

Optimized Democracy

Spring 2023 | Lecture 1 Voting Rules Ariel Procaccia | Harvard University

PLURALITY

- Each person votes for a single alternative, and the alternative with most points wins
- A highly problematic voting rule!



SOME BALLOT TYPES



Rankings

Approvals

Scores/stars

We will focus on rankings!



Jean-Charles de Borda

1733-1799

Mathematician, engineer, and naval officer. Also remembered as an instigator of the metric system.

BORDA COUNT

Each voter awards m - k points to the alternative placed in the k'th position, where m is the number of alternatives



SINGLE TRANSFERABLE VOTE

- Also known as "alternative vote," "instantrunoff voting" and (misleadingly) "rankedchoice voting"
- Votes are tabulated in rounds, where in each round the alternative with the lowest plurality score is eliminated; last alternative left standing is the winner

SINGLE-TRANSFERABLE VOTE





STV AROUND THE WORLD



Ireland

Used for all public elections

Canada

Used in Ontario for municipal elections

Australia

Used for parliamentary elections

USA

Used for statewide elections in ME and AK, and in cities like NYC and Cambridge

BARRIERS TO ADOPTION

- UK referendum (2011): Choose between plurality and STV as a method for electing MPs
- Academics agreed STV is better
- But STV was seen as beneficial to a particular politician





Marquis de Condorcet

1743-1794

Philosopher, mathematician, enlightened nobleman. Also known for dying mysteriously in prison.

THE CONDORCET PARADOX



The preferences of the majority may be cyclical!

CONDORCET CONSISTENT RULES

- A Condorcet winner is an alternative that defeats every other alternative in a head-to-head comparison
- A rule is **Condorcet consistent** if it always selects a Condorcet winner whenever it is presented with a profile that contains one

Poll

Which rule is Condorcet consistent?

• Plurality

Borda Count

• Both rules

Neither one





Ramon Llull

с. 1232–1315

Monk, missionary, and philosopher; one of the most influential intellectuals of his time. Also remembered for publishing a medieval parenting guide.

LLULL'S RULE

 Each alternative receives one point for each head-to-head comparison it wins (as well as for tied comparisons)



• Llull's rule is Condorcet consistent



Charles Lutwidge Dodgson

1832-1898

Professor of mathematics at Oxford, pioneer photographer, and beloved author. Also known for not plagiarizing Condorcet's work.

DODGSON'S RULE

- The Dodgson score of an alternative x is the minimum number of swaps between adjacent alternatives needed to make x a Condorcet winner; select an alternative with minimum score
- Dodgson's rule is Condorcet consistent
- Dodgson's rule is NP-hard to compute [Bartholdi et al. 1989]

DODGSON'S RULE

What is the Dodgson score of *b*?



AWESOME EXAMPLE

33 voters	16 voters	3 voters	8 voters	18 voters	22 voters	
а	b	С	С	d	е	
b	d	d	е	е	С	b
С	С	b	b	С	b	c
d	е	а	d	b	d	
е	а	е	а	а	а	STV

