## GRADUATE AI

Lecture 21:
SOCIAL CHOICE II

TEACHERS:<br>Martial HEBERT<br>ARIEL PROCACCIA (THIS TIME)

## REMINDER: VOTING

- Set of voters $N=\{1, \ldots, n\}$
- Set of alternatives $A,|A|=m$
- Each voter has a ranking over the alternatives
- $\mathrm{x}>_{\mathrm{i}} \mathrm{y}$ means that voter i prefers x to y
- Preference profile $=$ collection of all voters' rankings
- Voting rule $=$ function from preference profiles to alternatives


## REMINDER: MANIPULATION

- A voting rule is strategyproof ( $S P$ ) if a voter can never benefit from lying about his preferences:
$\forall<, \forall \mathrm{i} \in \mathrm{N}, \forall<_{\mathrm{i}}^{\prime}, \mathrm{f}(<) \geq_{\mathrm{i}} \mathrm{f}\left(<_{\mathrm{i}}^{\prime},<_{-\mathrm{i}}\right)$
- Theorem (Gibbard-Satterthwaite): If $\mathrm{m} \geq 3$ then any voting rule that is SP and onto is dictatorial


## CIRCUMVENTING G-S

- Restricted preferences
- Money $\Rightarrow$ mechanism design
- Computational complexity


## SINGLE PEAKED PREFERENCES

- We want to choose a location for a public good (e.g., library) on a street
- Alternatives = possible locations
- Each voter has an ideal location (peak)
- The closer the library is to a voter's peak, the happier he is
- Suggestion: midpoint



## MIDPOINT IS NOT SP



## THE MEDIAN

- Select the median peak
- The median is a Condorcet winner!
- The median is onto
- The median is nondictatorial



## THE MEDIAN IS SP



## COMPLEXITY OF MANIPULATION

- Manipulation is always possible in theory
- But can we design voting rules where it is difficult in practice?
- Are there "reasonable" voting rules where manipulation is a hard computational problem? [Bartholdi et al., SC\&W 1989]


## THE COMPUTATIONAL PROBLEM

- $R$-Manipulation problem:
- Given votes of nonmanipulators and a preferred candidate p
- Can manipulator cast vote that makes p (uniquely) win under R?
- Example: Borda, p=a

| 1 | 2 | 3 |
| :---: | :---: | :---: |
| b | b |  |
| a | a |  |
| c | c |  |
| d | d |  |


| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ |
| :---: | :---: | :---: |
| b | b | a |
| a | a | c |
| c | c | d |
| d | d | b |

## A greedy algorithm

- Rank $p$ in first place
- While there are unranked alternatives:
- If there is an alternative that can be placed in next spot without preventing $p$ from winning, place this alternative
- Otherwise return false


## EXAMPLE: BORDA

| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| b | b | a | b | b | a | b | b | a |
| a | a |  | a | a | b | a | a | c |
| c | c |  | c | c |  | c | c |  |
| d | d |  | d | d |  | d | d |  |
| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ |
| b | b | a | b | b | a | b | b | a |
| a | a | c | a | a | c | a | a | c |
| c | c | b | c | c | d | c | c | d |
| d | d |  | d | d |  | d | d | b |

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## EXAMPLE: COPELAND

| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ |
| :---: | :---: | :---: | :---: | :---: |
| a | b | e | e | a |
| b | a | c | c |  |
| c | d | b | b |  |
| d | e | a | a |  |
| e | c | d | d |  |

Preference profile

|  | $\mathbf{a}$ | $\mathbf{b}$ | $\mathbf{c}$ | $\mathbf{d}$ | $\mathbf{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{a}$ | - | 2 | 3 | 5 | 3 |
| $\mathbf{b}$ | 3 | - | 2 | 4 | 2 |
| $\mathbf{c}$ | 2 | 2 | - | 3 | 1 |
| $\mathbf{d}$ | 0 | 0 | 1 | - | 2 |
| $\mathbf{e}$ | 2 | 2 | 3 | 2 | - |

Pairwise elections

## EXAMPLE: COPELAND

| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ |
| :---: | :---: | :---: | :---: | :---: |
| a | b | e | e | a |
| b | a | c | c | c |
| c | d | b | b |  |
| d | e | a | a |  |
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Preference profile

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| $\mathbf{b}$ | 3 | - | 2 | 4 | 2 |
| $\mathbf{c}$ | 2 | 3 | - | 4 | 2 |
| $\mathbf{d}$ | 0 | 0 | 1 | - | 2 |
| $\mathbf{e}$ | 2 | 2 | 3 | 2 | - |

Pairwise elections

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| b | a | c | c | c |
| c | d | b | b | d |
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Preference profile

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| $\mathbf{b}$ | 3 | - | 2 | 4 | 2 |
| $\mathbf{c}$ | 2 | 3 | - | 4 | 2 |
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| $\mathbf{e}$ | 2 | 2 | 3 | 2 | - |

Pairwise elections

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| a | b | e | e | a |
| b | a | c | c | c |
| c | d | b | b | d |
| d | e | a | a | e |
| e | c | d | d |  |

Preference profile

|  | $\mathbf{a}$ | $\mathbf{b}$ | $\mathbf{c}$ | $\mathbf{d}$ | $\mathbf{e}$ |
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| $\mathbf{a}$ | - | 2 | 3 | 5 | 3 |
| $\mathbf{b}$ | 3 | - | 2 | 4 | 2 |
| $\mathbf{c}$ | 2 | 3 | - | 4 | 2 |
| $\mathbf{d}$ | 0 | 1 | 1 | - | 3 |
| $\mathbf{e}$ | 2 | 3 | 3 | 2 | - |

Pairwise elections

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| :---: | :---: | :---: | :---: | :---: |
| a | b | e | e | a |
| b | a | c | c | c |
| c | d | b | b | d |
| d | e | a | a | e |
| e | c | d | d | b |

Preference profile

|  | $\mathbf{a}$ | $\mathbf{b}$ | $\mathbf{c}$ | $\mathbf{d}$ | $\mathbf{e}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{a}$ | - | 2 | 3 | 5 | 3 |
| $\mathbf{b}$ | 3 | - | 2 | 4 | 2 |
| $\mathbf{c}$ | 2 | 3 | - | 4 | 2 |
| $\mathbf{d}$ | 0 | 1 | 1 | - | 3 |
| $\mathbf{e}$ | 2 | 3 | 3 | 2 | - |

Pairwise elections

## WHEN DOES THE ALG VORK?

- Theorem [Bartholdi et al., SCW 89]: Let $R$ be a rule s.t. $\exists$ function $s(<, x)$ such that:
- For every $<$ chooses a candidate that maximizes $\mathrm{s}(<, \mathrm{x})$
- $\{y: y<x\} \subseteq\left\{y: y<^{\prime} x\right\} \Rightarrow s(x,<) \leq s\left(x,<^{\prime}\right)$

Then the algorithm always decides $R$-Manipulation correctly

- Captures:
- All scoring rules, e.g., Borda
- Copeland: $s$ is number of pairwise elections $x$ wins
- Maximin: $s$ is the worst pairwise election of $x$
- We prove the theorem on the board
- Proof appears in: Bartholdi, Tovey, and Trick. The computational difficulty of manipulating an election. SC\&W 1989, Theorem 1 (available on the course website)


## VOTING RULES THAT ARE HARD TO MANIPULATE

- Natural rules
- Copeland with second order tie breaking [Bartholdi et al., SCW 89]
- STV [Bartholdi\&Orlin, SCW 91]
- Ranked Pairs [Xia et al., IJCAI 09]

Order pairwise elections by decreasing strength of victory Successively lock in results of pairwise elections unless it leads to cycle
Winner is the top ranked candidate in final order

- Can also "tweak" easy to manipulate voting rules [Conitzer\&Sandholm, IJCAI 03]


## EXAMPLE: RANKED PAIRS



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## EXAMPLE: RANKED PAIRS



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## EXAMPLE: RANKED PAIRS



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## MAXIMIZING SOCIAL WELFARE

- Robobees need to decide on a joint plan (alternative)
- Many possible plans
- Each robobee (agent) has a numerical evluation (utility) for each alternative
- Want to maximize sum of utilities = social welfare
- Communication is restricted


## MAXIMIZING SOCIAL WELFARE

- Approach 1:
communicate utilities
- May be infeasible
- Approach 2: each agent votes for favorite alternative (plurality)
- logm bits per agent
- May select a bad alternative

n/2-1 agents

$\mathrm{n} / 2+1$ agents


## MAXIMIZING SOCIAL VELFARE

- Approach 3: each agent votes for an alternative with probability proportional to its utility
- Theorem (informal): if $\mathrm{n}=\omega(\mathrm{mlogm})$ then this approach gives a $1+o(1)$ approximation for the optimal social welfare in expectation [Caragiannis +P , AIJ 2011]


## Voting rules as MLEs

- Choose 8 RNA designs to synthesize
- Assume that each player provides a ranking
- Each pair of designs is ranked correctly with
 probability $\mathrm{p}>1 / 2$


## Voting rules as MLEs

- Goal: choose a set of 8 designs that maximizes the probability of containing the best design
- Theorem: if p is sufficiently close to $\frac{1}{2}$ then the set of 8 designs with highest Borda scores is such a set $[\mathrm{P}+$ Reddy + Shah $]$

