the algorithm receives G and $\mathcal{O}_{G'}$ as input, and uses $\mathcal{O}_{G'}$ to verify whether an edge exists. The goal of the algorithm is to minimize the *expected* number of edge queries over $G' \sim_p G$, such that it *correctly* either

1. returns a path that is the shortest s - t path in G' , or
2. certifies that there is no s - t path in G' .

Note that, although the expected number of queries an algorithm issues is taken over $G' \sim G$, the correctness condition must hold for *every* G' .

4.1 Suboptimality of LazySP

Our next result asserts that the class of algorithms *LazySP* does not always include an optimal query policy, which minimizes the expected number of queries. At a high level, the reason behind this is that, in some graphs, querying a few edges that are not on the shortest path can identify the most important regions of the graph, which should be explored next. To see this, consider the graph in Figure 2. In this graph, the arcs marked by A and B each include multi-edge structures shown in Figures 3 and 4, respectively. Structures A and B are designed so that arcs labeled by B are much longer than A , so any LAZYSP algorithm starts by querying the arcs labeled by A .

We compare the cost of any LAZYSP \in *LazySP* (for an arbitrary edge selector) to that of an algorithm ALG defined as follows. ALG first queries all the edges in the multi-edge structures B on arcs sb and at . There are two cases:

1. A path exists in both of the structures sb and at , or in neither one: In this case, ALG calls LAZYSP on the original graph.

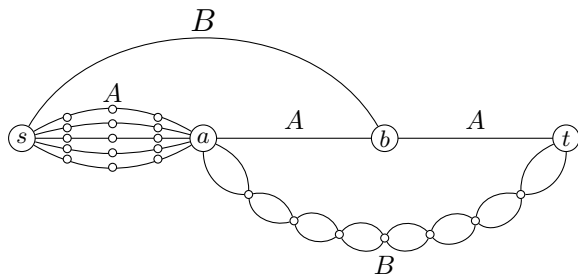


Figure 2: A graph for which no algorithm in *LazySP* is an optimal query policy. All arcs labeled by A and B include multi-edge structures shown in Figures 3 and 4, respectively. For clarity, we include two examples of these structures on sa and at in this figure.

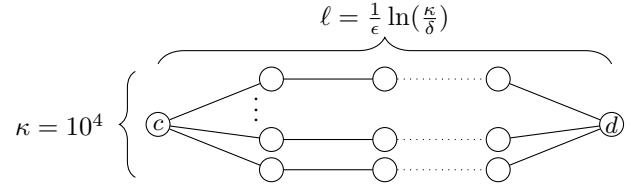


Figure 3: Structure A used on arcs sa , ab , and bt in Figure 2. We refer to one path connecting c and d as a “string”.

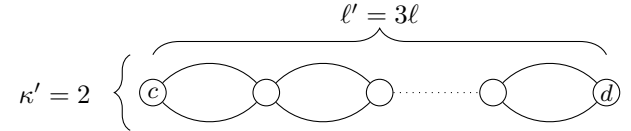


Figure 4: Structure B used on arcs sb and at in Figure 2.

2. There is a path in exactly one of the sb or at structures: Without loss of generality (by symmetry) assume that at has a path. Then, ALG queries the edges in structure A on sa , ab and bt in order, until it verifies that at least one of these structures does not have a path or all do. Then, it returns the shortest s - t path on the edges whose existence has been verified by the queries, or certifies that no s - t path exists.

It is not hard to see that ALG demonstrates the required guarantees for a correct algorithm, i.e., upon its termination it correctly certifies that there is no s - t path or returns the shortest s - t path in the realized graph.

Let us provide an overview of why ALG queries fewer edges than any LAZYSP algorithm in expectation. The structures A and B are designed so that structure A requires more queries than structure B . Additionally, structure A almost certainly fails to have a path, while structure B has a path with a probability close to $\frac{1}{2}$. Note that such a graph almost certainly does not have a path, so a large fraction of $\mathbb{E}[\text{cost}(\text{ALG}(G, \mathcal{O}_{G'}))]$ comes from the effort required to *invalidate* possible s - t paths.

In the first case of ALG (a path exists in both ab and at , or in neither one), it queries more edges than LAZYSP. However, we argue that ALG uses much fewer queries in its second case. The probability of existence of a path in structure B is chosen so that the second case happens with significant probability (almost $\frac{1}{2}$), in which case the overall savings in the analysis of the second case bring down the total expected cost of ALG compared to LAZYSP.

In slightly more detail, the crux of the proof is the case where sb does not have a path and at has a path (an example of the second case of ALG). To invalidate all possible s - t paths, it suffices to certify that structure A on sa does not have a path. Therefore, ALG terminates after querying only one A structure, with high probability, in addition to querying two B structures on sb and at . On the other hand,

LAZYSP does not know which one of sb or at has a path, so with probability at least $\frac{1}{2}$ it first queries some A structure other than sa , in which case it has to also query and verify that no path exists in sa . Therefore, LAZYSP has to query 1.5 A structures in expectation. We design structures A and B so that half the cost of checking an additional A structure is much larger than the initial cost that ALG invests in querying edges in two B structures.

The next theorem and its proof formalize the foregoing discussion.

Theorem 2. *There is a graph $G = (V, E)$ and $p \in (0, 1)$ for which the optimal query policy is not in LazySP .*

Proof. Consider the graph in Figure 2. Let

$$\begin{aligned}\kappa &= 10^4, \\ \kappa' &= 2, \\ \epsilon &= 10^{-2}, \\ \delta &= 10^{-3}, \\ \ell &= \frac{1}{\epsilon} \ln\left(\frac{\kappa}{\delta}\right), \\ \ell' &= 3\ell\end{aligned}$$

for the structures in Figures 3 and 4. Let $p = 1 - \epsilon$ be the probability of existence of any one edge in these structures.

In the following claim, we show that the structure in Figure 3 almost certainly does not have a path, but one has to query many edges to verify that this is indeed the case.

Claim 1. *With probability at least $1 - \delta$, there is no c - d path in the structure shown in Figure 3. Conditioned on the event that no c - d path exists, any correct querying policy has to query at least $10^6 - 2$ edges in expectation to certify that no path exists.*

Proof. The probability of a path existing in this structure is at most

$$\kappa(1 - \epsilon)^\ell \leq \kappa e^{-\epsilon\ell} = \delta.$$

Let \mathcal{E} be the event that no path exists in the structure. Given \mathcal{E} , each of the κ strings of length ℓ have to be invalidated. Consider the expected number of queries needed to invalidate a single string, conditioned on \mathcal{E} . For $i = 1, \dots, \ell$, let \mathcal{F}_i be the event that the first $i - 1$ queried edges in the string exist in G' , and the i^{th} edge does not. Clearly the events \mathcal{F}_i and \mathcal{E} are positively correlated, that is, for all $i = 1, \dots, \ell$, $\Pr[\mathcal{F}_i | \mathcal{E}] \geq \Pr[\mathcal{F}_i]$. Therefore, conditioned on \mathcal{E} , the expected number of queries on a string is

$$\begin{aligned}\sum_{i=1}^{\ell} \Pr[\mathcal{F}_i | \mathcal{E}] \cdot i &\geq \sum_{i=1}^{\ell} \Pr[\mathcal{F}_i] \cdot i \\ &= \sum_{i=1}^{\ell} (1 - \epsilon)^{i-1} \epsilon i \\ &= \frac{1 - (1 - \epsilon)^\ell - \ell(1 - \epsilon)^\ell \epsilon}{\epsilon} \\ &\geq \frac{1}{\epsilon} - 2 \cdot 10^{-4}.\end{aligned}$$

Using the linearity of expectation and summing over all κ disjoint strings that have to be invalidated, the expected number of queries needed to invalidate the structure is at least

$$\kappa \left(\frac{1}{\epsilon} - 2 \cdot 10^{-4} \right) = 10^6 - 2.$$

In the next claim, we show that the structure in Figure 4, though narrower and longer than the structure in Figure 3, has a path with higher probability.

Claim 2. *With probability 0.616 ± 10^{-3} there is a path in the structure shown in Figure 4. Moreover, the expected number of queries needed to find a path or certify that none exists is at most 10^4 .*

Proof. The probability of a path existing in this structure is exactly

$$(1 - \epsilon^{\kappa'})^{\ell'} = (1 - 0.01^2)^{\frac{3}{0.01} \ln(10^7)} = 0.616 \pm 10^{-3}.$$

Moreover, since the structure has $\kappa'\ell'$ edges overall, the expected number of queries is also bounded by $\kappa'\ell' \leq 10^4$.

We now turn to comparing the performance of ALG with that of LAZYSP. First, note that ALG queries at most $2 \cdot 10^4$ edges for verifying arcs sb and at at the beginning, whereas LAZYSP may not query those edges. Consider the following cases:

1. There is a path in at least one of the A structures sa , ab , or bt .
2. There is no path in the A structures sa , ab and bt , and exactly one of the B structures sb or at has a path.
3. Cases 1 and 2 do not hold.

Consider Case 1. By Claim 1, this is a rare event that happens with probability at most 3δ . Conditioned on this event, ALG verifies at most three A structures in addition to arcs sb and at , with overall number of edges $3\kappa\ell$. Taking the probability of this event into account, ALG issues at most

$$3\delta \cdot 3\kappa\ell \leq 1.46 \cdot 10^5$$

more queries in expectation (in addition to the B structures which we will account for separately).

Consider Case 2. By Claims 1 and 2, this event happens with probability at least

$$2(1 - 3\delta) \cdot (0.616 \pm 10^{-3}) \cdot (1 - 0.616 \mp 10^{-3}) \geq 0.471.$$

Conditioned on this event, ALG invalidates one A structure in addition to verifying arcs sb and at . This is due to the fact that ALG only needs to query and invalidate the A structure that is parallel to the non-valid B structure on sb or at . For example, when arc at has a path and sb does not, it suffices to invalidate structure sa to certify that no s - t path exists in Figure 2.

On the other hand, conditioned on the event that exactly one of the structures sb or at has a path, LAZYSP has to invalidate 1.5 A structures in expectation. Indeed, initially it must query edges on the shortest path, and they are all in A structures. It can only query sb or at after an A structure

has been invalidated, but, at that point, with probability $1/2$ there might still be a path using another A structure, chained with the valid B structure.

In Case 3, ALG verifies at most 2 more B structures than LAZYSP (this is Case 1 of ALG).

To summarize,

$$\begin{aligned}
& \mathbb{E}_{G' \sim G} [\text{cost}(\text{ALG}(G, \mathcal{O}_{G'}))] \\
& \leq \mathbb{E}_{G' \sim G} [\text{cost}(\text{LAZYSP}(G, \mathcal{O}_{G'}))] \\
& \quad + \underbrace{2 \cdot 10^4}_{\text{verifying B structures}} + \underbrace{1.46 \cdot 10^5}_{\text{Case 1}} - \underbrace{2.35 \cdot 10^5}_{\text{Case 2}} \\
& < \mathbb{E}_{G' \sim G} [\text{cost}(\text{LAZYSP}(G, \mathcal{O}_{G'}))]
\end{aligned}$$

□

It may be instructive to understand why the Example of Figure 2 does not contradict Theorem 1. Consider the potentially problematic Case 2 of the proof of Theorem 2, where, say, the arc sb is collision-free, and the arc at is not; moreover, the three A structures are in collision. Then $Q^* = Q_n^*$ (as defined in the proof of Theorem 1) would be a set of edges that invalidates at and bt . In the deterministic setting, LAZYSP with an omniscient edge selector could start by invalidating bt , then proceed to at .

4.2 Query Complexity Bounds

Theorem 2 implies that algorithms in *LazySP* may be sub-optimal in the probabilistic setting. Nevertheless, it may still be possible to give satisfying worst-case guarantees with respect to the performance of algorithms in this class. This is exactly what we do next.

Specifically, we first show that any algorithm in *LazySP* (with an edge selector satisfying a certain property) uses $O(n/p)$ queries, where $n = |V|$, with high probability. We then show that there is a graph where no correct path-finding algorithm terminates within $\omega(n/p)$ queries. Taken together, these results show that no other algorithm can hope to do significantly better than algorithms in *LazySP* over all underlying graphs.

In our upper bound, we focus on edge selectors that choose an unqueried edge between two connected components formed by the validated queried edges.

Definition 1. An edge selector $f : \mathcal{P} \times 2^E \times 2^E$ is *connective* if for any $P \in \mathcal{P}$ and edge sets Q_y and Q_n , $f(P, Q_y, Q_n)$ returns an edge $e \in P \setminus (Q_y \cup Q_n)$ that connects two connected components of the subgraph (V, Q_y) .

It is not hard to see that the bisection edge selector (defined in Section 2) is not a connective edge selector. On the other hand, both forward and backward edge selectors are connective.

Let us provide an overview of why the forward edge selector — used with a LAZYSP algorithm that breaks ties in favor of paths with more verified edges — is connective (the same argument applies to the backward edge selector, switching the roles of s and t). Note that at any time the set of verified edges forms a connected component around

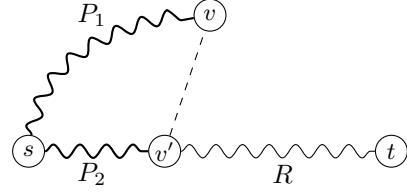


Figure 5: LAZYSP with the forward edge selector does not query an edge between two vertices in the same connected component.

vertex s . Moreover, by the same reasoning behind Dijkstra (1959), if a vertex v is in that connected component, the shortest s - v path in G' has been found. Now, refer to Figure 5, and consider the path P_1, v, v', R , for two vertices v and v' that are already reachable from s (i.e., $P_1 \subseteq Q_y$ and $P_2 \subseteq Q_y$), and $R \subseteq E \setminus Q$. Then LAZYSP would prefer the path P_2, R , because $|P_2| \leq |P_1| + 1$ (as it is the shortest path to v'), and P_2 is fully verified. We conclude that LAZYSP with the forward edge selector never queries an edge within a connected component.

We now turn to deriving a rigorous upper bound on the number of edges queried by any LAZYSP algorithm with a connective edge selector. Although the proof seems straightforward in retrospect, it is actually rather tricky. In terms of implications, we view this theorem as our main result.

Theorem 3. For any $\delta > 0$, $p \in (0, 1)$, graph G with n vertices, and a connective edge selector f , with probability at least $1 - \delta$,

$$\text{cost}(\text{LAZYSP}_f(G, \mathcal{O}_{G'})) \in O\left(\frac{n + \ln(1/\delta)}{p}\right).$$

Proof. Let

$$m = \frac{1}{p} \max\left\{2n, 8 \ln\left(\frac{1}{\delta}\right)\right\},$$

and let \mathcal{E} be the event that $\text{cost}(\text{LAZYSP}_f(G, \mathcal{O}_{G'})) > m$.

Consider m independent Bernoulli random variables each with parameter p , $\vec{X} = (X_1, \dots, X_m)$. Let $X_i = 1$ correspond to the event where the i^{th} edge queried by LAZYSP $_f$ is in E' , and $X_i = 0$ otherwise. Intuitively, we think of X_1, \dots, X_m as flipping coins with bias p in advance to decide the answers to the queries issued by LAZYSP. We can do this because the probability that the answer to a query is YES is independent of which edge is queried.

Formally, let $\Pr_{(\vec{X}, G')}[\cdot]$ correspond to taking probability over a random process that generates G' by first instantiating the Bernoulli random variables X_1, \dots, X_m , then determining the corresponding sets of edges Q_y and Q_n that are validated and invalidated by LAZYSP, respectively. For any edge $e \in Q_y$ or $e \in Q_n$, set e to belong to, or not belong to E' , respectively. For any edge $e \in E \setminus (Q_y \cup Q_n)$, set e to belong to E' with probability p , independently. Note that in this process each edge belongs to E' with probability exactly p . So, $\Pr_{G' \sim G}[\mathcal{E}] = \Pr_{(\vec{X}, G')}[\mathcal{E}]$. Using conditional

probability, we have

$$\Pr_{G'}[\mathcal{E}] = \Pr_{(\bar{X}, G')} \left[\mathcal{E} \mid \sum_{i=1}^m X_i < n \right] \Pr_{(\bar{X}, G')} \left[\sum_{i=1}^m X_i < n \right] \quad (1)$$

$$+ \Pr_{(\bar{X}, G')} \left[\mathcal{E} \mid \sum_{i=1}^m X_i \geq n \right] \Pr_{(\bar{X}, G')} \left[\sum_{i=1}^m X_i \geq n \right] \quad (2)$$

In the following, we analyze terms (1) and (2), separately. For the first term, we have

$$(1) \leq \Pr_{(\bar{X}, G')} \left[\sum_{i=1}^m X_i < n \right] = \Pr_{\bar{X}} \left[\sum_{i=1}^m X_i < n \right] \leq \delta,$$

where the last inequality is a direct consequence of the Chernoff bound:

$$\Pr_{\bar{X}} \left[\sum_{i=1}^m X_i < n \right] \leq \Pr_{\bar{X}} \left[\sum_{i=1}^m X_i < \frac{mp}{2} \right] \leq \exp\left(-\frac{mp}{8}\right).$$

Next, we argue that the second term, in Equation (2), is zero. Specifically, we show that

$$\Pr_{(\bar{X}, G')} \left[\mathcal{E} \mid \sum_{i=1}^m X_i \geq n \right] = 0. \quad (3)$$

Indeed recall that Q_y denotes the set of edges validated by LAZYSP_f during the first m queries, corresponding to X_1, \dots, X_m . Note that if LAZYSP_f does not terminate within the first m queries, then s and t belong to two different connected components of the graph $H = (V, Q_y)$. By the connectivity property of f , at any time LAZYSP_f only queries an edge that is between two connected components of the validated edges at that time. So, every time LAZYSP_f encounters a queried edge that is realized (that is, Q_y grows), the number of connected components in H decreases. Therefore, after encountering n verified edges, i.e., $\sum X_i \geq n$, there is an s - t path in H . This establishes Equation (3).

Putting terms (1) and (2) together, we have that $\Pr_{G'}[\mathcal{E}] \leq \delta$. \square

In the next theorem, we provide a matching lower bound for the number of queries that *any correct path finding algorithm* requires.

Theorem 4. *For all $p \in (0, 1)$ and $n > 15$, there exists a graph G with n vertices such that for any correct path-finding algorithm ALG,*

$$\Pr_{G'} \left[\text{cost}(\text{ALG}(G, \mathcal{O}_{G'})) \leq \frac{n-1}{2p} \right] \leq 0.1.$$

Proof. Let $m = \lfloor (n-1)/2p \rfloor$. Consider the following graph $G = (V, E)$: Let V be the sequence of vertices $s = v_1, v_2, \dots, v_n = t$. For each $i = 1, \dots, n-1$, let there be $m+1$ parallel edges between v_i and v_{i+1} .

Since there are $m+1$ parallel edges between any two vertices, ALG cannot certify that no s - t path exists in G' with only m queries. So, if ALG terminates with at most m queries, it is because it has found an s - t path. To find an s - t path, ALG must have encountered at least $n-1$ realized

edges between the m queries it has made. Therefore, using the same Bernoulli random variables as in the proof of Theorem 3, the Chernoff bound, and the fact that $n > 15$, we have

$$\begin{aligned} \Pr_{G'} [\text{cost}(\text{ALG}(G, \mathcal{O}_{G'})) \leq m] &\leq \Pr_{X_1, \dots, X_m} \left[\sum_{i=1}^m X_i \geq n-1 \right] \\ &\leq \Pr_{X_1, \dots, X_m} \left[\sum_{i=1}^m X_i \geq 2mp \right] \\ &\leq \exp(-mp/3) \leq 0.1. \end{aligned}$$

\square

5 Discussion

We wrap up by briefly discussing some pertinent issues.

Multigraphs are mostly for ease of exposition. Recall that the graph G can have multiple edges between two vertices. As we mentioned in Section 2, this assumption is “mostly” for ease of exposition. Clearly, our positive results, Theorems 1 and 3, hold even for simple graphs. Our first negative result, Theorem 2, holds for simple graphs but the construction becomes (even) more unwieldy. The only exception is Theorem 4: we were unable to establish it for simple graphs (it is easy to prove a lower bound of $\Omega(n/\ln n)$ for constant p , though).

Is the connectivity assumption needed? Theorem 3 holds for LAZYSP with a connective edge selector. Even though the current proof strongly relies on the connectivity assumption, we have not found examples of edge selectors that violate the theorem’s conclusion (in particular, we have not been able to find a bad example for the bisection edge selector). We therefore conjecture that the $O(n/p)$ query complexity upper bound holds for *any* edge selector, as long as LAZYSP breaks ties in favor of paths with more verified edges (otherwise it is easy to construct bad examples). Despite significant effort on our part, this conjecture remains open.

Computation of the optimal policy in the probabilistic setting. Our results suggest that LAZYSP is an excellent proxy for the optimal policy in the probabilistic setting, in that with, say, the forward edge selector, it is computationally efficient and provides satisfying guarantees. This is backed up by the empirical evaluation presented by Dellin and Srinivasa (2016). One may ask, though, whether the optimal policy itself can be computed. The answer is that this seems to be an extremely hard problem. The most direct representation of the problem is via a Markov decision process (MDP), where there is a state for every possible choice of Q_y and Q_n , the action space is edges in $E \setminus Q$, and the transitions and rewards are defined in the obvious way. Although an optimal policy in an MDP can be computed in polynomial time in its representation, the difficulty is that the size of the state space is exponential in $|E|$. That said, heuristics for (exactly or approximately) computing the optimal policy in the probabilistic setting have the potential to provide a practical alternative to LAZYSP.

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