

# CMU 15-251

## APPROXIMATION ALGS

TEACHERS:

VICTOR ADAMCHIK

ARIEL PROCACCIA (THIS TIME)

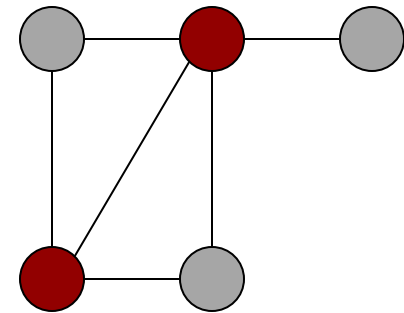
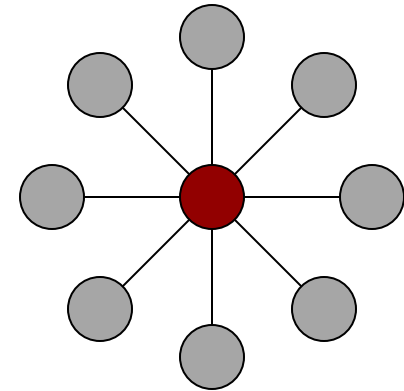
# COMPUTATIONAL HARDNESS

- We saw that NP-hardness can be a force for good (preventing manipulation)
- But typically it just gets in the way of solving problems we want to solve!
- What can we do?
  - In practice: Heuristics often work well
  - In theory: Run in polynomial time and provide formal guarantees wrt the quality of the solution



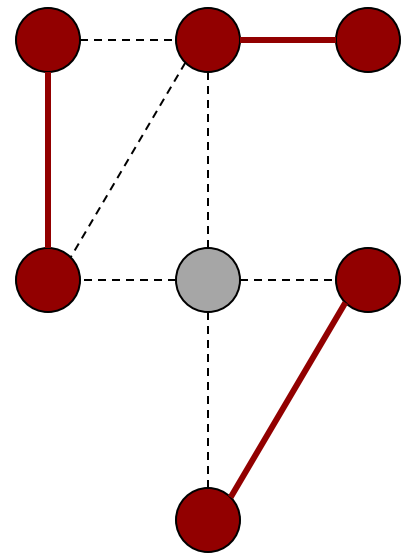
# VERTEX COVER

- VERTEX-COVER: Given a graph  $G = (V, E)$  find the smallest  $S \subseteq V$  such that every edge in  $E$  is incident on a vertex in  $S$
- Decision version of the problem is NP-complete (HW7 Q4)



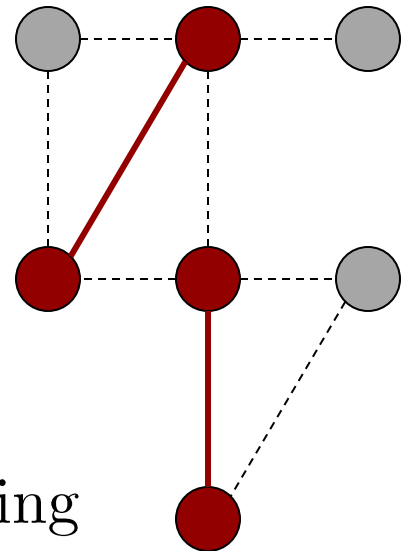
# VERTEX COVER

- We don't know the size of the optimal vertex cover, but...
- **Lemma:** Let  $M$  be a matching in  $G$ , and  $S$  be a vertex cover. Then  $|S| \geq |M|$
- **Proof:**  $S$  must cover at least one vertex for each edge in  $M$ ; this covers no other edges in  $M$



# VERTEX COVER

- A matching  $M$  is **maximal** if  $\nexists$  matching  $M' \neq M$  such that  $M \subseteq M'$
- **Note:** Which of the following algs would find a maximal matching:
  1. Greedily add edges that are disjoint from the edges added so far, while such edges exist
  2. Compute a **maximum cardinality** matching
  3. Both
  4. Neither



# VERTEX COVER

APPROX-VC( $G$ )

$M \leftarrow$  maximal matching on  $G$

$S \leftarrow$  all vertices incident on  $M$

Return  $S$

- **Theorem:** Given a graph  $G$ , let  $OPT(G)$  be the size of the optimal vertex cover and  $S = \text{APPROX-VC}(G)$ ;  $S$  is a valid cover with  $|S| \leq 2 \cdot OPT(G)$

We can say  
this even  
though we  
don't know  
*OPT!*



# VERTEX COVER

- **Theorem:** Given a graph  $G$ , let  $OPT(G)$  be the size of the optimal vertex cover and  $S = \text{APPROX-VC}(G)$ ;  $S$  is a valid cover with  $|S| \leq 2 \cdot OPT(G)$
- **Proof:**
  - For each edge, at least one vertex is in  $M$ , so  $S$  is a valid vertex cover
  - By the lemma,  $|S| = 2|M| \leq 2 \cdot OPT$  ■

Can we  
replace the 2  
factor with  
 $\alpha < 2$ ?



# APPROXIMATION

- For a **minimization problem** instance  $I$  and algorithm  $ALG$ , let  $ALG(I)$  be the quality of the algorithm's output and  $OPT(I)$  be the quality of the optimal solution
- For  $c > 1$ ,  $ALG$  is a  **$c$ -approximation alg** if for **every**  $I$ ,  $ALG(I) \leq c \cdot OPT(I)$
- APPROX-VC is a polytime 2-approximation algorithm for VERTEX-COVER





# APPROXIMATION

- For a maximization problem and  $c < 1$ ,  $ALG$  is a  **$c$ -approximation algorithm** if for every  $I$ ,  $ALG(I) \geq c \cdot OPT(I)$

These notions allow us to circumvent NP-hardness by designing **polynomial-time** algs with formal **worst-case** guarantees!



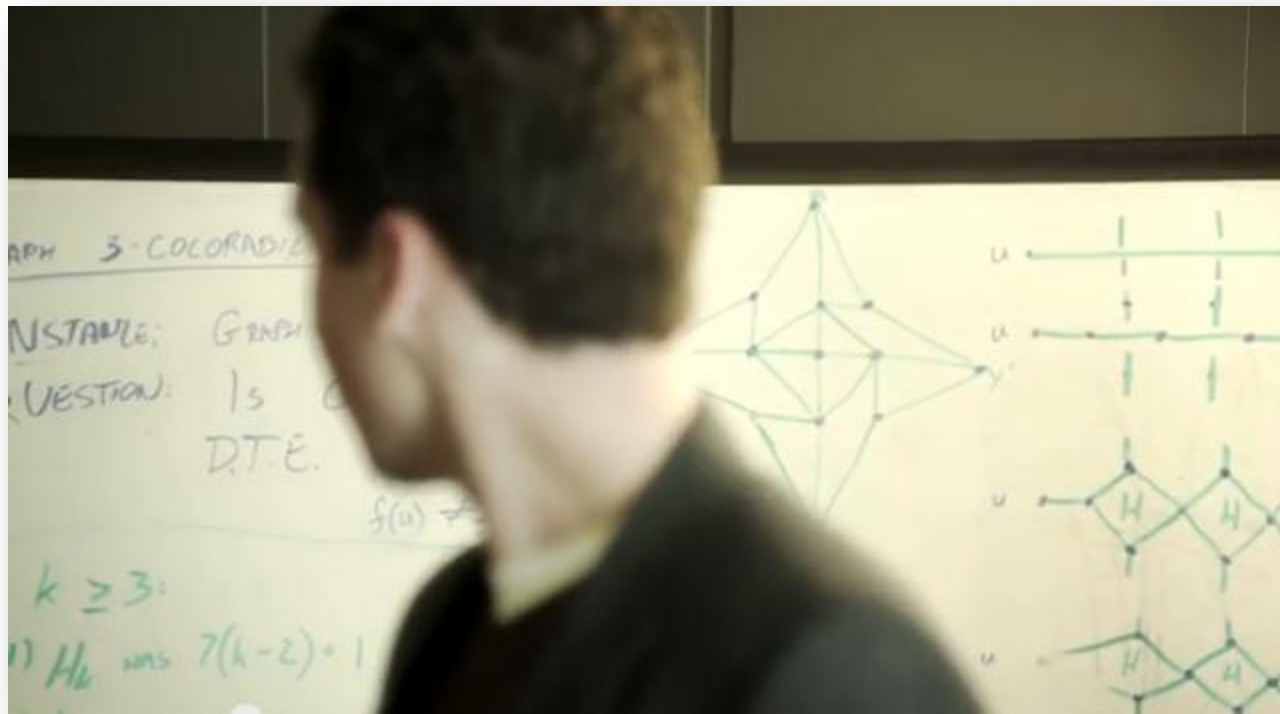
# APPROXIMATION

- Algorithm STUPID-APPROX( $G$ ): Return all vertices of  $G$
- **Note:** What is the smallest value of  $\alpha$  for which STUPID-APPROX is an  $\alpha$ -approx algorithm for VERTEX-COVER?
  1.  $\alpha = 3$
  2.  $\alpha = \log n$
  3.  $\alpha = \lceil n/2 \rceil$
  4.  $\alpha = n$



# INTERLUDE

- <http://www.youtube.com/watch?v=6ybd5rbQ5rU>

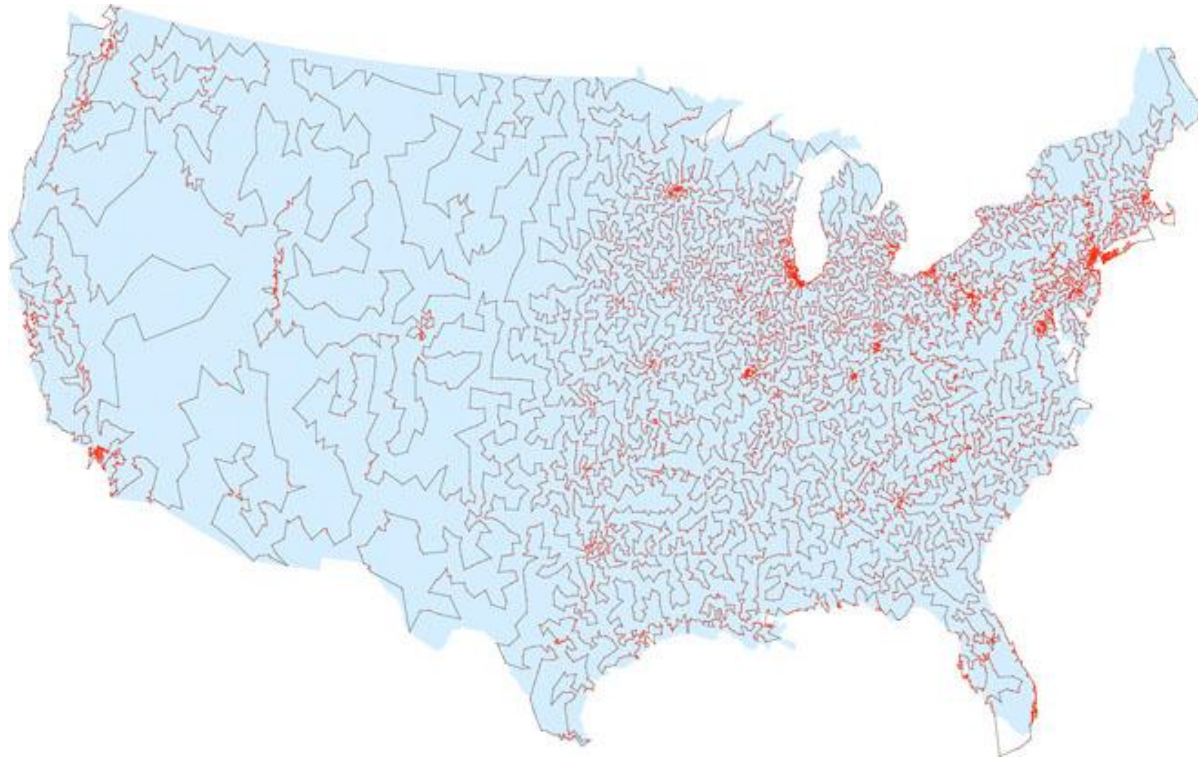


# TRAVELING SALESMAN

- **TRAVELING-SALESMAN (TSP)**: Given a graph  $G = (V, E)$  with edge costs  $c: E \rightarrow \mathbb{N}$ , find a minimum cost tour that visits each vertex exactly once
- NP-complete by reduction from HAMILTONIAN-CYCLE: Given an instance, assign  $c(e) = 1$  for each  $e \in E$  and ask whether there is a tour of cost  $n$
- **Metric TSP**: can visit vertices multiple times (also NP-complete)



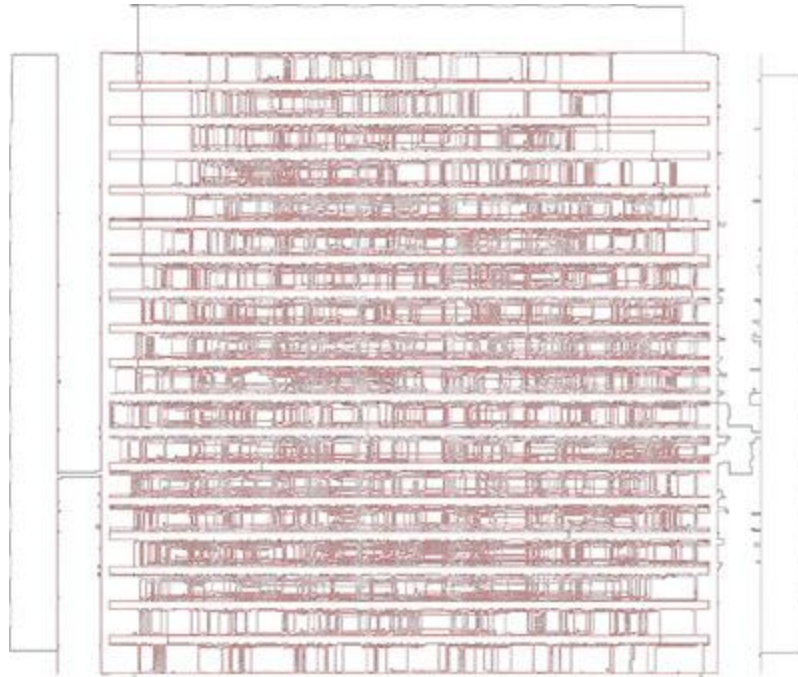
# TRAVELING SALESMAN



Shortest traveling salesman route going through all 13,509 cities in the United States with a population of at least 500 (as of 1998)



# TRAVELING SALESMAN



The largest solved traveling salesman problem (as of 2013), an 85,900-vertex route calculated in 2006. The graph corresponds to the design of a customized computer chip created at Bell Laboratories, and the solution exhibits the shortest path for a laser to follow as it sculpts the chip.



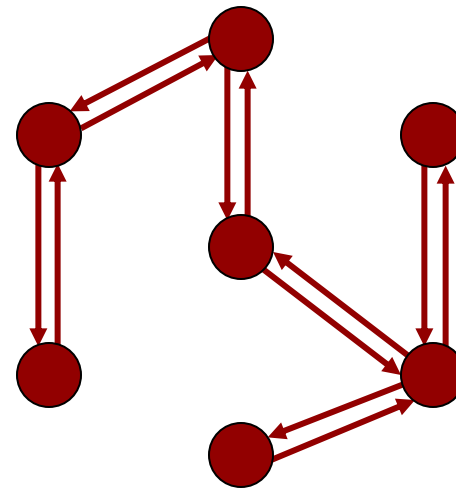
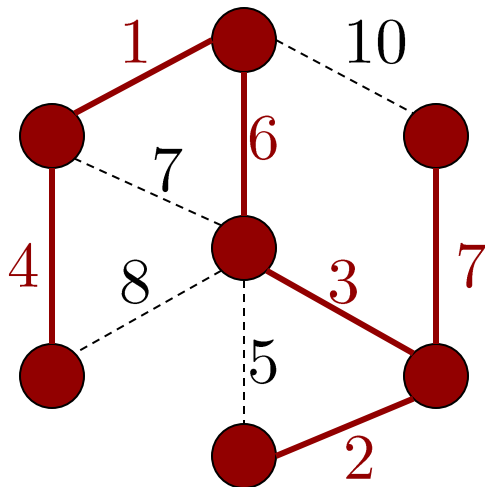
# TRAVELING SALESMAN

APPROX-TSP( $G$ )

$T \leftarrow$  Minimum spanning tree of  $G$

$2T \leftarrow$  **double** edges of  $T$

**Return** Eulerian tour of  $2T$



# TRAVELING SALESMAN

- **Theorem:** APPROX-TSP is a 2-approximation algorithm for Metric TSP
- **Proof:**
  - A TSP tour can be converted into a lower cost spanning tree (**how?**), therefore

$$c(T) = \sum_{e \in E(T)} c(e) \leq OPT$$

- Clearly  $c(2T) = 2c(T)$
- It follows that  $c(2T) \leq 2OPT$  ■





# TRAVELING SALESMAN\*

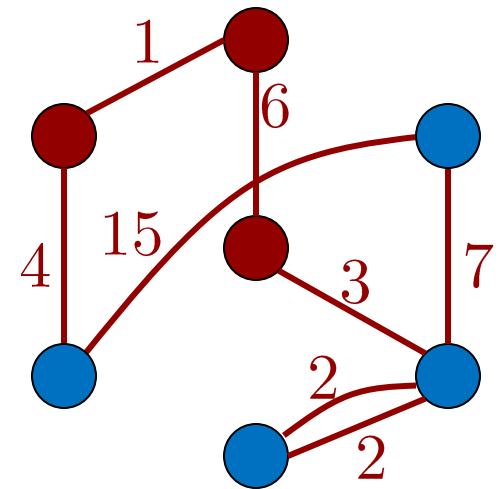
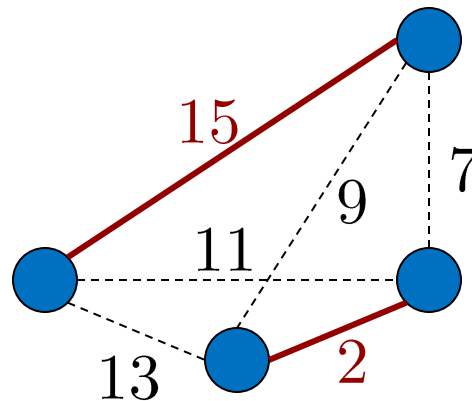
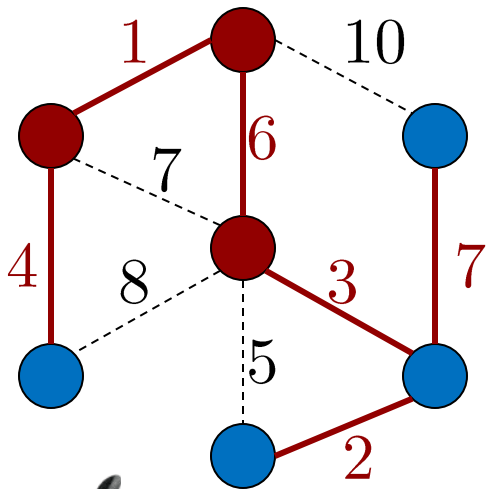
CHRISTOFIDES( $G$ )

$T \leftarrow$  Minimum spanning tree of  $G$

$S \leftarrow$  Vertices of odd degree in  $T$  ( $|S|$  is even, *why?*)

$M \leftarrow$  Min cost matching on  $S$  in  $G$

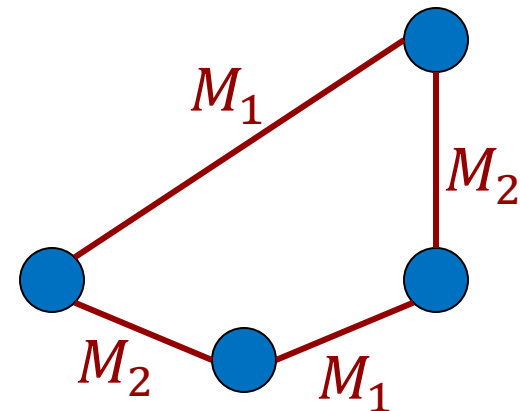
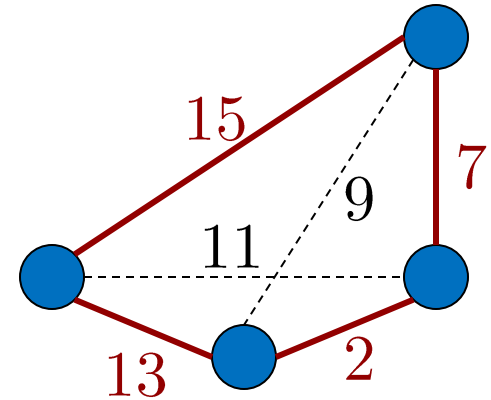
Return Eulerian tour of  $T \cup M$



\* Not for the exam

# TRAVELING SALESMAN\*

- Lemma:  $C(M) \leq \frac{1}{2} OPT$
- Proof:
  - $\exists$  tour of  $S$  of cost at most  $OPT$  (because  $S \subseteq V$ )
  - Decompose into two matchings  $M_1$  and  $M_2$
  - $c(M_1) + c(M_2) \leq OPT$ , but  $c(M) \leq c(M_1)$  and  $c(M) \leq c(M_2) \Rightarrow c(M) \leq \frac{1}{2} OPT$  ■



\* Not for the exam

# TRAVELING SALESMAN\*

- **Theorem:** CHRISTOFIDES is a  $\frac{3}{2}$ -approximation algorithm for Metric TSP
- **Proof:** Using the lemma,

$$\begin{aligned}ALG &= c(M) + c(T) \\ &\leq \frac{1}{2} OPT + OPT \\ &= \frac{3}{2} OPT \blacksquare\end{aligned}$$



\* Not for the exam

# WHAT WE HAVE LEARNED

- Definitions
  - Approximation algorithm
  - VERTEX-COVER, TRAVELING-SALESMAN
- Algorithms
  - 2-approximation for VERTEX-COVER
  - 2-approximation for TSP

